

# Stability and area growth of $\lambda$ -hypersurfaces

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In this paper, We define a  $\mathcal{F}$ -functional and study  $\mathcal{F}$ -stability of  $\lambda$ -hypersurfaces, which extend a result of Colding-Minicozzi [6]. Lower bound growth and upper bound growth of area for complete and non-compact  $\lambda$ -hypersurfaces are studied.

<b>1</b>	<b>Introduction</b>	<b>1059</b>
<b>2</b>	<b>The first variation of <math>\mathcal{F}</math>-functional</b>	<b>1061</b>
<b>3</b>	<b>The second variation of <math>\mathcal{F}</math>-functional</b>	<b>1065</b>
<b>4</b>	<b>The weak stability of the weighted area functional for weighted volume-preserving variations</b>	<b>1073</b>
<b>5</b>	<b>Properness and polynomial area growth for <math>\lambda</math>-hypersurfaces</b>	<b>1077</b>
<b>6</b>	<b>A lower bound growth of the area for <math>\lambda</math>-hypersurfaces</b>	<b>1079</b>
	<b>References</b>	<b>1090</b>

## 1. Introduction

Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be a smooth  $n$ -dimensional immersed hypersurface in the  $(n + 1)$ -dimensional Euclidean space  $\mathbb{R}^{n+1}$ . A family  $X(\cdot, t)$  of smooth

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immersions:

$$X(\cdot, t) : M \rightarrow \mathbb{R}^{n+1}$$

with  $X(\cdot, 0) = X(\cdot)$  is called a mean curvature flow if they satisfy

$$\frac{\partial X(p, t)}{\partial t} = \mathbf{H}(p, t),$$

where  $\mathbf{H}(t) = \mathbf{H}(p, t)$  denotes the mean curvature vector of hypersurface  $M_t = X(M^n, t)$  at point  $X(p, t)$ . Huisken [9] proved that the mean curvature flow  $M_t$  remains smooth and convex until it becomes extinct at a point in the finite time. If we rescale the flow about the point, the rescaling converges to the round sphere. An immersed hypersurface  $X : M \rightarrow \mathbb{R}^{n+1}$  is called a *self-shrinker* if

$$H + \langle X, N \rangle = 0,$$

where  $H$  and  $N$  denote the mean curvature and the unit normal vector of  $X : M \rightarrow \mathbb{R}^{n+1}$ , respectively.  $\langle \cdot, \cdot \rangle$  denotes the standard inner product in  $\mathbb{R}^{n+1}$ . It is known that self-shrinkers play an important role in the study of the mean curvature flow because they describe all possible blow ups at a given singularity of the mean curvature flow.

Colding and Minicozzi [6] have introduced a notation of  $\mathcal{F}$ -functional and computed the first and the second variation formulas of the  $\mathcal{F}$ -functional. They have proved that an immersed hypersurface  $X : M \rightarrow \mathbb{R}^{n+1}$  is a self-shrinker if and only if it is a critical point of the  $\mathcal{F}$ -functional. Furthermore, they have given a complete classification of the  $\mathcal{F}$ -stable complete self-shrinkers with polynomial area growth.

In [3], we consider a new type of mean curvature flow:

$$(1.1) \quad \frac{\partial X(t)}{\partial t} = -\alpha(t)N(t) + \mathbf{H}(t),$$

with

$$\alpha(t) = \frac{\int_M H(t) \langle N(t), N \rangle e^{-\frac{|x|^2}{2}} d\mu}{\int_M \langle N(t), N \rangle e^{-\frac{|x|^2}{2}} d\mu},$$

where  $N$  is the unit normal vector of  $X : M \rightarrow \mathbb{R}^{n+1}$ . We define a *weighted volume* of  $M_t$  by

$$V(t) = \int_M \langle X(t), N \rangle e^{-\frac{|x|^2}{2}} d\mu.$$

We can prove that the flow (1.1) preserves the weighted volume  $V(t)$ . Hence, we call the flow (1.1) a *weighted volume-preserving mean curvature flow*.

From a view of variations, self-shrinkers of mean curvature flow can be characterized as critical points of the weighted area functional. In [3], the authors give a definition of weighted volume and study the weighted area functional for variations preserving this volume. Critical points for the weighted area functional for variations preserving this volume are called  $\lambda$ -hypersurfaces by the authors in [3]. Precisely, an  $n$ -dimensional hypersurface  $X : M \rightarrow \mathbb{R}^{n+1}$  in Euclidean space  $\mathbb{R}^{n+1}$  is called a  $\lambda$ -hypersurface if

$$(1.2) \quad \langle X, N \rangle + H = \lambda,$$

where  $\lambda$  is a constant,  $H$  and  $N$  denote the mean curvature and unit normal vector of  $X : M \rightarrow \mathbb{R}^{n+1}$ , respectively.

**Remark 1.1.** *If  $\lambda = 0$ ,  $\langle X, N \rangle + H = \lambda = 0$ , then  $X : M \rightarrow \mathbb{R}^{n+1}$  is a self-shrinkers. Hence, the notation of  $\lambda$ -hypersurfaces is a natural generalization of the self-shrinkers of the mean curvature flow. The equation (1.2) also arises in the Gaussian isoperimetric problem.*

In this paper, we define  $\mathcal{F}$ -functional. The first and second variation formulas of  $\mathcal{F}$ -functional are given. Notation of  $\mathcal{F}$ -stability and  $\mathcal{F}$ -unstability of  $\lambda$ -hypersurfaces are introduced. We prove that spheres  $S^n(r)$  with  $r \leq \sqrt{n}$  or  $r > \sqrt{n+1}$  are  $\mathcal{F}$ -stable and spheres  $S^n(r)$  with  $\sqrt{n} < r \leq \sqrt{n+1}$  are  $\mathcal{F}$ -unstable. In section 4, we study the weak stability of the weighted area functional for the weighted volume-preserving variations. In sections 5 and 6, the area growth of complete and non-compact  $\lambda$ -hypersurfaces are studied.

We should remark that this paper is the second part of our paper [arXiv:1403.3177](https://arxiv.org/abs/1403.3177), which is divided into two parts. The first part has been published [4].

## 2. The first variation of $\mathcal{F}$ -functional

In this section, we will give another variational characterization of  $\lambda$ -hypersurfaces.

The following lemmas can be found in [3].

**Lemma 2.1.** *If  $X : M \rightarrow \mathbb{R}^{n+1}$  is a  $\lambda$ -hypersurface, then we have*

$$(2.1) \quad \mathcal{L}\langle X, a \rangle = \lambda\langle N, a \rangle - \langle X, a \rangle,$$

$$(2.2) \quad \mathcal{L}\langle N, a \rangle = -S\langle N, a \rangle,$$

$$(2.3) \quad \frac{1}{2}\mathcal{L}(|X|^2) = n - |X|^2 + \lambda\langle X, N \rangle,$$

where  $\mathcal{L}$  is an elliptic operator given by  $\mathcal{L}f = \Delta f - \langle X, \nabla f \rangle$ ,  $\Delta$  and  $\nabla$  denote the Laplacian and the gradient operator of the  $\lambda$ -hypersurface, respectively,  $a \in \mathbb{R}^{n+1}$  is constant vector,  $S$  is the squared norm of the second fundamental form.

**Lemma 2.2.** *If  $X : M \rightarrow \mathbb{R}^{n+1}$  is a hypersurface,  $u$  is a  $C^1$ -function with compact support and  $v$  is a  $C^2$ -function, then*

$$(2.4) \quad \int_M u(\mathcal{L}v)e^{-\frac{|X|^2}{2}} d\mu = - \int_M \langle \nabla u, \nabla v \rangle e^{-\frac{|X|^2}{2}} d\mu.$$

**Corollary 2.1.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be a complete hypersurface. If  $u, v$  are  $C^2$  functions satisfying*

$$(2.5) \quad \int_M (|u\nabla v| + |\nabla u||\nabla v| + |u\mathcal{L}v|)e^{-\frac{|X|^2}{2}} d\mu < +\infty,$$

then we have

$$(2.6) \quad \int_M u(\mathcal{L}v)e^{-\frac{|X|^2}{2}} d\mu = - \int_M \langle \nabla u, \nabla v \rangle e^{-\frac{|X|^2}{2}} d\mu.$$

**Lemma 2.3.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be an  $n$ -dimensional complete  $\lambda$ -hypersurface with polynomial area growth, then*

$$(2.7) \quad \int_M (\langle X, a \rangle - \lambda \langle N, a \rangle) e^{-\frac{|X|^2}{2}} d\mu = 0,$$

$$(2.8) \quad \int_M (n - |X|^2 + \lambda \langle X, N \rangle) e^{-\frac{|X|^2}{2}} d\mu = 0,$$

$$(2.9) \quad \int_M \langle X, a \rangle |X|^2 e^{-\frac{|X|^2}{2}} d\mu = \int_M \left( 2n\lambda \langle N, a \rangle + 2\lambda \langle X, a \rangle (\lambda - H) - \lambda \langle N, a \rangle |X|^2 \right) e^{-\frac{|X|^2}{2}} d\mu,$$

$$(2.10) \quad \int_M \langle X, a \rangle^2 e^{-\frac{|X|^2}{2}} d\mu = \int_M \left( |a^T|^2 + \lambda \langle N, a \rangle \langle X, a \rangle \right) e^{-\frac{|X|^2}{2}} d\mu,$$

where  $a^T = \sum_i \langle a, e_i \rangle e_i$ .

$$(2.11) \quad \int_M \left( |X|^2 - n - \frac{\lambda(\lambda - H)}{2} \right)^2 e^{-\frac{|X|^2}{2}} d\mu = \int_M \left\{ \left( \frac{\lambda^2}{4} - 1 \right) (\lambda - H)^2 + 2n - H^2 + \lambda^2 \right\} e^{-\frac{|X|^2}{2}} d\mu.$$

Let  $X(s) : M \rightarrow \mathbb{R}^{n+1}$  a variation of  $X$  with  $X(0) = X$  and  $\frac{\partial}{\partial s} X(s)|_{s=0} = fN$ . For  $X_0 \in \mathbb{R}^{n+1}$  and a real number  $t_0$ ,  $\mathcal{F}$ -functional is defined by

$$\begin{aligned} \mathcal{F}_{X_s, t_s}(s) &= \mathcal{F}_{X_s, t_s}(X(s)) \\ &= (4\pi t_s)^{-\frac{n}{2}} \int_M e^{-\frac{|X(s)-X_s|^2}{2t_s}} d\mu_s \\ &\quad + \lambda(4\pi t_0)^{-\frac{n}{2}} \left(\frac{t_0}{t_s}\right)^{\frac{1}{2}} \int_M \langle X(s) - X_s, N \rangle e^{-\frac{|X-X_0|^2}{2t_0}} d\mu, \end{aligned}$$

where  $X_s$  and  $t_s$  denote variations of  $X_0$  and  $t_0$ . Let

$$\frac{\partial t_s}{\partial s} = h(s), \quad \frac{\partial X_s}{\partial s} = y(s), \quad \frac{\partial X(s)}{\partial s} = f(s)N(s),$$

one calls that  $X : M \rightarrow \mathbb{R}^{n+1}$  is a *critical point* of  $\mathcal{F}_{X_s, t_s}(s)$  if it is critical with respect to all normal variations and all variations in  $X_0$  and  $t_0$ .

**Lemma 2.4.** *Let  $X(s)$  be a variation of  $X$  with normal variation vector field  $\frac{\partial X(s)}{\partial s}|_{s=0} = fN$ . If  $X_s$  and  $t_s$  are variations of  $X_0$  and  $t_0$  with  $\frac{\partial X_s}{\partial s}|_{s=0} = y$  and  $\frac{\partial t_s}{\partial s}|_{s=0} = h$ , then the first variation formula of  $\mathcal{F}_{X_s, t_s}(s)$  is given by*

$$\begin{aligned} (2.12) \quad \mathcal{F}'_{X_0, t_0}(0) &= (4\pi t_0)^{-\frac{n}{2}} \int_M \left( \lambda - (H + \langle \frac{X - X_0}{t_0}, N \rangle) \right) f e^{-\frac{|X-X_0|^2}{2}} d\mu \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \int_M \left( \langle \frac{X - X_0}{t_0}, y \rangle - \lambda \langle N, y \rangle \right) e^{-\frac{|X-X_0|^2}{2}} d\mu \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \int_M \left( \frac{|X - X_0|^2}{t_0} - n - \lambda \langle X - X_0, N \rangle \right) \frac{h}{2t_0} e^{-\frac{|X-X_0|^2}{2}} d\mu. \end{aligned}$$

*Proof.* Defining

$$(2.13) \quad \mathbb{A}(s) = \int_M e^{-\frac{|X(s)-X_s|^2}{2t_s}} d\mu_s, \quad \mathbb{V}(s) = \int_M \langle X(s) - X_s, N \rangle e^{-\frac{|X-X_0|^2}{2t_0}} d\mu,$$

then

$$\begin{aligned} \mathcal{F}'_{X_s, t_s}(s) &= (4\pi t_s)^{-\frac{n}{2}} \mathbb{A}'(s) + \lambda(4\pi t_0)^{-\frac{n}{2}} \left(\frac{t_0}{t_s}\right)^{\frac{1}{2}} \mathbb{V}'(s) \\ &\quad - (4\pi t_s)^{-\frac{n}{2}} \frac{n}{2t_s} h \mathbb{A}(s) - \lambda(4\pi t_0)^{-\frac{n}{2}} \left(\frac{t_0}{t_s}\right)^{\frac{1}{2}} \frac{h}{2t_s} \mathbb{V}(s). \end{aligned}$$

Since

$$\begin{aligned} \mathbb{A}'(s) &= \int_M \left\{ -\left\langle \frac{X(s) - X_s}{t_s}, \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s} \right\rangle + \frac{|X(s) - X_s|^2}{2t_s^2} h \right. \\ &\quad \left. - H_s \left\langle \frac{\partial X(s)}{\partial s}, N(s) \right\rangle \right\} e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s, \\ \mathbb{V}'(s) &= \int_M \left\langle \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s}, N \right\rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu, \end{aligned}$$

we have

$$\begin{aligned} (2.14) \quad \mathcal{F}'_{X_s, t_s}(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M -(H_s + \left\langle \frac{X(s) - X_s}{t_s}, N(s) \right\rangle) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \lambda f \langle N(s), N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\ &\quad + (4\pi t_s)^{-\frac{n}{2}} \int_M \left\langle \frac{X(s) - X_s}{t_s}, y \right\rangle e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \lambda \langle -y, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\ &\quad + (4\pi t_s)^{-\frac{n}{2}} \int_M \left( -\frac{n}{2t_s} + \frac{|X(s) - X_s|^2}{2t_s^2} \right) h e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M -\frac{h\lambda}{2t_s} \langle X(s) - X_s, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu. \end{aligned}$$

If  $s = 0$ , then  $X(0) = X$ ,  $X_s = X_0$ ,  $t_s = t_0$  and

$$\begin{aligned} \mathcal{F}'_{X_0, t_0}(0) &= (4\pi t_0)^{-\frac{n}{2}} \int_M \left( \lambda - \left( H + \left\langle \frac{X - X_0}{t_0}, N \right\rangle \right) \right) f e^{-\frac{|X - X_0|^2}{2}} d\mu \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \int_M \left( \left\langle \frac{X - X_0}{t_0}, y \right\rangle - \lambda \langle N, y \rangle \right) e^{-\frac{|X - X_0|^2}{2}} d\mu \\ &\quad + (4\pi t_0)^{-\frac{n}{2}} \int_M \left( \frac{|X - X_0|^2}{t_0} - n - \lambda \langle X - X_0, N \rangle \right) \frac{h}{2t_0} e^{-\frac{|X - X_0|^2}{2}} d\mu. \end{aligned}$$

□

From Lemma 2.4, we know that if  $X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of  $\mathcal{F}$ -functional  $\mathcal{F}_{X_s, t_s}(s)$ , then

$$H + \left\langle \frac{X - X_0}{t_0}, N \right\rangle = \lambda.$$

We next prove that if  $H + \langle \frac{X-X_0}{t_0}, N \rangle = \lambda$ , then  $X : M \rightarrow \mathbb{R}^{n+1}$  must be a critical point of  $\mathcal{F}$ -functional  $\mathcal{F}_{X_s, t_s}(s)$ . For simplicity, we only consider the case of  $X_0 = 0$  and  $t_0 = 1$ . In this case,  $H + \langle \frac{X-X_0}{t_0}, N \rangle = \lambda$  becomes

$$(2.15) \quad H + \langle X, N \rangle = \lambda.$$

Furthermore, we know that  $X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of the  $\mathcal{F}$ -functional  $\mathcal{F}_{X_s, t_s}(s)$  if and only if  $X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of  $\mathcal{F}$ -functional  $\mathcal{F}_{X_0, t_0}(s)$  with respect to fixed  $X_0$  and  $t_0$ .

**Theorem 2.1.**  *$X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of  $\mathcal{F}_{X_s, t_s}(s)$  if and only if*

$$H + \langle \frac{X - X_0}{t_0}, N \rangle = \lambda.$$

*Proof.* We only prove the result for  $X_0 = 0$  and  $t_0 = 1$ . In this case, the first variation formula (2.12) becomes

$$(2.16) \quad \begin{aligned} \mathcal{F}'_{0,1}(0) &= (4\pi)^{-\frac{n}{2}} \int_M \left( \lambda - (H + \langle X, N \rangle) \right) f e^{-\frac{|X|^2}{2}} d\mu \\ &\quad + (4\pi)^{-\frac{n}{2}} \int_M \left( \langle X, y \rangle - \lambda \langle N, y \rangle \right) e^{-\frac{|X|^2}{2}} d\mu \\ &\quad + (4\pi)^{-\frac{n}{2}} \int_M \left( |X|^2 - n - \lambda \langle X, N \rangle \right) \frac{h}{2} e^{-\frac{|X|^2}{2}} d\mu. \end{aligned}$$

If  $X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of  $\mathcal{F}_{0,1}$ , then  $X : M \rightarrow \mathbb{R}^{n+1}$  should satisfy  $H + \langle X, N \rangle = \lambda$ . Conversely, if  $H + \langle X, N \rangle = \lambda$  is satisfied, then we know that  $X : M \rightarrow \mathbb{R}^{n+1}$  is a  $\lambda$ -hypersurface. Therefore, the last two terms in (2.16) vanish for any  $h$  and any  $y$  from (2.7) and (2.8) of Lemma 2.3. Therefore  $X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of  $\mathcal{F}_{0,1}$ . □

**Corollary 2.2.**  *$X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point of  $\mathcal{F}_{X_s, t_s}(s)$  if and only if  $M$  is the critical point of  $\mathcal{F}$ -functional with respect to fixed  $X_0$  and  $t_0$ .*

### 3. The second variation of $\mathcal{F}$ -functional

In this section, we shall give the second variation formula of  $\mathcal{F}$ -functional.

**Theorem 3.1.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be a critical point of the functional  $\mathcal{F}(s) = \mathcal{F}_{X_s, t_s}(s)$ . The second variation formula of  $\mathcal{F}(s)$  for  $X_0 = 0$  and  $t_0 = 1$  is given by*

$$\begin{aligned}
 (4\pi)^{\frac{n}{2}} \mathcal{F}''(0) &= - \int_M f L f e^{-\frac{|x|^2}{2}} d\mu + \int_M ( -|y|^2 + \langle X, y \rangle^2 ) e^{-\frac{|x|^2}{2}} d\mu \\
 &+ \int_M \left\{ 2\langle N, y \rangle + (n + 1 - |X|^2)\lambda h - 2hH - 2\lambda\langle X, y \rangle \right\} f e^{-\frac{|x|^2}{2}} d\mu \\
 &+ \int_M \left\{ (|X|^2 - n - 1)\langle X, y \rangle \right\} h e^{-\frac{|x|^2}{2}} d\mu \\
 &+ \int_M \left\{ \frac{n^2 + 2n}{4} - \frac{n + 2}{2}|X|^2 + \frac{|X|^4}{4} + \frac{3\lambda}{4}(\lambda - H) \right\} h^2 e^{-\frac{|x|^2}{2}} d\mu,
 \end{aligned}$$

where the operator  $L$  is defined by

$$L = \mathcal{L} + S + 1 - \lambda^2.$$

*Proof.* Let

$$\begin{aligned}
 I(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M -(H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \lambda f \langle N(s), N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu, \\
 II(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M \langle \frac{X(s) - X_s}{t_s}, y \rangle e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \lambda \langle -y, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu, \\
 III(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M ( -\frac{n}{2t_s} + \frac{|X(s) - X_s|^2}{2t_s^2} ) h e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M -\frac{h\lambda}{2t_s} \langle X(s) - X_s, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu,
 \end{aligned}$$

we have

$$\begin{aligned}
 \mathcal{F}'(s) &= I(s) + II(s) + III(s), \quad \mathcal{F}''(s) = I'(s) + II'(s) + III'(s), \\
 I'(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M \frac{nh}{2t_s} (H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M - \left( \frac{dH_s}{ds} + \langle \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s}, N(s) \rangle - \langle \frac{X(s) - X_s}{t_s^2}, N(s) \rangle \right) h \\
 &\quad + \langle \frac{X(s) - X_s}{t_s}, \frac{dN(s)}{ds} \rangle \Big) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s
 \end{aligned}$$



$$\begin{aligned}
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M -(H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) f' e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M (H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) \\
 &\quad \times (\langle \frac{X(s) - X_s}{t_s}, \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s} \rangle + H_s f) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M -(H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) f \frac{|X(s) - X_s|^2}{2t_s^2} h \\
 &\quad \times e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M -\frac{h}{2t_s} \lambda \langle N(s), N \rangle f e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \lambda f' \langle N(s), N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \lambda f \langle \frac{dN(s)}{ds}, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu,
 \end{aligned}$$

$$\begin{aligned}
 II'(s) &= (4\pi t_s)^{-\frac{n}{2}} (-\frac{nh}{2t_s}) \int_M \langle \frac{X(s) - X_s}{t_s}, y \rangle e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M (\langle \frac{\frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s}}{t_s}, y \rangle - \langle \frac{X(s) - X_s}{t_s^2}, y \rangle h) e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M \langle \frac{X(s) - X_s}{t_s}, y' \rangle e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M \langle \frac{X(s) - X_s}{t_s}, y \rangle \left( -\langle \frac{X(s) - X_s}{t_s}, \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s} \rangle \right. \\
 &\quad \left. - H_s f \right) e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M \langle \frac{X(s) - X_s}{t_s}, y \rangle \frac{|X(s) - X_s|^2}{2t_s^2} h e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} (-\frac{h}{2t_s}) \int_M -\lambda \langle N, y \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M -\lambda \langle N, y' \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu,
 \end{aligned}$$

$$\begin{aligned}
 III'(s) &= (4\pi t_s)^{-\frac{n}{2}} (-\frac{nh}{2t_s}) \int_M (-\frac{n}{2t_s} + \frac{|X(s) - X_s|^2}{2t_s^2}) h e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M (\frac{nh}{2t_s^2} - \frac{|X(s) - X_s|^2}{t_s^3} h + \frac{\langle X(s) - X_s, \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s} \rangle}{t_s^2}) \\
 &\quad \times h e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s
 \end{aligned}$$

$$\begin{aligned}
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M \left(-\frac{n}{2t_s} + \frac{|X(s) - X_s|^2}{2t_s^2}\right) h' e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M \left(-\frac{n}{2t_s} + \frac{|X(s) - X_s|^2}{2t_s^2}\right) h (-H_s f \\
 &\quad - \left\langle \frac{X(s) - X_s}{t_s}, \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s} \right\rangle) e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_s)^{-\frac{n}{2}} \int_M \left(-\frac{n}{2t_s} + \frac{|X(s) - X_s|^2}{2t_s^2}\right) h \frac{|X(s) - X_s|^2}{2t_s^2} h e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \left(-\frac{h}{2t_s}\right) \int_M -\frac{h}{2t_s} \lambda \langle X(s) - X_s, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M -\frac{h' \lambda}{2t_s} \langle X(s) - X_s, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\
 &+ (4\pi t_0)^{-\frac{n}{2}} \sqrt{\frac{t_0}{t_s}} \int_M \left(\frac{h}{2t_s^2} \langle X(s) - X_s, N \rangle \lambda h \right. \\
 &\quad \left. - \frac{1}{2t_s} \left\langle \frac{\partial X(s)}{\partial s} - \frac{\partial X_s}{\partial s}, N \right\rangle \lambda h\right) e^{-\frac{|X - X_0|^2}{2t_0}} d\mu.
 \end{aligned}$$

Since  $X : M \rightarrow \mathbb{R}^{n+1}$  is a critical point, we get

$$\begin{aligned}
 &H + \left\langle \frac{X - X_0}{t_0}, N \right\rangle = \lambda, \\
 &\int_M \left(n + \lambda \langle X - X_0, N \rangle - \frac{|X - X_0|^2}{t_0}\right) e^{-\frac{|X - X_0|^2}{2t_0}} d\mu = 0, \\
 &\int_M \left(\lambda \langle N, a \rangle - \left\langle \frac{X - X_0}{t_0}, a \right\rangle\right) e^{-\frac{|X - X_0|^2}{2t_0}} d\mu = 0.
 \end{aligned}$$

On the other hand,

$$H' = \Delta f + S f, \quad N' = -\nabla f.$$

Using of the above equations and letting  $s = 0$ , we obtain

$$\begin{aligned}
 (4\pi t_0)^{\frac{n}{2}} \mathcal{F}''(0) &= \int_M -f L f e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\
 &+ \int_M \left(\frac{2}{t_0} \langle N, y \rangle + \frac{2h}{t_0} \left\langle \frac{X - X_0}{t_0}, N \right\rangle + \frac{n-1}{t_0} \lambda h \right. \\
 &\quad \left. - \frac{|X - X_0|^2}{t_0^2} \lambda h - 2\lambda \left\langle \frac{X - X_0}{t_0}, y \right\rangle\right) f e^{-\frac{|X - X_0|^2}{2t_0}} d\mu
 \end{aligned}$$

$$\begin{aligned}
 & + \int_M \left( -\frac{n+2}{t_0} \left\langle \frac{X-X_0}{t_0}, y \right\rangle + \frac{\lambda}{t_0} \langle N, y \rangle \right. \\
 & \quad \left. + \left\langle \frac{X-X_0}{t_0}, y \right\rangle \frac{|X-X_0|^2}{t_0^2} \right) h e^{-\frac{|X-X_0|^2}{2t_0}} d\mu \\
 & + \int_M \left( \frac{n^2}{4t_0^2} + \frac{n}{2t_0^2} - \frac{n+2}{2t_0^3} |X-X_0|^2 + \frac{|X-X_0|^4}{4t_0^4} \right. \\
 & \quad \left. + \frac{3\lambda}{4t_0} \left\langle \frac{X-X_0}{t_0}, N \right\rangle \right) h^2 e^{-\frac{|X-X_0|^2}{2t_0}} d\mu \\
 & + \int_M \left( -\frac{1}{t_0} \langle y, y \rangle + \left\langle \frac{X-X_0}{t_0}, y \right\rangle^2 \right) e^{-\frac{|X-X_0|^2}{2t_0}} d\mu,
 \end{aligned}$$

where the operator  $L$  is defined by  $L = \Delta + S + \frac{1}{t_0} - \left\langle \frac{X-X_0}{t_0}, \nabla \right\rangle - \lambda^2$ . When  $t_0 = 1, X_0 = 0$ , then  $L = \mathcal{L} + S + 1 - \lambda^2$ .

$$\begin{aligned}
 (4\pi)^{\frac{n}{2}} \mathcal{F}''(0) & = \int_M -f L f e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M \left( 2\langle N, y \rangle + 2\lambda h + (n-1)\lambda h - 2hH \right. \\
 & \quad \left. - |X|^2 \lambda h - 2\lambda \langle X, y \rangle \right) f e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M \left( \lambda \langle N, y \rangle - (n+2)\langle X, y \rangle + \langle X, y \rangle |X|^2 \right) h e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M \left( \frac{n^2+2n}{4} - \frac{n+2}{2} |X|^2 + \frac{|X|^4}{4} + \frac{3\lambda}{4} \langle X, N \rangle \right) h^2 e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M -(|y|^2 - \langle X, y \rangle^2) e^{-\frac{|X|^2}{2}} d\mu \\
 & = \int_M -f L f e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M \left[ 2\langle N, y \rangle + (n+1 - |X|^2)\lambda h - 2hH - 2\lambda \langle X, y \rangle \right] f e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M \left\{ (|X|^2 - n - 1)\langle X, y \rangle \right\} h e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M \left( \frac{n^2+2n}{4} - \frac{n+2}{2} |X|^2 + \frac{|X|^4}{4} + \frac{3\lambda}{4} (\lambda - H) \right) h^2 e^{-\frac{|X|^2}{2}} d\mu \\
 & + \int_M (-|y|^2 + \langle X, y \rangle^2) e^{-\frac{|X|^2}{2}} d\mu.
 \end{aligned}$$

□

**Definition 3.1.** One calls that a critical point  $X : M \rightarrow \mathbb{R}^{n+1}$  of the  $\mathcal{F}$ -functional  $\mathcal{F}_{X_s, t_s}(s)$  is  $\mathcal{F}$ -stable if, for every normal variation  $fN$ , there exist variations of  $X_0$  and  $t_0$  such that  $\mathcal{F}''_{X_0, t_0}(0) \geq 0$ ;

One calls that a critical point  $X : M \rightarrow \mathbb{R}^{n+1}$  of the  $\mathcal{F}$ -functional  $\mathcal{F}_{X_s, t_s}(s)$  is  $\mathcal{F}$ -unstable if there exist a normal variation  $fN$  such that for all variations of  $X_0$  and  $t_0$ ,  $\mathcal{F}''_{X_0, t_0}(0) < 0$ .

**Theorem 3.2.** If  $r \leq \sqrt{n}$  or  $r > \sqrt{n+1}$ , the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is  $\mathcal{F}$ -stable; If  $\sqrt{n} < r \leq \sqrt{n+1}$ , the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is  $\mathcal{F}$ -unstable.

*Proof.* For the sphere  $S^n(r)$ , we have

$$X = -rN, \quad H = \frac{n}{r}, \quad S = \frac{H^2}{n} = \frac{n}{r^2}, \quad \lambda = H - r = \frac{n}{r} - r$$

and

$$(3.1) \quad Lf = \mathcal{L}f + (S + 1 - \lambda^2)f = \Delta f + \left(\frac{n}{r^2} + 1 - \lambda^2\right)f.$$

Since we know that eigenvalues  $\mu_k$  of  $\Delta$  on the sphere  $S^n(r)$  are given by

$$(3.2) \quad \mu_k = \frac{k^2 + (n-1)k}{r^2},$$

and constant functions are eigenfunctions corresponding to eigenvalue  $\mu_0 = 0$ . For any constant vector  $z \in \mathbb{R}^{n+1}$ , we get

$$(3.3) \quad -\Delta \langle z, N \rangle = \Delta \left\langle z, \frac{X}{r} \right\rangle = \left\langle z, \frac{1}{r}HN \right\rangle = \frac{n}{r^2} \langle z, N \rangle,$$

that is,  $\langle z, N \rangle$  is an eigenfunction of  $\Delta$  corresponding to the first eigenvalue  $\mu_1 = \frac{n}{r^2}$ . Hence, for any normal variation with the variation vector field  $fN$ , we can choose a real number  $a \in \mathbb{R}$  and a constant vector  $z \in \mathbb{R}^{n+1}$  such that

$$(3.4) \quad f = f_0 + a + \langle z, N \rangle,$$

and  $f_0$  is in the space spanned by all eigenfunctions corresponding to eigenvalues  $\mu_k$  ( $k \geq 2$ ) of  $\Delta$  on  $S^n(r)$ . Using Lemma 2.3, we get

$$\begin{aligned}
 (3.5) \quad & (4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{F}''(0) \\
 &= \int_{S^n(r)} -(f_0 + a + \langle z, N \rangle)L(f_0 + a + \langle z, N \rangle)d\mu \\
 &+ \int_{S^n(r)} [2\langle N, y \rangle + (n + 1 - r^2)\lambda h - 2\frac{n}{r}h + 2\lambda\langle rN, y \rangle](f_0 + a + \langle z, N \rangle)d\mu \\
 &+ \int_{S^n(r)} \lambda\langle N, y \rangle(r^2 - n - 1)hd\mu \\
 &+ \int_{S^n(r)} \left(\frac{n^2 + 2n}{4} - \frac{n + 2}{2}r^2 + \frac{r^4}{4} + \frac{3}{4}r^2 - \frac{3}{4}n\right)h^2d\mu \\
 &+ \int_{S^n(r)} (-|y|^2 + \langle X, y \rangle^2)d\mu \\
 &\geq \int_{S^n(r)} \left\{ \left(\frac{n + 2}{r^2} - 1 + \lambda^2\right)f_0^2 - \left(\frac{n}{r^2} + 1 - \lambda^2\right)a^2 + (\lambda^2 - 1)\langle z, N \rangle^2 \right\}d\mu \\
 &+ \int_{S^n(r)} \left\{ 2(1 + \lambda r)\langle N, y \rangle\langle N, z \rangle + [(n + 1 - r^2)\lambda - 2\frac{n}{r}]ah \right\}d\mu \\
 &+ \int_{S^n(r)} \frac{1}{4}[r^4 - (2n + 1)r^2 + n(n - 1)]h^2d\mu \\
 &+ \int_{S^n(r)} (-|y|^2 + \langle X, y \rangle^2)d\mu.
 \end{aligned}$$

From Lemma 2.3, we have

$$(3.6) \quad \int_{S^n(r)} (-|y|^2 + \langle X, y \rangle^2)d\mu = - \int_{S^n(r)} (1 + \lambda r)\langle N, y \rangle^2d\mu.$$

Putting (3.6) and  $\lambda = \frac{n}{r} - r$  into (3.5), we obtain

$$\begin{aligned}
 (3.7) \quad & (4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{F}''(0) \geq \int_{S^n(r)} \frac{1}{r^2} \left\{ \left(r^2 - n - \frac{1}{2}\right)^2 + \frac{7}{4} \right\} f_0^2 d\mu \\
 &+ \int_{S^n(r)} [r^4 - (2n + 1)r^2 + n(n - 1)] \left(\frac{a}{r} + \frac{h}{2}\right)^2 d\mu \\
 &+ \int_{S^n(r)} \frac{1}{r^2} [r^4 - (2n + 1)r^2 + n^2] \langle z, N \rangle^2 d\mu
 \end{aligned}$$

$$\begin{aligned}
& + \int_{S^n(r)} 2(1+n-r^2)\langle N, y \rangle \langle N, z \rangle d\mu \\
& + \int_{S^n(r)} -(1+n-r^2)\langle N, y \rangle^2 d\mu.
\end{aligned}$$

If we choose  $h = -\frac{2a}{r}$  and  $y = kz$ , then we have

(3.8)

$$\begin{aligned}
(4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{F}''(0) & \geq \int_{S^n(r)} \frac{1}{r^2} \left\{ (r^2 - n - \frac{1}{2})^2 + \frac{7}{4} \right\} f_0^2 d\mu \\
& + \int_{S^n(r)} \left\{ \lambda^2 - 1 + 2(1+\lambda r)k - (1+\lambda r)k^2 \right\} \langle z, N \rangle^2 d\mu \\
& = \int_{S^n(r)} \frac{1}{r^2} \left\{ (r^2 - n - \frac{1}{2})^2 + \frac{7}{4} \right\} f_0^2 d\mu \\
& + \int_{S^n(r)} \left\{ \lambda^2 + \lambda r - (1+\lambda r)(1-k)^2 \right\} \langle z, N \rangle^2 d\mu.
\end{aligned}$$

We next consider three cases:

**Case 1:**  $r \leq \sqrt{n}$

In this case,  $\lambda \geq 0$ . Taking  $k = 1$ , then we get

$$\mathcal{F}''(0) \geq 0.$$

**Case 2:**  $r \geq \frac{1+\sqrt{1+4n}}{2}$ .

In this case,  $\lambda \leq -1$ . Taking  $k = 2$ , we can get

$$\mathcal{F}''(0) \geq 0.$$

**Case 3:**  $\sqrt{n+1} < r < \frac{1+\sqrt{1+4n}}{2}$ .

In this case,  $-1 < \lambda < 0$ ,  $1 + \lambda r < 0$ , we can take  $k$  such that  $(1-k)^2 \geq \frac{\lambda(\lambda+r)}{1+\lambda r}$ , then we have

$$\mathcal{F}''(0) \geq 0.$$

Thus, if  $r \leq \sqrt{n}$  or  $r > \sqrt{n+1}$ , the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is  $\mathcal{F}$ -stable;

If  $\sqrt{n} < r \leq \sqrt{n+1}$ , the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is  $\mathcal{F}$ -unstable. In fact, in this case,  $-1 < \lambda < 0$ ,  $1 + \lambda r \geq 0$ . We can choose  $f$  such that  $f_0 = 0$ , then we have

$$\begin{aligned}
 (3.9) \quad (4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{F}''(0) &\leq \int_{S^n(r)} (\lambda^2 - 1) \langle z, N \rangle^2 d\mu \\
 &\quad + \int_{S^n(r)} 2(1 + \lambda r) \langle N, y \rangle \langle N, z \rangle d\mu \\
 &\quad + \int_{S^n(r)} -(1 + \lambda r) \langle N, y \rangle^2 d\mu \\
 &= (\lambda^2 + \lambda r) \int_{S^n(r)} \langle z, N \rangle^2 d\mu \\
 &\quad - (1 + \lambda r) \int_{S^n(r)} (\langle z, N \rangle - \langle y, N \rangle)^2 d\mu \\
 &< 0.
 \end{aligned}$$

This completes the proof of Theorem 3.2. □

According to Theorem 3.2, we would like to propose the following:

**Problem 3.1.** Is it possible to prove that spheres  $S^n(r)$  with  $r \leq \sqrt{n}$  or  $r > \sqrt{n+1}$  are the only  $\mathcal{F}$ -stable compact  $\lambda$ -hypersurfaces?

**Remark 3.1.** *Colding and Minicozzi [5] have proved that the sphere  $S^n(\sqrt{n})$  is the only  $\mathcal{F}$ -stable compact self-shrinkers. In order to prove this result, the property that the mean curvature  $H$  is an eigenfunction of  $L$ -operator plays a very important role. But for  $\lambda$ -hypersurfaces, the mean curvature  $H$  is not an eigenfunction of  $L$ -operator in general.*

#### 4. The weak stability of the weighted area functional for weighted volume-preserving variations

Define

$$(4.1) \quad \mathcal{T}(s) = (4\pi t_s)^{-\frac{n}{2}} \int_M e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s.$$

We compute the first and the second variation formulas of the general  $\mathcal{T}$ -functional for weighted volume-preserving variations with fixed  $X_0$  and  $t_0$ .

By a direct calculation, we have

$$\begin{aligned} \mathcal{T}'(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M -(H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s, \\ \mathcal{T}''(s) &= (4\pi t_s)^{-\frac{n}{2}} \int_M -(H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) f' e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\ &\quad + (4\pi t_s)^{-\frac{n}{2}} \int_M (H_s + \langle \frac{X(s) - X_s}{t_s}, N(s) \rangle) \\ &\quad \quad \times (\langle \frac{X(s) - X_s}{t_s}, \frac{\partial X(s)}{\partial s} \rangle + H_s f) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s \\ &\quad + (4\pi t_s)^{-\frac{n}{2}} \int_M -\left( \frac{dH_s}{ds} + \langle \frac{\partial X(s)}{\partial s}, N(s) \rangle \right. \\ &\quad \quad \left. + \langle \frac{X(s) - X_s}{t_s}, \frac{dN(s)}{ds} \rangle \right) f e^{-\frac{|X(s) - X_s|^2}{2t_s}} d\mu_s. \end{aligned}$$

**Lemma 4.1.**

$$\int_M f'(0) e^{-\frac{|X - X_0|^2}{2t_0}} d\mu = 0.$$

*Proof.* Since  $V(t) = \int_M \langle X(t) - X_0, N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu = V(0)$  for any  $t$ , we have

$$\int_M f(t) \langle N(t), N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu = 0.$$

Hence, we get

$$\begin{aligned} 0 &= \frac{d}{dt} \Big|_{t=0} \int_M f(t) \langle N(t), N \rangle e^{-\frac{|X - X_0|^2}{2t_0}} d\mu \\ &= \int_M f'(0) e^{-\frac{|X - X_0|^2}{2t_0}} d\mu. \end{aligned}$$

□

Since  $M$  is a critical point of  $\mathcal{T}(s)$ , we have

$$H + \langle \frac{X - X_0}{t_0}, N \rangle = \lambda.$$

On the other hand, we have

$$(4.2) \quad H' = \Delta f + S f, \quad N' = -\nabla f.$$



Then for  $t_0 = 1$  and  $X_0 = 0$ , the second variation formula becomes

$$(4\pi)^{\frac{n}{2}} \mathcal{T}''(0) = \int_M -f(\mathcal{L}f + (S + 1 - \lambda^2)f) e^{-\frac{|x|^2}{2}} d\mu.$$

**Theorem 4.1.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be a critical point of the functional  $\mathcal{T}(s)$  for the weighted volume-preserving variations with fixed  $X_0 = 0$  and  $t_0 = 1$ . The second variation formula of  $\mathcal{T}(s)$  is given by*

$$(4.3) \quad (4\pi)^{\frac{n}{2}} \mathcal{T}''(0) = \int_M -f(\mathcal{L}f + (S + 1 - \lambda^2)f) e^{-\frac{|x|^2}{2}} d\mu.$$

**Definition 4.1.** *A critical point  $X : M \rightarrow \mathbb{R}^{n+1}$  of the functional  $\mathcal{T}(s)$  is called weakly stable if, for any weighted volume-preserving normal variation,  $\mathcal{T}''(0) \geq 0$ ;*

*A critical point  $X : M \rightarrow \mathbb{R}^{n+1}$  of the functional  $\mathcal{T}(s)$  is called weakly unstable if there exists a weighted volume-preserving normal variation, such that  $\mathcal{T}''(0) < 0$ .*

**Theorem 4.2.** *If  $r \leq \frac{-1+\sqrt{1+4n}}{2}$  or  $r \geq \frac{1+\sqrt{1+4n}}{2}$ , the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is weakly stable; If  $\frac{-1+\sqrt{1+4n}}{2} < r < \frac{1+\sqrt{1+4n}}{2}$ , the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is weakly unstable.*

*Proof.* For the sphere  $S^n(r)$ , we have

$$X = -rN, \quad H = \frac{n}{r}, \quad S = \frac{n}{r^2}, \quad \lambda = H - r = \frac{n}{r} - r$$

and

$$(4.4) \quad Lf = \mathcal{L}f + (S + 1 - \lambda^2)f = \Delta f + \left(\frac{n}{r^2} + 1 - \lambda^2\right)f.$$

Since we know that eigenvalues  $\mu_k$  of  $\Delta$  on the sphere  $S^n(r)$  are given by

$$(4.5) \quad \mu_k = \frac{k^2 + (n - 1)k}{r^2},$$

and constant functions are eigenfunctions corresponding to eigenvalue  $\mu_0 = 0$ . For any constant vector  $z \in \mathbb{R}^{n+1}$ , we get

$$(4.6) \quad -\Delta \langle z, N \rangle = \frac{n}{r^2} \langle z, N \rangle,$$

that is,  $\langle z, N \rangle$  is an eigenfunction of  $\Delta$  corresponding to the first eigenvalue  $\mu_1 = \frac{n}{r^2}$ . Hence, for any weighted volume-preserving normal variation with

the variation vector field  $fN$  satisfying

$$\int_{S^n(r)} f e^{-\frac{r^2}{2}} d\mu = 0,$$

we can choose a constant vector  $z \in \mathbb{R}^{n+1}$  such that

$$(4.7) \quad f = f_0 + \langle z, N \rangle,$$

and  $f_0$  is in the space spanned by all eigenfunctions corresponding to eigenvalues  $\mu_k$  ( $k \geq 2$ ) of  $\Delta$  on  $S^n(r)$ . By making use of Theorem 4.1, we have

$$(4.8) \quad (4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{T}''(0) = \int_{S^n(r)} -(f_0 + \langle z, N \rangle) L(f_0 + \langle z, N \rangle) d\mu \\ \geq \int_{S^n(r)} \left\{ \left( \frac{n+2}{r^2} - 1 + \lambda^2 \right) f_0^2 + (\lambda^2 - 1) \langle z, N \rangle^2 \right\} d\mu.$$

According to  $\lambda = \frac{n}{r} - r$ , we obtain

$$(4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{T}''(0) \geq \int_{S^n(r)} \frac{1}{r^2} \left\{ \left( r^2 - n - \frac{1}{2} \right)^2 + \frac{7}{4} \right\} f_0^2 d\mu \\ + \int_{S^n(r)} \left( \frac{n}{r} - r - 1 \right) \left( \frac{n}{r} - r + 1 \right) \langle z, N \rangle^2 d\mu \geq 0$$

if

$$r \leq \frac{-1 + \sqrt{4n+1}}{2} \quad \text{or} \quad r \geq \frac{1 + \sqrt{4n+1}}{2}.$$

Thus, the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is weakly stable.

If

$$\frac{-1 + \sqrt{4n+1}}{2} < r < \frac{1 + \sqrt{4n+1}}{2},$$

choosing  $f = \langle z, N \rangle$ , we have

$$\int_{S^n(r)} f e^{-\frac{r^2}{2}} d\mu = 0.$$

Hence, there exists a weighted volume-preserving normal variation with the variation vector field  $fN$  such that

$$(4\pi)^{\frac{n}{2}} e^{\frac{r^2}{2}} \mathcal{T}''(0) = \int_{S^n(r)} \left( \frac{n}{r} - r - 1 \right) \left( \frac{n}{r} - r + 1 \right) \langle z, N \rangle^2 d\mu < 0.$$

Thus, the  $n$ -dimensional round sphere  $X : S^n(r) \rightarrow \mathbb{R}^{n+1}$  is weakly unstable. It finishes the proof.  $\square$

**Remark 4.1.** *From Theorem 3.2 and Theorem 4.2, we know the  $\mathcal{F}$ -stability and the weak stability are different. The  $\mathcal{F}$ -stability is a weaker notation than the weak stability.*

**Remark 4.2.** *Is it possible to prove that spheres  $S^n(r)$  with  $r \leq \frac{-1+\sqrt{1+4n}}{2}$  or  $r \geq \frac{1+\sqrt{1+4n}}{2}$  are the only weak stable compact  $\lambda$ -hypersurfaces?*

## 5. Properness and polynomial area growth for $\lambda$ -hypersurfaces

For  $n$ -dimensional complete and non-compact Riemannian manifolds with nonnegative Ricci curvature, the well-known theorem of Bishop and Gromov says that geodesic balls have at most polynomial area growth:

$$\text{Area}(B_r(x_0)) \leq Cr^n.$$

For  $n$ -dimensional complete and non-compact gradient shrinking Ricci soliton, Cao and Zhou [1] have proved geodesic balls have at most polynomial area growth. For self-shrinkers, Ding and Xin [7] proved that any complete non-compact properly immersed self-shrinker in the Euclidean space has polynomial area growth. X. Cheng and Zhou [5] showed that any complete immersed self-shrinker with polynomial area growth in the Euclidean space is proper. Hence any complete immersed self-shrinker is proper if and only if it has polynomial area growth.

It is our purposes in this section to study the area growth for  $\lambda$ -hypersurfaces. First of all, we study the equivalence of properness and polynomial area growth for  $\lambda$ -hypersurfaces. If  $X : M \rightarrow \mathbb{R}^{n+1}$  is an  $n$ -dimensional hypersurface in  $\mathbb{R}^{n+1}$ , we say  $M$  has polynomial area growth if there exist constant  $C$  and  $d$  such that for all  $r \geq 1$ ,

$$(5.1) \quad \text{Area}(B_r(0) \cap X(M)) = \int_{B_r(0) \cap X(M)} d\mu \leq Cr^d,$$

where  $B_r(0)$  is a round ball in  $\mathbb{R}^{n+1}$  with radius  $r$  and centered at the origin.

**Theorem 5.1.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be a complete and non-compact properly immersed  $\lambda$ -hypersurface in the Euclidean space  $\mathbb{R}^{n+1}$ . Then, there is a*

positive constant  $C$  such that for  $r \geq 1$ ,

$$(5.2) \quad \text{Area}(B_r(0) \cap X(M)) = \int_{B_r(0) \cap X(M)} d\mu \leq Cr^{n+\frac{\lambda^2}{2}-2\beta-\frac{\inf H^2}{2}},$$

where  $\beta = \frac{1}{4} \inf(\lambda - H)^2$ .

*Proof.* Since  $X : M \rightarrow \mathbb{R}^{n+1}$  is a complete and non-compact properly immersed  $\lambda$ -hypersurface in the Euclidean space  $\mathbb{R}^{n+1}$ , we have

$$\langle X, N \rangle + H = \lambda.$$

Defining  $f = \frac{|X|^2}{4}$ , we have

$$(5.3) \quad f - |\nabla f|^2 = \frac{|X|^2}{4} - \frac{|X^T|^2}{4} = \frac{|X^\perp|^2}{4} = \frac{1}{4}(\lambda - H)^2,$$

$$(5.4) \quad \begin{aligned} \Delta f &= \frac{1}{2}(n + H\langle N, X \rangle) \\ &= \frac{1}{2}(n + \lambda\langle N, X \rangle - \langle N, X \rangle^2) \\ &= \frac{1}{2}n + \frac{\lambda^2}{4} - \frac{H^2}{4} - f + |\nabla f|^2. \end{aligned}$$

Hence, we obtain

$$(5.5) \quad |\nabla(f - \beta)|^2 \leq (f - \beta),$$

$$(5.6) \quad \Delta(f - \beta) - |\nabla(f - \beta)|^2 + (f - \beta) \leq \left(\frac{n}{2} + \frac{\lambda^2}{4} - \beta - \frac{\inf H^2}{4}\right).$$

Since the immersion  $X$  is proper, we know that  $\bar{f} = f - \beta$  is proper. Applying Theorem 2.1 of X. Cheng and Zhou [5] to  $\bar{f} = f - \beta$  with  $k = (\frac{n}{2} + \frac{\lambda^2}{4} - \beta - \frac{\inf H^2}{4})$ , we obtain

$$(5.7) \quad \text{Area}(B_r(0) \cap X(M)) = \int_{B_r(0) \cap X(M)} d\mu \leq Cr^{n+\frac{\lambda^2}{2}-2\beta-\frac{\inf H^2}{2}},$$

where  $\beta = \frac{1}{4} \inf(\lambda - H)^2$  and  $C$  is a constant. □

**Remark 5.1.** *The estimate in Theorem 5.1 is the best possible because the cylinders  $S^k(r_0) \times \mathbb{R}^{n-k}$  satisfy the equality.*

**Remark 5.2.** *By making use of the same assertions as in X. Cheng and Zhou [5] for self-shrinkers, we can prove the weighted area of a complete and non-compact properly immersed  $\lambda$ -hypersurface in the Euclidean space  $\mathbb{R}^{n+1}$  is bounded.*

By making use of to the same assertions as in X. Cheng and Zhou [5] for self-shrinkers, we can prove the following theorem. We will leave it for readers.

**Theorem 5.2.** *If  $X : M \rightarrow \mathbb{R}^{n+1}$  is an  $n$ -dimensional complete immersed  $\lambda$ -hypersurface with polynomial area growth, then  $X : M \rightarrow \mathbb{R}^{n+1}$  is proper.*

## 6. A lower bound growth of the area for $\lambda$ -hypersurfaces

For  $n$ -dimensional complete and non-compact Riemannian manifolds with nonnegative Ricci curvature, the well-known theorem of Calabi and Yau says that geodesic balls have at least linear area growth:

$$\text{Area}(B_r(x_0)) \geq Cr.$$

Cao and Zhu [2] have proved that  $n$ -dimensional complete and non-compact gradient shrinking Ricci soliton must have infinite volume. Furthermore, Munteanu and Wang [12] have proved that areas of geodesic balls for  $n$ -dimensional complete and non-compact gradient shrinking Ricci soliton has at least linear growth. For self-shrinkers, Li and Wei [11] proved that any complete and non-compact proper self-shrinker has at least linear area growth.

In this section, we study the lower bound growth of the area for  $\lambda$ -hypersurfaces. The following lemmas play a very important role in order to prove our results.

**Lemma 6.1.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be an  $n$ -dimensional complete noncompact proper  $\lambda$ -hypersurface, then there exist constants  $C_1(n, \lambda)$  and  $c(n, \lambda)$  such that for all  $t \geq C_1(n, \lambda)$ ,*

$$(6.1) \quad \begin{aligned} & \text{Area}(B_{t+1}(0) \cap X(M)) - \text{Area}(B_t(0) \cap X(M)) \\ & \leq c(n, \lambda) \frac{\text{Area}(B_t(0) \cap X(M))}{t} \end{aligned}$$

and

$$(6.2) \quad \text{Area}(B_{t+1}(0) \cap X(M)) \leq 2\text{Area}(B_t(0) \cap X(M)).$$

*Proof.* Since  $X : M \rightarrow \mathbb{R}^{n+1}$  is a complete  $\lambda$ -hypersurface, one has

$$(6.3) \quad \frac{1}{2}\Delta|X|^2 = n + H\langle N, X \rangle = n + H\lambda - H^2.$$

Integrating (6.3) over  $B_r(0) \cap X(M)$ , we obtain

$$\begin{aligned} (6.4) \quad & n\text{Area}(B_r(0) \cap X(M)) + \int_{B_r(0) \cap X(M)} H\lambda d\mu - \int_{B_r(0) \cap X(M)} H^2 d\mu \\ &= \frac{1}{2} \int_{B_r(0) \cap X(M)} \Delta|X|^2 d\mu \\ &= \frac{1}{2} \int_{\partial(B_r(0) \cap X(M))} \nabla|X|^2 \cdot \frac{\nabla\rho}{|\nabla\rho|} d\sigma \\ &= \int_{\partial(B_r(0) \cap X(M))} |X^T| d\sigma \\ &= \int_{\partial(B_r(0) \cap X(M))} \frac{|X|^2 - (\lambda - H)^2}{|X^T|} d\sigma \\ &= r(\text{Area}(B_r(0) \cap X(M)))' - \int_{\partial(B_r(0) \cap X(M))} \frac{(\lambda - H)^2}{|X^T|} d\sigma, \end{aligned}$$

where  $\rho(x) := |X(x)|$ ,  $\nabla\rho = \frac{X^T}{|X|}$ . Here we used, from the co-area formula,

$$(6.5) \quad (\text{Area}(B_r(0) \cap X(M)))' = r \int_{\partial(B_r(0) \cap X(M))} \frac{1}{|X^T|} d\sigma.$$

Hence, we obtain

$$\begin{aligned} (6.6) \quad & (n + \frac{\lambda^2}{4})\text{Area}(B_r(0) \cap X(M)) - r(\text{Area}(B_r(0) \cap X(M)))' \\ &= \int_{B_r(0) \cap X(M)} (H - \frac{\lambda}{2})^2 d\mu - \int_{\partial(B_r(0) \cap X(M))} \frac{(\lambda - H)^2}{|X^T|} d\sigma, \end{aligned}$$

From (6.5),  $(H - \lambda)^2 = \langle N, X \rangle^2 \leq |X|^2 = r^2$  on  $\partial(B_r(0) \cap X(M))$  and (6.6), we conclude

$$(6.7) \quad \int_{B_r(0) \cap X(M)} (H - \frac{\lambda}{2})^2 d\mu \leq (n + \frac{\lambda^2}{4})\text{Area}(B_r(0) \cap X(M)).$$

Furthermore, we have

$$(6.8) \quad \int_{B_r(0) \cap X(M)} (H - \lambda)^2 d\mu \leq \int_{B_r(0) \cap X(M)} 2\left[\left(H - \frac{\lambda}{2}\right)^2 + \frac{\lambda^2}{4}\right] d\mu \\ \leq (2n + \lambda^2) \text{Area}(B_r(0) \cap X(M)),$$

$$(6.9) \quad \int_{B_r(0) \cap X(M)} H^2 d\mu \leq \int_{B_r(0) \cap X(M)} 2\left[\left(H - \frac{\lambda}{2}\right)^2 + \frac{\lambda^2}{4}\right] d\mu \\ \leq (2n + \lambda^2) \text{Area}(B_r(0) \cap X(M)).$$

(6.6) implies that

$$(6.10) \quad \left(r^{-n-\frac{\lambda^2}{4}} \text{Area}(B_r(0) \cap X(M))\right)' \\ = r^{-n-1-\frac{\lambda^2}{4}} \left( r \left(\text{Area}(B_r(0) \cap X(M))\right)' \right. \\ \left. - \left(n + \frac{\lambda^2}{4}\right) \text{Area}(B_r(0) \cap X(M)) \right) \\ = r^{-n-1-\frac{\lambda^2}{4}} \int_{\partial(B_r(0) \cap X(M))} \frac{(H - \lambda)^2}{|X^T|} d\sigma \\ - r^{-n-1-\frac{\lambda^2}{4}} \int_{B_r(0) \cap X(M)} \left(H - \frac{\lambda}{2}\right)^2 d\mu.$$

Integrating (6.10) from  $r_2$  to  $r_1$  ( $r_1 > r_2$ ), one has

$$(6.11) \quad r_1^{-n-\frac{\lambda^2}{4}} \text{Area}(B_{r_1}(0) \cap X(M)) - r_2^{-n-\frac{\lambda^2}{4}} \text{Area}(B_{r_2}(0) \cap X(M)) \\ = r_1^{-n-2-\frac{\lambda^2}{4}} \int_{B_{r_1}(0) \cap X(M)} (H - \lambda)^2 d\mu \\ - r_2^{-n-2-\frac{\lambda^2}{4}} \int_{B_{r_2}(0) \cap X(M)} (H - \lambda)^2 d\mu \\ + \left(n + 2 + \frac{\lambda^2}{4}\right) \int_{r_2}^{r_1} s^{-n-3-\frac{\lambda^2}{4}} \left( \int_{B_s(0) \cap X(M)} (H - \lambda)^2 d\mu \right) ds \\ - \int_{r_2}^{r_1} s^{-n-1-\frac{\lambda^2}{4}} \left( \int_{B_s(0) \cap X(M)} \left(H - \frac{\lambda}{2}\right)^2 d\mu \right) ds \\ \leq \left(r_1^{-n-2-\frac{\lambda^2}{4}} + r_2^{-n-2-\frac{\lambda^2}{4}}\right) \int_{B_{r_1}(0) \cap X(M)} (H - \lambda)^2 d\mu.$$

Here we used

$$\left( \int_{B_r(0) \cap X(M)} (H - \lambda)^2 d\mu \right)' = r \int_{\partial(B_r(0) \cap X(M))} \frac{(H - \lambda)^2}{|X^T|} d\sigma$$

and  $\text{Area}(B_r(0) \cap X(M))$  is non-decreasing in  $r$  from (6.5). Combining (6.11) with (6.8), we have

$$\begin{aligned} (6.12) \quad & \frac{\text{Area}(B_{r_1}(0) \cap X(M))}{r_1^{n+\frac{\lambda^2}{4}}} - \frac{\text{Area}(B_{r_2}(0) \cap X(M))}{r_2^{n+\frac{\lambda^2}{4}}} \\ & \leq (2n + \lambda^2) \left( \frac{1}{r_1^{n+2+\frac{\lambda^2}{4}}} + \frac{1}{r_2^{n+2+\frac{\lambda^2}{4}}} \right) \text{Area}(B_{r_1}(0) \cap X(M)). \end{aligned}$$

Putting  $r_1 = t + 1, r_2 = t > 0$ , we get

$$\begin{aligned} (6.13) \quad & \left( 1 - \frac{2(2n + \lambda^2)(t + 1)^{n+\frac{\lambda^2}{4}}}{t^{n+2+\frac{\lambda^2}{4}}} \right) \text{Area}(B_{t+1}(0) \cap X(M)) \\ & \leq \text{Area}(B_t(0) \cap X(M)) \left( \frac{t + 1}{t} \right)^{n+\frac{\lambda^2}{4}}. \end{aligned}$$

For  $t$  sufficiently large, one has, from (6.13),

$$\begin{aligned} (6.14) \quad & \text{Area}(B_{t+1}(0) \cap X(M)) - \text{Area}(B_t(0) \cap X(M)) \\ & \leq \text{Area}(B_t(0) \cap X(M)) \left( \left( 1 + \frac{1}{t} \right)^n - 1 + \frac{C(t + 1)^{2n+\lambda^2} 4}{t^{2n+2+\lambda^2}} \right), \end{aligned}$$

where  $C$  is constant only depended on  $n, \lambda$ . Therefore, there exists some constant  $C_1(n, \lambda)$  such that for all  $t \geq C_1(n, \lambda)$ ,

$$\begin{aligned} (6.15) \quad & \text{Area}(B_{t+1}(0) \cap X(M)) - \text{Area}(B_t(0) \cap X(M)) \\ & \leq c(n, \lambda) \frac{\text{Area}(B_t(0) \cap X(M))}{t}, \end{aligned}$$

$$(6.16) \quad \text{Area}(B_{t+1}(0) \cap X(M)) \leq 2\text{Area}(B_t(0) \cap X(M)),$$

where  $c(n, \lambda)$  depends only on  $n$  and  $\lambda$ . This completes the proof of Lemma 6.1. □

The following Logarithmic Sobolev inequality for hypersurfaces in Euclidean space is due to Ecker [8],



**Lemma 6.2.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be an  $n$ -dimensional hypersurface with measure  $d\mu$ . Then the following inequality*

$$\begin{aligned}
 (6.17) \quad & \int_M f^2(\ln f^2)e^{-\frac{|x|^2}{2}} d\mu - \int_M f^2e^{-\frac{|x|^2}{2}} d\mu \ln\left(\int_M f^2e^{-\frac{|x|^2}{2}} d\mu\right) \\
 & \leq 2 \int_M |\nabla f|^2e^{-\frac{|x|^2}{2}} d\mu + \frac{1}{2} \int_M |H + \langle X, N \rangle|^2 f^2e^{-\frac{|x|^2}{2}} d\mu \\
 & \quad + C_1(n) \int_M f^2e^{-\frac{|x|^2}{2}} d\mu,
 \end{aligned}$$

$$\begin{aligned}
 (6.18) \quad & \int_M f^2(\ln f^2)d\mu - \int_M f^2d\mu \ln\left(\int_M f^2d\mu\right) \\
 & \leq 2 \int_M |\nabla f|^2d\mu + \frac{1}{2} \int_M |H|^2f^2d\mu + C_2(n) \int_M f^2d\mu
 \end{aligned}$$

hold for any nonnegative function  $f$  for which all integrals are well-defined and finite, where  $C_1(n)$  and  $C_2(n)$  are positive constants depending on  $n$ .

**Corollary 6.1.** *For an  $n$ -dimensional  $\lambda$ -hypersurface  $X : M \rightarrow \mathbb{R}^{n+1}$ , we have the following inequality*

$$(6.19) \quad \int_M f^2(\ln f)e^{-\frac{|x|^2}{2}} d\mu \leq \int_M |\nabla f|^2e^{-\frac{|x|^2}{2}} d\mu + \frac{1}{2}C_1(n) + \frac{1}{4}\lambda^2$$

for any nonnegative function  $f$  which satisfies

$$(6.20) \quad \int_M f^2e^{-\frac{|x|^2}{2}} d\mu = 1.$$

**Lemma 6.3.** *([11]) Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be a complete properly immersed hypersurface. For any  $x_0 \in M$ ,  $r \leq 1$ , if  $|H| \leq \frac{C}{r}$  in  $B_r(X(x_0)) \cap X(M)$  for some constant  $C > 0$ . Then*

$$(6.21) \quad \text{Area}(B_r(X(x_0)) \cap X(M)) \geq \kappa r^n,$$

where  $\kappa = \omega_n e^{-C}$ .

**Lemma 6.4.** *If  $X : M \rightarrow \mathbb{R}^{n+1}$  is an  $n$ -dimensional complete and non-compact proper  $\lambda$ -hypersurface, then it has infinite area.*

*Proof.* Let

$$\Omega(k_1, k_2) = \{x \in M : 2^{k_1 - \frac{1}{2}} \leq \rho(x) \leq 2^{k_2 - \frac{1}{2}}\},$$

$$A(k_1, k_2) = \text{Area}(X(\Omega(k_1, k_2))),$$

where  $\rho(x) = |X(x)|$ . Since  $X : M \rightarrow \mathbb{R}^{n+1}$  is a complete and non-compact proper immersion,  $X(M)$  can not be contained in a compact Euclidean ball. Then, for  $k$  large enough,  $\Omega(k, k + 1)$  contains at least  $2^{2k-1}$  disjoint balls

$$B_r(x_i) = \{x \in M : \rho_{x_i}(x) < 2^{-\frac{1}{2}}r\}, \quad x_i \in M, \quad r = 2^{-k}$$

where  $\rho_{x_i}(x) = |X(x) - X(x_i)|$ . Since, in  $\Omega(k, k + 1)$ ,

$$\begin{aligned} (6.22) \quad |H| &\leq |H - \lambda| + |\lambda| = |\langle X, N \rangle| + |\lambda| \\ &\leq |X| + |\lambda| \leq 2^k \sqrt{2} + |\lambda| \leq \frac{\sqrt{2} + |\lambda|}{r}, \end{aligned}$$

by using of Lemma 6.3, we get

$$(6.23) \quad A(k, k + 1) \geq \kappa_1 2^{2k-1-kn},$$

with  $\kappa_1 = \omega_n e^{-(\sqrt{2}+|\lambda|)2^{-\frac{1}{2}}} 2^{-\frac{n}{2}}$ .

**Claim:** If  $\text{Area}(X(M)) < \infty$ , then, for every  $\varepsilon > 0$ , there exists a large constant  $k_0 > 0$  such that,

$$(6.24) \quad \begin{aligned} A(k_1, k_2) &\leq \varepsilon \quad \text{and} \quad A(k_1, k_2) \leq 2^{4n} A(k_1 + 2, k_2 - 2), \\ \text{if } k_2 &> k_1 > k_0. \end{aligned}$$

In fact, we may choose  $K > 0$  sufficiently large such that  $k_1 \approx \frac{K}{2}$ ,  $k_2 \approx \frac{3K}{2}$ . Assume (6.24) does not hold, that is,

$$A(k_1, k_2) \geq 2^{4n} A(k_1 + 2, k_2 - 2).$$

If

$$A(k_1 + 2, k_2 - 2) \leq 2^{4n} A(k_1 + 4, k_2 - 4),$$

then we complete the proof of the claim. Otherwise, we can repeat the procedure for  $j$  times, we have

$$A(k_1, k_2) \geq 2^{4nj} A(k_1 + 2j, k_2 - 2j).$$

When  $j \approx \frac{K}{4}$ , we have from (6.23)

$$\text{Area}(X(M)) \geq A(k_1, k_2) \geq 2^{nK} A(K, K + 1) \geq \kappa_1 2^{2K-1}.$$

Thus, (6.24) must hold for some  $k_2 > k_1$  because  $\text{Area}(M) < \infty$ . Hence for any  $\varepsilon > 0$ , we can choose  $k_1$  and  $k_2 \approx 3k_1$  such that (6.24) holds.

We define a smooth cut-off function  $\psi(t)$  by

$$(6.25) \quad \psi(t) = \begin{cases} 1, & 2^{k_1 + \frac{3}{2}} \leq t \leq 2^{k_2 - \frac{5}{2}}, \\ 0, & \text{outside } [2^{k_1 - \frac{1}{2}}, 2^{k_2 - \frac{1}{2}}]. \end{cases} \quad 0 \leq \psi(t) \leq 1, \quad |\psi'(t)| \leq 1.$$

Moreover,  $\psi(t)$  can be defined in such a way that

$$(6.26) \quad 0 \leq \psi'(t) \leq \frac{c_1}{2^{k_1 - \frac{1}{2}}}, \quad t \in [2^{k_1 - \frac{1}{2}}, 2^{k_1 + \frac{3}{2}}],$$

$$(6.27) \quad -\frac{c_2}{2^{k_2 - \frac{1}{2}}} \leq \psi'(t) \leq 0, \quad t \in [2^{k_2 - \frac{5}{2}}, 2^{k_2 - \frac{1}{2}}],$$

for some positive constants  $c_1$  and  $c_2$ .

Letting

$$(6.28) \quad f(x) = e^{L + \frac{|X|^2}{4}} \psi(\rho(x)),$$

we choose the constant  $L$  satisfying

$$(6.29) \quad 1 = \int_M f^2 e^{-\frac{|X|^2}{2}} d\mu = e^{2L} \int_{\Omega(k_1, k_2)} \psi^2(\rho(x)) d\mu.$$

We obtain from Corollary 6.1,  $t \ln t \geq -\frac{1}{e}$  for  $0 \leq t \leq 1$ ,  $|\nabla \rho| \leq 1$  and  $\psi'(\rho(x)) \leq 0$  in  $\Omega(k_1 + 2, k_2)$  that

$$(6.30) \quad \begin{aligned} \frac{1}{2} C_1(n) + \frac{1}{4} \lambda^2 &\geq \int_{\Omega(k_1, k_2)} e^{2L} \psi^2 \left( L + \frac{|X|^2}{4} + \ln \psi \right) d\mu \\ &\quad - \int_{\Omega(k_1, k_2)} e^{2L} \left| \psi' \nabla \rho + \psi \frac{X^T}{2} \right|^2 d\mu \end{aligned}$$

$$\begin{aligned}
 &\geq \int_{\Omega(k_1, k_2)} e^{2L} \psi^2 \left( L + \frac{|X|^2}{4} + \ln \psi \right) d\mu \\
 &\quad - \int_{\Omega(k_1, k_2)} e^{2L} |\psi'|^2 d\mu - \frac{1}{4} \int_{\Omega(k_1, k_2)} e^{2L} \psi^2 |X|^2 d\mu \\
 &\quad - \frac{1}{2} \int_{\Omega(k_1, k_2)} e^{2L} \psi' \psi \frac{|X^T|^2}{|X|} d\mu \\
 &\geq L + \int_{\Omega(k_1, k_2)} e^{2L} \psi^2 \ln \psi d\mu - \int_{\Omega(k_1, k_2)} e^{2L} |\psi'|^2 d\mu \\
 &\quad - \frac{1}{2} \int_{\Omega(k_1, k_1+2)} e^{2L} \psi' \psi |X| d\mu \\
 &\geq L - \left( \frac{1}{2e} + 1 \right) e^{2L} A(k_1, k_2) - 2c_1 e^{2L} A(k_1, k_1 + 2).
 \end{aligned}$$

Therefore, it follows from (6.24) that

$$\begin{aligned}
 (6.31) \quad \frac{1}{2} C_1(n) + \frac{1}{4} \lambda^2 &\geq L - \left( \frac{1}{2e} + 1 + 2c_1 \right) e^{2L} 2^{4n} A(k_1 + 2, k_2 - 2) \\
 &\geq L - \left( \frac{1}{2e} + 1 + 2c_1 \right) e^{2L} 2^{4n} \int_{\Omega(k_1, k_2)} \psi^2(\rho(x)) d\mu \\
 &= L - \left( \frac{1}{2e} + 1 + 2c_1 \right) 2^{4n}.
 \end{aligned}$$

On the other hand, we have, from (6.24) and definition of  $f(x)$ ,

$$(6.32) \quad 1 \leq e^{2L} \varepsilon.$$

Letting  $\varepsilon > 0$  sufficiently small, then  $L$  can be arbitrary large, which contradicts (6.31). Hence,  $M$  has infinite area. □

**Theorem 6.1.** *Let  $X : M \rightarrow \mathbb{R}^{n+1}$  be an  $n$ -dimensional complete proper  $\lambda$ -hypersurface. Then, for any  $p \in M$ , there exists a constant  $C > 0$  such that*

$$\text{Area}(B_r(X(x_0)) \cap X(M)) \geq Cr,$$

for all  $r > 1$ .

*Proof.* We can choose  $r_0 > 0$  such that  $\text{Area}(B_r(0) \cap X(M)) > 0$  for  $r \geq r_0$ . It is sufficient to prove there exists a constant  $C > 0$  such that

$$(6.33) \quad \text{Area}(B_r(0) \cap X(M)) \geq Cr$$

holds for all  $r \geq r_0$ . In fact, if (6.33) holds, then for any  $x_0 \in M$  and  $r > |X(x_0)|$ ,

$$(6.34) \quad B_r(X(x_0)) \supset B_{r-|X(x_0)|}(0),$$

and

$$(6.35) \quad \text{Area}(B_r(X(x_0)) \cap X(M)) \geq \text{Area}(B_{r-|X(x_0)|}(0) \cap X(M)) \geq \frac{C}{2}r,$$

for  $r \geq 2|X(x_0)|$ .

We next prove (6.33) by contradiction. Assume for any  $\varepsilon > 0$ , there exists  $r \geq r_0$  such that

$$(6.36) \quad \text{Area}(B_r(0) \cap X(M)) \leq \varepsilon r.$$

Without loss of generality, we assume  $r \in \mathbb{N}$  and consider a set:

$$D := \{k \in \mathbb{N} : \text{Area}(B_t(0) \cap X(M)) \leq 2\varepsilon t \\ \text{for any integer } t \text{ satisfying } r \leq t \leq k\}.$$

Next, we will show that  $k \in D$  for any integer  $k$  satisfying  $k \geq r$ . For  $t \geq r_0$ , we define a function  $u$  by

$$(6.37) \quad u(x) = \begin{cases} t + 2 - \rho(x), & \text{in } B_{t+2}(0) \cap X(M) \setminus B_{t+1}(0) \cap X(M), \\ 1, & \text{in } B_{t+1}(0) \cap X(M) \setminus B_t(0) \cap X(M), \\ \rho(x) - (t - 1), & \text{in } B_t(0) \cap X(M) \setminus B_{t-1}(0) \cap X(M), \\ 0, & \text{otherwise.} \end{cases}$$

Using Lemma 6.2,  $|\nabla \rho| \leq 1$  and  $t \ln t \geq -\frac{1}{e}$  for  $0 \leq t \leq 1$ , we have

$$(6.38) \quad -\frac{1}{2} \int_M u^2 d\mu \ln \{ (\text{Area}(B_{t+2}(0) \cap X(M)) - \text{Area}(B_{t-1}(0) \cap X(M))) \} \\ \leq C_0 \left( \text{Area}(B_{t+2}(0) \cap X(M)) - \text{Area}(B_{t-1}(0) \cap X(M)) \right) \\ + \frac{1}{4} \left( \int_{B_{t+2}(0) \cap X(M)} H^2 d\mu - \int_{B_{t-1}(0) \cap X(M)} H^2 d\mu \right),$$

where  $C_0 = 1 + \frac{1}{2e} + \frac{1}{2}C_2(n)$ ,  $C_2(n)$  is the constant of Lemma 6.2.

For all  $t \geq C_1(n, \lambda) + 1$ , we have from Lemma 6.1

$$\begin{aligned}
 (6.39) \quad & \text{Area}(B_{t+2}(0) \cap X(M)) - \text{Area}(B_{t-1}(0) \cap X(M)) \\
 & \leq c(n, \lambda) \left( \frac{\text{Area}(B_{t+1}(0) \cap X(M))}{t+1} \right. \\
 & \quad \left. + \frac{\text{Area}(B_t(0) \cap X(M))}{t} + \frac{\text{Area}(B_{t-1}(0) \cap X(M))}{t-1} \right) \\
 & \leq c(n, \lambda) \left( \frac{2}{t+1} + \frac{1}{t} + \frac{1}{t} \left( 1 + \frac{1}{C_1(n, \lambda)} \right) \right) \text{Area}(B_t(0) \cap X(M)) \\
 & \leq C_2(n, \lambda) \frac{\text{Area}(B_t(0) \cap X(M))}{t},
 \end{aligned}$$

where  $C_2(n, \lambda)$  is constant depended only on  $n$  and  $\lambda$ . Note that we can assume  $r \geq C_1(n, \lambda) + 1$  for the  $r$  satisfying (6.36). In fact, if for any given  $\varepsilon > 0$ , all the  $r$  which satisfies (6.36) is bounded above by  $C_1(n, \lambda) + 1$ , then  $\text{Area}(B_r(0) \cap X(M)) \geq Cr$  holds for any  $r > C_1(n, \lambda) + 1$ . Thus, we know that  $M$  has at least linear area growth. Hence, for any  $k \in D$  and any  $t$  satisfying  $r \leq t \leq k$ , we have

$$(6.40) \quad \text{Area}(B_{t+2}(0) \cap X(M)) - \text{Area}(B_{t-1}(0) \cap X(M)) \leq 2C_2(n, \lambda)\varepsilon.$$

Since

$$(6.41) \quad \int_M u^2 d\mu \geq \text{Area}(B_{t+1}(0) \cap X(M)) - \text{Area}(B_t(0) \cap X(M)),$$

holds, if we choose  $\varepsilon$  such that  $2C_2(n, \lambda)\varepsilon < 1$ , from (6.38), we obtain

$$\begin{aligned}
 (6.42) \quad & (\text{Area}(B_{t+1}(0) \cap X(M)) - \text{Area}(B_t(0) \cap X(M))) \ln(2C_2(n, \lambda)\varepsilon)^{-1} \\
 & \leq 2C_0 \left( \text{Area}(B_{t+2}(0) \cap X(M)) - \text{Area}(B_{t-1}(0) \cap X(M)) \right) \\
 & \quad + \frac{1}{2} \left( \int_{B_{t+2}(0) \cap X(M)} H^2 d\mu - \int_{B_{t-1}(0) \cap X(M)} H^2 d\mu \right).
 \end{aligned}$$

Iterating from  $t = r$  to  $t = k$  and taking summation on  $t$ , we infer, from Lemma 6.1 and the equation (6.9) that

$$\begin{aligned}
 (6.43) \quad & (\text{Area}(B_{k+1}(0) \cap X(M)) - \text{Area}(B_r(0) \cap X(M))) \ln(2C_2(n, \lambda)\varepsilon)^{-1} \\
 & \leq 6C_0 \text{Area}(B_{k+2}(0) \cap X(M)) + \frac{3}{2} \int_{B_{k+2}(0) \cap X(M)} H^2 d\mu \\
 & \leq \left[ 6C_0 + \frac{3}{2}(2n + \lambda^2) \right] \text{Area}(B_{k+2}(0) \cap X(M)) \\
 & \leq 2 \left[ 6C_0 + \frac{3}{2}(2n + \lambda^2) \right] \text{Area}(B_{k+1}(0) \cap X(M)).
 \end{aligned}$$

Hence, we get

$$\begin{aligned}
 (6.44) \quad & \text{Area}(B_{k+1}(0) \cap X(M)) \\
 & \leq \frac{\ln(2C_2(n, \lambda)\varepsilon)^{-1}}{\ln(2C_2(n, \lambda)\varepsilon)^{-1} - 12C_0 - 3(2n + \lambda^2)} \text{Area}(B_r(0) \cap X(M)) \\
 & \leq \frac{\ln(2C_2(n, \lambda)\varepsilon)^{-1}}{\ln(2C_2(n, \lambda)\varepsilon)^{-1} - 12C_0 - 3(2n + \lambda^2)} \varepsilon^r.
 \end{aligned}$$

We can choose  $\varepsilon$  small enough such that

$$(6.45) \quad \frac{\ln(2C_2(n, \lambda)\varepsilon)^{-1}}{\ln(2C_2(n, \lambda)\varepsilon)^{-1} - 12C_0 - 3(2n + \lambda^2)} \leq 2.$$

Therefore, it follows from (6.44) that

$$(6.46) \quad \text{Area}(B_{k+1}(0) \cap X(M)) \leq 2\varepsilon^r,$$

for any  $k \in D$ . Since  $k + 1 \geq r$ , we have, from (6.46) and the definition of  $D$ , that  $k + 1 \in D$ . Thus, by induction, we know that  $D$  contains all of integers  $k \geq r$  and

$$(6.47) \quad \text{Area}(B_k(0) \cap X(M)) \leq 2\varepsilon^r,$$

for any integer  $k \geq r$ . This implies that  $M$  has finite volume, which contradicts with Lemma 6.4. Hence, there exist constants  $C$  and  $r_0$  such that  $\text{Area}(B_r(0) \cap X(M)) \geq Cr$  for  $r > r_0$ . It completes the proof of Theorem 6.1. □

**Remark 6.1.** *The estimate in our theorem is the best possible because the cylinders  $S^{n-1}(r_0) \times \mathbb{R}$  satisfy the equality.*

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