

## COMPACTIFICATION OF MINIMAL SUBMANIFOLDS OF HYPERBOLIC SPACE

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In this paper we study the geometry of complete minimal submanifolds of hyperbolic space  $\mathbb{H}^n$ . Specifically, we are interested in  $m$ -dimensional submanifolds whose second fundamental form  $\mathcal{A}$  satisfies  $\int_M |\mathcal{A}|^m < \infty$  where  $|\mathcal{A}|$  is the norm of  $\mathcal{A}$ .

To motivate this hypothesis we briefly outline the main results when the ambient space is  $\mathbb{R}^n$ . Osserman [15] and Chern-Osserman [3], showed that for a complete minimal immersion (cmi for short)  $M^2 \rightarrow \mathbb{R}^n$ , with finite total curvature, it is possible to compactify  $M$  by the Gauss map  $g: M \rightarrow G_{n,2}$  which maps  $p \in M$  to the 2-plane  $T_p(M)$ . By the Weierstrass representation  $g$  is a holomorphic curve in  $G_{n,2}$ , viewed as the complex quadric  $Q_{n-2} = \{z_1^2 + \dots + z_n^2 = 0\}$  of the complex projective plane  $\mathbb{C}P^{n-1}$ . They showed that when the total curvature  $C(M) = \int_M K$  is finite,  $M$  is of finite conformal type, i.e.,  $M$  is conformally equivalent to a closed surface  $\overline{M}$  with a finite number of points removed, and that  $g$  extends holomorphically to  $\overline{M}$ . In particular this implies that the total curvature is quantified by  $C(M) = 2\pi k$ ,  $k$  an integer, and that  $M$  is properly immersed.

For a cmi  $M^m \hookrightarrow \mathbb{R}^n$ ,  $m \geq 2$ , Anderson [2] has obtained a generalization of the Chern-Osserman result. He proved that  $|\mathcal{A}|(p)$  goes to 0, as the distance  $d(p, p_0)$  of  $p$  to a fixed point  $p_0$  goes to infinity. Using the fact that the class of minimal submanifolds is invariant by the homotheties of  $\mathbb{R}^n$ , he proved that  $|\mathcal{A}|(p) = \mu(p)/d^2(p, p_0)$ , where  $\mu(p) \rightarrow 0$  as  $d(p, p_0) \rightarrow \infty$ . Analysing the distance function of  $\mathbb{R}^n$  restricted to  $M$  he concludes that  $M$  is properly immersed and that, outside a compact set,  $M$  is transversal to the spheres  $S_r$ ,

of  $\mathbb{R}^n$ , with radius  $r$  and centered in  $p_0$ . In particular  $M$  is of finite topological type. Also, the flatness of  $\mathbb{R}^n$  allows him to conclude the conformal type of  $M$  is finite. We state the result of [2] which will be our main concern.

**Theorem 0.1 (Anderson).** *Let  $M^m \hookrightarrow \mathbb{R}^n$  be a cmi and suppose that  $\int_M |\mathcal{A}|^m < \infty$ . Then  $M$  is  $C^\infty$ -diffeomorphic to a closed manifold  $\overline{M}$  with a finite numbers of points removed. Also the Gauss map  $g: M \rightarrow G_{n,m}$  extends to a  $C^{n-2}$  map  $\bar{g}: \overline{M} \rightarrow G_{n,m}$  and the metric on  $M$  extends conformally to a metric of class  $C^{n-2}$  of  $\overline{M}$ .*

Thus each end of  $M^m$  is diffeomorphic to  $S^{m-1} \times [0, \infty)$ . Furthermore, Anderson proves also that in the case  $m \geq 3$  all ends are embedded.

It is natural to consider the above problem when the ambient space is  $\mathbb{H}^n$ . We make use of the Sobolev inequalities [12] and of Simons equation [17] for the Laplacian of  $\mathcal{A}$  on  $M$  to show that  $|\mathcal{A}|(p)$  goes to zero as  $\text{dist}_M(p, p_0) \rightarrow \infty$ ,  $p_0$  a fixed point of  $M$ . We do not have an estimate for the decreasing rate of  $|\mathcal{A}|$  as good as in the Euclidean case, but the properties of the distance function of  $\mathbb{H}^n$  restricted to  $M$  will allow us to bypass the absence of homotheties in  $\mathbb{H}^n$  to conclude that  $M$  is properly immersed and meets transversally the geodesic spheres  $S_r$  of  $\mathbb{H}^n$ , at least outside some compact set of  $M$ .

For the special case of a cmi  $M^2 \hookrightarrow \mathbb{H}^n$ , we prove that  $M$  cannot have finite conformal type. Also we prove that the index of the operator  $\mathbf{L} = -\Delta + 2 - |\mathcal{A}|^2$  is finite. When  $n = 3$  this is just the stability operator. This extends in one direction a result of Fisher-Colbrie [6], namely, finite total “extrinsic” curvature  $\int_M |\mathcal{A}|^2 < \infty$  implies the index of  $M$  is finite (the reciprocal assertion fails in the hyperbolic case). Here are the main results we will prove in this paper.

**Theorem A.** *Let  $\varphi: M^m \hookrightarrow \mathbb{H}^n$  be a complete minimal immersion of a connected  $m$ -dimensional manifold  $M$ . Suppose that  $\int_M |\mathcal{A}|^m < \infty$ . Then  $M$  is properly immersed and is diffeomorphic to the interior of a compact manifold  $\overline{M}$  with boundary. Furthermore  $\varphi$  extends to a continuous map  $\bar{\varphi}: \overline{M} \hookrightarrow \overline{\mathbb{H}^n}$ ,  $\overline{\mathbb{H}^n}$  the compactified of  $\mathbb{H}^n$ .*

In the case of a minimal surface  $M$  we have information about the conformal type and the asymptotic behavior of  $M$ .

**Theorem B.** *Let  $M^2 \hookrightarrow \mathbb{H}^n$  be a complete connected minimal surface with  $\int_M |\mathcal{A}|^2 < \infty$ . Then  $M$  is conformally equivalent to a compact surface  $\overline{M}$  with a finite number of disks removed and the index of the operator  $\mathbf{L} = -\Delta + 2 - |\mathcal{A}|^2$  is finite. Furthermore the asymptotic boundary  $\partial_\infty M$  is a Lipschitz curve.*

We remark that the asymptotic behaviour of an immersion  $M^m \hookrightarrow \mathbb{H}^n$  as above is very different from the situation in  $\mathbb{R}^n$ . In fact, any compact closed submanifold  $V^{n-2} \subset \mathbb{H}^n$  of class  $C^{2+\alpha}$ ,  $\alpha > 0$ , can be realized as the asymptotic boundary of a minimizing rectifiable current  $T^{n-1}$  of  $\mathbb{H}^n$  [1]. The regularity result of Hardt-Lin [11] states that such a current is of class  $C^{2+\beta}$ ,  $\beta > 0$ , in a neighbourhood of the sphere at infinity  $\partial_\infty \mathbb{H}^n$ . When  $n \leq 7$ ,  $T^{n-1}$  is a smooth submanifold of  $\mathbb{H}^n$ . A direct calculation shows us that for a cmi  $M^m \hookrightarrow \mathbb{H}^n$ , which extends to a  $C^2$ -submanifold of  $\overline{\mathbb{H}^n}$ , we always have  $\int_M |\mathcal{A}|^m < \infty$ . This provides us with a lot of hypersurfaces satisfying the hypotheses of theorem A and having arbitrary topological type at infinity, as long as  $n \leq 7$ .

In view of theorem B a natural question arises : how regular at infinity is a surface satisfying the hypotheses of theorem B? It seems to the author that a  $C^1$  regularity up to the boundary is necessary.

In section 1 we establish some notations and we prove a result about the essential spectrum of the Schrödinger operator over a complete Riemannian manifold. The index of this operator is also defined. In section 2 we develop the basic properties of the distance function of  $\mathbb{H}^n$  when restricted to a submanifold. We prove a compactification theorem for submanifolds whose second fundamental form is small outside some compact set. In section 3 we prove the analytical part of theorem A and B and we make use of the results in section 2 to conclude the topological type is finite and that the immersion extends continuously to the compactified of  $M$ . The assertion about the conformal type in theorem B is proved in section 4.

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## 1. NOTATION AND KNOWN RESULTS

**1.1. Minimal submanifolds.** Let  $M^m \hookrightarrow N^n$  be a immersion of a  $m$ -dimensional manifold  $M$  into a  $n$ -dimensional Riemannian manifold  $N$ . Consider  $M$  with the metric induced by this immersion and denote by  $\tilde{\nabla}$  and  $\nabla$  the Levi-Civita connexions of  $N$  and  $M$  respectively. For  $p \in M$ , the tangent space  $T_p N$  of  $N$  at  $p$  splits as an orthogonal direct sum  $T_p N = T_p M \oplus \mathcal{N}_p(M)$ , where  $\mathcal{N}_p(M)$  is the normal fiber to  $M$  at  $p$ . The second fundamental form of the immersion is the symmetric bilinear form over  $T_p M$  defined by

$$\mathcal{A}(X_p, Y_p) = (\tilde{\nabla}_X Y)^\perp(p) \quad ; \quad X_p, Y_p \in T_p M$$

where  $X, Y$  are extensions of  $X_p$  and  $Y_p$  which are tangent to  $M$ .

Let us consider  $\mathcal{A}$  as an element of  $\text{Hom}(\mathcal{S}_p(M), \mathcal{N}_p)$  where  $\mathcal{S}_p(M)$  is the space of symmetric linear endomorphisms of  $T_p M$ . For the natural internal product of  $\mathcal{S}_p(M)$  and  $\mathcal{N}_p(M)$ , let  $\mathcal{A}_p^t \in \text{Hom}(\mathcal{N}_p, \mathcal{S}_p(M))$  be the transpose of  $\mathcal{A}$  and set  $\mathcal{B}_p = \mathcal{A}_p \circ \mathcal{A}_p^t$ . The norm of this application is by definition the norm  $|\mathcal{A}|$  of  $\mathcal{A}$ . If  $\{e_i\}_{i=1, \dots, m}$  is an orthonormal frame of  $T_p(M)$  then

$$|\mathcal{A}|^2(p) = \sum_{i,j=1}^m |\mathcal{A}(e_i, e_j)|^2.$$

The trace of  $\mathcal{A}$  is called the mean curvature  $H$  of  $M$ . With respect to the frame  $\{e_i\}_{i=1, \dots, m}$  we have

$$H = \frac{1}{m} \sum_{i=1}^m \mathcal{A}(e_i, e_i).$$

The immersion  $M^m \hookrightarrow N^n$  is called minimal if  $H \equiv 0$ . This is equivalent to saying that the immersion is a critical point for the volume functional, i.e., for any compact  $K \subset M$  with piecewise smooth boundary, and for any piecewise smooth variation  $F: I \times M \rightarrow N$  of  $\phi$ , which leaves the exterior of  $K$  unchanged, we have  $\frac{dV}{dt}(0) = 0$ , where  $V(t)$  is the volume of the submanifold  $F(t, K)$ .

If  $M^m \hookrightarrow N^n$  is minimal, a domain  $U \subset M$  is called stable if for any variation  $F$  as above whose variation vector field  $E = F_* \frac{\partial}{\partial t} \Big|_{t=0}$  is normal to  $M$  and compactly supported in  $U$  we have  $\frac{d^2 V}{dt^2}(0) \geq 0$ .

Let  $\tilde{\mathcal{R}}$  denote the curvature tensor of  $N$  and for  $v \in \mathcal{N}_p(M)$  define  $\mathcal{R}(v)$  by  $\mathcal{R}(v) = \sum_{i=1}^m (\tilde{\mathcal{R}}_{e_i, v} e_i)^\perp$ . Note that for  $v$  unitary  $\langle \mathcal{R}(v), v \rangle$  is just the Ricci curvature  $Ric(v)$  of  $N$  in the direction of  $v$ . If  $F$  is a normal variation of a minimal surface as above we have [14],

$$\frac{d^2 V}{dt^2}(0) = - \int_U \langle \Delta E + \mathcal{R}(E) + \mathcal{B}(E), E \rangle.$$

In the case of a minimal oriented surface  $M^2 \hookrightarrow H^3$  the variation vector field is just  $E = \xi \nu$ , where  $\nu$  is the normal vector of the immersion and  $\xi$  is a compactly supported function on  $M$ . So  $M$  is stable if

$$(1.1) \quad Q(\xi, \xi) = \int_M (|\nabla \xi|^2 + 2\xi^2 - |\mathcal{A}|^2 \xi^2) \geq 0$$

for all  $\xi \in C_0^\infty(M)$ .

**1.2. Compactification of Hyperbolic Space.** Two oriented rays  $\gamma_1(s)$  and  $\gamma_2(s)$  of  $\mathbb{H}^n$  are said to be equivalent if there exists a real number  $c$  such that  $d(\gamma_1(s), \gamma_2(s)) \leq c$  for all  $s \geq 0$ , where  $d(p, q)$  denotes the hyperbolic distance between the points  $p$  and  $q$ . The sphere at infinity  $\partial_\infty \mathbb{H}^n$  is defined as the space of equivalent classes of oriented rays. For a fixed point  $O \in \mathbb{H}^n$ , identify  $\partial_\infty \mathbb{H}^n$  with the unit sphere  $U_1 \subset T_O \mathbb{H}^n$  in the following way: for a unit vector  $v \in U_1$  associate the equivalent class of the ray  $\exp_O sv$ ,  $s \geq 0$ . This provides  $\partial_\infty \mathbb{H}^n$  with a conformal structure which is independent of the chosen point  $O$ . With this structure any isometry of  $\mathbb{H}^n$  extends conformally to  $\bar{\mathbb{H}}^n = \mathbb{H}^n \cup \partial_\infty \mathbb{H}^n$ .

For  $p \in \mathbb{H}^n \setminus \{O\}$  we define a “projection”  $P: \mathbb{H}^n \setminus \{O\} \rightarrow U_1$  by  $P(p) = \exp_O^{-1}(p)/|\exp_O^{-1}(p)|$ . Let  $S_r$  be the geodesic sphere of  $\mathbb{H}^n$  of radius  $r$  and centered at  $O$ . If  $v_p \in T_p(S_r)$ , a comparison between the Jacobi fields along geodesics gives

$$|d \exp_O^{-1}(v_p)| = \frac{r|v_p|}{\sinh r}$$

where in the left term the norm is the Euclidean norm of  $T_O \mathbb{H}^n$  and in the right  $|v_p|$  is the norm of  $v_p \in T_p \mathbb{H}^n$ . Thus, for a vector  $v_p \in T_p(S_r)$  we get

$$(1.2) \quad |(dP)(v_p)| = \frac{|v_p|}{\sinh r}.$$

**1.3. The spectrum of the Schrödinger operator.** Let  $M$  be a Riemannian manifold and let  $q$  be a real smooth function. The operator  $\mathbf{L} = -\Delta + q$  is formally self-adjoint over  $C_c^\infty(M)$ , where  $\Delta$  is the Laplacian on  $M$ . When  $q$  is bounded below by a real constant and  $M = \mathbb{R}^n$ , Glazman [9] proved that  $\mathbf{L}$  admits a unique self-adjoint extension to an unbounded operator on  $L^2(M)$ . The theorem of Dodziuk [5] stated below allows us to follow the steps of the Glazman's proof in the case of an arbitrary manifold  $M$ . For the sake of completeness we prove this generalization of Glazman's result and we also prove a theorem about the essential spectrum of  $\mathbf{L}$ .

**Theorem 1.1 (Dodziuk).** *Let  $M$  be a complete Riemannian manifold and let  $q \in C^\infty(M)$  be a real function bounded below by a constant. Suppose  $\phi \in C^\infty(M) \cap L^2(M)$  and  $\mathbf{L}\phi \in L^2(M)$ . Then  $\nabla\phi \in L^2(M)$  and the functions  $\phi\overline{\Delta\phi}$ ,  $q|\phi|^2$  belong to  $L^1(M)$ . Also*

$$(-\Delta\phi, \phi) = (\nabla\phi, \nabla\phi) \quad \text{and} \quad (\mathbf{L}\phi, \phi) = (\nabla\phi, \nabla\phi) + (q\phi, \phi)$$

where  $(\cdot, \cdot)$  is the product of  $L^2(M)$ .

**Theorem 1.2.** *Let  $M$  be a complete Riemannian manifold and let  $q \in C^\infty(M)$  be a real function bounded below by a constant. Then the operator  $\mathbf{L} = -\Delta + q$  admits a unique self-adjoint extension to an unbounded operator on  $L^2(M)$ .*

*Proof.* It suffices to prove that the spaces  $\mathcal{K}_\pm = \text{Image}(\mathbf{L} \pm iI)^\perp$  are trivial. Take  $\phi \in \mathcal{K}_+$ . As a distribution,  $\phi$  satisfies the equation  $\mathbf{L}\phi = i\phi$ . For any relatively compact domain  $\Omega \subset M$ , the operator  $\mathbf{L}$  is strictly elliptic. By the Friedrichs's regularity result [7] we have  $\phi \in C^\infty(M) \cap L^2(M)$ . Therefore, by the Dodziuk's theorem stated above we obtain

$$(\mathbf{L}\phi, \phi) = |\phi|^2 + (q\phi, \phi) = i|\phi|^2$$

But  $q$  is a real function, so  $\phi \equiv 0$  and  $\mathcal{K}_+ = \{0\}$ . Analogously we have  $\mathcal{K}_- = \{0\}$ .  $\square$

Recall that for a self-adjoint operator  $\mathbf{L}$  on a Hilbert space, the essential spectrum  $\text{ess}(\mathbf{L})$  is the set of points  $\lambda \in \mathbb{R}$  such that there exists a bounded

non-compact sequence  $\{u_n\}_{n \in \mathbb{N}}$ ,  $u_n \in \text{Domain}(\mathbf{L})$ , satisfying

$$\lim_{n \rightarrow \infty} \|(\mathbf{L} - \lambda I)u_n\| = 0.$$

A sub-sequence of  $\{u_n\}_{n \in \mathbb{N}}$  for which there is no convergent sub-sequence is called characteristic for  $(\lambda, \mathbf{L})$ .

Now let  $\mathbf{L} = -\Delta + q$  be as in theorem 1.2 and let  $N$  be a domain of  $M$  which is relatively compact and has  $C^\infty$  boundary. Consider the operator  $l_N = -\Delta + q$  defined on  $C^\infty(M \setminus N)$ . The quadratic form  $Q(\phi) = (l_N \phi, \phi)$  defined on  $C_0^\infty(M \setminus N)$  is bounded below, so it admits a closed extension. We define  $\mathbf{L}_N$  to be the Friedrichs's extension of  $l_N$ , determined by the closed extension of  $Q$ . We prove now the generalization of Glazman's theorem [9], p. 68. When  $q \equiv 0$  this result was obtained by Donnelly [4].

**Theorem 1.3.**  $\text{ess}(\mathbf{L}) \subset \text{ess}(\mathbf{L}_N)$ .

*Proof.* Suppose the sequence  $\{u_n\}_{n \in \mathbb{N}}$  is characteristic for  $(\lambda, \mathbf{L})$ . Without loss of generality we can suppose it is an orthonormal characteristic sequence for  $(\lambda, \mathbf{L})$ . By virtue of theorem 1.2 (the operator is essentially self-adjoint) there exists  $\{\phi_n\}_{n \in \mathbb{N}}$ ,  $\phi_n \in C_0^\infty(M)$ , such that, for  $n \in \mathbb{N}$ ,

$$\|\phi_n - u_n\| \leq \frac{1}{n} \quad \text{and} \quad \|\mathbf{L}\phi_n - \mathbf{L}u_n\| \leq \frac{1}{n}.$$

This implies  $\{\phi_n\}_{n \in \mathbb{N}}$  is also characteristic for  $(\lambda, \mathbf{L})$  and in particular we have

$$(\mathbf{L}\phi_n - \lambda\phi_n, \phi_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

So for  $n$  large enough we get

$$\|\nabla\phi_n\|^2 + (q\phi_n, \phi_n) - \lambda\|\phi_n\|^2 \leq 1.$$

Let  $-q_0$  be a lower bound for  $q$ . We obtain, for  $n$  large,

$$\|\nabla\phi_n\|^2 \leq q_0(\phi_n, \phi_n) + \lambda\|\phi_n\|^2 + 1.$$

Thus  $\{\phi_n\}_{n \in \mathbb{N}}$  is bounded in  $W^{1,2}(M)$ , the space of functions  $f$  with  $f$  and  $\nabla f$  belonging to  $L^2(M)$ . Let  $\Omega'$  be a relatively compact neighbourhood of  $N$ . The embedding  $W^{1,2}(\Omega') \hookrightarrow L^2(\Omega')$  is compact, so there exists a sub-sequence  $\{\phi'_n\}_{n \in \mathbb{N}}$  such that  $\phi'_n/\Omega'$  converges in  $L^2(\Omega')$ . Set  $\omega_n = \phi'_{2n+1} - \phi'_{2n}$  and remark that  $\omega_n$  is still characteristic for  $(\lambda, \mathbf{L})$  and that  $\omega_n \rightarrow 0$  in  $L^2(\Omega')$ . Let

$\Omega$  be a neighbourhood of  $N$  such that  $N \subset \bar{\Omega} \subset \Omega'$  and let  $\xi \in C_0^\infty(\Omega')$  be such that  $\xi = 1$  on  $\Omega$ . We have

$$(\mathbf{L}\omega_n - \lambda\omega_n, \xi^2\omega_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

and by Dodziuk's theorem

$$\|\xi\nabla\omega_n\|^2 + (\xi\omega_n, 2\omega_n\nabla\xi) + (q\xi\omega_n, \xi\omega_n) - \lambda(\xi\omega_n, \xi\omega_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Since  $\|\omega_n\|_{L^2(\Omega')} \rightarrow 0$  and  $\text{support}(\xi) \subset \Omega'$  we get  $\|\nabla\omega_n\|_{L^2(\Omega)} \rightarrow 0$  as  $n \rightarrow \infty$ .

This allows us to construct a characteristic sequence for  $(\lambda, \mathbf{L})$  which vanishes on a neighbourhood of  $N$ . As a matter of fact, let  $U$  be a neighbourhood of  $N$  such that  $\bar{U} \subset \text{int}(\Omega)$ , and let  $\theta$  be a smooth function which satisfies  $0 \leq \theta \leq 1$ ,  $\theta = 0$  in  $U$  and  $\theta = 1$  in  $M \setminus \Omega$ . Set  $v_n = \theta\omega_n$ ,  $n \in \mathbb{N}$ , and remark that  $v_n \in C_0^\infty(M \setminus N)$ . Also the sequence  $\{v_n\}_{n \in \mathbb{N}}$  is bounded and non-compact and

$$\begin{aligned} \|\mathbf{L}v_n - \lambda v_n\| &\leq \|\mathbf{L}\omega_n - \lambda\omega_n\| + \left(\sup_M |\Delta\theta|\right) \|\omega_n\|_{L^2(\Omega)} \\ &\quad + 2\left(\sup_M |\nabla\theta|\right) \|\nabla\omega_n\|_{L^2(\Omega)}. \end{aligned}$$

Hence  $\|\mathbf{L}v_n - \lambda v_n\| \rightarrow 0$  as  $n \rightarrow \infty$  and the sequence  $\{v_n\}_{n \in \mathbb{N}}$  is characteristic for  $(\lambda, \mathbf{L}_N)$ .  $\square$

For a Riemannian manifold  $M$  and an operator  $\mathbf{L}$  as in theorem 1.3 we define the index of  $\mathbf{L}$  in the following way: Let  $\Omega$  be a relatively compact domain of  $M$  with piecewise smooth boundary. The number of negative eigenvalues for the Dirichlet problem

$$\mathbf{L}u = \lambda u \quad ; \quad u|_{\partial\Omega} = 0$$

is finite. Let  $ind_\Omega(\mathbf{L})$  be this number. Consider an exhaustion  $\{\Omega_n\}_{n \in \mathbb{N}}$  of  $M$  by relatively compact domains with piecewise smooth boundary. The index  $ind_M(\mathbf{L})$  of  $\mathbf{L}$  is defined by

$$ind_M(\mathbf{L}) = \lim_{n \rightarrow \infty} ind_{\Omega_n}(\mathbf{L})$$

This limit does not depend on the chosen exhaustion [6], so the  $ind_M(\mathbf{L})$  is well defined.

## 2. SUBMANIFOLDS OF HYPERBOLIC SPACE

In this section we develop some properties of the distance function of  $\mathbb{H}^n$  restricted to a submanifold. In particular we will prove the following result:

**Theorem 2.1.** *Let  $M^m \hookrightarrow \mathbb{H}^n$  be a complete immersion of a connected manifold  $M$ . Suppose there exists  $\epsilon < 1$  and a compact set  $C \subset M$  such that  $|\mathcal{A}|(p) \leq \epsilon$  for  $p \in M \setminus C$ . Then the immersion is proper and for  $r$  large enough  $M$  is transversal to the geodesic spheres  $S_r$  of  $\mathbb{H}^n$ . In particular  $M$  is diffeomorphic to the interior of a compact manifold with boundary  $\bar{M}$ . Furthermore the immersion  $\phi$  extends to a continuous map  $\bar{\phi}: \bar{M} \hookrightarrow \bar{\mathbb{H}}^n$ .*

**2.1. The distance function of  $\mathbb{H}^n$  restricted to submanifolds.** Let  $O \in \mathbb{H}^n$  be a fixed point and let  $M^m \hookrightarrow \mathbb{H}^n$  be a isometric immersion. Let  $d(q)$  be the distance of  $q \in \mathbb{H}^n$  to  $O$  and let  $r$  be the restriction of  $d$  to  $M$ . Denote by  $\tilde{\nabla}$  and  $\nabla$  the Levi-Civita connexions of  $\mathbb{H}^n$  and  $M$  respectively. Let  $\frac{\partial}{\partial d} = \tilde{\nabla} d$  denote the unitary radial vector field centered at  $O$  and defined on  $\mathbb{H}^n \setminus \{O\}$ .

For  $p \in M$  let  $\{E_i\}_{i=1, \dots, m}$  be a frame tangent to  $M$ , defined in a neighbourhood of  $p \in M$ , orthonormal at  $p$  and satisfying  $\nabla_{E_i} E_j(p) = 0$ , for  $i, j = 1, \dots, m$ . For  $j = 1, \dots, m$  we have  $E_j r = \langle \frac{\partial}{\partial d}, E_j \rangle$ , so

$$E_i E_j r = \langle \tilde{\nabla}_{E_i} \frac{\partial}{\partial d}, E_j \rangle + \langle \frac{\partial}{\partial d}, \tilde{\nabla}_{E_i} E_j \rangle \quad ; \quad i, j = 1, \dots, m$$

where  $\langle \cdot, \cdot \rangle$  is the metric of  $\mathbb{H}^n$ . Recall that for a vector  $v \in T_p S_r$  we have,  $\tilde{\nabla}_v \frac{\partial}{\partial d} = \coth(r)v$ . As  $\tilde{\nabla}_{\frac{\partial}{\partial d}} \frac{\partial}{\partial d} = 0$ , we obtain, for  $i = 1, \dots, m$

$$(2.1) \quad \left( \tilde{\nabla}_{E_i} \frac{\partial}{\partial d} \right) (p) = \left( E_i - \langle E_i, \frac{\partial}{\partial d} \rangle \frac{\partial}{\partial d} \right) \coth r(p)$$

and for  $i, j = 1, \dots, m$  we get at  $p$

$$(2.2) \quad E_i E_j r = \left( \delta_{ij} - \langle E_i, \frac{\partial}{\partial d} \rangle \langle E_j, \frac{\partial}{\partial d} \rangle \right) \coth r + \langle \frac{\partial}{\partial d}, \tilde{\nabla}_{E_i} E_j \rangle.$$

If the frame  $\{E_i\}_{i=1, \dots, m}$  is orthonormal, the Laplacian of  $r$  at  $p$  is given by  $\Delta r(p) = \sum_{i=1}^m (E_i E_i r)(p)$ . Hence we obtain, at all  $p \in M$ ,  $p \neq O$ ,

$$(2.3) \quad \Delta r = (m - |\nabla r|^2) \coth r + m \left\langle \frac{\partial}{\partial d}, H \right\rangle.$$

In the special case where  $M$  is a curve  $\gamma \subset \mathbb{H}^n$  parametrized by the arc length  $s$  we get

$$(2.4) \quad r''(s) = (1 - (r'(s))^2) \coth r + \left\langle \frac{\partial}{\partial d}, \tilde{\nabla}_{\gamma'(s)} \gamma'(s) \right\rangle.$$

A straightforward consequence of the above equations are the following lemmas:

**Lemma 2.2.** *Let  $M^m \hookrightarrow \mathbb{H}^n$  be an immersion and let  $p \in \text{int}(M)$  be a critical point of  $r$ . Suppose  $|\mathcal{A}|(p) \leq 1$ . Then  $p$  is a point of strict minimum for  $r$ .*

*Proof.* Let  $\{e_i\}_{i=1, \dots, m}$  be a orthonormal frame of  $T_p M$  and let  $x$  be the normal coordinate system adapted to  $\{e_i\}_{i=1, \dots, m}$ , i.e.,  $x = \chi \circ (\exp_p)^{-1}$ , where  $\chi: T_p M \rightarrow \mathbb{R}^n$  is given by  $\chi(\sum_{i=1}^m y^i e_i) = (y^1, \dots, y^m)$ . Since  $\nabla r(p) = 0$  we can choose the frame  $\{e_i\}_{i=1, \dots, m}$  such that  $\frac{\partial^2 r}{\partial x_i \partial x_j}(p) = 0$ , for  $i \neq j$ . Setting  $E_i = \frac{\partial}{\partial x_i}$ ,  $i = 1, \dots, m$ , by equation (2.2) we get

$$E_i E_i r = \coth r + \left\langle \frac{\partial}{\partial d}, \tilde{\nabla}_{E_i} E_i \right\rangle.$$

As  $\nabla_{E_i} E_i(p) = 0$  we have  $|\tilde{\nabla}_{E_i} E_i(p)| = |(\tilde{\nabla}_{E_i} E_i(p))^+| \leq |\mathcal{A}|(p) \leq 1$ . So  $E_i E_i r(p) > 0$ , for  $i = 1, \dots, m$ , which implies, by the Taylor series expansion of  $r$ , that  $p$  is a point of strict minimum.  $\square$

**Lemma 2.3.** *Let  $\gamma: [0, l) \subset \mathbb{H}^n$ ,  $0 < l \leq \infty$ , be a curve parametrized by the arc length  $s$ . Suppose the geodesic curvature of  $\gamma$  satisfies  $|\tilde{\nabla}_{\gamma'(s)} \gamma'(s)| \leq \epsilon$ , for some  $\epsilon < 1$ . Then  $d(\gamma(0), \gamma(s)) \geq \sqrt{1 - \epsilon} s$ ,  $0 \leq s < l$ .*

*Proof.* First observe that the curve  $\gamma$  is necessarily embedded; otherwise, taking the intersection point as the origin of  $\mathbb{H}^n$ , the distance function  $r(s)$  defined over  $\gamma$  would have a interior maximum, which contradicts lemma 2.2. Now taking the origin to be the point  $\gamma(0)$ , equation (2.4) says that

$$r''(s) = (1 - (r'(s))^2) \coth r(s) + \left\langle \frac{\partial}{\partial d}, \tilde{\nabla}_{\gamma'(s)} \gamma'(s) \right\rangle$$

for all  $0 < s < l$ . Also  $r(0) = 0$  and  $\lim_{s \rightarrow 0} r'(s) = 1$ . It suffices now to prove that  $r'(s) \geq \sqrt{1 - \epsilon}$ , for all  $0 < s < l$ . Suppose this is not the case and let  $s_1$  be the smallest positive real number for which  $r'(s_1) = \sqrt{1 - \epsilon}$ . Then

$$r''(s_1) = \epsilon \coth r(s_1) + \left\langle \frac{\partial}{\partial d}, \tilde{\nabla}_{\gamma'(s_1)} \gamma'(s) \right\rangle$$

which, under the hypotheses of the lemma, implies  $r''(s_1) > 0$ . But this implies the existence of  $s_0$ ,  $0 < s_0 < s_1$ , with  $r'(s_0) < r'(s_1)$ , violating the choice of  $s_1$ .  $\square$

**2.2. Proof of theorem 2.1.** First we prove that the immersion is proper and transversal to the geodesic spheres  $S_r$ , for  $r$  large.

**2.3. The immersion is proper.**

*Proof.* Let  $\bar{r} = \sup_{q \in C} r(q)$ . For  $p \in M \setminus C$  let  $\gamma$  be a geodesic of  $M$ , parametrized by arc length, which realises the distance between  $C$  and  $p$ . Say  $\gamma(0) \in \partial C$  and  $\gamma(l) = p$ , where  $l$  is the length of  $\gamma$ . Of course  $\gamma(s) \subset M \setminus C$ , for all  $s \in (0, l]$ . As  $\gamma$  is a geodesic of  $M$ , we have  $|\tilde{\nabla}_{\gamma'(s)} \gamma'(s)| \leq |\mathcal{A}|(\gamma(s))$ ,  $s \in [0, l]$ . From lemma 2.3 we obtain

$$\begin{aligned} r(p) &= d(O, p) \geq d(\gamma(0), \gamma(l)) - d(O, \gamma(0)) \\ &\geq \sqrt{1 - \epsilon} l - \bar{r}. \end{aligned}$$

Thus when  $d(p, C)$  goes to infinity we get  $r(p) \rightarrow \infty$ , which means the immersion is proper.  $\square$

**2.4.  $M$  is transversal to  $S_r$  for  $r$  large.**

*Proof.* Let  $\Omega_1 = M \cap B_{\bar{r}}$ , where  $B_r$  denotes the closed geodesic ball of  $\mathbb{H}^n$  of radius  $r$ . As the immersion is proper,  $\Omega_1$  is a compact set of  $M$  and by definition of  $\bar{r}$ ,  $|\mathcal{A}| \leq \epsilon$  in  $M \setminus \Omega_1$ . Suppose that there exists a critical point  $p$  of  $r$  in  $M \setminus \Omega_1$ . By lemma 2.2  $p$  is a strict minimum for  $r$ . If  $\gamma$  is any geodesic joining  $p$  to  $\partial\Omega_1$  then the maximum of the function  $r(s)$  over  $\gamma(s)$  is greater than  $\max(\bar{r}, r(p))$ . So this maximum is attained at a point  $\bar{p} \in M \setminus \Omega_1$ , which is impossible by lemma 2.2 applied to the geodesic  $\gamma$ . This contradiction implies that  $r$  has no critical points in  $M \setminus \Omega_1$ , i.e.,  $M$  is transversal to  $S_r$  for  $r \geq \bar{r}$ .  $\square$

We have therefore a complete proper immersion  $M^m \hookrightarrow \mathbb{H}^n$  such that the function  $r$  has no critical points in  $M \setminus \Omega_1$  where  $\Omega_1 = M \cap B_{\bar{r}}$ , for some  $\bar{r} > 0$ . Furthermore  $|\mathcal{A}| \leq \epsilon < 1$  in  $M \setminus \Omega_1$ . Let  $\Sigma(r) = M \cap S_r$ , so for  $r \geq \bar{r}$ ,  $\Sigma(r)$  is a compact  $m - 1$  dimensional submanifold of  $M$ . On  $M \setminus \Omega_1$  define the fields

$\xi = \nabla r / |\nabla r|$  and  $Y = \nabla r / |\nabla r|^2$ . Let  $\Psi_t$  be the flow of  $Y$ . Thus  $\Psi_t$  maps  $\Sigma(\bar{r})$  diffeomorphically into  $\Sigma(\bar{r} + t)$ , for  $t \geq 0$ . For a point  $p$  in  $\Sigma(\bar{r})$  and  $t \geq 0$ , define  $\alpha(p, t) = \sqrt{1 - |\nabla r|^2}(p_t)$ , where  $p_t = \Psi_t(p)$ . For  $p \in \Sigma(\bar{r})$  this function satisfies

$$(2.5) \quad \frac{1}{2} \frac{\partial}{\partial t} \alpha^2 = -\langle \mathcal{A}(\xi, \xi), \frac{\partial}{\partial d} \rangle - \alpha^2 \coth(\bar{r} + t).$$

Moreover if  $\eta \in T_p \Sigma(r)$ , for  $r \geq \bar{r}$ , we have

$$(2.6) \quad \frac{1}{2} \eta(\alpha^2) = -\sqrt{1 - \alpha^2} \langle \mathcal{A}(\eta, \xi), \frac{\partial}{\partial d} \rangle.$$

To see this let  $\{N_i\}$ ,  $i = 1, \dots, k$ , be a normal frame to  $M$  in a neighbourhood of  $p_t$ , where  $k = n - m$  is the codimension of  $M$ . Write  $\nabla r = \frac{\partial}{\partial d} - \sum_{i=1}^k \langle N_i, \frac{\partial}{\partial d} \rangle N_i$ . For a vector  $E \in T_{p_t} M$  we have

$$\nabla_E \nabla r = (\tilde{\nabla}_E \frac{\partial}{\partial d} - \sum_{i=1}^k \langle N_i, \frac{\partial}{\partial d} \rangle \tilde{\nabla}_E N_i)^T$$

so from equation (2.1) we obtain

$$\nabla_E \nabla r = (E - \langle E, \nabla r \rangle \nabla r) \coth r - \sum_{i=1}^k \langle N_i, \frac{\partial}{\partial d} \rangle (\tilde{\nabla}_E N_i)^T.$$

From  $\frac{1}{2} \nabla_E |\nabla r|^2 = \langle \nabla_E \nabla r, \nabla r \rangle$  we get

$$(2.7) \quad \frac{1}{2} \nabla_E |\nabla r|^2 = \langle E, \nabla r \rangle (1 - |\nabla r|^2) \coth r + |\nabla r| \langle \frac{\partial}{\partial d}, \mathcal{A}(E, \xi) \rangle$$

where we made use of the fact that  $\langle \tilde{\nabla}_E N_i, \xi \rangle = -\langle N_i, \tilde{\nabla}_E \xi \rangle$  and that  $\nabla r = |\nabla r| \xi$ . Taking  $E = \xi$  and remarking that  $\frac{\partial}{\partial t} = \xi / |\nabla r|$  we obtain

$$\frac{1}{2} \frac{\partial}{\partial t} |\nabla r|^2 = (1 - |\nabla r|^2) \coth r + \langle \frac{\partial}{\partial d}, \mathcal{A}(E, \xi) \rangle$$

which, after replacing  $|\nabla r|^2$  by  $1 - \alpha^2$ , is equation (2.5). In the same way, equation (2.6) is obtained from (2.7) with  $E = \eta$ .

Now we get the asymptotic behaviour of  $|\nabla r|$ .

**Lemma 2.4.** *On  $M \setminus \Omega_1$  the function  $|\nabla r|$  satisfies*

$$|\nabla r|^2(p_t) \geq (1 - \epsilon)(1 - e^{-2t}) \quad ; \quad \forall p \in \Sigma(\bar{r}).$$

*Proof.* By equation (2.5), for  $p \in \Sigma(\bar{r})$  the function  $\alpha(t) = \alpha(p, t)$  satisfies, for  $t \geq 0$ ,

$$\frac{1}{2}(\alpha^2)'(t) \leq \epsilon - \alpha^2(t)$$

Let  $f(t) = \epsilon + (1 - \epsilon)e^{-2t}$  be the solution of  $\frac{1}{2}f'(t) + f(t) = \epsilon$ , with  $f(0) = 1$ . The function  $h(t) = f(t) - \alpha^2(t)$  satisfies  $\frac{1}{2}h'(t) + h(t) \geq 0$  for  $t \geq 0$  and  $h(0) \geq 0$ . This implies that  $h(t) \geq 0$ , for all  $t \geq 0$ . Thus for all  $p \in \Sigma(\bar{r})$  and  $t \geq 0$  we have, at  $p_t$ ,

$$1 - |\nabla r|^2 \leq \epsilon + (1 - \epsilon) e^{-2t}. \quad \square$$

We are now able to finish the proof of theorem 2.1.

## 2.5. Asymptotic behavior.

*Proof.* If  $M$  is non orientable we replace  $M$  by the orientable double cover of  $M$  and remark that the hypotheses  $|\mathcal{A}| \leq \epsilon$  outside a compact set is still satisfied. Let  $P: \mathbb{H}^n \setminus \{O\} \rightarrow U_1$  be the projection on the unit sphere of  $T_O\mathbb{H}^n$  as described in section 1. Denote by  $\chi: \Sigma(\bar{r}) \times [0, \infty) \rightarrow U_1$  the map  $\chi(p, t) = P \circ \Psi_t(p)$ . We must prove that the 1-parameter family of immersions  $\{\chi_t\}$ , given by  $\chi_t(p) = \chi(p, t)$  converges uniformly in  $p \in \Sigma(\bar{r})$  as  $t \rightarrow \infty$ .

Observe that  $|\frac{\partial \chi}{\partial t}(p, t)| = |dP(\gamma'(t))|$  where  $\gamma(t) = \Psi_t(p)$  is the integral curve of  $Y$  with  $\gamma(0) = p$ . From equation (1.2) we have, after projection of the vector  $Y$  on  $T_{p_t}S_{\bar{r}+t}$ ,

$$\left| \frac{\partial \chi}{\partial t}(p, t) \right| = \frac{\sqrt{1 - |\nabla r|^2}}{|\nabla r| \sinh(\bar{r} + t)}.$$

By lemma 2.4, given  $\delta$ , with  $\epsilon < \delta < 1$ , there exists  $t_1$  such that for  $t \geq t_1$ , we have  $|\nabla r|(p_t) \geq \sqrt{1 - \delta}$ . From the above equation we get, for  $t \geq t_1$ ,

$$\left| \frac{\partial \chi}{\partial t}(p, t) \right| \leq \frac{1}{\sqrt{1 - \delta} \sinh(\bar{r} + t)}$$

and this inequality implies

$$\int_0^\infty \left| \frac{\partial \chi}{\partial t}(p, t) \right| dt \rightarrow 0 \quad \text{as } t \rightarrow \infty$$

uniformly in  $p \in \Sigma(\bar{r})$ , so  $\chi_t$  converges uniformly to a continuous map  $\chi_\infty: \Sigma(\bar{r}) \rightarrow \partial_\infty \mathbb{H}^n$ .  $\square$

**2.6. Immersions transversal to geodesic spheres.** Here we consider proper immersions  $M^m \hookrightarrow \mathbb{H}^n$  which are transversal to the geodesic spheres  $S_r$  of  $\mathbb{H}^n$  for  $r \geq \bar{r}$ . We are interested in the volume growth of  $\Sigma(r) = M \cap S_r$ . We suppose  $M$  to be orientable and denote by  $\omega$  the volume form of  $M$ . On  $M \setminus B(\bar{r})$  define  $\sigma = \xi \lrcorner \omega$ , where  $\xi = \nabla r / |\nabla r|$ . If  $r \geq \bar{r}$  and  $\iota_r: \Sigma(r) \rightarrow M$  is the inclusion, let  $\sigma_r = \iota_r^* \sigma$  be the volume form of  $\Sigma(r)$ . Up to sign,  $\omega = \xi^b \wedge \sigma$ , where  $\xi^b$  is the 1-form dual to the field  $\xi$ . For  $p \in \Sigma(\bar{r})$  and  $t \geq 0$  define  $f(p, t)$  to be the positive function such that  $f(p, t)\sigma_{\bar{r}} = \Psi_t^* \sigma_{\bar{r}+t}$ . By definition of the function  $f$  we have, for  $s, t \geq 0$  and  $p \in \Sigma(\bar{r})$ ,

$$\frac{f(p, s+t)}{f(p, t)} \sigma(p_t) = (\Psi_s^* \sigma)(p_t).$$

Also, as the field  $Y = \nabla r / |\nabla r|^2$  is invariant by the flow  $\Psi_t$  we get

$$(\Psi_t^* \xi^b)(p_t) = \frac{|\nabla r(p_{s+t})|}{|\nabla r(p_t)|} \xi^b(p_t).$$

It follows that the Lie derivative of  $\omega$  in the direction  $Y$  is given by

$$L_Y \omega(p_t) = \frac{1}{f(p, t)} \left( \frac{\partial}{\partial t} f(p, t) - \frac{f(p, t)}{|\nabla r(p_t)|} \frac{\partial}{\partial t} (|\nabla r(p_t)|) \right).$$

But  $L_Y \omega = \operatorname{div} Y \omega$  and  $\frac{\partial}{\partial t} (|\nabla r(p_t)|) = \frac{1}{|\nabla r(p_t)|} \xi(|\nabla r|)(p_t)$ . Also

$$\operatorname{div} Y = \frac{1}{|\nabla r|^2} \Delta r - \frac{2}{|\nabla r|^2} \xi(|\nabla r|)$$

because  $\langle \nabla(|\nabla r|), \nabla r \rangle = |\nabla r| \xi(|\nabla r|)$ . From these equations it follows that

$$(2.8) \quad f \Delta r = |\nabla r|^2 \frac{\partial}{\partial t} f + f \xi(|\nabla r|).$$

Let  $\gamma(s)$  be an integral curve of  $\xi$  such that  $\gamma(0) = p_t$ . Let  $r(s) = d(\gamma(s))$  and recall that, since  $\xi$  is unitary  $\langle \frac{\partial}{\partial d}, \tilde{\nabla}_{\gamma'(0)} \gamma'(s) \rangle = \langle \frac{\partial}{\partial d}, \mathcal{A}(\xi, \xi) \rangle$ . From equation (2.4) we have

$$r''(s) = (1 - (r'(s))^2) \coth r(s) + \left\langle \frac{\partial}{\partial d}, \mathcal{A}(\xi, \xi) \right\rangle$$

and using the fact that  $r'(s) = |\nabla r|$  we get

$$\xi(|\nabla r|) = (1 - |\nabla r|^2) \coth r + \left\langle \frac{\partial}{\partial d}, \mathcal{A}(\xi, \xi) \right\rangle.$$

From equations (2.3) and (2.8) we obtain, with  $\alpha^2 = 1 - |\nabla r|^2$ , the desired equation for  $f$

$$(2.9) \quad \frac{1}{f}(1 - \alpha^2) \frac{\partial}{\partial t} f = (m - 1) \coth r - \left\langle \frac{\partial}{\partial d}, \mathcal{A}(\xi, \xi) \right\rangle + m \left\langle H, \frac{\partial}{\partial d} \right\rangle.$$

### 3. MINIMAL IMMERSIONS

In this section we prove theorem A and B. The statement about the conformal type of  $M$  in theorem B will be proved in the next section. First we state some basic inequalities.

**3.1. Simons and Sobolev inequalities.** Let  $\varphi: M^m \hookrightarrow \mathbb{H}^n$  be a cmi and denote  $u = |\mathcal{A}|$ . Simons' equation [17], applied to minimal submanifolds of  $\mathbb{H}^n$ , tell us that  $u$  satisfies

$$(3.1) \quad \Delta u + mu + mu^3 \geq 0$$

in the distribution sense. Let  $\xi$  be a compactly supported smooth function on  $M$  and let  $q \geq 1$  be a real number. Multiplying (3.1) by  $\xi^2 u^{2q-1}$ , integrating by parts, rearranging terms and taking square roots we obtain

$$(3.2) \quad \|\nabla \xi u^q\|_2 \leq c_1 \sqrt{q} (\|\xi u^q\|_2 + \|\xi u^{q+1}\|_2 + \|u^q \nabla \xi\|_2)$$

for a constant  $c_1$  which depends only on  $m$ .

From Sobolev inequality [12], for any smooth function  $h$  compactly supported in  $M$  we have

$$(3.3) \quad \|h\|_{\frac{m}{m-1}} \leq c_2 \|\nabla h\|_1$$

where  $c_2$  does not depend on  $h$ . From (3.3) and the Holder inequality we have, for  $1 \leq r < m$ ,

$$(3.4) \quad \|h\|_{\frac{mr}{m-r}} \leq c_2 \frac{r(m-1)}{m-r} \|\nabla h\|_r.$$

These inequalities are valid in case  $h$  is a bounded compactly supported function and  $h \in W^{1,r}$ , the space of functions in  $L^r(M)$ , whose gradient  $\nabla h$  also belongs to  $L^r(M)$ . We remark that this is the case when  $h = \xi u^q$ ,  $\xi$  a smooth function compactly supported on  $M$ .

**3.2. Proof of theorem A.** We first prove an analytical lemma.

**Lemma 3.1.** *Given  $m \geq 2$ , there exists universal constants  $\epsilon > 0$  and  $c > 0$ , depending only on  $m$ , with the following property: If  $\varphi: M^m \hookrightarrow \mathbb{H}^n$  is a minimal immersion of an open manifold  $M$ , and  $x_0 \in M$  is such that the closed geodesic ball  $B(1)$  of radius 1 centered at  $x_0$  is compact in  $M$ , then  $\left(\int_{B(1)} |\mathcal{A}|^m\right)^{\frac{1}{m}} \leq \epsilon$  implies*

$$|\mathcal{A}|(x_0) \leq c \left(\int_{B(1)} |\mathcal{A}|^m\right)^{\frac{1}{m}}.$$

*Proof.* We deal separately the cases  $m \geq 3$  and  $m = 2$ .

*case  $m \geq 3$ .* For  $\xi \in C_c^\infty(B(1))$  denote by  $\chi$  the characteristic function of the support of  $\xi$ . If  $s > 2$  the Holder's inequality gives us

$$\int_M \xi^2 |\mathcal{A}|^2 u^{2q} \leq \|\chi |\mathcal{A}|^2\|_{\frac{s}{2}} \|\xi^2 u^{2q}\|_{\frac{s}{s-2}} = \|\chi |\mathcal{A}|^2\|_{\frac{s}{2}} \|\xi u^q\|_{\frac{2s}{s-2}}^2.$$

We take  $r = 2$  in the Sobolev inequality (3.4), apply (3.2) and the above inequality to obtain

$$(3.5) \quad \|\xi u^q\|_{\frac{2m}{m-2}} \leq c_4 \sqrt{q} \left( \|u^q |\nabla \xi|\|_2 + \|\xi u^q\|_2 + \|\chi |\mathcal{A}|^2\|_{\frac{s}{2}}^{\frac{1}{2}} \|\xi u^q\|_{\frac{2s}{s-2}} \right)$$

where  $c_4$  depends only on  $m$ . Suppose that

$$(3.6) \quad c_4 \sqrt{\frac{m}{2}} \left(\int_{B(1)} |\mathcal{A}|^m\right)^{\frac{1}{m}} \leq \frac{1}{2}.$$

With this assumption, from (3.5) with  $s = m$  and  $q = \frac{m}{2}$  we have

$$\|\xi u^{\frac{m}{2}}\|_{\frac{2m}{m-2}} \leq c_5 \left[ \|u^{\frac{m}{2}} |\nabla \xi|\|_2 + \|\xi u^{\frac{m}{2}}\|_2 \right]$$

and

$$\|\xi u^{\frac{m}{2}}\|_{\frac{2m}{m-2}} \leq c_5 \left( \sup_{B(1)} |\nabla \xi| + \sup_{B(1)} |\xi| \right) \left( \int_{B(1)} |\mathcal{A}|^m \right)^{\frac{1}{2}}$$

where  $c_5 = c_4 \sqrt{2m}$ . Taking  $\xi$  such that  $0 \leq \xi \leq 1$ ,  $\xi = 1$  on  $B(\frac{3}{4})$ ,  $\xi = 0$  on the exterior of  $B(1)$  and such that  $|\nabla \xi| \leq 8$ , we have by the above inequality

$$(3.7) \quad \|\mathcal{A}\|_{\frac{m}{2}, B(\frac{3}{4})} \leq 10c_5 \left(\int_{B(1)} |\mathcal{A}|^m\right)^{\frac{1}{2}}$$

where the norm in the left side is taken over the ball  $B(\frac{3}{4})$ . We want to use (3.7) to get control of the  $L_{\frac{2m}{m-2}}$  norm of  $\xi u^q$  in terms of its  $L_2$  norm.

Let  $\epsilon$  be the greatest positive real number such that if  $\int_{B(1)} |\mathcal{A}|^m \leq \epsilon^m$  then inequality (3.6) and

$$(3.8) \quad 10c_5 \left( \int_{B(1)} |\mathcal{A}|^m \right)^{\frac{1}{2}} \leq 1$$

are satisfied. The constant  $\epsilon$  depends only on  $m$ . Remark that for  $s = \frac{m^2}{m-2}$  we have

$$\| |\mathcal{A}|^2 \|_{\frac{s}{2}, B(\frac{3}{4})} = \| |\mathcal{A}|^{\frac{m}{2}} \|_{\frac{\frac{2m}{m-2}}{\frac{m}{2}}, B(\frac{3}{4})}.$$

Therefore, assuming  $\int_{B(1)} |\mathcal{A}|^m \leq \epsilon^m$ , from (3.5) and (3.7) we get, for  $s = \frac{m^2}{m-2}$ ,

$$(3.9) \quad \|\xi u^q\|_{\frac{2m}{m-2}} \leq 2c_4 \sqrt{q} (\|u^q |\nabla \xi|\|_2 + \|\xi u^q\|_2 + \|\xi u^q\|_{\frac{2s}{s-2}})$$

for all smooth  $\xi$  with support in the ball  $B(\frac{3}{4})$ .

On the other hand, for  $s = \frac{m^2}{m-2}$ , and any  $\delta > 0$  we have the interpolation formula

$$(3.10) \quad \|\xi u^q\|_{\frac{2s}{s-2}} \leq \delta \|\xi u^q\|_{\frac{2m}{m-2}} + \delta^{-\sigma} \|\xi u^q\|_2.$$

where  $\sigma = \frac{m}{m-2}$ . Given  $q \geq 1$  we chose  $\delta$  such that  $c_4 \delta \sqrt{q} = \frac{1}{4}$ . Thus  $\delta^{-\sigma} = (4c_4)^\sigma q^{\frac{\sigma}{2}}$  and from (3.9) and (3.10) we get, for any  $\xi \in C_c^\infty(B(\frac{3}{4}))$

$$(3.11) \quad \|\xi u^q\|_{\frac{2m}{m-2}} \leq c_6 \sqrt{q} (\|u^q |\nabla \xi|\|_2 + (1 + q^{\frac{\sigma}{2}}) \|\xi u^q\|_2)$$

for some constant  $c_6$  which depends only on  $m$ .

Now we iterate to obtain a bound for  $|\mathcal{A}|$  over  $B(\frac{1}{4})$ . For  $i \in \mathbb{N}$ , let  $B_i = B(\frac{1}{4} + \frac{1}{2^{i+1}})$ . Let  $\xi_i$ ,  $0 \leq \xi_i \leq 1$ , be a Lipschitz function which satisfies

$$\xi_i = 1 \quad \text{on } B_{i+1} \quad ; \quad \xi_i = 0 \quad \text{on } M \setminus B_i$$

and such that  $|\nabla \xi_i| \leq 2^{i+2}$ . From (3.11) with  $\xi = \xi_i$  we get

$$\|\xi_i u^q\|_{2\sigma} \leq c_6 \sqrt{q} (2^{i+3} + q^{\frac{\sigma}{2}}) \|\chi_i u^q\|_2$$

where  $\chi_i$  is the characteristic function of support( $\xi_i$ ). Squaring the above inequality we obtain

$$(3.12) \quad \left( \int_{B_{i+1}} |\mathcal{A}|^{2q\sigma} \right)^{\frac{1}{\sigma}} \leq c_6^2 q (2^{i+3} + q^{\frac{\sigma}{2}})^2 \int_{B_i} |\mathcal{A}|^{2q}.$$

Let  $2q = m\sigma^i$  and observe that, for this choice of  $q$  we have  $c_6^2 q(2^{i+3} + q^{\frac{i\sigma}{2}}) \leq c_7^i$  for some constant  $c_7$  depending only on  $m$ . Hence from (3.12) we obtain

$$(3.13) \quad \left( \int_{B_{i+1}} |\mathcal{A}|^{m\sigma^{i+1}} \right)^{\frac{1}{\sigma}} \leq c_7^i \int_{B_i} |\mathcal{A}|^{m\sigma^i}.$$

Define  $I_i = \left( \int_{B_i} |\mathcal{A}|^{m\sigma^i} \right)^{\frac{1}{\sigma^i}}$ . From (3.13) we get  $I_{i+1} \leq c_7^{\frac{i}{\sigma}} I_i$ . Since  $\sigma > 1$  the series  $\sum_{i=1}^{\infty} \frac{i}{\sigma^i}$  converges. Thus there exist a real number  $c$  depending only on  $m$  such that

$$I_{i+1} \leq c^m I_0.$$

This implies the norm  $L^\infty$  of  $|\mathcal{A}|^m$  over the ball  $B(\frac{1}{4})$  is bounded by  $c^m \int_{B(1)} |\mathcal{A}|^m$  which is the conclusion of the lemma for  $m \geq 3$ .  $\square$

*case  $m = 2$ .* We prove first there exist  $\delta$  such that if  $(\int_{B(1)} |\mathcal{A}|^2)^{\frac{1}{2}} \leq \delta$  then the operator  $\mathbf{L} = -\Delta + 2 - |\mathcal{A}|^2$  is positive defined on the ball  $B(\frac{1}{2})$ . In the case  $n = 3$  this means that the ball  $B(\frac{1}{2})$  is stable.

Let  $\xi$  be a smooth compact supported function on  $B(1)$  and  $\chi$  the characteristic function of the support of  $\xi$ . From the Sobolev inequality (3.3) we have

$$\|\xi u^2\|_2 \leq 2c_2(\|\xi u \nabla u\|_1 + \|u^2 \nabla \xi\|_1)$$

and by the Cauchy-Schwartz inequality we get

$$\|\xi u^2\|_2 \leq 2c_2 \|\chi u\|_2 (\|\xi \nabla u\|_2 + \|u \nabla \xi\|_2)$$

Taking  $q = 1$  in (3.2) and rearranging terms we obtain

$$(3.14) \quad \|\xi \nabla u\|_2 \leq 2c_1(\|\xi u\|_2 + \|\xi u^2\|_2 + \|u \nabla \xi\|_2)$$

and from these last two inequalities we get

$$(3.15) \quad \|\xi \nabla u\|_2 \leq c_8(\|\xi u\|_2 + \|\chi u\|_2(\|\xi \nabla u\|_2 + \|u \nabla \xi\|_2) + \|u \nabla \xi\|_2)$$

for some constant  $c_8$  which does not depends on  $\xi$ . Let  $\delta_1 = \min(\frac{1}{2c_8}, 1)$  and suppose

$$(3.16) \quad \left( \int_{B(1)} |\mathcal{A}|^2 \right)^{\frac{1}{2}} \leq \delta_1.$$

From (3.15) we get

$$(3.17) \quad \|\xi \nabla u\|_2 \leq 2c_8 (\|\xi u\|_2 + 2\|u \nabla \xi\|_2)$$

for all  $\xi$  compactly supported in  $B(1)$ .

Now take  $\xi$  with compact support in  $B(1)$  and satisfying

$$\xi = \begin{cases} 1 & ; \text{ on } B(\frac{3}{4}) \\ 0 & ; \text{ on } B(1) \setminus B(\frac{3}{4}) \end{cases}$$

$$0 \leq \xi \leq 1 \quad ; \quad |\nabla \xi| \leq 8.$$

For any such  $\xi$  we have, from (3.17)

$$(3.18) \quad \|\xi \nabla u\|_2 \leq 20c_8 \|\chi u\|_2$$

Let  $\phi$  be a smooth compactly supported function on  $B(\frac{1}{2})$  and let  $\xi$  be as above, so that (3.18) is verified. From Sobolev inequality (3.3) with  $\xi$  replaced by  $\xi\phi$  and from Schwartz inequality we have

$$(3.19) \quad \frac{1}{2c_2} \|\mathcal{A}\phi\xi\|_2 \leq \|\xi \nabla |\mathcal{A}|\|_2 \|\phi\|_2 + \|\xi \mathcal{A}\|_2 \|\nabla \phi\|_2 + \|\phi\|_2 \|\mathcal{A}|\nabla \xi\|_2.$$

By (3.18) there exist  $\delta < \delta_1$  such that if

$$\left( \int_{B(1)} |\mathcal{A}|^2 \right)^{\frac{1}{2}} \leq \delta$$

then

$$\|\xi \nabla |\mathcal{A}|\|_2 < \frac{1}{4c_2} \quad ; \quad \|\xi \mathcal{A}\|_2 < \frac{1}{4c_2} \quad ; \quad \|\mathcal{A}|\nabla \xi\|_2 < \frac{1}{4c_2}.$$

Therefore, if  $\left( \int_{B(1)} |\mathcal{A}|^2 \right)^{\frac{1}{2}} \leq \delta$  we have, from (3.19),

$$(3.20) \quad \int_M |\mathcal{A}|^2 \phi^2 \leq 2 \int_M \phi^2 + \int_M |\nabla \phi|^2$$

for all  $\phi \in C_c^\infty(B(\frac{1}{2}))$ .

Since the immersion is minimal we have by the Gauss equation  $K = -1 - \frac{1}{2}|\mathcal{A}|^2$ . Also our surface satisfies the ‘‘stability’’ equation (3.20) on the compact ball of radius  $\frac{1}{2}$ , the Sobolev inequality (3.3) and Simon’s inequality (3.1). So

we have all the requirements to apply Schoen's stability result [16]: there exists constants  $c_9, c_{10}$  and  $0 < \mu \leq \frac{1}{2}$ , not depending on the immersion such that

$$(3.21) \quad \begin{cases} \int_{B(\mu)} (1 + |\mathcal{A}|^2) & \leq c_9 \\ \sup_{B(\mu)} |\mathcal{A}| & \leq c_{10} \end{cases}$$

This enable us to find a bound for  $|\mathcal{A}|$  on  $B(\frac{\mu}{4})$  in terms of the  $L_2$  norm of  $|\mathcal{A}|$  on  $B(\mu)$ . From (3.4) we have, with  $r = \frac{4}{3}$ ,

$$\|\xi |\mathcal{A}|^q\|_4 \leq c_{11} \|\nabla \xi |\mathcal{A}|^q\|_{\frac{4}{3}}$$

for some constant  $c_{11}$  and for any function  $\xi$  compactly supported in  $B(\mu)$ . From Holder inequality and the estimate on the area given by (3.21) we get

$$\|\xi |\mathcal{A}|^q\|_4 \leq c_{12} \|\nabla \xi |\mathcal{A}|^q\|_2$$

for some constant  $c_{12}$  which does not depends on the immersion. By (3.2) and the bound of  $|\mathcal{A}|$  on  $B(\mu)$  we obtain, for some constant  $c_{13}$ ,

$$\|\xi |\mathcal{A}|^q\|_4 \leq c_{13} \sqrt{q} \left( \sup_{B(\mu)} |\xi| + \sup_{B(\mu)} |\nabla \xi| \right) \|\chi |\mathcal{A}|^q\|_2.$$

where  $\chi$  is the characteristic function of  $B(\mu)$ .

An iteration method analogous to that used in the proof of case  $m \geq 3$  gives that there exists constants  $c_{14}$  and  $\epsilon < \delta$  such that if  $\left( \int_{B(1)} |\mathcal{A}|^2 \right)^{\frac{1}{2}} \leq \epsilon$  then

$$\sup_{B(\frac{\epsilon}{4})} |\mathcal{A}| \leq c_{14} \left( \int_{B(\epsilon)} |\mathcal{A}|^2 \right)^{\frac{1}{2}}$$

and this finishes the proof of the lemma.  $\square$

Now theorem A is an easy consequence of lemma 3.1. In fact, for a cmi  $M^m \hookrightarrow \mathbb{H}^n$  satisfying  $\int_M |\mathcal{A}|^m < \infty$ , there exists  $r_0 > 0$  such that

$$\left( \int_{M \setminus B(r_0)} |\mathcal{A}|^m \right)^{\frac{1}{m}} \leq \epsilon,$$

$\epsilon$  as in lemma 3.1. Thus we have

$$\sup_{M \setminus B(r+1)} |\mathcal{A}| \leq c \left( \int_{M \setminus B(r)} |\mathcal{A}|^m \right)^{\frac{1}{m}}$$

for  $r \geq r_0$ . Therefore  $|\mathcal{A}|(p)$  goes uniformly to 0 as  $p \rightarrow \infty$ . The result now follows from theorem 2.1 of section 2.

Another consequence of lemma 3.1 is a “topological gap phenomenon”

**Corollary 3.2.** *Let  $M^m \hookrightarrow \mathbb{H}^n$  be a connected complete minimal immersion. Then there exists  $\bar{\epsilon}$  such that if  $\int_M |\mathcal{A}|^m \leq \bar{\epsilon}$  then  $M$  is simply connected.*

*Proof.* It suffices to take  $\bar{\epsilon} = \epsilon/c$  for  $\epsilon$  and  $c$  as in lemma 3.1. Thus  $|\mathcal{A}| \leq 1$  on  $M$ . If  $\pi_1(M)$  is non trivial then there exists a geodesic  $\gamma$  of  $M$  with coincident ending points. As  $M$  is minimal  $|\tilde{\nabla}_\gamma \gamma'| \leq 1$ . The contradiction follows from lemma 2.3. Thus  $\pi_1(M)$  is trivial.  $\square$

**3.3. Proof of theorem B.** The assertion about the conformal type will be proved in the next section.

### 3.4. $\partial_\infty(M)$ is a Lipschitz.

*Proof.* We assume  $M$  is orientable. From theorem A we know that a cmi  $\varphi: M^2 \hookrightarrow \mathbb{H}^n$  satisfying  $\int_M |\mathcal{A}|^2 < \infty$  is properly immersed and  $|\mathcal{A}|(p) \rightarrow 0$  as  $p \rightarrow \infty$ . In particular  $M$  meets transversally the geodesic spheres  $S_r$  centered at a fixed point  $O \in \mathbb{H}^n$ , for  $r > \bar{r}$ ,  $\bar{r}$  large enough. Let  $\Sigma(r) = M \cap S_r$  as in section 2. Recall that for  $p \in \Sigma(\bar{r})$  and  $t \geq 0$ ,  $f(p, t)$  is the norm of  $d\Psi_t(\eta(p))$  where  $\Psi_t$  is the flow of  $Y = \nabla r/|\nabla r|^2$  and  $\eta$  is the unitary vector field defined on  $M \setminus B(\bar{r})$  and orthogonal to  $\xi = \nabla r/|\nabla r|$ . Let  $l$  be the length of  $\Sigma(\bar{r})$  and let  $\gamma: [0, l] \rightarrow \Sigma(\bar{r})$  be a parametrization of  $\Sigma(\bar{r})$  by arc length. Define

$$x: [0, l] \times [0, \infty) \mapsto M \setminus B(\bar{r})$$

$$x(\theta, t) = \Psi_t(\gamma(\theta))$$

and remark that  $\frac{\partial x}{\partial \theta}(\theta, t) = f(\theta, t)\eta(\theta, t)$  where  $f(\theta, t) = f(\gamma(\theta), t)$  and  $\eta(\theta, t) = \eta(x(\theta, t))$ . Also  $\frac{\partial x}{\partial t}(\theta, t) = Y(x(\theta, t))$ . In the coordinate system given by  $x$  the area element is  $dS = (f/|\nabla r|)d\theta dt$ . Set  $\alpha(\theta, t) = \alpha(x(\theta, t))$ , where  $\alpha = \sqrt{1 - |\nabla r|^2}$ . When  $H = 0$ , equations (2.5), (2.6) and (2.9) give

$$(3.22) \quad \frac{1}{2} \frac{\partial}{\partial t} \alpha^2 = -\langle \mathcal{A}(\xi, \xi), \frac{\partial}{\partial d} \rangle - \alpha^2 \coth(\bar{r} + t)$$

$$(3.23) \quad \frac{1}{2} \frac{\partial \alpha^2}{\partial \theta} = -f \langle \mathcal{A}(\eta, \xi), \frac{\partial}{\partial d} \rangle \sqrt{1 - \alpha^2}$$

$$(3.24) \quad \frac{1}{f} (1 - \alpha^2) \frac{\partial}{\partial t} f = \coth(\bar{r} + t) - \langle \frac{\partial}{\partial d}, \mathcal{A}(\xi, \xi) \rangle.$$

From (3.22) and (3.24) we obtain

$$(3.25) \quad \frac{1}{f} \frac{\partial}{\partial t} f = \coth(\bar{r} + t) + \frac{2\alpha^2}{1 - \alpha^2} \coth(\bar{r} + t) + \frac{1}{2} \frac{\partial}{\partial t} \ln(1 - \alpha^2).$$

Assume for the moment that there exists a positive real number  $C$  such that

$$(3.26) \quad \int_0^\infty \alpha^2(\theta, t) dt \leq C \quad ; \quad \forall \theta \in [0, l].$$

Since  $|\mathcal{A}|(p) \rightarrow 0$  as  $p \rightarrow \infty$  we take  $\bar{r}$  large enough to have  $|\mathcal{A}| \leq \frac{1}{2}$  and  $\alpha^2 \leq \frac{1}{4}$  on  $M \setminus B(\bar{r})$ . This is possible by lemma 2.4. Integrating both sides of (3.25) and using (3.26) we obtain

$$(3.27) \quad f(p, t) = e^{t+h(p,t)}$$

for some bounded function  $h(p, t)$  defined on  $M \setminus B(\bar{r})$ .

Let  $\chi: \Sigma(\bar{r}) \times [0, \infty) \rightarrow U_1$  be as in the proof of theorem 2.1,  $U_1$  the unit sphere of  $T_O\mathbb{H}^n$ , so that  $\chi_t(p) = \chi(p, t)$  is just the projection of the curve  $\Sigma(\bar{r} + t)$  in the sphere at infinity  $\partial_\infty\mathbb{H}^n \cong U_1$ . From equation (1.2) we have that

$$|(d\chi_t)(\eta(p))| = \frac{f(p, t)}{\sinh r}$$

and hence, by (3.27), we get a bound for the length of the immersions  $\chi_t(\Sigma(\bar{r}))$ . But a sequence of uniformly convergent curves of  $U_1$  whose lengths are uniformly bounded converges to a Lipschitz curve of  $U_1$ .

To prove (3.26) we first prove that  $\alpha \in L_2(M)$ .

As  $\alpha^2 \leq \frac{1}{4}$  on  $M \setminus B(\bar{r})$  we have  $|\nabla r| \geq \frac{3}{4}$  and therefore  $f d\theta dt \leq dA \leq \frac{4}{3} f d\theta dt$ . Let  $D_t = \{\Psi_s(p) \mid p \in \Sigma(\bar{r}); 0 \leq s \leq t\}$  be the annuli of  $M$  bounded by  $\Sigma(\bar{r} + t)$  and  $\Sigma(\bar{r})$ . Since  $\langle \mathcal{A}(\xi, \xi), \frac{\partial}{\partial d} \rangle = \langle (\tilde{\nabla}_\xi \xi)^\perp, (\frac{\partial}{\partial d})^\perp \rangle$ , by the Cauchy-Schwartz inequality we have

$$(3.28) \quad |\langle \mathcal{A}(\xi, \xi), \frac{\partial}{\partial d} \rangle| \leq |\mathcal{A}| \sqrt{1 - |\nabla r|^2} = |\mathcal{A}| \alpha.$$

From (3.22) and (3.24) we get

$$\frac{\partial}{\partial t} (\alpha^2 f) + \frac{1 - 2\alpha^2}{1 - \alpha^2} f \alpha^2 \coth(\bar{r} + t) = - \left( \frac{3 - \alpha^2}{1 - \alpha^2} \right) f \langle \mathcal{A}(\xi, \xi), \frac{\partial}{\partial d} \rangle$$

and, making use of (3.28), we have

$$\frac{\partial}{\partial t}(\alpha^2 f) + \frac{1}{2} \frac{f \alpha^2}{|\nabla r|} \leq \frac{4}{3} \frac{f |\mathcal{A}| \alpha}{|\nabla r|}.$$

Integrating this inequality over  $[0, l] \times [0, t]$ , using Holder inequality in the right term and remembering that  $f(\theta, 0) = 1, \forall \theta \in [0, l]$ , we obtain

$$\int_0^l \alpha^2 f(\theta, t) d\theta - \int_0^l \alpha^2(\theta, 0) d\theta + \frac{1}{2} \int_{D_t} \alpha^2 \leq \frac{4}{3} \left( \int_{D_t} |\mathcal{A}|^2 \right)^{\frac{1}{2}} \left( \int_{D_t} \alpha^2 \right)^{\frac{1}{2}}.$$

As  $f > 0$  this implies that  $\alpha \in L_2(M)$ . By equation (3.24) and the fact that  $|\mathcal{A}| < 1$  on  $M \setminus B(\bar{r})$  we have  $\frac{\partial f}{\partial t} > 0$  for  $t \geq 0$ . Thus  $f \geq 1$  on  $M \setminus B(\bar{r})$ . As  $\alpha \in L_2(M)$ , this implies that the integral  $\int_0^l \int_0^\infty \alpha^2 d\theta dt$  is finite. Hence for almost all  $\theta \in [0, l]$  the integral  $\int_0^\infty \alpha^2(\theta, t) dt$  is finite. Changing the parametrization of  $\Sigma(\bar{r})$  if necessary we can assume that

$$\int_0^\infty \alpha^2(0, t) dt < \infty.$$

Define  $I(\theta, t) = \int_0^t \alpha^2(\theta, s) ds$ . From (3.23) we have for  $\theta_0 \in [0, l]$ ,

$$I(\theta_0, t) - I(0, t) = \int_0^{\theta_0} \frac{\partial I}{\partial \theta}(\theta, t) d\theta \leq 2 \int_0^{\theta_0} \int_0^t f |\mathcal{A}| \alpha dt d\theta.$$

Thus, since  $dA \geq f d\theta dt$ , we have by the Cauchy-Schwartz inequality

$$I(\theta_0, t) \leq I(0, t) + 2 \left( \int_M |\mathcal{A}|^2 \right)^{\frac{1}{2}} \left( \int_M \alpha^2 \right)^{\frac{1}{2}}. \quad \square$$

### 3.5. The operator $\mathbf{L} = -\Delta + 2 - |\mathcal{A}|^2$ has finite index.

*Proof.* From theorem A we know that  $|\mathcal{A}|(p) \rightarrow 0$  as  $p \rightarrow \infty$ , so for any  $\epsilon \in (0, 2)$  there exists a compact set  $N_\epsilon \subset M$  such that

$$(\mathbf{L}\phi, \phi) \geq (-\Delta\phi + \epsilon\phi, \phi) \quad \phi \in C_c^\infty(M \setminus N_\epsilon).$$

Therefore the spectrum of the restriction  $\mathbf{L}_N$  of  $\mathbf{L}$  to the exterior of  $N_\epsilon$  is contained in the interval  $[\epsilon, \infty)$ . By theorem 1.3 we have that the essential spectrum of  $\mathbf{L}$  is contained in  $[\epsilon, \infty)$ . Thus for any  $\delta < \epsilon$ , the number of eigenvalues of  $\mathbf{L}$  smaller than  $\delta$  is finite. In particular the index of  $\mathbf{L}$  is finite.  $\square$

## 4. CONFORMAL TYPE OF MINIMAL SURFACES

It's well known that there exists no complete conformal metric  $ds^2 = e^{2u}|dz|$  on the complex plane  $\mathbb{C}$ , whose Gauss curvature satisfies  $K \leq -1$ . In this section we prove that any conformal metric on the complex plane, whose Gauss curvature is sufficiently negative outside a compact set must necessarily have non-negative total curvature. This will enable us to prove that the punctured disk  $D^* = \{0 < |z| < 1\}$  can't be conformally immersed in  $\mathbb{H}^n$  in such a manner that the immersion is a complete (at the origin) minimal surface.

**Lemma 4.1.** *Let  $ds^2 = e^{2u}|dz|$  be a conformal metric defined on  $\mathbb{C}$ . Suppose that the Gauss curvature satisfies  $K(z) \leq -1/|z|^2$  outside a compact set  $\Omega \subset \mathbb{C}$ . Then  $\int_{\mathbb{C}} K dA \geq 0$ .*

*Proof.* Let  $(\rho, \theta)$  be the polar coordinates of  $\mathbb{C}$  and let  $dA = e^{2u}\rho d\rho d\theta$  be the area element for the metric  $ds^2$ . For  $r > 0$  we integrate both sides of the Gauss equation  $\Delta u = -Ke^{2u}$  over the disc  $\{|z| \leq r\}$  to obtain

$$\int_{|z| \leq r} \Delta u dx dy = - \int_0^r \int_0^{2\pi} e^{2u} K \rho d\rho d\theta = - \int_{|z| \leq r} K dA.$$

Let  $I(r) = \frac{1}{2\pi} \int_0^{2\pi} u(r, \theta) d\theta$  and denote by  $I'(r)$  the derivative of  $I(r)$ . By the Green's formula and the above equation we get

$$(4.1) \quad rI'(r) = \frac{1}{2\pi} \int_0^{2\pi} \frac{\partial u}{\partial r}(r, \theta) r d\theta = - \frac{1}{2\pi} \int_0^r \int_0^{2\pi} e^{2u} K \rho d\rho d\theta.$$

Taking derivatives with respect to  $r$  gives

$$\frac{1}{r} [rI'(r)]' = - \frac{1}{2\pi} \int_0^{2\pi} e^{2u} K d\theta.$$

Let  $r_0 > 0$  be such that  $\Omega \subset \{|z| < r_0\}$ . Then, for  $|z| \geq r_0$  we have  $K(z) \leq -1/|z|^2$  and by Jensen's inequality we get

$$(4.2) \quad r[rI'(r)]' \geq \frac{1}{2\pi} \int_0^{2\pi} e^{2u(r, \theta)} d\theta \geq e^{\frac{1}{2\pi} \int_0^{2\pi} 2u(r, \theta) d\theta} = e^{2I(r)}.$$

Set  $t = \ln r$ , and  $m(t) = I(e^t)$ . Denoting derivatives with respect to  $t$  by a dot we have

$$\dot{m}(t) = (rI'(r))(e^t) \quad \text{and} \quad \ddot{m}(t) = (r[rI'(r)]')(e^t)$$

From the above we get, with  $t_0 = \log r_0$ ,

$$\begin{aligned}\dot{m}(t) &= -\frac{1}{2\pi} \int_{|z| \leq e^t} K dA \\ \ddot{m}(t) &\geq e^{2m(t)} \quad \text{for } t \geq t_0.\end{aligned}$$

Suppose now that the conclusion of the lemma is not verified. From the above equations and the fact that the integral of  $K$  over the disc  $\{|z| \leq e^t\}$  is a decreasing function of  $t$  for  $t$  large, there exists real numbers  $a > 0$  and  $t_1 > t_0$  such that  $\dot{m}(t) \geq a$  for all  $t \geq t_1$ . Thus

$$\frac{d}{dt}(\dot{m}(t))^2 = 2\dot{m}(t)\ddot{m}(t) \geq 2\dot{m}(t)e^{2m(t)} = \frac{d}{dt}e^{2m(t)} \quad ; \quad t \geq t_1.$$

Integrating both sides from  $t_1$  to  $t \geq t_1$  we get

$$\dot{m}^2(t) \geq e^{2m(t)}(1 + ce^{-2m(t)}) \quad ; \quad c = \dot{m}^2(t_1) - e^{2m(t_1)}.$$

Since  $\dot{m}(t) \geq a$  for  $t \geq t_1$  we have  $m(t) \rightarrow \infty$  as  $t \rightarrow \infty$ . Take  $t_2 > t_1$  such that for  $t \geq t_2$  we have  $ce^{-2m(t)} > -\frac{1}{4}$ . Thus for  $t \geq t_2$  we obtain

$$\dot{m}(t)e^{-m(t)} \geq \frac{1}{2}$$

and integrating both sides from  $t_2$  to  $t \geq t_2$  we get

$$-e^{-m(t)} + e^{-m(t_2)} \geq \frac{1}{2}(t - t_2).$$

But the left side of this inequality is bounded and the variable  $t$  is supposed to be defined all over the reals. This contradiction establishes that for  $t$  large enough we have  $\int_{|z| \leq e^t} K dA > 0$ , that proves the lemma.  $\square$

For the sake of completeness we prove the following known lemma.

**Lemma 4.2.** *Let  $ds^2 = e^{2u}|dz|$  be a complete conformal metric defined on  $\mathbb{C}$  such that the Gauss curvature  $K$  satisfies  $K \leq -1$  outside some compact set. Let  $d(z)$  be the distance from the origin with respect to the metric  $ds^2$  and let  $B(r) = \{z \mid d(z) \leq r\}$  be the geodesic ball of radius  $r$ . Let  $L(r)$  denote the length of  $\partial B(r)$ . Then  $L(r) \rightarrow 0$  as  $r \rightarrow \infty$ .*

*Proof.* By the precedent lemma  $\int_{\mathbb{C}} K dA$  exists and is non-negative. As  $K \leq -1$  outside a compact set, the total area of the complete surface  $(\mathbb{C}, ds^2)$  is finite. A result of Huber [13, theorem 12] tells us that for a complete surface of finite total curvature and finite total area we have equality in the Cohn-Vossen inequality; thus

$$\int_{\mathbb{C}} K dA = 2\pi\chi(\mathbb{C}) = 2\pi$$

where  $\chi(\mathbb{C})$  is the Euler characteristic of the plane. For almost all  $r$  the boundary  $\partial B(r)$  is a finite union of piecewise differentiable Jordan curves and for those  $r$  the derivative  $L'(r)$  exists and satisfies [18, theorem 1]

$$L'(r) \leq 2\pi(2 - 2h(r) - c(r)) - \int_{B(r)} K dA$$

where  $c(r)$  = number of connected components of  $\partial B(r)$  and  $h(r)$  = number of handles inside  $B(r)$ . In our case  $h(r) = 0$  and  $c(r) \geq 1$ , so

$$L'(r) \leq 2\pi - \int_{B(r)} K dA$$

Let  $r_0$  be such that for  $r \geq r_0$  we have  $K \leq -1$  on  $\mathbb{C}^* \setminus B(r_0)$ . Thus, for  $r \geq r_0$ ,  $\int_{B(r)} K dA$  is a decreasing function of  $r$  which goes to  $2\pi$  as  $r \rightarrow \infty$ . Hence  $L'(r) < 0$  for  $r \geq r_0$ . By the co-area formula we have

$$\int_0^\infty L(r) dr \leq \int_0^\infty \left( \int_{\partial B(r)} |\nabla r|^{-1} ds \right) dr = \text{Area}(\mathbb{C}, ds^2).$$

Therefore  $\int_0^\infty L(r) dr < \infty$  and  $L'(r) < 0$  for almost all  $r \geq r_0$  and this implies that  $L(r) \rightarrow 0$  as  $r \rightarrow \infty$ .  $\square$

**4.1. Proof of theorem B (conformal type).** For  $r > 0$ , we denote by  $D^*(r)$  the punctured disc  $\{0 < |z| < r\}$  and we let  $D^*$  be the unit punctured disc. The assertion about the conformal type of the ends of a minimal surface in hyperbolic space is a consequence of the following

**Lemma 4.3.** *Let  $x: D^* \hookrightarrow \mathbb{H}^n$  be a conformal minimal immersion. Then there exists a path  $\gamma: [0, 1) \rightarrow D^*$  converging to the origin 0 as  $t \rightarrow 1$  and such that  $\int_\gamma ds < \infty$ , where  $ds^2$  is the metric on  $D^*$  induced by the immersion  $x$ .*

*Proof.* We consider the Poincaré model of  $\mathbb{H}^n$  so that  $\mathbb{H}^n$  is the unit ball  $\{|x| < 1\}$  of  $\mathbb{R}^n$  endowed with the metric  $d\eta^2 = 4|dx|^2/(1 - |x|^2)^2$ . The area element  $dA$  of the metric  $ds^2$  is given by

$$(4.3) \quad dA = \frac{1}{2} \|\nabla x\|^2 dudv$$

where  $z = u + iv$  is a point of  $\mathbb{C}$  and  $\|\nabla x\|^2 = \frac{4}{1-|x|^2}(|x_u|^2 + |x_v|^2)$  is the hyperbolic norm of  $\nabla x = (\nabla x^1, \dots, \nabla x^n)$ .

As  $x$  is minimal we have by Gauss equation  $K \leq -1$  on  $D^*$ . Extend the metric  $ds^2$  to a smooth metric  $d\bar{s}^2$  on  $\mathbb{C}^* \cup \{\infty\}$  such that inside  $D^*(\frac{1}{2})$  it coincides with  $ds^2$ . Let us suppose that the conclusion of the lemma does not hold. This means the metric  $d\bar{s}^2$  is a complete conformal metric on  $\mathbb{C}^* \cup \{\infty\}$  satisfying  $K \leq -1$  outside some compact set. By lemma 4.1 the total curvature is finite and in particular the area  $\int_{D^*(\frac{1}{2})} dA$  of  $x(D^*(\frac{1}{2}))$  is finite. Therefore

$$(4.4) \quad \int_{D(\frac{1}{2})} \|\nabla x\|^2 dudv < \infty.$$

Also, by the monotonicity theorem of Anderson [1],  $x(D^*(\frac{1}{2}))$  is contained in a compact set of  $\mathbb{H}^n$ ; otherwise  $x(D^*(\frac{1}{2}))$  would have infinite area. This fact and (4.4) implies that the restriction of the immersion  $x$  to  $D^*(\frac{1}{2})$  belongs to  $H_2^1(D(\frac{1}{2}), \mathbb{H}^n)$ , the space of maps  $f: D(\frac{1}{2}) \mapsto \mathbb{H}^n$  such that  $f$  and  $|\nabla f|$  belong to  $L_2(D(\frac{1}{2}))$  (v. [10]).

On  $D^*(\frac{1}{2})$  the conformal minimal immersion  $x$  satisfies the system of equations

$$(4.5) \quad \Delta x^i = F^i(x, \nabla x) \quad ; \quad \text{for } i = 1, \dots, n$$

where

$$F^i(x, \nabla x) = \frac{2}{1 - |x|^2} \left( x^i |\nabla x|^2 - 2\langle x, x_u \rangle x_u^i - 2\langle x, x_v \rangle x_v^i \right).$$

We assert that  $x$  is a weak solution of (4.5) on  $D(\frac{1}{2})$ . In fact if  $\phi = (\phi^1, \dots, \phi^n)$  is a smooth map compactly supported in  $D(\frac{1}{2})$  then the integrals

$$I_i = \int_{D(\frac{1}{2})} \left( \langle \nabla x^i, \nabla \phi^i \rangle + F^i(x, \nabla x) \phi^i \right) dudv \quad ; \quad i = 1, \dots, n$$

are well defined since  $x$  is bounded in  $D(\frac{1}{2})$  and  $x \in H_2^1(D(\frac{1}{2}), \mathbb{H}^n)$ . Let  $z_0 \in \mathbb{C}^*$  be a fixed point and let  $B(r)$  be the geodesic ball for the metric  $d\tilde{s}^2$ , of radius  $r$  and centered in  $z_0$ . For  $i = 1, \dots, n$  the integrals  $I_i$  can be written as

$$I_i = \lim_{r \rightarrow \infty} \int_{D^*(\frac{1}{2}) \cap B(r)} \left( \langle \nabla x^i, \nabla \phi^i \rangle + F^i(x, \nabla x) \phi^i \right) dudv.$$

Observe that for  $r$  large enough the boundary  $\partial B(r)$  is contained in  $D(\frac{1}{2})$  and that, by lemma 4.2, the length of  $\partial B(r)$  goes to 0 as  $r \rightarrow \infty$ . Let  $\{r_k\}$ ,  $k \in \mathbb{N}$ , be a sequence with  $r_k \rightarrow \infty$  as  $k \rightarrow \infty$ , and such that  $\partial B(r_k)$  is a finite union of piecewise smooth curves. By equation (4.4) and Green's formula we get

$$I_i = \lim_{k \rightarrow \infty} \int_{\partial B(r_k)} \phi^i \frac{\partial x^i}{\partial \nu} |dz|$$

where  $\nu$  is the interior normal to  $\partial B(r_k)$ , defined but for a finite number of points. Since  $|\frac{\partial x^i}{\partial \nu}| \leq |\nabla x|$  we have that  $|\frac{\partial x^i}{\partial \nu}| |dz| \leq ds$  on  $D^*(\frac{1}{2})$ . Hence

$$|I_i| \leq \left( \max_{D(\frac{1}{2})} |\phi| \right) \int_{\partial B(r_k)} ds.$$

As the length of  $\partial B(r_k)$  goes to 0 as  $k \rightarrow \infty$  we have  $I_i = 0$  for  $i = 1, \dots, n$ , and therefore  $x$  is a weak solution of (4.5) on  $D(\frac{1}{2})$ . By the regularity result of Grüter [10, theorem 3.8] a minimal immersion  $x$  as above is of class  $C^{1,\alpha}$  on  $D(\frac{1}{2})$ , for all  $0 < \alpha < 1$ . But this implies that any path  $\gamma$  converging to the origin and having finite Euclidean length has also finite length in the induced metric  $ds$ . This contradiction establishes the lemma.  $\square$

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