

THE DEFORMED HERMITIAN-YANG-MILLS EQUATION ON THE BLOWUP OF \mathbb{P}^{n*}

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Abstract. We study the deformed Hermitian-Yang-Mills equation on the blowup of complex projective space. Using symmetry, we express the equation as an ODE which can be solved using combinatorial methods if an algebraic stability condition is satisfied. This gives evidence towards a conjecture of the first author, T.C. Collins, and S.-T. Yau on general compact Kähler manifolds.

Key words. Deformed Hermitian-Yang-Mills, Stability, Calabi-Symmetry, ODEs.

Mathematics Subject Classification. 53C55.

1. Introduction. This paper explores the relationship between stability and solutions to the deformed Hermitian-Yang-Mills equation. Let (X, ω) be a compact Kähler manifold, and $[\alpha] \in H^{1,1}(X, \mathbb{R})$ a real cohomology class. The class $[\alpha]$ solves the deformed Hermitian-Yang-Mills equation if it admits a representative $\alpha \in [\alpha]$ satisfying

$$\operatorname{Im}(e^{-i\hat{\theta}}(\omega + i\alpha)^n) = 0, \tag{1.1}$$

where $e^{i\hat{\theta}} \in S^1$ is a fixed constant. Fixing $\alpha_0 \in [\alpha]$, by the $\partial\bar{\partial}$ -Lemma, any other representative of this class can be written as $\alpha = \alpha_0 + i\partial\bar{\partial}\phi$ for some real function ϕ , and so (1.1) is an elliptic, fully nonlinear equation for ϕ .

A complex analogue of the special Lagrangian graph equation, equation (1.1) was derived by Mariño-Minasian-Moore-Strominger by studying equations of motion for BPS B -branes [11]. Taking a more geometric viewpoint, Leung-Yau-Zaslow derived this equation by looking at the mirror of special Lagrangian graphs under the semi-flat setup of SYZ mirror symmetry [10]. Recently, the question of how existence of solutions to dHYM equation may relate to various algebraic stability conditions has garnered significant attention, due to exciting relationships with other equations arising in complex geometry, and furthermore due to how such stability conditions may shed light on the existence problem for special Lagrangian submanifolds in Calabi-Yau manifolds.

Initial attempts to solve equation (1.1) were undertaken in [8] and later [3], and relied on certain analytic assumptions, namely that the class $[\alpha]$ admitted a representative that satisfied a positivity condition. This led to the natural question of whether solvability can be determined by an algebraic condition on the classes $[\alpha]$ and $[\omega]$ alone. Following the work of Lejmi-Székelyhidi on the J -equation [9], the first author, along with T.C. Collins and S.-T. Yau, integrated the positivity condition along subvarieties to develop a necessary class condition for existence, and conjectured it was a sufficient condition as well [3]. We formally state this conjecture. First, for an irreducible analytic subvariety $V \subseteq X$, define the complex number

$$Z_{[\alpha][\omega]}(V) := - \int_V e^{-i\omega + \alpha},$$

*Received January 16, 2022; accepted for publication September 15, 2022.

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where by convention we only integrate the term in the expansion of order $\dim(V)$. By the $\partial\bar{\partial}$ -Lemma $Z_{[\alpha][\omega]}(V)$ is independent of a choice of representative from $[\omega]$ or $[\alpha]$. The main results of [3] rely on an assumption referred to as *supercritical phase*, which assumes that the constant $\hat{\theta}$ can be lifted to \mathbb{R} to lie within the interval $((n-2)\frac{\pi}{2}, n\frac{\pi}{2})$. Therefore we state the conjecture with this assumption:

CONJECTURE 1 (Collins-J-Yau [3]). *The cohomology class $[\alpha] \in H^{1,1}(X, \mathbb{R})$ on a compact Kähler manifold (X, ω) admits a solution to the deformed Hermitian-Yang-Mills equation (1.1) (with supercritical phase) if and only if $Z(X) \neq 0$, and for all analytic subvarieties $V \subset X$,*

$$\text{Im} \left(\frac{Z_{[\alpha][\omega]}(V)}{Z_{[\alpha][\omega]}(X)} \right) > 0. \tag{1.2}$$

Slightly weaker versions of the above conjecture have recently been solved by Chen [2], and Chu-Lee-Takahashi [4]. Again both of their results rest on the supercritical phase assumption. Without this assumption a stability conjecture can still be formulated, although as opposed to (1.2) the inequality will be of a slightly different form, as discussed below.

Following the above work, Collins-Yau subsequently constructed a more robust necessary condition for existence, for which the above conjecture is only a special case [6]. Their approach follows an infinite dimensional GIT picture, and looks at the limiting behavior of geodesics in the space of potentials for $[\alpha]$, in conjunction with the behavior of various functionals. Overall, the viewpoint of this work is that any stability condition for (1.1) should arise naturally as an obstruction to existence. Collins-Yau also relate their work to other conjectured stability conditions for similar problems, including Bridgeland stability. We direct the interested reader to [6] for more details on their stability condition as it relates to Bridgeland stability, and instead only focus on Conjecture 1.

In this paper we work on the blowup of complex projective space. We find a stability condition, which is a generalization of (1.2) in the non supercritical phase case, and demonstrate that stability is sufficient for existence of a solution.

THEOREM 1. *Let X be the blowup of \mathbb{P}^n at a point. Let $[\omega]$ be any Kähler class on X , and $[\alpha]$ any real cohomology class. Then if $Z(X) \neq 0$, and if for each $k \in \{1, \dots, n-1\}$ all analytic subvarieties $V^k \subset X$ of dimension k satisfy either*

$$\text{Im} \left(\frac{Z_{[\alpha][\omega]}(V^k)}{Z_{[\alpha][\omega]}(X)} \right) > 0 \quad \text{or} \quad \text{Im} \left(\frac{Z_{[\alpha][\omega]}(V^k)}{Z_{[\alpha][\omega]}(X)} \right) < 0, \tag{1.3}$$

then $[\alpha]$ admits a solution to the deformed Hermitian-Yang-Mills equation with respect to any Kähler metric $\omega \in [\omega]$ satisfying Calabi Symmetry.

We reiterate that for different dimensions k , we allow for the inequality in (1.3) to be either positive or negative. However, for a fixed k , all subvarieties of that dimension must give the same sign. We note that in the supercritical phase case, only the strictly positive inequality is possible, and so our condition (1.3) reduces to (1.2), proving Conjecture 1 in this case.

To prove our theorem, we make use of the fact that on X , both $[\omega]$ and $[\alpha]$ admit representatives that satisfy a particular symmetry called *Calabi Symmetry*. Originally studied by Calabi to construct examples of extremal Kähler metrics [1],

this symmetry has since been employed to study many other geometric equations, including the Kähler Ricci flow [12, 13, 14, 15], metric flips [16], and the inverse σ_k equations [7]. The advantage of working with Calabi Symmetry is that allows us to write equation (1.1) as an ODE over a closed interval in \mathbb{R} , with a two sided boundary conditions determined by the classes $[\omega]$ and $[\alpha]$. Thus the question of existence is reduced to solving the boundary valued ODE. Of course, by existence and uniqueness of solutions to ODEs we can always find a solution matching one boundary value, so the difficulty is determining when the other boundary value matches up. This is where stability comes into play, and we use (1.3) to force the boundary values into certain configurations where a solution will always exist.

While this theorem demonstrates that (1.3) is a sufficient condition for existence, it is not clear it is necessary. As noted above, outside of the supercritical phase case, (1.3) does not match the necessary condition for existence presented in [3]. To elaborate, let the average angle of a subvariety V^k be defined by the argument of $\int_{V^k} (\omega + i\alpha)^k$, and denote this argument by $\hat{\Theta}_{V^k}$. In [3] it is demonstrated that any class that solves (1.1) must satisfy

$$\hat{\Theta}_{V^k} > \hat{\theta} - (n - k) \frac{\pi}{2}.$$

In fact, assuming supercritical phase the above inequality is equivalent to (1.2). However, outside of supercritical phase, one needs to specify a unique lift of $\hat{\theta}$ to \mathbb{R} , before a necessary condition similar to the above can be generalized. If such a lift exists, then again a solution to equation (1.1) will imply

$$\hat{\theta} + (n - k) \frac{\pi}{2} > \hat{\Theta}_{V^k} > \hat{\theta} - (n - k) \frac{\pi}{2}. \tag{1.4}$$

When $k = n - 1$, we find the above inequality is a stronger condition than (1.3), whereas for $k < n - 1$ the conditions fail to match. Nevertheless, we are able to demonstrate:

THEOREM 2. *Let X be the blowup of \mathbb{P}^n at a point. Let $[\omega]$ be any Kähler class on X , and $[\alpha]$ any real cohomology class. Then $[\alpha]$ admits a solution to the deformed Hermitian-Yang-Mills equation if and only if*

- (1) *The average angle $\hat{\theta}$ has a lift to \mathbb{R} in the sense of (5.2).*
- (2) *For every divisor $V^{n-1} \subset X$, the average angle $\hat{\Theta}_{V^{n-1}}$ satisfies (1.4).*

Here we see the importance of finding a lift of $\hat{\theta}$, and in Section 5 we describe a procedure that works in our setting. In general, finding a purely algebraic method for lifting $\hat{\theta}$, which only depends on the classes $[\omega]$ and $[\alpha]$, would greatly aid our understanding of the relationship between solvability of (1.1) and stability. In this light, one could view condition (1.3) as algebraic condition which specifies a lift of $\hat{\theta}$, which then leads to a solution of the equation. Therefore, it would be interesting to develop more such methods of lifting $\hat{\theta}$ in general.

The paper is organized as follows. In Section 2 we reformulate equation (1.1) and introduce the Calabi Symmetry ansatz, and show how solutions to (1.1) correspond to solutions of an exact ODE. In Section 3 we explicitly compute the inequalities arising from the stability condition (1.3) for all subvarieties of X . We then show how these inequalities define regions in \mathbb{R}^2 where the graph of our ODE is given, and prove a key proposition relating the slopes of the boundaries of these regions. This proposition is used in Section 4 to limit the initial configurations of boundary values

for our ODE, which we use to prove Theorem 1. We conclude the paper in Section 5 with a discussion on how $\hat{\theta}$ can be lifted from S^1 to \mathbb{R} without appealing to existence of a solution, assuming (1.3) is satisfied for all subvarieties. We then prove Theorem 2.

Acknowledgements. We would like to thank Tristan C. Collins for many valuable discussions and comments. This work was funded in part by a Simons collaboration grant.

2. Background and Calabi Symmetry. Let (X, ω) be a compact Kähler manifold, and $[\alpha] \in H^{1,1}(X, \mathbb{R})$ a real cohomology class. We study the *deformed Hermitian-Yang-Mills* equation, which as stated in the introduction seeks a representative $\alpha \in [\alpha]$ satisfying

$$\text{Im}(e^{-i\hat{\theta}}(\omega + i\alpha)^n) = 0$$

for a fixed constant $e^{i\hat{\theta}} \in S^1$. Integrating the above equation we see the angle $\hat{\theta}$ must be the argument of the complex number

$$\zeta_X := \int_X (\omega + i\alpha)^n.$$

By the $\partial\bar{\partial}$ -Lemma ζ_X is independent of a choice of representatives of the classes $[\omega]$ and $[\alpha]$. Thus we see a simple necessary class condition for existence is that $\zeta_X \neq 0$.

We reformulate the deformed Hermitian-Yang-Mills equation as follows. Given a representative $\alpha \in [\alpha]$, let $\lambda_1, \dots, \lambda_n$ denote the real eigenvalues of the Hermitian endomorphism $\omega^{-1}\alpha$. Then, at a fixed point where $\omega^{-1}\alpha$ is diagonal, we see

$$\text{Im} \left(e^{-i\hat{\theta}} \frac{(\omega + i\alpha)^n}{\omega^n} \right) = \text{Im} \left(e^{-i\hat{\theta}} \prod_{k=1}^n (1 + i\lambda_k) \right).$$

We denote the angle of the complex number $\prod_{k=1}^n (1 + i\lambda_k)$ by $\Theta_\omega(\alpha)$, which can be computed as follows:

$$\begin{aligned} \Theta_\omega(\alpha) &= -i \log \frac{\prod_{k=1}^n (1 + i\lambda_k)}{|\prod_{k=1}^n (1 + i\lambda_k)|} \\ &= -i \log \frac{\prod_{k=1}^n (1 + i\lambda_k)}{(\prod_{k=1}^n (1 + i\lambda_k) \prod_{k=1}^n (1 - i\lambda_k))^{\frac{1}{2}}} \\ &= -\frac{i}{2} \log \frac{\prod_{k=1}^n (1 + i\lambda_k)}{\prod_{k=1}^n (1 - i\lambda_k)}. \end{aligned}$$

By the complex formulation of arctangent, we arrive at

$$\Theta_\omega(\alpha) = \sum_{k=1}^n \arctan(\lambda_k).$$

Thus equation (1.1) is equivalent to

$$\Theta_\omega(\alpha) = \hat{\theta} \pmod{2\pi}. \tag{2.1}$$

The advantage of this formulation is that the pointwise angle $\Theta_\omega(\alpha)$ is now a real valued elliptic operator. An application of the maximum principle shows a solution

of the deformed Hermitian-Yang-Mills equation specifies a unique lift of $\hat{\theta}$ to \mathbb{R} . We refer to such a lift as a *branch* of the equation.

In this paper we construct solutions to the deformed Hermitian-Yang-Mills equation in a specific geometric setup, where we can take advantage of large symmetry. Specifically, let X be the Kähler manifold defined by blowing up \mathbb{P}^n at one point x_0 . Let E denote the exceptional divisor, and H the pullback of the hyperplane divisor from \mathbb{P}^n . These two divisors span $H^{1,1}(X, \mathbb{R})$, and any Kähler class will lie in $a_1[H] - a_2[E]$ with $a_1 > a_2 > 0$. Normalizing, assume X admits a Kähler form ω in the class

$$[\omega] = a[H] - [E],$$

with $a > 1$. Furthermore, assume our class $[\alpha]$ satisfies

$$[\alpha] = p[H] - q[E],$$

for a choice of $p, q \in \mathbb{R}$.

Calabi introduced the following ansatz in [1]. On $X \setminus (H \cup E) \cong \mathbb{C}^n \setminus \{0\}$ define the radial coordinate

$$\rho = \log(|z|^2).$$

Any function $u(\rho) \in C^\infty(\mathbb{R})$ that satisfies $u'(\rho) > 0$, $u''(\rho) > 0$, has the property that its complex Hessian $\omega = i\partial\bar{\partial}u$ defines a Kähler form on $\mathbb{C}^n \setminus \{0\}$. In order for ω to extend to a Kähler form on X in the class $a[H] - [E]$, we need u to satisfy the following boundary asymptotics. Define the functions $U_0, U_\infty : [0, \infty) \rightarrow \mathbb{R}$ via

$$U_0(r) := u(\log r) - \log r \quad \text{and} \quad U_\infty(r) := u(-\log r) + a \log r.$$

Then we need both U_0 and U_∞ to extend by continuity to a smooth function at $r = 0$, with both $U'_0(0) > 0$ and $U'_\infty(0) > 0$. In particular this fixes the following asymptotic behavior of u :

$$\lim_{\rho \rightarrow -\infty} u'(\rho) = 1, \quad \lim_{\rho \rightarrow \infty} u'(\rho) = a.$$

This ensures that $\omega = i\partial\bar{\partial}u$ extends to a Kähler form on X and lies in the correct class.

Similarly, for any function $v(\rho) \in C^\infty(\mathbb{R})$, the Hessian $i\partial\bar{\partial}v(\rho)$ defines a $(1, 1)$ form α on $\mathbb{C}^n \setminus \{0\}$. In order for α to extend to X in the class $[\alpha]$, we require asymptotics of the same form, without any positivity assumptions since $[\alpha]$ need not be a Kähler class. As above, we define the functions $V_0, V_\infty : [0, \infty) \rightarrow \mathbb{R}$ via

$$V_0(r) := v(\log r) - q \log r \quad \text{and} \quad V_\infty(r) := v(-\log r) + p \log r,$$

and specify that V_0 and V_∞ extend by continuity to a smooth function at $r = 0$. As a result $v(\rho)$ satisfies:

$$\lim_{\rho \rightarrow -\infty} v'(\rho) = q, \quad \lim_{\rho \rightarrow \infty} v'(\rho) = p. \tag{2.2}$$

Then $i\partial\bar{\partial}v$ extends to a smooth $(1,1)$ form on X in the class $[\alpha]$.

Given this setup, the deformed Hermitian-Yang-Mills equation reduces to an ODE. In particular, for a given function $u(\rho)$ satisfying the Calabi ansatz above

(which defines our background Kähler form), we need to find a function $v(\rho)$ of a single real variable ρ . Working on the coordinate patch $X \setminus (H \cup E) \cong \mathbb{C}^n \setminus \{0\}$, we have

$$\omega = i\partial\bar{\partial}u = \left(\frac{u'}{e^\rho} \delta_{jk} + (u'' - u') \frac{\bar{z}^j z^k}{e^{2\rho}} \right) dz^j \wedge d\bar{z}^k,$$

and

$$\alpha = i\partial\bar{\partial}v = \left(\frac{v'}{e^\rho} \delta_{jk} + (v'' - v') \frac{\bar{z}^j z^k}{e^{2\rho}} \right) dz^j \wedge d\bar{z}^k.$$

With the above formulas, one can easily check that the eigenvalues of $\omega^{-1}\alpha$ are $\frac{v'}{u'}$ with multiplicity $(n - 1)$, and $\frac{v''}{u''}$ with multiplicity one (for instance, see [7]).

In fact, before we write down the deformed Hermitian-Yang-Mills equation in this setting, we can simplify our picture further. Because $u'' > 0$, the first derivative u' is monotone increasing, allowing us to use Legendre transform coordinates and view u' as a real variable, denoted by x , which ranges from 1 to a . We then write v' as a graph f over $x \in (1, a)$:

$$f(x) = f(u'(\rho)) = v'(\rho).$$

Taking the derivative of both sides, we see by the chain rule

$$f'(x)u''(\rho) = v''(\rho).$$

Working in the coordinate x , the eigenvalues of $\omega^{-1}\alpha$ are

$$\frac{v'}{u'} = \frac{f}{x} \text{ (with multiplicity } n - 1) \quad \text{and} \quad \frac{v''}{u''} = f'.$$

Note that as $x \rightarrow 1$, then $\rho \rightarrow -\infty$, while $x \rightarrow a$ implies $\rho \rightarrow \infty$. Thus the asymptotics of $v(\rho)$ given by (2.2) are equivalent to

$$\lim_{x \rightarrow 1^+} f(x) = q, \quad \lim_{x \rightarrow a^-} f(a) = p,$$

and we extend $f(x)$ to the boundary $[1, a]$ by continuity.

We now reformulate our problem into this setup. Using the explicit formulas for the eigenvalues of $\omega^{-1}\alpha$, need to find a real function $f : [1, a] \rightarrow \mathbb{R}$ with boundary values $f(1) = q$, and $f(a) = p$, satisfying the ODE

$$\text{Im} \left(e^{-i\hat{\theta}} \left(1 + i \frac{f}{x} \right)^{n-1} (1 + if') \right) = 0. \tag{2.3}$$

Since x is always positive, multiplying by x^{n-1} will not change the equation, so we rewrite the ODE as

$$\text{Im} \left(e^{-i\hat{\theta}} (x + if)^{n-1} (1 + if') \right) = 0.$$

Observe that this ODE is exact

$$\begin{aligned} \text{Im} \left(e^{-i\hat{\theta}} (x + if)^{n-1} (1 + if') \right) &= \text{Im} \left(e^{-i\hat{\theta}} \frac{d}{dx} \frac{(x + if)^n}{n} \right) \\ &= \frac{d}{dx} \text{Im} \left(e^{-i\hat{\theta}} \frac{(x + if)^n}{n} \right) = 0. \end{aligned}$$

Thus we are looking for a function $f(x)$ so that the graph $(x, f(x))$ lies on a level curve of

$$\Phi(x, y) := \operatorname{Im} \left(e^{-i\hat{\theta}} (x + iy)^n \right). \tag{2.4}$$

Figure 1 below shows a level set $\Phi(x, y) = c$ for some $c \neq 0$, in the case that $n = 11$. The n dotted lines represent the level set $\Phi(x, y) = 0$. Thus we see $\Phi(x, y) = c$ consists of n disjoint curves lying in alternating sectors, asymptotic to the lines given by $\Phi(x, y) = 0$. Solutions to the deformed Hermitian-Yang-Mills equations are graphical portions of the level set that lie over $[1, a]$. Solutions of the equation for different branches can be found by rotating by $2\pi/n$.

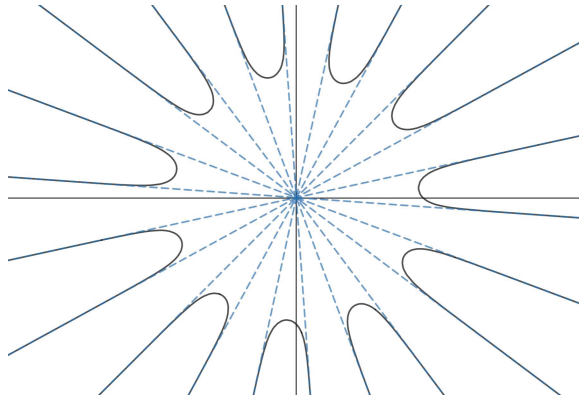


FIG. 1. Graph of a level set $\Phi(x, y) = c$, in the case $n = 11$.

3. Stability. We now turn to the stability condition that guarantees existence of a solution of (1.1). This provides a coherent algebraic framework that is simple to interpret from initial conditions, without any assumptions on explicit representatives of $[\omega]$ or $[\alpha]$. In this paper, we use “central charge” notation to highlight possible connections with Bridgeland stability conditions. We refer the reader to [6, 5] for a more detailed discussion of stability and algebraic obstructions to solutions of the deformed Hermitian-Yang-Mills equations in general, and only focus in this paper on our specific geometric setup.

As stated in the introduction, for an an irreducible analytic subvariety $V \subset X$, we define the following complex number:

$$Z_{[\alpha][\omega]}(V) := - \int_V e^{-i\omega + \alpha},$$

where by convention we only integrate the term in the expansion of order $\dim(V)$.

DEFINITION 1. *The pair $[\omega], [\alpha]$ is stable if, for each $k \in \{1, \dots, n - 1\}$ all analytic subvarieties $V^k \subset X$ of dimension k satisfy either for all analytic subvarieties $V \subset X$,*

$$\operatorname{Im} \left(\frac{Z_{[\alpha][\omega]}(V^k)}{Z_{[\alpha][\omega]}(X)} \right) > 0 \quad \text{or} \quad \operatorname{Im} \left(\frac{Z_{[\alpha][\omega]}(V^k)}{Z_{[\alpha][\omega]}(X)} \right) < 0. \tag{3.1}$$

This definition only makes sense if $Z_{[\alpha][\omega]}(X) \neq 0$, which is equivalent to our assumption that $\zeta_X \neq 0$. Now, because of our specific geometric setup, the inequality

(3.1) can be explicitly computed in terms of a, p , and q , for each analytic subvariety of X .

Recall that H is the pullback of the hyperplane divisor, and E is the exceptional divisor, and that these divisors do not intersect. We begin by computing ζ_X explicitly:

$$\begin{aligned} \zeta_X &:= \int_X (\omega + i\alpha)^n = (a[H] - [E] + i(p[H] - q[E]))^n \\ &= (a + ip)^n [H]^n + (1 + iq)^n (-1)^n [E]^n \\ &= (a + ip)^n - (1 + iq)^n, \end{aligned}$$

where the last line follows since $[E]^n = (-1)^{n-1}$. Again by assumption $\zeta_X \neq 0$, which is the same as requiring a, p , and q do not simultaneously satisfy

$$|a + ip| = |1 + iq| \quad \text{and} \quad |\arg(a + ip) - \arg(1 + iq)| = \frac{2\pi m}{n} \tag{3.2}$$

for some $m \in \mathbb{Z}$. We remark that this does not provide a major constraint on which classes we consider. Given a choice of q , there are only a finite number of points $a + ip$ that satisfy $(a + ip)^n = (1 + iq)^n$.

We now check stability for H^{n-k} and $(-1)^{n-k-1} E^{n-k}$ for $k \in \{1, \dots, n-1\}$, where k represents the dimension of each subvariety. Here we multiply E^{n-k} by $(-1)^{n-k-1}$ so that when this variety is viewed as a divisor of $(-1)^{n-k} E^{n-(k+1)}$ it is effective. We compute

$$\begin{aligned} Z_{[\alpha][\omega]}(H^{n-k}) &= - \int_{H^{n-k}} (-i)^k (\omega + i\alpha)^k \\ &= - \int_{H^{n-k}} i^{-k} (a[H] - [E] + i(p[H] - q[E]))^k \\ &= -i^{-k} (a + ip)^k [H]^k [H]^{n-k} \\ &= -i^{-k} (a + ip)^k. \end{aligned}$$

Next we see

$$\begin{aligned} Z_{[\alpha][\omega]}((-1)^{n-k-1} E^{n-k}) &= - \int_{(-1)^{n-k-1} E^{n-k}} (-i)^k (\omega + i\alpha)^k \\ &= - \int_{(-1)^{n-k-1} E^{n-k}} i^{-k} (a[H] - [E] + i(p[H] - q[E]))^k \\ &= -i^{-k} (-1)^k (1 + iq)^k [E]^k (-1)^{n-k-1} [E]^{n-k} \\ &= -i^{-k} (-1)^{n-1} (1 + iq)^k [E]^n \\ &= -i^{-k} (1 + iq)^k, \end{aligned}$$

since as above $[E]^n = (-1)^{n-1}$. We also can compute the charge of our manifold X , and note

$$r_X \text{Im} \left(Z_{[\alpha][\omega]}(X) \right) = - \int_X (-i)^n (\omega + i\alpha)^n = -(i)^{-n} \zeta_X = -(i)^{-n} r_X e^{i\hat{\theta}},$$

for some fixed real number r_X . Since $r_X > 0$, we can multiply (3.1) by r_X without changing the sign of the inequality, and so we note

$$r_X \text{Im} \left(\frac{Z_{[\alpha][\omega]}(V^k)}{Z_{[\alpha][\omega]}(X)} \right) = \text{Im} \left(\frac{r_X Z_{[\alpha][\omega]}(V^k)}{-i^{-n} r_X e^{i\hat{\theta}}} \right) = \text{Im} \left(-i^n e^{-i\hat{\theta}} Z_{[\alpha][\omega]}(V^k) \right).$$

Thus, plugging in our formulas for H^{n-k} and $(-1)^{n-k-1}E^{n-k}$ gives either

$$\operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} (a + ip)^k \right) > 0,$$

and

$$\operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} (1 + iq)^k \right) > 0,$$

or the above with the inequality flipped. Summing up we have:

LEMMA 1. *Given a choice of classes $[\omega] = a[H] - [E]$ and $[\alpha] = p[H] - q[E]$ on X , denote complex numbers $z_1 = (1 + iq)$ and $z_2 = (a + ip)$. Then the pair $[\omega], [\alpha]$ is stable if and only if, for all $k \in \{1, \dots, n - 1\}$,*

$$\operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} (z_\ell)^k \right) > 0 \quad \text{or} \quad \operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} (z_\ell)^k \right) < 0 \tag{3.3}$$

for $\ell \in \{1, 2\}$.

We now turn to some preliminary results about the structure of the inequalities defined in (3.3). Let z be the standard coordinate on \mathbb{C} , and choose a branch cut along the negative x -axis, so that $-\pi \leq \arg(z) < \pi$. For each $k \in \{1, \dots, n\}$, consider the set defined by

$$\mathcal{R}_k := \{z \in \mathbb{C} \mid \operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} z^k \right) = 0 \text{ and } -\frac{\pi}{2} \leq \arg(z) < \frac{\pi}{2}\},$$

which consists of k -rays emanating from the origin. Even though the stability conditions above are only defined for $k \leq n - 1$, it is useful for our proof to also consider the rays determined by the $k = n$ case. Now, denote these rays via $\{r_k^1, r_k^2, \dots, r_k^k\}$, numbered so that

$$\frac{\pi}{2} > \arg(r_k^1) > \arg(r_k^2) > \dots > \arg(r_k^k) \geq -\frac{\pi}{2}.$$

By definition of the map $z \mapsto z^k$, we see that these rays are all $\frac{\pi}{k}$ rotations of each other, i.e. $\arg(r_k^{j+1}) - \arg(r_k^j) = \frac{\pi}{k}$. Next, we define a *sector* to be the space between (but not including) two adjacent rays. Again, by the behavior of $z \mapsto z^k$, we see that the space

$$\mathcal{S}_k := \{z \in \mathbb{C} \mid \operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} z^k \right) > 0 \text{ and } -\frac{\pi}{2} \leq \arg(z) < \frac{\pi}{2}\}$$

consists of alternating sectors, i.e. each ray bounds one and only one sector in \mathcal{S}_k . See Figure 2 below.

Furthermore, consider the set

$$\mathcal{S}_k^- := \{z \in \mathbb{C} \mid \operatorname{Im} \left(i^{n-k} e^{-i\hat{\theta}} z^k \right) < 0 \text{ and } -\frac{\pi}{2} \leq \arg(z) < \frac{\pi}{2}\}.$$

Now, if we write a ray r_k^j as $\mathbb{R}_+ e^{i\phi_k^j}$, we see the sets of rays can be identified with sets of angles, i.e. $\mathcal{R}_k \cong \{\phi_k^1, \dots, \phi_k^k\}$. We conclude this section with a combinatorial argument that plays a key role in the proof of Theorem 1.

PROPOSITION 1. *For any $k \in \{2, \dots, n\}$, the rays in the sets \mathcal{R}_k and \mathcal{R}_{k-1} alternate, and \mathcal{R}_k contains the rays with the largest and smallest argument. In particular:*

$$\frac{\pi}{2} > \phi_k^1 > \phi_{k-1}^1 > \phi_k^2 > \phi_{k-1}^2 > \dots > \phi_{k-1}^{k-2} > \phi_k^{k-1} > \phi_{k-1}^{k-1} \geq \phi_k^k \geq -\frac{\pi}{2}.$$

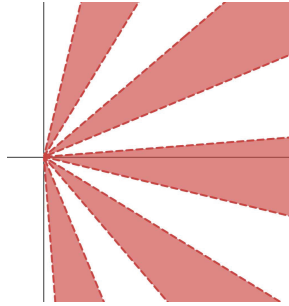


FIG. 2. The set \mathcal{S}_k , in the case $k = 10$.

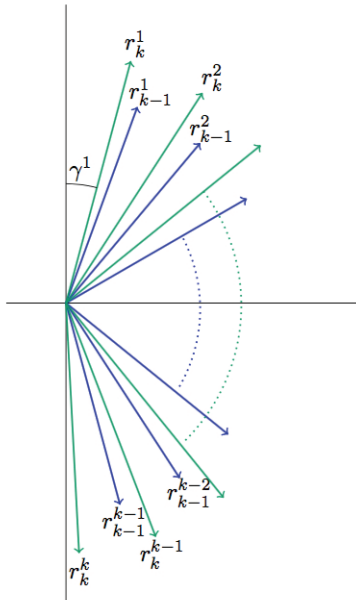


FIG. 3. The alternating condition for rays in sets \mathcal{R}_k and \mathcal{R}_{k-1} .

Furthermore, if the last inequality is strict, i.e. $\phi_k^k > -\frac{\pi}{2}$, then $\phi_{k-1}^{k-1} > \phi_k^k$ as well.

Proof. Pick two angles ϕ_k^ℓ and ϕ_{k-1}^j from \mathcal{R}_k and \mathcal{R}_{k-1} , respectively. It will be convenient to express these angles by their distance to $\frac{\pi}{2}$, so we set $\phi_k^\ell = \frac{\pi}{2} - \gamma^\ell$ and $\phi_{k-1}^j = \frac{\pi}{2} - \sigma^j$.

Now, since ϕ_k^ℓ specifies a ray in the set \mathcal{R}_k , by definition we have

$$\text{Im} \left(e^{i\frac{\pi}{2}(n-k)} e^{-i\hat{\theta}} e^{ik\phi_k^\ell} \right) = \text{Im} \left(e^{i\frac{\pi}{2}(n-k)} e^{-i\hat{\theta}} e^{ik(\frac{\pi}{2} - \gamma^\ell)} \right) = 0$$

This equation holds if and only if

$$\frac{n\pi}{2} - \hat{\theta} = k\gamma^\ell + q\pi \tag{3.4}$$

for some $q \in \mathbb{Z}$. Next, since ϕ_{k-1}^j lies in \mathcal{R}_k we have

$$\text{Im} \left(e^{i\frac{\pi}{2}(n-k+1)} e^{-i\hat{\theta}} e^{i(k-1)(\frac{\pi}{2} - \sigma^j)} \right) = 0,$$

which is equivalent to

$$\frac{n\pi}{2} - \hat{\theta} - (k - 1)\sigma^j = p\pi$$

for some $p \in \mathbb{Z}$. Plugging in (3.4) gives that for all ℓ, j , there exists an $m \in \mathbb{Z}$ so that

$$k\gamma^\ell - (k - 1)\sigma^j = m\pi. \tag{3.5}$$

This is the key equation relating our angles ϕ_k^ℓ and ϕ_{k-1}^j .

First we prove the result in the special case that $\phi_k^k = -\frac{\pi}{2}$. In this case $\gamma^k = \pi$, and plugging this into (3.5) we see that $\sigma^{k-1} = \pi$ solves the equation for $m = 1$. This implies $\phi_{k-1}^{k-1} = -\frac{\pi}{2}$ as well. To see the rays satisfy the alternation condition, note that all rays in \mathcal{R}_k are $\frac{\pi}{k}$ rotations of each other, and furthermore both \mathcal{R}_k and \mathcal{R}_{k-1} contain the negative y -axis. As a result

$$\phi_k^\ell = \frac{\pi}{2} - \frac{\ell\pi}{k} \quad \text{and} \quad \phi_{k-1}^j = \frac{\pi}{2} - \frac{j\pi}{k-1},$$

for $\ell \in \{1, \dots, k\}$ and $j \in \{1, \dots, k-1\}$, from which the alternating condition is clear.

We now turn to the general case, and assume that $\phi_k^k > -\frac{\pi}{2}$. As above write $\phi_k^1 = \frac{\pi}{2} - \gamma^1$ and $\phi_{k-1}^1 = \frac{\pi}{2} - \sigma^1$. Since the rays in \mathcal{R}_k are $\frac{\pi}{k}$ rotations of each other, and ϕ_k^1 is the first ray to the right of the positive y -axis, we know $0 < \gamma^1 < \frac{\pi}{k}$ (since $\gamma^1 = \frac{\pi}{k}$ corresponds to the special case $\phi_k^k = -\frac{\pi}{2}$). Similarly we know $0 < \sigma^1 < \frac{\pi}{k-1}$. Returning to (3.5), and using that $k\gamma^1 < \pi$, we know that for some $m \in \mathbb{Z}$

$$\sigma^1 = \frac{k\gamma^1 - m\pi}{k-1} < \frac{\pi(1-m)}{k-1}.$$

Since $\sigma^1 > 0$ we must have $m \leq 0$. Furthermore, using that $k\gamma^1 > 0$ gives

$$\sigma^1 = \frac{k\gamma^1 - m\pi}{k-1} > \frac{-m\pi}{k-1}.$$

Yet because we know $\sigma^1 < \frac{\pi}{k-1}$, m can not be strictly negative. Thus $m = 0$, giving

$$\sigma^1 = \frac{k\gamma^1}{k-1}. \tag{3.6}$$

Now that we have an equation specifying σ^1 , we can write down the following general forms for our angles ϕ_k^ℓ and ϕ_{k-1}^j . Specifically,

$$\phi_k^\ell = \frac{\pi}{2} - \gamma^1 - (\ell - 1)\frac{\pi}{k} \quad \text{and} \quad \phi_{k-1}^j = \frac{\pi}{2} - \frac{k\gamma^1}{k-1} - (j - 1)\frac{\pi}{k-1}.$$

This is equivalent to

$$\gamma^\ell = \gamma^1 + (\ell - 1)\frac{\pi}{k} \quad \text{and} \quad \sigma^j = \frac{k\gamma^1}{k-1} + (j - 1)\frac{\pi}{k-1}.$$

For all ℓ, j this gives an explicit solution to (3.5), with $m = \ell - j$.

To complete the proof, we demonstrate the alternating condition, which states for $j \in \{1, \dots, k-1\}$,

$$\phi_k^j > \phi_{k-1}^j > \phi_k^{j+1}.$$

Using our explicit angle formulas this can be written as

$$-\gamma^1 - (j - 1)\frac{\pi}{k} > -\frac{k\gamma^1}{k - 1} - (j - 1)\frac{\pi}{k - 1} > -\gamma^1 - j\frac{\pi}{k},$$

which is equivalent to

$$(j - 1)\frac{\pi}{k - 1} - (j - 1)\frac{\pi}{k} > \gamma^1 - \frac{k\gamma^1}{k - 1} > (j - 1)\frac{\pi}{k - 1} - j\frac{\pi}{k}.$$

Multiplying through by $k - 1$ gives

$$(j - 1)\pi - (j - 1)\pi\frac{k - 1}{k} > -\gamma^1 > (j - 1)\pi - j\pi\frac{k - 1}{k}.$$

Simplifying, and multiplying by -1 , we arrive at

$$-\frac{(j - 1)\pi}{k} < \gamma^1 < \pi\left(\frac{k - j}{k}\right),$$

which certainly holds for all $j \in \{1, \dots, k - 1\}$, assuming that $0 < \gamma^1 < \frac{\pi}{k}$. This completes the proof of the proposition. \square

4. Proof of Theorem 1. In this section we prove our main result, and construct a solution to the deformed Hermitian-Yang-Mills equation assuming stability of the pair $[\omega], [\alpha]$.

Recall that on X equation (1.1) can be reformulated using Calabi symmetry. Specifically we are looking for a real function $f : [1, a] \rightarrow \mathbb{R}$ with boundary values $f(1) = q$, and $f(a) = p$, satisfying

$$\text{Im} \left(e^{-i\hat{\theta}} \left(1 + i\frac{f}{x} \right)^{n-1} (1 + if') \right) = 0.$$

We saw above that this ODE is exact, and can be integrated to give level curves defined by (2.4). Thus we need a function f that satisfies the boundary condition and lies on one of these level curves. For this to be possible, we need the specified boundary points $(1, q)$ and (a, p) to lie on the same level set.

LEMMA 2. *For any choice of $[\omega]$ and $[\alpha]$, the fixed boundary points $(1, q)$ and (a, p) lie on the same level set of*

$$\Phi(x, y) := \text{Im} \left(e^{-i\hat{\theta}} (x + iy)^n \right)$$

Proof. Recall the complex number $\zeta_X = \int_X (\omega + i\alpha)^n$, which in our case is computed to be $(a + ip)^n - (1 + iq)^n$. Set $\zeta_X = r_X e^{i\hat{\theta}}$. Taking the complex conjugate gives $r_X e^{-i\hat{\theta}} = (a - ip)^n - (1 - iq)^n$. Rearranging terms we see

$$e^{-i\hat{\theta}} = \frac{(a - ip)^n - (1 - iq)^n}{r_X}.$$

We then have

$$\begin{aligned} \Phi(a, p) &= \text{Im} \left(\frac{(a - ip)^n - (1 - iq)^n}{r_X} (a + ip)^n \right) \\ &= \text{Im} \left(\frac{(a^2 + p^2)^n}{r_X} - \frac{(a + ip)^n (1 - iq)^n}{r_X} \right). \end{aligned}$$

The first term inside of the imaginary part above is real, so

$$\Phi(a, p) = -\text{Im} \left(\frac{(a + ip)^n(1 - iq)^n}{r_X} \right).$$

In exactly the same fashion we see

$$\Phi(1, q) = \text{Im} \left(\frac{(a - ip)^n(1 + iq)^n}{r_X} \right).$$

Since $\text{Im}(z) = -\text{Im}(\bar{z})$ it follows that $\Phi(a, p) = \Phi(1, q)$, which completes the proof of the lemma. \square

Thus $(1, q)$ and (a, p) always lie on the same level set, which we denote by $\Phi(x, y) = \Phi(a, p) = \Phi(1, q) = c$. We now need to analyze when these points can be connected by a portion of the level set which stays graphical. Note that each level set is made up of several components. If $c = 0$, then the level set consists of n lines through the origin, each line $\frac{\pi}{n}$ rotation of the next. Since $a > 1 > 0$, in this case the points $a + ip$ and $1 + iq$ each lie on a ray in \mathcal{R}_n (although we do not know yet if they lie on the same ray).

If $c \neq 0$, then the level set looks like n distinct curves lying in alternating sectors (see Figure 1). In order for there to exist a function lying on a level curve connecting $(1, q)$ to (a, p) , the boundary points need to be on the same component of the level set, which we now prove.

PROPOSITION 2. *If the classes $[\omega], [\alpha]$ are stable in the sense of Lemma 1, then the points $(1, q)$ and (a, p) both lie on the same component of the level set $\Phi(x, y) = c$.*

Proof. Set $z_1 = (1 + iq)$ and $z_2 = (a + ip)$. We argue by contradiction, and assume that z_1 and z_2 do not lie on the same component of the level set. As a first step we show that there exists a ray $r_{n-1}^j \in \mathcal{R}_{n-1}$ lying between z_1 and z_2 . To see this, note that if $c = 0$, then by assumption z_1 and z_2 lie on distinct rays in \mathcal{R}_n . Applying Proposition 1 for $k = n$ we see exists a ray $r_{n-1}^j \in \mathcal{R}_{n-1}$ between z_1 and z_2 .

In the case that $c \neq 0$, the level set looks like n distinct curves lying in alternating sectors with angle $\frac{\pi}{n}$. If z_1 and z_2 do not lie on the same component, since the components are in alternating sectors, there exists at least one empty sector between the sector containing z_1 and the sector containing z_2 . The boundary of this empty sector consists of two rays r_n^{j+1} and r_n^j , and thus these two rays lie between z_1 and z_2 . Applying Proposition 1 for $k = n$ proves existence of a ray r_{n-1}^j between r_n^{j+1} and r_n^j , and thus r_{n-1}^j lies between z_1 and z_2 .

We now apply an induction argument and show that if there exists a ray $r_k^j \in \mathcal{R}_k$ lying between z_1 and z_2 , then there exists a ray $r_{k-1}^\ell \in \mathcal{R}_{k-1}$ lying between z_1 and z_2 as well. Note that by the stability assumption, either z_1 and z_2 both lie in \mathcal{S}_k , or they both lie in \mathcal{S}_k^- (depending on whether the inequality is positive or negative). The key to this proposition is that in either case, the sets containing both z_1 and z_2 consists of alternating sectors. Specifically, given that there exists a ray r_k^j lying between z_1 and z_2 , then z_1 and z_2 must lie in different sectors of \mathcal{S}_k (or \mathcal{S}_k^-). Because these sectors alternate, there must be an empty sector between z_1 and z_2 . The boundary of this empty sector consists of two rays in \mathcal{R}_k , which we denote by $r_k^{\ell+1}$ and r_k^ℓ . These two rays lie between z_1 and z_2 , and Proposition 1 gives that the ray r_{k-1}^ℓ lies between z_1 and z_2 as well.

Thus, given that there exists a ray r_{n-1}^j between z_1 and z_2 , applying the induction argument $n - 2$ times gives that the ray r_1^j lies between z_1 and z_2 . However, the ray r_1^j divides the space $\{z \in \mathbb{C} \mid -\frac{\pi}{2} \leq \arg(z) < \frac{\pi}{2}\}$ into two regions, \mathcal{S}_1 and \mathcal{S}_1^c . Thus it is impossible that z_1 and z_2 are both in \mathcal{S}_1 (or \mathcal{S}_1^-), while also lying on opposite sides of r_1^j . This gives a contradiction, proving the proposition.

We remark that the proof may end sooner in the special case that r_1^j is the negative y -axis. In this case, the ray r_2^j is also the negative y -axis (see the proof of Proposition 1), so in fact the ray r_2^j must divide the space $\{z \in \mathbb{C} \mid -\frac{\pi}{2} \leq \arg(z) < \frac{\pi}{2}\}$ into two regions. Thus the contradiction occurs at this step, with $k = 2$, rather than $k = 1$. \square

To finish the proof of the Theorem 1, we need to show that there exists a function $f(x)$ with $f(1) = q$ and $f(a) = p$, so that the graph of the function lies on the level curve $\Phi(x, y) = c$. We have just demonstrated that the points $(1, q)$ to (a, p) lie on the same component of the level set $\Phi(x, y) = c$, so all that remains to be shown is that the level curve connecting $(1, q)$ to (a, p) does not have vertical slope.

First, if $c = 0$, then the level curves of $\Phi(x, y) = 0$ consist of n rays in \mathcal{R}_n . The above proposition shows that $(1, q)$ to (a, p) lie on the same ray r_n^j . Since the ray never has vertical slope, in this case we see right away that there exists a linear function $f(x)$ with $f(1) = q$ and $f(a) = p$, proving the theorem.

In general, the points where the tangent line to $\Phi(x, y) = c$ has vertical slope are given by

$$\frac{\partial}{\partial y}\Phi(x, y) = \frac{\partial}{\partial y}\text{Im}\left(e^{-i\hat{\theta}}(x + iy)^n\right) = \text{Im}\left(ine^{-i\hat{\theta}}(x + iy)^{n-1}\right) = 0.$$

Dividing by n and writing $z = x + iy$, these points satisfy

$$\text{Im}\left(ie^{-i\hat{\theta}}z^{n-1}\right) = 0,$$

and so by definition of \mathcal{R}_{n-1} we see they lie on a ray r_{n-1}^j (see Figure 4). Thus in order to show that the level curve connecting $(1, q)$ to (a, p) does not have vertical slope, the curve can not pass over a ray r_{n-1}^j . By our stability assumption, both z_1 and z_2 can not be on opposite sides of the ray r_{n-1}^j . As a result the level curve connecting $(1, q)$ to (a, p) does not have vertical slope, and thus there exists a $f(x)$ with $f(1) = q$ and $f(a) = p$ that solves the ODE (2.3). Thus we have demonstrated that if the classes $[\omega], [\alpha]$ are stable, a solution to the deformed Hermitian-Yang-Mills equation exists. This concludes the proof of Theorem 1.

5. Lifting the average angle. Recall that the average angle $\hat{\theta}$ is defined to be the argument of $\zeta_X = (a + ip)^n - (1 + iq)^n$, which is a priori only S^1 valued (note that changing $\hat{\theta}$ by 2π does not effect equation (1.1)). This is in contrast to the pointwise angle $\Theta_\omega(\alpha)$, which as a sum of arctangents lifts to \mathbb{R} . Since (1.1) can be reformulated as (2.1), a solution to (2.1) specifies a unique lift of $\hat{\theta}$ to \mathbb{R} . A slightly weaker (but nevertheless analytic) assumption to specify a lift would be the existence of a representative α_0 for which the point-wise angle $\Theta_\omega(\alpha_0)$ has oscillation less than π . This leads to the following question: is it possible to identify how $\hat{\theta}$ lifts to \mathbb{R} from the initial data a, p and q alone, without needing to know existence of a specific representative of $[\alpha]$?

In general the answer is not known, but there are special cases in which a lift exists. Collins-Xie-Yau consider the following situation in [5]. Define a path $\gamma(t) : [0, 1] \rightarrow \mathbb{C}$

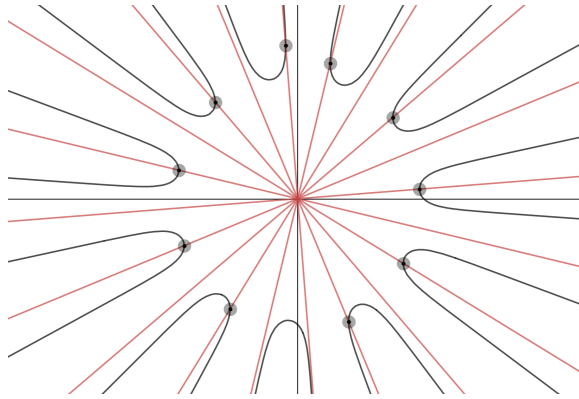


FIG. 4. The intersection of a level set $\Phi(x, y) = c$ with the lines defined by $\text{Im}(ie^{-i\hat{\theta}}z^{n-1}) = 0$ occurs where the level set has vertical slope.

via

$$\gamma(t) = \int_X (\omega + it\alpha)^n.$$

At the starting time $\gamma(0) = \text{Vol}(X) = a^n - 1$ is a positive real number, which we define to have zero argument. Also $\gamma(1) = \zeta_X$. Then, as long as $\gamma(t) \in \mathbb{C}^*$ for all $t \in [0, 1]$, letting t run from 0 to 1, we can count the number of times $\gamma(t)$ winds around the origin to define a lift of $\hat{\theta}$ to \mathbb{R} .

Unfortunately there are examples where the topological constant ζ_X is nonzero, but $\gamma(t)$ passes through the origin, so $\hat{\theta}$ can not be lifted using this method. We construct such an example in dimension 3. First, fix a real number $q > \sqrt{3}$. Define an angle $\theta = \frac{2\pi}{3} - \arctan(q)$, and set $a = (\sqrt{q^2 + 1})\cos(\theta)$ and $p = -(\sqrt{q^2 + 1})\sin(\theta)$. Note that the choice $q > \sqrt{3}$ ensures $a > 1$. By construction $1 + iq$ and $a + ip$ now satisfy (3.2) for $k = 1$, and therefore $(a + ip)^3 = (1 + iq)^3$. To complete our example, consider the initial data

$$[\omega] = a[H] - [E] \quad \text{and} \quad [\alpha] = 2p[H] - 2q[E],$$

with a and p defined as above. Now, initially $\gamma(1) \neq 0$, since the arguments of $1 + iq$ and $a + ip$ are greater than $\frac{2\pi}{3}$ apart, while $\gamma(\frac{1}{2}) = 0$. Of course, one could always choose another path that avoids the origin, however then the lift will depend on the choice of the path. We also remark that in this case the configuration is unstable in the sense of (1.3). It would be interesting to see in general if the lifting of $\hat{\theta}$ with this method implies stability.

Furthermore, we remark that similar examples where the lift can not be defined exist in dimension 3 or higher. In dimension 2, the angle $\hat{\theta}$ always lifts, since the arguments of $1 + itq$ and $a + itp$ can never be distance π apart, so the path $\gamma(t)$ never passes through the origin. This is a special case of the fact that on a general Kähler surface, the angle $\hat{\theta}$ always lifts by the Hodge Index Theorem [5].

One difficulty with the above method is that even if a lift of $\hat{\theta}$ exists, in practice it can be hard to verify. Due to the specific geometry of our setup, we introduce another notion of a lifted angle.

Assume that $\hat{\theta}$ lies in the branch cut $-\pi \leq \hat{\theta} < \pi$. Suppose that for a given choice of $[\omega]$ and $[\alpha]$, we have

$$|\arg(a + ip) - \arg(1 + iq)| < \frac{\pi}{n}. \tag{5.1}$$

We now lift $\hat{\theta}$ to \mathbb{R} as follows. Construct two smooth strictly increasing functions $\rho_1(t), \rho_2(t) : [0, 1] \rightarrow [0, 1]$, so that

$$|\arg(a + i\rho_1(t)p) - \arg(1 + i\rho_2(t)q)| < \frac{\pi}{n},$$

and $\rho_1(0) = \rho_2(0) = 0$ while $\rho_1(1) = \rho_2(1) = 1$. To see this can always be done, start with two points in a sector of angle $\frac{\pi}{n}$, then rotate that sector so it contains the positive x -axis. It is easy to see that during this rotation the points can simultaneously be deformed to the positive x -axis in such a way that they stay within the sector, and their x -coordinate remains fixed. The reverse of this deformation determines the two functions $\rho_1(t), \rho_2(t)$. For all t the complex numbers $(a + i\rho_1(t)p)^n$ and $(1 + i\rho_2(t)q)^n$ lie in the same half-plane, and so the path $\tilde{\gamma}(t) = (a + i\rho_1(t)p)^n - (1 + i\rho_2(t)q)^n$ never passes through the origin and has a winding number $k \in \mathbb{Z}$. We then define the lift of $\hat{\theta}$ (denoted $\hat{\Theta}_X$), by

$$\hat{\Theta}_X := \hat{\theta} + 2\pi k \in \left(-n\frac{\pi}{2}, n\frac{\pi}{2}\right). \tag{5.2}$$

Again we emphasize that this lifted angle depends only on a, p and q , and not on any representatives of the classes $[\omega]$ and $[\alpha]$. One advantage of using the above lifted angle is that our stability implies such a lift exists.

PROPOSITION 3. *Suppose the pair $[\omega], [\alpha]$ is stable in the sense of Lemma 1. Then the angle $\hat{\theta}$ has a well defined lift $\hat{\Theta}_X$ given by (5.2).*

Proof. By the induction argument given in Proposition 2, we know from our stability assumption that the two points $(a + ip)$ and $(1 + iq)$ can not have two rays from \mathcal{R}_n between them. Since the rays in \mathcal{R}_n are all $\frac{\pi}{n}$ rotations of each other, this verifies (5.1), which allows us to define $\hat{\Theta}_X$. \square

We expect that in general, being able to determine the lifted angle and specifying the branch will be a key step to solving the deformed Hermitian Yang Mills equation. This expectation is motivated by Theorem 2, which shows the importance of the lifted angle in our specific case.

First, we note that for any subvariety H^{n-k} or $(-1)^{n-k-1}E^{n-k}$, the lifted restricted angle is always well defined. Specifically, if we assume $z_1 = 1 + iq$ and $z_2 = a + ip$ always have arguments in $(-\frac{\pi}{2}, \frac{\pi}{2})$, then the lifted angle associated to each subvariety is given by

$$\hat{\Theta}_{(-1)^{n-k-1}E^{n-k}} = k \arg(z_1) \quad \text{and} \quad \hat{\Theta}_{H^{n-k}} = k \arg(z_2). \tag{5.3}$$

We now present the proof of Theorem 2.

To begin, assume that for a given choice of a, p, q there exists a lifted angle $\hat{\Theta}_X \in \mathbb{R}$. Furthermore assume that V^{n-1} (which can be either H or E) satisfies

$$\hat{\Theta}_X + \frac{\pi}{2} > \hat{\Theta}_{V^{n-1}} > \hat{\Theta}_X - \frac{\pi}{2}. \tag{5.4}$$

Using (5.3) this implies

$$\frac{1}{n-1} \left(\hat{\Theta}_X + \frac{\pi}{2} \right) > \arg(z_\ell) > \frac{1}{n-1} \left(\hat{\Theta}_X - \frac{\pi}{2} \right).$$

for $\ell \in \{1, 2\}$. Thus the difference between $\arg(z_1)$ and $\arg(z_2)$ is at most $\frac{\pi}{n-1}$. By Lemma 2 both z_1 and z_2 lie on the same level set of Φ , and since this level set consists of curves in alternating sectors with angle $\frac{\pi}{n}$, the angle bound of $\frac{\pi}{n-1}$ tells us that either z_1 and z_2 lie in the same component of the level set, or they lie on two adjacent components with an empty sector in between. We must rule out the latter possibility.

Note that (5.4) implies

$$\pi > \frac{\pi}{2} - \hat{\Theta}_X + (n-1)\arg(z_\ell) > 0. \tag{5.5}$$

This is equivalent to

$$\text{Im} \left(i e^{-i\hat{\theta}} (z_\ell)^{n-1} \right) > 0$$

for $\ell \in \{1, 2\}$, which is just stability in the sense of Lemma 1 for $k = n - 1$. So z_1 and z_2 lie in \mathcal{S}_{n-1} . Right away this rules out the possibility that they lie on distinct adjacent rays in \mathcal{R}_n , since any two such rays will never both be contained in \mathcal{S}_{n-1} . We can also rule out the case where z_1 and z_2 lie in two adjacent components which are not rays. In this case, there will be exactly two rays in \mathcal{R}_n between z_1 and z_2 , and thus by Proposition 1 at least one ray in \mathcal{R}_{n-1} . Yet because the sectors in \mathcal{S}_{n-1} alternate, there must in fact be two rays in \mathcal{R}_{n-1} between z_1 and z_2 . But this is impossible if the difference between $\arg(z_1)$ and $\arg(z_2)$ is at most $\frac{\pi}{n-1}$.

Thus z_1 and z_2 lie in the same component of the level set of Φ . Furthermore, just as in the proof of Theorem 1, stability in the sense of Lemma 1 for $k = n - 1$ rules out the possibility of a vertical slope on the level curve connecting z_1 and z_2 , and so a solution to the deformed Hermitian-Yang-Mills equation exists.

Conversely, suppose for a given a, p, q there exists a solution to equation (2.1). As explained above, because the pointwise angle is a sum of arctangents, a solution to (2.1) specifies a unique lift $\hat{\Theta}_X \in \mathbb{R}$. Additionally, restricting a solution to either H or E , we lose one arctangent from the sum that makes up the pointwise angle. Since the image of arctangent lies in $(-\frac{\pi}{2}, \frac{\pi}{2})$, the average angle on each of these divisors must satisfy (5.4). For details see Lemma 8.2 in [3]. This completes the proof of Theorem 2.

We conclude the paper by noting the distinction between the stability from Conjecture 1 and our stability in the sense of Lemma 1. Although the original conjecture is only stated for the supercritical phase case, it is not too difficult to see, looking at the proof of Proposition 8.3 in [3], that it can be generalized to any phase as

$$\hat{\Theta}_X + (n-k)\frac{\pi}{2} > \hat{\Theta}_{V^k} > \hat{\Theta}_X - (n-k)\frac{\pi}{2},$$

provided that all associated phase angles lift. Thus one difference we see right away is that Conjecture 1 requires all lifted angles to exist, while this is not true of our stability. Furthermore, we see the above inequality forces z_1 and z_2 between two rays, whereas Lemma 1 places them in alternating sectors. When $k = n - 1$, the above inequality is a stronger condition than what arises from Lemma 1. However, when $k < n - 1$, the rays fail to match up. It would be interesting to explore this phenomenon more in the future.

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