

# Hilbert schemes of points on smooth projective surfaces and generalized Kummer varieties with finite group actions

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**Abstract.** Göttsche and Soergel gave formulas for the Hodge numbers of Hilbert schemes of points on a smooth algebraic surface and the Hodge numbers of generalized Kummer varieties. When a smooth projective surface  $S$  admits an action by a finite group  $G$ , we describe the action of  $G$  on the Hodge pieces via point counting. Each element of  $G$  gives a trace on  $\sum_{n=0}^{\infty} \sum_{i=0}^{\infty} (-1)^i H^i(S^{[n]}, \mathbb{C})q^n$ . In the case that  $S$  is a K3 surface or an abelian surface, the resulting generating functions give some interesting modular forms when  $G$  acts faithfully and symplectically on  $S$ .

## 1. Introduction

Let  $S$  be a smooth projective surface over  $\mathbb{C}$ . In [GS93], the Hodge numbers of the Hilbert scheme of points of  $S$  are computed via perverse sheaves/mixed Hodge modules:

$$\sum_{n=0}^{\infty} h(S^{[n]}, u, v)t^n = \prod_{m=1}^{\infty} \prod_{p,q} \left( \sum_{i=0}^{h^{pq}} (-1)^{i(p+q+1)} \binom{h^{pq}}{i} u^{i(p+m-1)} v^{i(q+m-1)} t^{mi} \right)^{(-1)^{p+q+1}},$$

where  $S^{[n]}$  is the Hilbert scheme of  $n$  points of  $S$ ,  $h(S^{[n]}, u, v) = \sum_{p,q} h^{pq}(S^{[n]})u^p v^q$  is the Hodge-Deligne polynomial, and  $h^{pq}$  are the dimensions of the Hodge pieces  $H^{p,q}(S, \mathbb{C})$ . The Hodge numbers of the higher order Kummer varieties (generalized

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Kummer varieties) of an abelian surface are also computed:

$$h(K_n(A), -u, -v) = \frac{1}{((1-u)(1-v))^2} \times \sum_{\alpha \in P(n)} gcd(\alpha)^4 (uv)^{n-|\alpha|} \left( \prod_{i=1}^{\infty} \sum_{\beta^i \in P(\alpha_i)} \prod_{j=1}^{\infty} \frac{1}{j^{\beta_j^i} \beta_j^i!} ((1-u^j)(1-v^j))^{2\beta_j^i} \right),$$

where  $\alpha=(1^{\alpha_1}2^{\alpha_2}\dots)$  is a partition of  $n$ ,  $|\alpha|$  is the number of parts, and  $gcd(\alpha):=gcd\{i \in \mathbb{Z} | \alpha_i \neq 0\}$ .

In this paper  $G$  will always be a finite group. We will consider a smooth projective K3 surface  $S$  over  $\mathbb{C}$  with a  $G$ -action, and ask whether we can prove similar equalities for  $G$ -representations. We use an equivariant version of the idea in Göttsche [Göt90], which studies the cohomology groups by counting the number of rational points over finite fields. Then we lift the results to the Hodge level by p-adic Hodge theory.

We will consider the  $G$ -equivariant Hodge-Deligne polynomial for a smooth projective variety  $X$

$$E(X; u, v) = \sum_{p,q} (-1)^{p+q} [H^{p,q}(X, \mathbb{C})] u^p v^q,$$

where the coefficients lie in the ring of virtual  $G$ -representations  $R_{\mathbb{C}}(G)$ , of which the elements are the formal differences of isomorphism classes of finite dimensional  $\mathbb{C}$ -representations of  $G$ . The addition is given by direct sum and the multiplication is given by tensor product.

**Theorem 1.1.** *Let  $S$  be a smooth projective surface over  $\mathbb{C}$  with a  $G$ -action. Let  $S^{[n]}$  be the Hilbert scheme of  $n$  points of  $S$ . Then we have the following equality as virtual  $G$ -representations.*

$$\sum_{n=0}^{\infty} E(S^{[n]}) t^n = \prod_{m=1}^{\infty} \prod_{p,q} \left( \sum_{i=0}^{h_{p,q}} (-1)^i [\wedge^i H^{p,q}(S, \mathbb{C})] u^{i(p+m-1)} v^{i(q+m-1)} t^{mi} \right)^{(-1)^{p+q+1}},$$

where  $h_{p,q}$  are the dimensions of the Hodge pieces  $H^{p,q}(S, \mathbb{C})$ .

*Remark 1.2.* Theorem 1.1 has been proved in [Zha21, Theorem 1.1], where the proof uses Nakajima operators. We give a new proof here using the Weil conjecture and p-adic Hodge theory.

For a complex K3/abelian surface  $S$  with an automorphism  $g$  of finite order  $n$ ,  $H^0(S, K_S) = \mathbb{C}\omega_S$  has dimension 1, and we say  $g$  acts symplectically on  $S$  if it

acts trivially on  $\omega_S$ , and  $g$  acts non-symplectically otherwise, namely,  $g$  sends  $\omega_S$  to  $\zeta_n^k \omega_S$ ,  $0 < k < n$ , where  $\zeta_n$  is a primitive  $n$ -th root of unity.

Denote by  $[e(X)]$  the virtual graded  $G$ -representation  $\sum_{i=0}^\infty (-1)^i [H^i(X, \mathbb{C})]$  for a smooth projective variety  $X$  over  $\mathbb{C}$  with a  $G$ -action.

**Theorem 1.3.** *Let  $G$  be a finite group which acts faithfully and symplectically on a smooth projective K3 surface  $S$  over  $\overline{\mathbb{F}}_q$ . Suppose  $p \nmid |G|$ . Then*

$$\sum_{n=0}^\infty \text{Tr}(g, [e(S^{[n]})])t^n = \exp \left( \sum_{m=1}^\infty \sum_{k=1}^\infty \frac{\varepsilon(\text{ord}(g^k))t^{mk}}{k} \right)$$

for all  $g \in G$ , where  $\varepsilon(n) = 24 \left( n \prod_{p|n} \left( 1 + \frac{1}{p} \right) \right)^{-1}$ . In particular, if  $G$  is generated by a single element  $g$  of order  $N$ , then we deduce that

$N$	$\sum_{n=0}^\infty \text{Tr}(g, [e(S^{[n]})])t^n$
1	$t/\eta^{24}(t)$
2	$t/\eta^8(t)\eta^8(t^2)$
3	$t/\eta^6(t)\eta^6(t^3)$
4	$t/\eta^4(t)\eta^2(t^2)\eta^4(t^4)$
5	$t/\eta^4(t)\eta^4(t^5)$
6	$t/\eta^2(t)\eta^2(t^2)\eta^2(t^3)\eta^2(t^6)$
7	$t/\eta^3(t)\eta^3(t^7)$
8	$t/\eta^2(t)\eta(t^2)\eta(t^4)\eta^2(t^8)$

where  $\eta(t) = t^{1/24} \prod_{n=1}^\infty (1 - t^n)$ .

*Remark 1.4.* If  $g$  acts symplectically on  $S$ , then  $g$  has order  $N \leq 8$  by [DK09, Theorem 3.3] since the  $G$ -action is tame. These eta quotients coincide with the results in the characteristic zero case. See [BG19], [BO18, Lemma 3.1], or [Zha21].

**Theorem 1.5.** *Let  $g$  be a symplectic automorphism (fixing the origin) of order  $N$  on an abelian surface  $S$  over  $\mathbb{C}$ . Then*

$N$	$\sum_{n=0}^\infty \text{Tr}(g, [e(S^{[n]})])t^n$
1	1
2	$\eta^8(t^2)/\eta^{16}(t)$
3	$\eta^3(t^3)/\eta^9(t)$
4	$\eta^4(t^4)/\eta^4(t)\eta^6(t^2)$
6	$\eta^4(t^6)/\eta(t)\eta^4(t^2)\eta^5(t^3)$

*Remark 1.6.* If  $g$  is a symplectic automorphism on a complex abelian surface, then  $g$  has order 1, 2, 3, 4 or 6 by [Fuj88, Lemma 3.3]. These eta quotients coincide with the results of [Pie21, Theorem 1.1] when  $G$  is cyclic.

Define a multiplication  $\odot$  on the ring of power series  $R_{\mathbb{C}}(G)[[u, v, w]]$  by  $u^{n_1}v^{m_1}w^{l_1} \odot u^{n_2}v^{m_2}w^{l_2} := u^{n_1+n_2}v^{m_1+m_2}w^{\gcd(l_1, l_2)}$ .

**Theorem 1.7.** *Let  $A$  be an abelian surface over  $\mathbb{C}$  with a  $G$ -action. Let  $K_n(A)$  be the generalized Kummer variety. Then we have the following equality as virtual  $G$ -representations.*

$$\sum_{n=0}^{\infty} E(K_n(A); u, v)t^n = \frac{(w \frac{d}{dw})^4}{E(A)}$$

$$\odot_{m=1}^{\infty} \left( 1 + w^m \left( -1 + \prod_{p,q} \left( \sum_{i=0}^{h_{p,q}} (-1)^i [\wedge^i H^{p,q}(S, \mathbb{C})] u^{i(p+m-1)} v^{i(q+m-1)} t^{mi} \right)^{(-1)^{p+q+1}} \right) \right) \Big|_{w=1}.$$

When we say  $S$  is a surface with a  $G$ -action over a field  $K$ , we mean that both  $S$  and the  $G$ -action can be defined over  $K$ .

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## 2. Preliminaries

Let  $X$  be a smooth projective variety over  $\mathbb{C}$ . Then we can choose a finitely generated  $\mathbb{Z}$ -subalgebra  $\mathcal{R} \subset \mathbb{C}$  such that  $X \cong \mathcal{X} \times_{\mathcal{S}} \text{Spec } \mathbb{C}$  for a regular projective scheme  $\mathcal{X}$  over  $\mathcal{S} = \text{Spec } \mathcal{R}$ , and we can choose a maximal ideal  $\mathfrak{q}$  of  $\mathcal{R}$  such that  $\mathcal{X}$  has good reduction modulo  $\mathfrak{q}$ . Since there are comparison theorems between étale cohomology and singular cohomology, we focus on characteristic  $p$ .

Now let  $X$  be a quasi-projective variety over  $\overline{\mathbb{F}}_p$  with an automorphism  $\sigma$  of finite order. Suppose  $X$  and  $\sigma$  can be defined over some finite field  $\mathbb{F}_q$ . Let  $F_q$  be the corresponding geometric Frobenius. Then for  $n \geq 1$ , the composite  $F_q^n \circ \sigma$  is the Frobenius map relative to some new way of lowering the field of definition of  $X$  from  $\overline{\mathbb{F}}_p$  to  $\mathbb{F}_{q^n}$  ([DL76, Proposition 3.3] and [Car85, Appendix(h)]). Then the Grothendieck trace formula implies that  $\sum_{k=0}^{\infty} (-1)^k \text{Tr}((F_q^n \sigma)^*, H_c^k(X, \mathbb{Q}_l))$  is the number of fixed points of  $F_q^n \sigma$ , where  $H_c^k(X, \mathbb{Q}_l)$  are the compactly supported  $l$ -adic cohomology groups.

**Lemma 2.1.** *Let  $X$  and  $Y$  be two smooth projective varieties over  $\overline{\mathbb{F}}_p$  with finite group  $G$ -actions. Suppose  $X, Y$  and the actions of  $G$  can be defined over  $\mathbb{F}_q$ , where  $q$  is a  $p$  power. If  $|X(\overline{\mathbb{F}}_p)^{gF_{q^n}}| = |Y(\overline{\mathbb{F}}_p)^{gF_{q^n}}|$  for every  $n \geq 1$  and  $g \in G$ , then  $H^i(X, \mathbb{Q}_l) \cong H^i(Y, \mathbb{Q}_l)$  as  $G$ -representations for every  $i \geq 0$ .*

*Proof.* Fix  $g \in G$ . Denote by  $F_q$  the geometric Frobenius over  $\mathbb{F}_q$ . Since the finite group action is defined over  $\mathbb{F}_q$ , the action  $g$  commutes with  $F_q$  and the action of  $g$  on the cohomology group is semisimple. There exists a basis of the cohomology group such that the actions of  $g$  and  $F_q$  are in Jordan normal forms simultaneously. Let  $\alpha_{i,j}, j=1, 2, \dots, a_i$  (resp.  $\beta_{i,j}, j=1, 2, \dots, b_i$ ) denote the eigenvalues of  $F_q$  acting on  $H^i(X, \mathbb{Q}_l)$  (resp.  $H^i(Y, \mathbb{Q}_l)$ ) in such a basis, where  $a_i$  (resp.  $b_i$ ) is the  $i$ -th betti number. Let  $c_{i,j}, j=1, 2, \dots, a_i$  (resp.  $d_{i,j}, j=1, 2, \dots, b_i$ ) denote the eigenvalues of  $g$  acting on the same basis of  $H^i(X, \mathbb{Q}_l)$  (resp.  $H^i(Y, \mathbb{Q}_l)$ ). Then the Grothendieck trace formula ([Car85, Appendix(h)] and [DL76, Proposition 3.3]) implies that

$$|X(\overline{\mathbb{F}}_p)^{gF_{q^n}}| = \sum_{i=0}^{\infty} (-1)^i \text{Tr}((gF_{q^n})^*, H^i(X, \mathbb{Q}_l))$$

Since  $|X(\overline{\mathbb{F}}_p)^{gF_{q^n}}| = |Y(\overline{\mathbb{F}}_p)^{gF_{q^n}}|$  for every  $n \geq 1$ , we have

$$\sum_{i=0}^{\infty} (-1)^i \sum_{j=1}^{a_i} c_{i,j} \alpha_{i,j}^n = \sum_{i=0}^{\infty} (-1)^i \sum_{j=1}^{b_i} d_{i,j} \beta_{i,j}^n$$

for every  $n \geq 1$ . By linear independence of the characters  $\chi_\alpha: \mathbb{Z}^+ \rightarrow \mathbb{C}, n \mapsto \alpha^n$  and the fact that  $\alpha_{i,j}, \beta_{i,j}, j=1, 2, \dots$  all have absolute value  $q^{i/2}$  by Weil's conjecture, we deduce that  $a_i = b_i$  and  $\sum_{j=1}^{a_i} c_{i,j} = \sum_{j=1}^{b_i} d_{i,j}$  for each  $i$ . But since  $g$  is arbitrary, this implies that the  $G$ -representations  $H^i(X, \mathbb{Q}_l)$  and  $H^i(Y, \mathbb{Q}_l)$  are the same.  $\square$

**Proposition 2.2.** *Let  $X$  be a smooth projective variety with a  $G$ -action over  $\mathbb{F}_q$ . Denote the dimension of  $X$  by  $N$ . Then*

$$\sum_{k=0}^{\infty} \sum_{i=0}^{\infty} (-1)^i [H^i(X_{\overline{\mathbb{F}}_p}^{(k)}, \mathbb{Q}_l)] z^i t^k = \prod_{j=0}^{2N} \left( \sum_{i=0}^{b_j} (-1)^i [\wedge^i H^j(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_l)] z^{ij} t^i \right)^{(-1)^{j+1}},$$

where the coefficients lie in  $R_{\mathbb{Q}_l}(G)$ .

*Proof.* By the Weil conjectures, we have

$$\begin{aligned} \exp\left(\sum_{r=1}^{\infty} |X(\mathbb{F}_{q^r})| \frac{t^r}{r}\right) &= \sum_{k=0}^{\infty} |X^{(k)}(\mathbb{F}_q)| t^k \\ &= \sum_{k=0}^{\infty} |X^{(k)}(\overline{\mathbb{F}}_p)^{F_q}| t^k = \prod_{j=0}^{2N} \left( \prod_{i=1}^{b_j} (1 - \alpha_{j,i} t) \right)^{(-1)^{j+1}}, \end{aligned}$$

where  $\alpha_{j,i}$  are the eigenvalues of  $F_q$  on  $H^j(X_{\overline{\mathbb{F}}_p}, \mathbb{Q}_l)$ .

By the discussion at the beginning of the section and the Grothendieck trace formula, we deduce that

$$\begin{aligned} \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} (-1)^m \sum_i h_{k,m,i} \beta_{k,m,i}^n t^k &= \prod_{j=0}^{2N} \left( \prod_{i=1}^{b_j} (1 - g_{j,i} \alpha_{j,i}^n t) \right)^{(-1)^{j+1}} \\ &= \prod_{j=0}^{2N} \left( \sum_{i=0}^{b_j} (-1)^i \left( \sum_{1 \leq l_1 < \dots < l_i \leq b_j} g_{j,l_1} \alpha_{j,l_1}^n \dots g_{j,l_i} \alpha_{j,l_i}^n \right) t^i \right)^{(-1)^{j+1}} \end{aligned}$$

where  $h_{k,m,i}$  (resp.  $\beta_{k,m,i}$ ) are the eigenvalues of  $g$  (resp.  $F_q$ ) on  $H^m(X_{\mathbb{F}_p}^{(k)}, \mathbb{Q}_l)$ , and  $g_{j,i}$  are the eigenvalues of  $g$  on  $H^j(X_{\mathbb{F}_p}, \mathbb{Q}_l)$ . Hence we deduce that the trace of  $g$  on the left hand side equals the trace of  $g$  on the right hand side for each graded piece in the equality in Proposition 2.2 by the proof of Lemma 2.1.  $\square$

We obtain the information of Hodge pieces via  $p$ -adic Hodge theory by using an equivariant version of the method in [Ito03, §4].

**Proposition 2.3.** ([Ser68, I. 2.3]) *Let  $K$  be a number field,  $m, m' \geq 1$  be integers, and  $l$  be a prime number. Let*

$$\rho: \text{Gal}(\bar{K}/K) \longrightarrow \text{GL}(m, \mathbb{Q}_l), \quad \rho': \text{Gal}(\bar{K}/K) \longrightarrow \text{GL}(m', \mathbb{Q}_l)$$

*be continuous  $l$ -adic  $\text{Gal}(\bar{K}/K)$ -representations such that  $\rho$  and  $\rho'$  are unramified outside a finite set  $S$  of maximal ideals of  $\mathcal{O}_K$ . If*

$$\text{Tr}(\rho(\text{Frob}_{\mathfrak{p}})) = \text{Tr}(\rho'(\text{Frob}_{\mathfrak{p}})) \quad \text{for all maximal ideals } \mathfrak{p} \notin S,$$

*then  $\rho$  and  $\rho'$  have the same semisimplifications as  $\text{Gal}(\bar{K}/K)$ -representations. Here  $\text{Frob}_{\mathfrak{p}}$  is the geometric Frobenius at  $\mathfrak{p}$ .*

Let  $p$  be a prime number and  $F$  be a finite extension of  $\mathbb{Q}_p$ . Let  $\mathbb{C}_p$  be a  $p$ -adic completion of an algebraic closure  $\bar{F}$  of  $F$ . Define  $\mathbb{Q}_p(0) = \mathbb{Q}_p$ ,  $\mathbb{Q}_p(1) = (\varprojlim \mu_{p^n})_{\otimes_{\mathbb{Z}_p} \mathbb{Q}_p}$ , and for  $n \geq 1$ ,  $\mathbb{Q}_p(n) = \mathbb{Q}_p(1)^{\otimes n}$ ,  $\mathbb{Q}_p(-n) = \text{Hom}(\mathbb{Q}_p(n), \mathbb{Q}_p)$ . Moreover, we define  $\mathbb{C}_p(n) = \mathbb{C}_p \otimes_{\mathbb{Q}_p} \mathbb{Q}_p(n)$ , on which  $\text{Gal}(\bar{F}/F)$  acts diagonally. It is known that  $(\mathbb{C}_p)^{\text{Gal}(\bar{F}/F)} = F$  and  $(\mathbb{C}_p(n))^{\text{Gal}(\bar{F}/F)} = 0$  for  $n \neq 0$ .

Let  $B_{HT} = \bigoplus_{n \in \mathbb{Z}} \mathbb{C}_p(n)$  be a graded  $\mathbb{C}_p$ -module with an action of  $\text{Gal}(\bar{F}/F)$ . For a finite dimensional  $\text{Gal}(\bar{F}/F)$ -representation  $V$  over  $\mathbb{Q}_p$ , we define a finite dimensional graded  $F$ -module  $D_{HT}(V)$  by  $D_{HT}(V) = (V \otimes_{\mathbb{Q}_p} B_{HT})^{\text{Gal}(\bar{F}/F)}$ . The graded module structure of  $D_{HT}(V)$  is induced from that of  $B_{HT}$ . In general, it is known that

$$\dim_F D_{HT}(V) \leq \dim_{\mathbb{Q}_p} V.$$

If the equality holds,  $V$  is called a *Hodge-Tate representation*.

**Theorem 2.4.** ([Fal88], [Tsu99] (Hodge-Tate decomposition)) *Let  $X$  be a proper smooth variety over  $F$  and  $k$  be an integer. The  $p$ -adic étale cohomology  $H_{\text{ét}}^k(X_{\bar{F}}, \mathbb{Q}_p)$  of  $X_{\bar{F}} = X \otimes_F \bar{F}$  is a finite dimensional  $\text{Gal}(\bar{F}/F)$ -representation over  $\mathbb{Q}_p$ . Then,  $H_{\text{ét}}^k(X_{\bar{F}}, \mathbb{Q}_p)$  is a Hodge-Tate representation, Moreover, there exists a canonical and functorial isomorphism*

$$\bigoplus_{i+j=k} H^i(X, \Omega_X^j) \otimes_F \mathbb{C}_p(-j) \cong H_{\text{ét}}^k(X_{\bar{F}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbb{C}_p$$

of  $\text{Gal}(\bar{F}/F)$ -representations, where  $\text{Gal}(\bar{F}/F)$  acts on  $H^i(X, \Omega_X^j)$  trivially and acts on  $H_{\text{ét}}^k(X_{\bar{F}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbb{C}_p$  diagonally.

Now for a finite dimensional  $\text{Gal}(\bar{F}/F)$ -representation  $V$  over  $\mathbb{Q}_p$ , suppose it is also a  $G$ -representation such that the  $G$ -action commutes with the  $\text{Gal}(\bar{F}/F)$ -action. In this case, we call it a  $\text{Gal}(\bar{F}/F)$ - $G$ -representation and we define a  $G$ -representation over  $F$ :

$$[h^n(V)] := (V \otimes_{\mathbb{Q}_p} \mathbb{C}_p(n))^{\text{Gal}(\bar{F}/F)}.$$

**Lemma 2.5.** *Let  $W_2$  be a Hodge-Tate  $\text{Gal}(\bar{F}/F)$ - $G$ -representation and*

$$0 \longrightarrow W_1 \longrightarrow W_2 \longrightarrow W_3 \longrightarrow 0$$

be an exact sequence of finite dimensional  $\text{Gal}(\bar{F}/F)$ - $G$ -representations over  $\mathbb{Q}_p$ . Then  $W_1$  and  $W_3$  are Hodge-Tate representations and

$$[h^n(W_2)] = [h^n(W_1)] \oplus [h^n(W_3)] = [h^n(W_1 \oplus W_3)]$$

as  $G$ -representations for all  $n$ .

*Proof.* It follows from [Ito03, Lemma 4.4] that  $W_1$  and  $W_3$  are Hodge-Tate representations and we have the following short exact sequence of  $G$ -representations

$$0 \longrightarrow D_{HT}(W_1) \longrightarrow D_{HT}(W_2) \longrightarrow D_{HT}(W_3) \longrightarrow 0,$$

which implies that

$$[h^n(W_2)] = [h^n(W_1)] \oplus [h^n(W_3)] = [h^n(W_1 \oplus W_3)]. \quad \square$$

**Corollary 2.6.** *Let  $X$  be a proper smooth variety over  $F$  with a  $G$ -action. Then*

$$H^i(X, \Omega_X^j) = [h^j(H^{i+j}(X_{\bar{F}}, \mathbb{Q}_p)^{ss})] \text{ as } G\text{-representations for all } i, j,$$

where  $H^{i+j}(X_{\bar{F}}, \mathbb{Q}_p)^{ss}$  denotes the semisimplification of  $H^{i+j}(X_{\bar{F}}, \mathbb{Q}_p)$  as a  $\text{Gal}(\bar{F}/F)$ -representation.

*Proof.* By Theorem 2.4, if we take the  $\text{Gal}(\overline{F}/F)$ -invariant of  $H^{i+j}(X_{\overline{F}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbb{C}_p(j)$ , we have

$$H^i(X, \Omega_X^j) = [h^j(H^{i+j}(X_{\overline{F}}, \mathbb{Q}_p))].$$

On the other hand, since  $H^{i+j}(X_{\overline{F}}, \mathbb{Q}_p)$  is a  $\text{Gal}(\overline{F}/F)$ - $G$  Hodge-Tate representation,

$$[h^j(H^{i+j}(X_{\overline{F}}, \mathbb{Q}_p))] = [h^j(H^{i+j}(X_{\overline{F}}, \mathbb{Q}_p)^{ss})]$$

by Lemma 2.5. Hence we are done.  $\square$

**Theorem 2.7.** *Let  $\mathcal{X}$  and  $\mathcal{Y}$  be  $n$ -dimensional smooth projective varieties over a number field  $K$  with  $G$ -actions. Suppose for all but finitely many good reductions, we have*

$$|X(\overline{\mathbb{F}}_p)^{gF_q^n}| = |Y(\overline{\mathbb{F}}_p)^{gF_q^n}| \text{ for every } n \geq 1 \text{ and } g \in G,$$

where  $X, Y$  are the good reductions over  $\mathbb{F}_q$ . Then

$$H^{p,q}(\mathcal{X}_{\mathbb{C}}) \cong H^{p,q}(\mathcal{Y}_{\mathbb{C}})$$

for all  $p, q$  as  $G$ -representations.

*Proof.* By the proof of Lemma 2.1 and Proposition 2.3, we deduce that  $H^i(\mathcal{X}_{\overline{K}}, \mathbb{Q}_l)$  and  $H^i(\mathcal{Y}_{\overline{K}}, \mathbb{Q}_l)$  have the same semisimplifications as  $\text{Gal}(\overline{K}/K)$ - $G$ -representations.

Now take a maximal ideal  $\mathfrak{q}$  of  $\mathcal{O}_K$  dividing  $l$ . Let  $F$  be the completion of  $K$  at  $\mathfrak{q}$ . Fix an embedding  $\overline{K} \hookrightarrow \overline{F}$ . Then we have an inclusion  $\text{Gal}(\overline{F}/F) \subset \text{Gal}(\overline{K}/K)$ . Therefore,  $H^i(\mathcal{X}_{\overline{F}}, \mathbb{Q}_l)$  and  $H^i(\mathcal{Y}_{\overline{F}}, \mathbb{Q}_l)$  have the same semisimplifications as  $\text{Gal}(\overline{F}/F)$ - $G$ -representations. By Corollary 2.6, we conclude that

$$H^q(\mathcal{X}_{\mathbb{C}}, \Omega_{\mathcal{X}_{\mathbb{C}}}^p) \cong H^q(\mathcal{Y}_{\mathbb{C}}, \Omega_{\mathcal{Y}_{\mathbb{C}}}^p)$$

for all  $p, q$  as  $G$ -representations.  $\square$

### 3. Hilbert scheme of points

We denote by  $X^{[n]}$  the component of the Hilbert scheme of a projective scheme  $X$  parametrizing subschemes of length  $n$  of  $X$ . For properties of Hilbert scheme of points, see references [Göt94], [Iar77] and [Nak99].

**Lemma 3.1.** *Let  $S$  be a smooth projective surface with a  $G$ -action over  $\mathbb{F}_q$ . Suppose  $g \in G$  and let  $F_q$  be the geometric Frobenius. Then*

$$\sum_{n=0}^{\infty} |S^{[n]}(\overline{\mathbb{F}}_q)^{gF_q}| t^n = \prod_{r=1}^{\infty} \left( \sum_{n=0}^{\infty} |\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})(\overline{\mathbb{F}}_q)^{g^r F_q^r}| t^{nr} \right)^{|P_r(S, gF_q)|},$$



where  $\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})$  is the punctual Hilbert scheme of  $n$  points at some  $g^r F_q^r$ -fixed point  $x \in S(\overline{\mathbb{F}}_q)$ , and  $P_r(S, gF_q)$  is the set of primitive 0-cycles of degree  $r$  of  $gF_q$  on  $S$ , whose elements are of the form  $\sum_{i=0}^{r-1} g^i F_q^i(x)$  with  $x \in S(\overline{\mathbb{F}}_q)^{g^r F_q^r} \setminus (\cup_{j < r} S(\overline{\mathbb{F}}_q)^{g^j F_q^j})$ .

*Proof.* Let  $Z \in S^{[n]}(\overline{\mathbb{F}}_q)^{gF_q}$ . Suppose  $(n_1, \dots, n_r)$  is a partition of  $n$  and  $Z = (Z_1, \dots, Z_r)$  with  $Z_i$  being the closed subscheme of  $Z$  supported at a single point with length  $n_i$ . Then  $\text{Supp} Z$  decomposes into  $gF_q$  orbits. We can choose an ordering  $\leq$  on  $S(\overline{\mathbb{F}}_q)$ . In each orbit, we can find the smallest  $x_j \in S(\overline{\mathbb{F}}_q)$ . Suppose  $Z_j$  with length  $l$  is supported on  $x_j$  and  $x_j$  has order  $k$ . Then the component of  $Z$  which is supported on the orbit of  $x_j$  is determined by  $Z_j$ , namely, it is  $\cup_{i=0}^{k-1} g^i F_q^i(Z_j)$  with length  $kl$ . Also notice that  $Z_j$  is fixed by  $g^k F_q^k$ . Hence, to give an element of  $S^{[n]}(\overline{\mathbb{F}}_q)^{gF_q}$  is the same as choosing some  $gF_q$  orbits and for each orbit choosing some element in  $\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})(\overline{\mathbb{F}}_q)^{g^k F_q^k}$  for some  $g^k F_q^k$ -fixed point  $x$  in this orbit such that the final length altogether is  $n$ . Combining all of these into power series, we get the desired equality.  $\square$

The idea we used above is explained in detail in [Göt90, Lemma 2.7]. We implicitly used the fact that  $\pi: (S^{[n]}_{(n)})_{\text{red}} \rightarrow S$  is a locally trivial fiber bundle in the Zariski topology with fiber  $\text{Hilb}^n(\mathbb{F}_q[[s, t]])_{\text{red}}$  [Göt94, Lemma 2.1.4], where  $S^{[n]}_{(n)}$  parametrizes closed subschemes of length  $n$  that are supported on a single point.

We need the following key lemma.

**Lemma 3.2.** *Let  $S$  be a smooth projective surface with a  $G$ -action over  $\mathbb{F}_q$ . If  $x \in S(\overline{\mathbb{F}}_q)^{gF_q}$ , where  $g \in G$  and  $F_q$  is the geometric Frobenius, then*

$$|\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})(\overline{\mathbb{F}}_q)^{gF_q}| = |\text{Hilb}^n(\mathbb{F}_q[[s, t]])(\overline{\mathbb{F}}_q)^{F_q}|.$$

We will prove this lemma later in this section.

From Lemma 3.2, we observe that  $|\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})(\overline{\mathbb{F}}_q)^{gF_q}|$  is a number independent of the choice of the  $gF_q$ -fixed point  $x$ .

We denote  $\text{Hilb}^n(\mathbb{F}_q[[s, t]])$  by  $V_n$ . Combining Lemma 3.1 and Lemma 3.2, we deduce that

$$\sum_{n=0}^{\infty} |S^{[n]}(\overline{\mathbb{F}}_q)^{gF_q}| t^n = \prod_{r=1}^{\infty} \left( \sum_{n=0}^{\infty} |V_n(\overline{\mathbb{F}}_q)^{F_q^r}| t^{nr} \right)^{|P_r(S, gF_q)|}.$$

Recall the following structure theorem for the punctual Hilbert scheme of points.

**Proposition 3.3.** ([ES87, Proposition 4.2]) *Let  $k$  be an algebraically closed field. Then  $\text{Hilb}^n(k[[s, t]])$  over  $k$  has a cell decomposition, and the number of  $d$ -cells is  $P(d, n-d)$ , where  $P(x, y) := \#\{\text{partition of } x \text{ into parts } \leq y\}$ .*

Denote by  $p(n, d)$  the number of partitions of  $n$  into  $d$  parts. Then  $p(n, d) = P(n-d, d)$ . Now we can proceed similarly as in the proof of [Göt90, Lemma 2.9].

*Proof of Theorem 1.1.* Since we have

$$\prod_{i=1}^{\infty} \left( \frac{1}{1 - z^{i-1}t^i} \right) = \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} p(n, n-i) t^n z^i,$$

by Proposition 3.3 we get

$$\sum_{n=0}^{\infty} \sum_{m=0}^{\infty} \#\{\text{m-dim cells of } \text{Hilb}^n(\overline{\mathbb{F}}_p[[s, t]])\} t^n z^m = \prod_{i=1}^{\infty} \frac{1}{1 - z^{i-1}t^i}.$$

Fix  $N \in \mathbb{N}$ . Then by choosing sufficiently large  $q$  powers  $Q$  such that the cell decomposition of  $V_{n, \overline{\mathbb{F}}_q}$  is defined over  $\mathbb{F}_Q$  for  $n \leq N$ , we deduce that

$$\sum_{n=0}^{\infty} |V_{n, \overline{\mathbb{F}}_q}(\mathbb{F}_{Q^r})| t^{nr} \equiv \prod_{i=1}^{\infty} \frac{1}{1 - Q^{r(i-1)}t^{ri}} \pmod{t^N}.$$

Now consider a good reduction of  $S$  over  $\mathbb{F}_q$ .

$$\begin{aligned} \sum_{n=0}^{\infty} |S^{[n]}(\overline{\mathbb{F}}_q)^{g_{F_Q}}| t^n &\equiv \prod_{r=1}^{\infty} \prod_{i=1}^{\infty} \left( \frac{1}{1 - Q^{r(i-1)}t^{ri}} \right)^{|P_r(S, g_{F_Q})|} \pmod{t^N} \\ &= \exp \left( \sum_{i=1}^{\infty} \sum_{r=1}^{\infty} \sum_{h=1}^{\infty} |P_r(S, g_{F_Q})| Q^{hr(i-1)} t^{hri} / h \right) \\ &= \exp \left( \sum_{i=1}^{\infty} \sum_{m=1}^{\infty} \left( \sum_{r|m} r |P_r(S, g_{F_Q})| \right) Q^{m(i-1)} t^{mi} / m \right) \\ &= \prod_{i=1}^{\infty} \exp \left( \sum_{m=1}^{\infty} |S(\overline{\mathbb{F}}_q)^{g_{F_Q^m}}| Q^{m(i-1)} t^{mi} / m \right) \\ &= \prod_{i=1}^{\infty} \sum_{n=0}^{\infty} |S^{(n)}(\overline{\mathbb{F}}_q)^{g_{F_Q}}| Q^{n(i-1)} t^{ni}. \end{aligned}$$

By replacing  $Q$  by  $Q$ -powers and using the proof of Proposition 2.2 and Theorem 2.7, we obtain

$$\sum_{n=0}^{\infty} E(S^{[n]}) t^n = \prod_{m=1}^{\infty} \prod_{p, q} \left( \sum_{i=0}^{h_{p, q}} (-1)^i [\wedge^i H^{p, q}(S, \mathbb{C})] u^{i(p+m-1)} v^{i(q+m-1)} t^{mi} \right)^{(-1)^{p+q+1}},$$

since we can reduce to the case where everything is defined over a number field  $K$  as in [Ito03, Proposition 5.1].  $\square$

**Corollary 3.4.** *For a smooth projective surface  $S$  over  $\overline{\mathbb{F}}_p$  or  $\mathbb{C}$ , we have*

$$\sum_{n=0}^{\infty} [e(S^{[n]})] t^n = \prod_{m=1}^{\infty} \prod_{j=0}^4 \left( \sum_{i=0}^{b_j} (-1)^i [\wedge^i H^j(S, \mathbb{Q}_l)] [-2i(m-1)] t^{mi} \right)^{(-1)^{j+1}},$$

where the coefficients lie in  $R_{\mathbb{Q}_l}(G)$ , and  $[-2i(m-1)]$  indicates shift in degrees.

*Remark 3.5.* Notice that the generating series of the topological Euler characteristic of  $S^{[n]}$  is  $\sum_{n=0}^{\infty} e(S^{[n]}) t^n = \prod_{m=1}^{\infty} (1-t^m)^{-e(S)}$ . But this is not the case if we consider  $G$ -representations and regard  $\prod_{m=1}^{\infty} (1-t^m)^{-[e(S)]}$  as

$$\exp\left(\sum_{m=1}^{\infty} [e(S)](-\log(1-t^m))\right) = \exp\left(\sum_{m=1}^{\infty} [e(S)]\left(\sum_{k=1}^{\infty} t^{mk}/k\right)\right).$$

What we have is actually

$$\begin{aligned} \sum_{n=0}^{\infty} \text{Tr}(g, [e(S^{[n]})]) t^n &= \prod_{m=1}^{\infty} \left( \frac{(\prod_{i=1}^{b_1} (1-g_{1,i} t^m)) (\prod_{i=1}^{b_3} (1-g_{3,i} t^m))}{(1-t^m) (\prod_{i=1}^{b_2} (1-g_{2,i} t^m)) (1-t^m)} \right) \\ &= \exp\left(\sum_{m=1}^{\infty} \sum_{k=1}^{\infty} \frac{t^{mk}}{k} \left(1 - \sum_{i=1}^{b_1} g_{1,i}^k + \sum_{i=1}^{b_2} g_{2,i}^k - \sum_{i=1}^{b_3} g_{3,i}^k + 1\right)\right). \end{aligned}$$

We will use this expression to determine the  $G$ -representation  $[e(S^{[n]})]$  later when  $S$  is a K3 surface or an abelian surface.

Now we start to prove Lemma 3.2.

Let  $S$  be a smooth projective surface over  $\mathbb{F}_q$  with an automorphism  $g$  over  $\mathbb{F}_q$  of finite order. If  $x \in S(\overline{\mathbb{F}}_q)^{g^{F_q}}$  where  $F_q$  is the geometric Frobenius, then  $x$  lies over a closed point  $y \in S$ . Denote the residue degree of  $y$  by  $N$ . Hence  $x \in S(\mathbb{F}_{q^N})$  and there are  $N$  geometric points  $x, F_q(x), \dots, F_q^{N-1}(x)$  lying over  $y$ .

Let us study the relative Hilbert scheme of  $n$  points at a closed point.

$$\text{Hilb}^n(\widehat{\text{Spec}(\mathcal{O}_{S,y})} / \text{Spec} \mathbb{F}_q) \cong \text{Hilb}^n(\text{Spec}(\mathbb{F}_{q^N}[[s, t]]) / \text{Spec} \mathbb{F}_q).$$

Since  $g$  and  $\mathbb{F}_q$  fix  $y$ , they act on this Hilbert scheme. Over  $\overline{\mathbb{F}}_q$ , we have

$$\text{Hilb}^n(\widehat{\text{Spec}(\mathcal{O}_{S,y})} / \text{Spec} \mathbb{F}_q) \otimes_{\mathbb{F}_q} \overline{\mathbb{F}}_q \cong \text{Hilb}^n(\text{Spec}(\overline{\mathbb{F}}_q \otimes_{\mathbb{F}_q} \mathbb{F}_{q^N}[[s, t]]) / \text{Spec} \overline{\mathbb{F}}_q)$$

by the base change property of the Hilbert scheme. Denote by  $u$  a primitive element of the field extension  $\mathbb{F}_{q^N}/\mathbb{F}_q$  and denote by  $f(x)$  the irreducible polynomial of  $u$  over  $\mathbb{F}_q$ . Since we have an  $\mathbb{F}_q$ -algebra isomorphism

$$\overline{\mathbb{F}}_q \otimes_{\mathbb{F}_q} \mathbb{F}_{q^N} \cong \overline{\mathbb{F}}_q \otimes_{\mathbb{F}_q} (\mathbb{F}_q[x]/(f(x))) \cong \overline{\mathbb{F}}_q[x]/(x-u) \times \dots \times \overline{\mathbb{F}}_q[x]/(x-u^{q^{N-1}})$$

by the Chinese Remainder Theorem, we deduce that

$$\begin{aligned} \text{Hilb}^n(\widehat{\text{Spec}(\mathcal{O}_{S,y})}/\text{Spec}\mathbb{F}_q) \otimes_{\mathbb{F}_q} \overline{\mathbb{F}}_q &\cong \text{Hilb}^n(\text{Spec}((\overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q)[[s, t]])/\text{Spec}\overline{\mathbb{F}}_q) \\ &\cong \text{Hilb}^n(\coprod \text{Spec}\overline{\mathbb{F}}_q[[s, t]]/\text{Spec}\overline{\mathbb{F}}_q). \end{aligned}$$

Hence the  $\overline{\mathbb{F}}_q$ -valued points of  $\text{Hilb}^n(\widehat{\text{Spec}(\mathcal{O}_{S,y})}/\text{Spec}\mathbb{F}_q)$  correspond to the closed subschemes of degree  $n$  of  $\coprod \text{Spec}\overline{\mathbb{F}}_q[[s, t]]$ , i.e. the closed subschemes of degree  $n$  of  $S$  whose underlying space is a subset of the points  $x, F_q(x), \dots, F_q^{N-1}(x)$ .

Since  $F_q$  acts on  $\mathbb{F}_{q^N}[[s, t]]$  by sending  $s$  to  $s^q$ ,  $t$  to  $t^q$  and  $c \in \mathbb{F}_{q^N}$  to  $c^q$ , we deduce from the above discussion that  $F_q$  acts on  $(\overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q)[[s, t]]$  by sending  $s$  to  $s^q$ ,  $t$  to  $t^q$  and  $(\alpha_0, \alpha_1, \dots, \alpha_{N-2}, \alpha_{N-1}) \in \overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q$  to  $(\alpha_1, \alpha_2, \dots, \alpha_{N-1}, \alpha_0)$ . This is actually an algebraic assertion, which can also be seen geometrically. For example,  $F_q$  is a  $\overline{\mathbb{F}}_q$ -morphism from  $\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, F_q(x)}}} \cong (\{0\} \times \overline{\mathbb{F}}_q \times \dots \times \{0\})[[s, t]]$  to  $\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}} \cong (\overline{\mathbb{F}}_q \times \{0\} \times \dots \times \{0\})[[s, t]]$ .

Let  $\sigma$  be an element of  $\text{Gal}(\mathbb{F}_{q^N}/\mathbb{F}_q)$ . Recall that for an  $\mathbb{F}_{q^N}$ -vector space  $V$ , a  $\sigma$ -linear map  $f: V \rightarrow V$  is an additive map on  $V$  such that  $f(\alpha v) = \sigma(\alpha)f(v)$  for all  $\alpha \in \mathbb{F}_{q^N}$  and  $v \in V$ .

**Lemma 3.6.** *Let  $H = \langle g \rangle$ . Suppose  $p \nmid |H|$ . Then we can choose  $s$  and  $t$  such that  $g$  acts on  $\mathbb{F}_{q^N}[[s, t]]$   $\sigma$ -linearly, where  $\sigma$  is the inverse of the Frobenius automorphism of  $\text{Gal}(\mathbb{F}_{q^N}/\mathbb{F}_q)$ .*

*Proof.* The automorphism  $g$  acts as an  $\mathbb{F}_q$ -automorphism on  $\mathbb{F}_{q^N}[[s, t]]$  fixing the ideal  $(s, t)$  and sending  $\mathbb{F}_{q^N}$  to  $\mathbb{F}_{q^N}$ . Since we know  $F_q$  sends  $(\alpha_0, \alpha_1, \dots, \alpha_{N-2}, \alpha_{N-1}) \in \overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q$  to  $(\alpha_1, \alpha_2, \dots, \alpha_{N-1}, \alpha_0)$  and  $gF_q$  fixes the geometric points  $x, F_q(x), \dots, F_q^{N-1}(x)$ , we deduce that  $g$  sends  $(\alpha_0, \alpha_1, \dots, \alpha_{N-2}, \alpha_{N-1}) \in \overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q$  to  $(\alpha_{N-1}, \alpha_0, \dots, \alpha_{N-3}, \alpha_{N-2})$ . Hence  $g(\alpha) = \sigma(\alpha)$  for all  $\alpha \in \mathbb{F}_{q^N}$  where  $\sigma$  is the inverse of the Frobenius automorphism.

For any element  $h \in H$ , we write  $h(s) = as + bt + \dots$  and  $h(t) = cs + dt + \dots$  where  $a, b, c, d \in \mathbb{F}_q$  since  $h$  commutes with  $F_q$ . Define an automorphism  $\rho(h)$  of  $\mathbb{F}_{q^N}[[s, t]]$  by  $\rho(h)(s) = as + bt$ ,  $\rho(h)(t) = cs + dt$  and the action of  $\rho(h)$  on  $\mathbb{F}_{q^N}$  is the same as the action of  $h$ . Then we denote the  $\mathbb{F}_{q^N}$ -automorphism  $\frac{1}{|H|} \sum_{h \in H} h\rho(h)^{-1}$  by  $\theta$ . Notice that  $\theta$  is an automorphism because the linear term of  $\theta$  is an invertible matrix, and here is the only place we use the assumption that  $p \nmid |G|$ . We deduce that  $g\theta = \theta\rho(g)$ , which implies  $\theta^{-1}g\theta = \rho(g)$ . Hence we are done.  $\square$

The above discussion implies that the  $g$ -action on  $(\overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q)[[s, t]]$  is given by sending  $s$  to  $(a, \dots, a)s + (b, \dots, b)t$ ,  $t$  to  $(c, \dots, c)s + (d, \dots, d)t$  and  $(\alpha_0, \alpha_1, \dots, \alpha_{N-2}, \alpha_{N-1}) \in \overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q$  to  $(\alpha_{N-1}, \alpha_0, \dots, \alpha_{N-3}, \alpha_{N-2})$ .

Hence the action of  $gF_q$  on  $(\overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q)[[s, t]]$  is given by sending  $s$  to  $(a, \dots, a)s^q + (b, \dots, b)t^q$ ,  $t$  to  $(c, \dots, c)s^q + (d, \dots, d)t^q$  and  $(\alpha_0, \alpha_1, \dots, \alpha_{N-2}, \alpha_{N-1}) \in \overline{\mathbb{F}}_q \times \dots \times \overline{\mathbb{F}}_q$  to itself. This implies that  $gF_q$  acts on each complete local ring, which is what we expected since  $gF_q$  fixes each geometric point over  $y$ . In particular, it acts on  $\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}} \cong (\overline{\mathbb{F}}_q \times \{0\} \times \dots \times \{0\})[[s, t]] \cong \overline{\mathbb{F}}_q[[s, t]]$ .

Recall that  $\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})(\overline{\mathbb{F}}_q)$  parametrizes closed subschemes of degree  $n$  of  $S_{\overline{\mathbb{F}}_q}$  supported on  $x$ .

*Proof of Lemma 3.2.* First we define an  $\mathbb{F}_q$ -automorphism  $\tilde{g}$  on  $\mathbb{F}_q[[s, t]]$  by

$$\tilde{g}(s) = as + bt \quad \text{and} \quad \tilde{g}(t) = cs + dt$$

Recall that the action of  $F_q$  on  $\mathbb{F}_q[[s, t]]$  is an  $\mathbb{F}_q$ -endomorphism sending  $s$  to  $s^q$  and  $t$  to  $t^q$ . By the above discussion, we observe that the action of  $gF_q$  on  $\overline{\mathbb{F}}_q[[s, t]]$  on the left is the same as the action of  $\tilde{g}F_q$  on  $\overline{\mathbb{F}}_q[[s, t]]$  on the right. Hence we have

$$|\text{Hilb}^n(\widehat{\mathcal{O}_{S_{\overline{\mathbb{F}}_q}, x}})(\overline{\mathbb{F}}_q)^{gF_q}| = |\text{Hilb}^n(\mathbb{F}_q[[s, t]])(\overline{\mathbb{F}}_q)^{\tilde{g}F_q}|.$$

Now for the right hand side,  $\tilde{g}$  is an automorphism of finite order and  $F_q$  is the geometric Frobenius. Then by the Grothendieck trace formula, we have

$$|\text{Hilb}^n(\mathbb{F}_q[[s, t]])(\overline{\mathbb{F}}_q)^{\tilde{g}F_q}| = \sum_{k=0}^{\infty} (-1)^k \text{Tr}((\tilde{g}F_q)^*, H_c^k(\text{Hilb}^n(\overline{\mathbb{F}}_q[[s, t]]), \mathbb{Q}_l)).$$

But the action of  $\tilde{g}$  factors through  $\text{GL}_2(\overline{\mathbb{F}}_q)$ . Now we use the fact that if  $G$  is a connected algebraic group acting on a separated and finite type scheme  $X$ , then the action of  $g \in G$  on  $H_c^*(X, \mathbb{Q}_l)$  is trivial [DL76, Corollary 6.5]. Hence we have

$$\begin{aligned} |\text{Hilb}^n(\mathbb{F}_q[[s, t]])(\overline{\mathbb{F}}_q)^{\tilde{g}F_q}| &= \sum_{k=0}^{\infty} (-1)^k \text{Tr}((F_q)^*, H_c^k(\text{Hilb}^n(\overline{\mathbb{F}}_q[[s, t]]), \mathbb{Q}_l)) \\ &= |\text{Hilb}^n(\mathbb{F}_q[[s, t]])(\overline{\mathbb{F}}_q)^{F_q}|. \quad \square \end{aligned}$$

Suppose  $S$  is a smooth projective K3 surface over  $\overline{\mathbb{F}}_p$  with a  $G$ -action. Recall that a *Mathieu representation* of a finite group  $G$  is a 24-dimensional representation on a vector space  $V$  over a field of characteristic zero with character

$$\chi(g) = \varepsilon(\text{ord}(g)),$$

where

$$\varepsilon(n) = 24(n \prod_{p|n} (1 + \frac{1}{p}))^{-1}.$$

**Proposition 3.7.** ([DK09, Proposition 4.1]) *Let  $G$  be a finite group of symplectic automorphisms of a K3 surface  $X$  defined in characteristic  $p > 0$ . Assume that  $p \nmid |G|$ . Then for any prime  $l \neq p$ , the natural representation of  $G$  on the  $l$ -adic cohomology groups  $H^*(X, \mathbb{Q}_l) \cong \mathbb{Q}_l^{24}$  is Mathieu.*

*Proof of Theorem 1.3.* By Remark 3.5, we deduce that

$$\sum_{n=0}^{\infty} \text{Tr}(g, [e(S^{[n]})])t^n = \exp \left( \sum_{m=1}^{\infty} \sum_{k=1}^{\infty} \frac{\text{Tr}(g^k, [e(S)])t^{mk}}{k} \right).$$

Then by Proposition 3.7, we obtain the equality we want.

When  $G$  is a cyclic group of order  $N$ , we know that  $N \leq 8$  by [DK09, Theorem 3.3]. Then the proof is the same as the proof in [Zha21] in the characteristic zero case.  $\square$

*Proof of Theorem 1.5.* If  $g$  is a symplectic automorphism (fixing the origin) on a complex abelian surface, then  $g$  has order 1, 2, 3, 4 or 6 by [Fuj88, Lemma 3.3]. We will do the case when the order  $N=4$ , and the calculation for other cases are similar. By [Fuj88, Page 33], we know the explicit action of  $g$  on the torus  $S = \mathbb{C}^2 / \Lambda$  in each case. If  $N=4$ , then the action on  $H^1(S, \mathbb{C})$  is given by

$$\begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

Hence we deduce that  $\text{Tr}(g, [e(S)]) = \text{Tr}(g^3, [e(S)]) = 4$ , and  $\text{Tr}(g^2, [e(S)]) = 16$ . Now

$$\begin{aligned} \sum_{n=0}^{\infty} \text{Tr}(g, [e(S^{[n]})])t^n &= \exp \left( \sum_{m=1}^{\infty} \sum_{k=1}^{\infty} \frac{\text{Tr}(g^k, [e(S)])t^{mk}}{k} \right) \\ &= \exp \left( \sum_{m=1}^{\infty} \sum_{k \equiv 1,3} \frac{4t^{mk}}{k} + \sum_{m=1}^{\infty} \sum_{k \equiv 2} \frac{16t^{mk}}{k} \right) \\ &= \exp \left( \sum_{m=1}^{\infty} \left( \sum_{k=1}^{\infty} \frac{4t^{mk}}{k} - \sum_{k=1}^{\infty} \frac{4t^{2mk}}{2k} \right) \right. \\ &\quad \left. + \sum_{m=1}^{\infty} \left( \sum_{k=1}^{\infty} \frac{16t^{2mk}}{2k} - \sum_{k=1}^{\infty} \frac{16t^{4mk}}{4k} \right) \right) \\ &= \frac{\prod_{m=1}^{\infty} (1-t^m)^{-4}}{\prod_{m=1}^{\infty} (1-t^{2m})^{-2}} \frac{\prod_{m=1}^{\infty} (1-t^{2m})^{-8}}{\prod_{m=1}^{\infty} (1-t^{4m})^{-4}} \\ &= \frac{\eta^4(t^4)}{\eta^4(t)\eta^6(t^2)}. \quad \square \end{aligned}$$

*Remark 3.8.* Fix a smooth projective surface  $S$  and an automorphism  $g$  of finite order. From the proof of Theorem 1.3 or Theorem 1.5, we notice that if  $\text{Tr}(g^k, [e(S)])$  only depends on the order of  $g^k$  in the cyclic group  $\langle g \rangle$  for  $k \geq 0$ , then the generating function  $\sum_{n=0}^{\infty} \text{Tr}(g, [e(S^{[n]})])t^n$  is an eta quotient by the inclusion-exclusion principle.

### 4. Generalized Kummer varieties

Let  $A$  be an abelian surface over  $\mathbb{C}$ . Let  $\omega_n: A^{[n]} \rightarrow A^{(n)}$  be the Hilbert-Chow morphism and let  $g_n: A^{(n)} \rightarrow A$  be the addition map. The generalized Kummer variety of  $A$  is defined to be

$$K_n(A) := \omega_n^{-1}(g_n^{-1}(0)).$$

This is a smooth projective holomorphic symplectic variety. We follow the strategy in [Göt94].

Now suppose  $A$  is an abelian surface with a  $G$ -action over  $\mathbb{F}_q$ . Define the map  $\gamma_n$  by

$$\begin{aligned} \gamma_n: \prod_{\alpha \in P(n)} \left( \left( \prod_{i=1}^{\infty} S^{(\alpha_i)}(\overline{\mathbb{F}}_q)^{g^{F_q}} \right) \times \mathbb{A}^{n-|\alpha|}(\overline{\mathbb{F}}_q) \right) &\longrightarrow S^{(n)}(\overline{\mathbb{F}}_q)^{g^{F_q}}, \\ ((\zeta_i)_i, v) &\longmapsto \sum i \cdot \zeta_i. \end{aligned}$$

**Lemma 4.1.** *For any  $\zeta \in S^{(n)}(\overline{\mathbb{F}}_q)^{g^{F_q}}$ , we have  $|\gamma_n^{-1}(\zeta)| = |\omega_n^{-1}(\zeta)|$ .*

*Proof.* Let  $\zeta = \sum_{i=1}^r n_i \zeta_i \in S^{(n)}(\overline{\mathbb{F}}_q)^{g^{F_q}}$ , where  $\zeta_i$  are distinct primitive cycles of degree  $d_i$ . Then

$$\begin{aligned} |\omega_n^{-1}(\zeta)| &= \prod_{i=1}^r |V_{n_i}(\overline{\mathbb{F}}_q)^{(g^{F_q})^{d_i}}| \\ &= \prod_{i=1}^r |V_{n_i}(\overline{\mathbb{F}}_q)^{(F_q)^{d_i}}| \\ &= \prod_{i=1}^r \sum_{\beta_j^i \in P(n_i)} q^{d_i(n_i - |\beta_j^i|)}, \end{aligned}$$

where  $V_n = \text{Hilb}^n(\mathbb{F}_q[[s, t]])$ . Here we use the key Lemma 3.2.

For  $i = 1, \dots, r$ , let  $\beta^i = (1^{\beta_1^i}, 2^{\beta_2^i}, \dots)$  be a partition of  $n_i$ , and let  $\alpha = (1^{\alpha_1}, 2^{\alpha_2}, \dots)$  be the union of  $d_i$  copies of each  $\beta^i$ , where  $\alpha_j = \sum_i d_i \beta_j^i$ . Let

$$\eta_j = \sum_{i=1}^r \beta_j^i \zeta_i.$$

Let  $\eta$  be the sequence  $(\eta_1, \eta_2, \eta_3, \dots)$ . Then for all  $w \in \mathbb{A}^{n-|\alpha|}$  we have

$$\gamma_n((\eta, w)) = \zeta,$$

and in this way we get all the elements of  $\gamma_n^{-1}(\zeta)$ . Hence

$$|\gamma_n^{-1}(\zeta)| = \sum_{\beta^1 \in P(n_1)} \sum_{\beta^2 \in P(n_2)} \dots \sum_{\beta^r \in P(n_r)} q^{n - \sum d_i |\beta^i|} = |\omega_n^{-1}(\zeta)|. \quad \square$$

**Lemma 4.2.** Denote by  $h_n: A^{(n)}(\overline{\mathbb{F}}_q)^{gF_q} \rightarrow A(\overline{\mathbb{F}}_q)^{gF_q}$  the restriction of  $g_n$ . Then  $h_n$  is onto and  $|h_n^{-1}(x)|$  is independent of  $x \in A(\overline{\mathbb{F}}_q)^{gF_q}$ .

*Proof.* Since  $gF_q$  is the Frobenius map of some twist of  $A$ , we can replace  $gF_q$  by  $F_q$  in the statement, and this is true by [Göt94, Lemma 2.4.8].  $\square$

For each  $l \in \mathbb{N}$ , let  $A(\overline{\mathbb{F}}_q)_l^{gF_q}$  be the image of the multiplication  $(l): A(\overline{\mathbb{F}}_q)^{gF_q} \rightarrow A(\overline{\mathbb{F}}_q)^{gF_q}$ .

**Lemma 4.3.** Let  $\mu = (n_1, \dots, n_t)$  be a partition of a number  $n \in \mathbb{N}$ . Then

$$\begin{aligned} \sigma_\mu: (A(\overline{\mathbb{F}}_q)^{gF_q})^t &\longrightarrow A(\overline{\mathbb{F}}_q)_{\gcd(\mu)}^{gF_q} \\ (x_1, \dots, x_t) &\longmapsto \sum_{i=1}^t n_i x_i \end{aligned}$$

is onto and  $|\sigma_\mu^{-1}(x)|$  is independent of  $x \in A(\overline{\mathbb{F}}_q)_{\gcd(\mu)}^{gF_q}$ .

*Proof.* As the above lemma, we can replace  $gF_q$  by  $F_q$ , and this is true by [Göt94, Lemma 2.4.9].  $\square$

We denote  $((\prod_{i=1}^\infty S^{(\alpha_i)}(\overline{\mathbb{F}}_q)^{gF_q}) \times \mathbb{A}^{n-|\alpha|}(\overline{\mathbb{F}}_q))$  by  $A[\alpha]$ . Denote the restriction map of  $\gamma_n$  on  $A[\alpha]$  by  $\gamma_{n,\alpha}: A[\alpha] \rightarrow S^{(n)}(\overline{\mathbb{F}}_q)^{gF_q}$ .

**Lemma 4.4.**

$$|K_n(A)(\overline{\mathbb{F}}_q)^{gF_q}| = \frac{1}{|A(\overline{\mathbb{F}}_q)^{gF_q}|} \sum_{\alpha \in P(n)} \left( \gcd(\alpha)^4 q^{n-|\alpha|} \prod_{i=1}^\infty |A^{(\alpha_i)}(\overline{\mathbb{F}}_q)^{gF_q}| \right).$$

*Proof.* By Lemma 4.1, we have

$$|K_n(A)(\overline{\mathbb{F}}_q)^{gF_q}| = |\gamma_n^{-1}(h_n^{-1})| = \sum_{\alpha \in P(n)} |\gamma_{n,\alpha}^{-1}(h_n^{-1}(0))|.$$

Suppose  $\alpha = (1^{\alpha_1}, 2^{\alpha_2}, \dots)$ . Let

$$\mu = (m_1, \dots, m_t) := (1^{\mu_1}, 2^{\mu_2}, \dots),$$



where  $\mu_i = \min(1, \alpha_i)$  for all  $i$ . Let

$$f_\alpha : S[\alpha] \longrightarrow (A(\overline{\mathbb{F}}_q)^{gF_q})^t$$

$$((\zeta_1, \dots, \zeta_t), w) \longmapsto (g_{\alpha_{m_1}}(\zeta_1), \dots, g_{\alpha_{m_t}}(\zeta_t)).$$

Then the following diagram commutes:

$$\begin{array}{ccc} A[\alpha] & \xrightarrow{\gamma_{n,\alpha}} & A^{(n)}(\overline{\mathbb{F}}_q)^{gF_q} \\ f_\alpha \downarrow & & \downarrow h_n \\ (A(\overline{\mathbb{F}}_q)^{gF_q})^t & \xrightarrow{\sigma_\mu} & A(\overline{\mathbb{F}}_q)^{gF_q}. \end{array}$$

By Lemma 4.2 and Lemma 4.3,  $\sigma_\mu \circ f_\alpha$  maps  $S[\alpha]$  onto  $A(\overline{\mathbb{F}}_q)_{gcd(\alpha)}^{gF_q} = A(\overline{\mathbb{F}}_q)_{gcd(\mu)}^{gF_q}$ , and  $|f_\alpha^{-1}(\sigma_\mu^{-1}(x))|$  is independent of  $x \in A(\overline{\mathbb{F}}_q)_{gcd(\alpha)}^{gF_q}$ . Since the multiplication with  $gcd(\alpha)$  is an étale morphism of degree  $(gcd(\alpha))^4$ , we have

$$\begin{aligned} |K_n(A)(\overline{\mathbb{F}}_q)^{gF_q}| &= \sum_{\alpha \in P(n)} |f_\alpha^{-1}(\sigma_\mu^{-1}(x))| \\ &= \sum_{\alpha \in P(n)} \frac{|A[\alpha]|}{|A(\overline{\mathbb{F}}_q)_{gcd(\alpha)}^{gF_q}|} \\ &= \frac{1}{|A(\overline{\mathbb{F}}_q)^{gF_q}|} \sum_{\alpha \in P(n)} \left( gcd(\alpha)^4 q^{n-|\alpha|} \prod_{i=1}^\infty |A^{(\alpha_i)}(\overline{\mathbb{F}}_q)^{gF_q}| \right). \quad \square \end{aligned}$$

*Proof of Theorem 1.7.* By Lemma 4.4, we have

$$\begin{aligned} &\sum_{n=0}^\infty |K_n(A)(\overline{\mathbb{F}}_q)^{gF_q}| t^n \\ &= \sum_{n=0}^\infty \frac{1}{|A(\overline{\mathbb{F}}_q)^{gF_q}|} \sum_{\alpha \in P(n)} \left( gcd(\alpha)^4 q^{n-|\alpha|} \prod_{i=1}^\infty |A^{(\alpha_i)}(\overline{\mathbb{F}}_q)^{gF_q}| \right) t^n \\ &= \frac{(w \frac{d}{dw})^4}{|A(\overline{\mathbb{F}}_q)^{gF_q}|} \sum_{n=0}^\infty \sum_{\alpha \in P(n)} w^{gcd(\alpha)} \prod_{i=1}^\infty \left( |A^{(\alpha_i)}(\overline{\mathbb{F}}_q)^{gF_q}| q^{(i-1)\alpha_i} t^{i\alpha_i} \right) \Bigg|_{w=1} \\ &= \frac{(w \frac{d}{dw})^4}{|A(\overline{\mathbb{F}}_q)^{gF_q}|} \bigcirc_{m=1}^\infty \left( 1 + w^m (-1 + \sum_{n=0}^\infty |A^{(n)}(\overline{\mathbb{F}}_q)^{gF_q}| q^{(m-1)n} t^{mn}) \right) \Bigg|_{w=1}. \end{aligned}$$

Then by the proof of Proposition 2.2 and Theorem 2.7, the theorem follows.  $\square$

*Remark 4.5.* It is calculated in [Göt94, Corollary 2.4.13] that

$$\sum_{n=1}^{\infty} e(K_n(A))q^n = \frac{(q \frac{d}{dq})^3}{24} E_2,$$

where  $E_2 := 1 - 24 \sum_{n=1}^{\infty} \sigma_1(n)q^n$  is a quasi-modular form. As in the case of Hilbert schemes of points, we can calculate  $\sum_{n=0}^{\infty} \text{Tr}(g, [e(K_n(A))])t^n$ , where  $g$  is a symplectic automorphism of finite order on the abelian surface  $A$ . But it is not obvious to the author whether or not the sum can be expressed by quasi-modular forms.

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