

THE OPERAD THAT CO-REPRESENTS ENRICHMENT

ANDREW W. MACPHERSON

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Abstract

I show that the theories of enrichment in a monoidal infinity-category defined by Hinich and by Gepner–Haugsgeng agree, and that the identification is unique. Among other things, this makes the Yoneda lemma available in the latter model.

1. Introduction

The notion of an enrichment of a 1-category C in a monoidal category (\mathcal{V}, \otimes) as a bifunctor

$$C_{\mathcal{V}}(-, -): C^{\text{op}} \times C \rightarrow \mathcal{V},$$

together with a composition law satisfying an associativity constraint, goes back almost as far as category theory itself.¹ Trying to transplant this notion into higher category theory, one encounters the same difficulties controlling ‘coherent associativity’ as one does when defining algebra objects; that is, one-object enriched categories.

Recently, two approaches have appeared that provide a framework of \mathcal{V} -enrichments for any monoidal ∞ -category (\mathcal{V}, \otimes) : the *categorical algebras in \mathcal{V}* of [4] and the *\mathcal{V} -enriched precategories* of [6]. While the former work provides fundamental facts about the category of enriched categories — such as presentability in the case \mathcal{V} is presentable² — the latter adds essential methods for enriched ∞ -category theory in practice, not least of which a \mathcal{V} -Yoneda lemma. Naturally, we want to know that these papers describe one and the same theory.

As in the better-known setting of coherently associative algebras, the trick to defining enrichments is in selecting a gadget that *indexes operations* — in other words, a *co-representing* object. In this case, the relevant object is a planar ∞ -operad. It is claimed in [6] that its own corepresenting operad \mathbf{Ass}_X is ‘the same’ as a simplicial multicategory \mathcal{O}_X defined in [4], and therefore that the attendant theories of \mathcal{V} -enrichments agree. However, although the author suggests the premise for the comparison, no complete justification of the claim appears. This note fills that gap. We show that:

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¹For a potted history, see the introduction to [7].

²Proposition 4.3.5 from *op. cit.*

- The Gepner–Haugsgeng theory of categorical algebras is naturally (in \mathcal{V}) equivalent to Hinich’s \mathcal{V} -enriched precategories;
- that the equivalence is unique — the theory has no natural autoequivalences.

I now sketch the broad strokes of the argument. In what follows, **Cat** denotes the ∞ -category of ∞ -categories and **Ass** the associative operad.

Theorem 1.1. *There is a unique equivalence, universal in (\mathcal{V}, \otimes) , between the Gepner–Haugsgeng category of categorical algebras in \mathcal{V} and the Hinich category of \mathcal{V} -enriched precategories.*

Proof. The corepresenting planar operads in the two cases are as follows (cf. §2):

- In [4, §4.2] we have the planar operad $L_{\text{gen}}\Delta_X^{\text{op}}$; we will use the fact [4, Cor. 4.2.8] that it is presented by the simplicial multicategory \mathcal{O}_X .
- Hinich’s operad **Ass**(X) is defined [6, §3.2]³ by specifying its spaces of simplices

$$\sigma : \Delta_{/\text{Ass}^{\text{op}}}, X : \mathbf{Cat} \quad \text{Fun}_{\text{Ass}}(\sigma, \mathbf{Ass}(X)) \cong \text{Fun}(\mathcal{F}(\sigma), X)$$

in terms of an explicit, combinatorially defined functor $\mathcal{F} : \Delta_{/\text{Ass}} \rightarrow \mathbf{Cat}$ on the category of simplices of the associative operad.⁴

In light of the definition of **Ass**(X), it is enough to exhibit the ∞ -functor associated to \mathcal{O} as a right adjoint to a left Kan extension of \mathcal{F} :

$$\hat{\mathcal{F}} : \mathbf{Cat}_{/\text{Ass}} \rightleftarrows \mathbf{Cat} : \mathcal{O}.$$

This follows from proposition 4.1 and corollary 3.4.

Proposition 5.1 tells us that $\text{Aut}(\mathcal{F})$ is trivial, whence the adjunction data is unique. □

1.1. Perspective

The uniqueness statement in theorem 1.1 echoes the main results of [2] concerning (∞, n) -categories — in fact, it is even more satisfying, because it is not required to fix the inclusion of a generating subcategory to ‘orient’ the theory. We have not proved that the uniqueness statement applies also to the subcategory of *complete* objects — that is, to enriched category theory proper — but it seems likely that it holds without modification.

A more direct connection to (∞, n) -category theory exists in the form of results that compare the n -fold Segal space model with iterated enriched categories: see [5, §7] for the Gepner–Haugsgeng model and [6, §5] for Hinich. Using the result of this paper, it also makes sense to compare these comparisons: in other words, we could

³Warning: section number citations to [6] are based on the linked preprint version and not the final published version.

⁴Note that we consider here $\text{Fun}(-, -)$ as a space, rather than as a category.

ask if the diagram

$$\begin{array}{ccc}
 \text{Alg}_{\text{cat}}^{\text{GH}}(n\mathbf{Cat}) & \xleftarrow{\text{Theorem 1.1}} & \text{Alg}(\text{Quiv}_-(n\mathbf{Cat})) \\
 & \searrow [5, \text{Thm. 7.5}] & \swarrow [6, \text{Cor. 5.6.1}] \\
 & \text{Seg}(n\mathbf{Cat}) &
 \end{array}$$

commutes. Here the authors’ approaches diverge significantly, and it would be interesting to obtain an isomorphism between these two functors.

The uniqueness statement becomes false if we restrict to \mathcal{V} symmetric monoidal: there is a non-trivial symmetry given by formation of the opposite category. It seems likely that this is the entire symmetry group; however, a proof of this statement does not seem to be immediately accessible to the methods of this paper.

1.2. Bordism description of $\text{Ass}(X)$

The definition of \mathcal{O}_X makes use of simplicial category model in an essential way; meanwhile, although \mathcal{F} can be defined without reference to a set-theoretic model, its definition uses laborious explicit combinatorics. Only $L_{\text{gen}}\Delta_X^{\text{op}}$ has a truly universal feel, though this too is unsatisfactory as it only gives the correct object when X is a space. Is there a holistic approach to constructing the adjunction $\mathcal{F} \dashv \mathcal{O}$?

Here is a sketch of how $\text{Ass}(X)$ may be defined using bordism theory. First, we recall that the associative operad $\mathbf{Ass} = \Delta^{\text{op}}$ has a realisation as a bordism category in which:

- objects are finite disjoint unions of embedded intervals in the line \mathbb{R}_x ;
- morphisms from X_0 to X_1 are surfaces Σ (with corners) embedded in the plane $\mathbb{R}_x \times [0, 1]_t$, transverse at $\{0, 1\}$, with identifications $\partial_i \Sigma := \Sigma \cap \mathbb{R}_x \times \{i\} \cong X_i$. The surfaces must be simply-connected and $\pi_0(\partial_1 \Sigma) \rightarrow \pi_0(\Sigma)$ bijective.

As usual, composition is defined by glueing surfaces at marked ends.

For a given such surface Σ , let us call *horizontal* the part of the boundary not contained in $\mathbb{R}_x \times \{0, 1\}$. Then $\text{Ass}(X)$ will be the operad whose objects (colours) are 1-manifolds as above whose boundary points are labelled with objects of X , and whose morphisms are embedded surfaces with horizontal boundary marked with morphisms of X (oriented according to a fixed orientation of the ambient plane and with suitable source and target). Its structural morphism $\text{Ass}(X) \rightarrow \mathbf{Ass}$ obtained by forgetting the labelling.

A look at the pictures in [6], or in §4 of this paper, will confirm the equivalence of this description. I leave a fuller development of this approach for another day.

1.3. Outline of the paper

In §2 we go over general conventions and review the relevant material from [4, 6]. In §3 we make some reductions to the case of 1-categories; this section addresses the matter of extensions of adjunctions, and model-categorical issues (especially those concerning $s\mathbf{Cat}_{/\mathbf{Ass}}$). In §4 we carry out the main argument — this is conceptually straightforward, but tedious in practice. Finally, §5 addresses the symmetries of \mathcal{F} .

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2. Preliminaries

2.1. Category theory

This paper is based on the $(\infty, 1)$ -category theory developed in [9, 8]. Hence categories, by default, are $(\infty, 1)$ -categories, while classical $(1, 1)$ -categories whose mapping objects are sets are said to be *1-truncated* or *classical* categories. The $(\infty, 1)$ -category of $(\infty, 1)$ -categories is denoted \mathbf{Cat} . The $(\infty, 1)$ -category of $(\infty, 1)$ -functors between two objects $X, Y : \mathbf{Cat}$ is denoted $\mathrm{Fun}(X, Y)$; where necessary, we will also consider this as a space (by taking its maximal subgroupoid), indicating when we do so in the text. The category of monoidal ∞ -categories is denoted \mathbf{Cat}^{\otimes} , and the category of (planar) ∞ -operads is \mathbf{Op} .

Since one of the objects being compared is defined as a simplicial category, it was not possible to entirely avoid model category techniques. The methods we actually use are quite restricted:

- The notion of Quillen adjunction and the fact, proved in [11], that it induces an adjunction between the associated $(\infty, 1)$ -categories.
- The Bergner model structure on the category $s\mathbf{Cat}$ of simplicially enriched categories.

We also need some facts about the slice model structure; see §3.

2.2. The 1-category of 1-categories

The category of 1-categories is naturally organised into a $(2, 1)$ -category, with paths in the mapping types given by natural isomorphism of functors. However, we will need to work in \mathbf{Cat}^1 as a subcategory of the model category $s\mathbf{Cat}$, which is usually formulated as a $(1, 1)$ -category (since this is the domain of Quillen's model category theory). We will therefore work in the $(1, 1)$ -category \mathbf{Cat}^1 of *strict* 1-categories up to isomorphism, rather than up to categorical equivalence. Beware that the natural functor $\mathbf{Cat}^1 \rightarrow \mathbf{Cat}$ is not fully faithful.

We should also fix a strict model of the simplex category Δ (although it does not matter which one). For sake of argument, let us say we chose a model whose objects are natural numbers, so that there is a unique object in each isomorphism class. The reader will see that Hinich's functor \mathcal{F} , sketched in §4.3, takes values in strict categories by definition.

Remark 2.1 (The 2-category of 1-categories). Alternatively, using the theory of [10] it is also possible to consider $s\mathbf{Cat}$ as a model $(2, 1)$ -category, in which case we may also treat \mathbf{Cat}^1 as a $(2, 1)$ -category. This approach entails additional complication for our combinatorial construction of the main adjunction §4.4: we should provide commutativity *isomorphisms* for the naturality squares inspected in §4.5 and §4.6, which themselves should satisfy a further identity.

In fact, the arguments as written essentially provide the required isomorphisms. The additional compatibility, on the other hand, hardly seems worth the effort to formulate.

2.3. Membership declaration

Objects of categories (either variables or constants) are declared with a *colon*, i.e. $a : A$ states that a is an object of A (hence is equivalent to the usual notation $a \in A$). As usual, we may also write $f : A \rightarrow B$ or $g : C \cong D$ to declare a function or isomorphism; the category in which this morphism or isomorphism lives, if unclear, is underset.

2.4. Approaches to enriched categories

There have been various attempts to get enriched category theory working using model categories. The earliest attempts used classical enrichment compatible with a model structure; this approach continues to suffer from the disadvantages encountered in the special case of simplicially enriched categories, and it is impractical for addressing (∞, n) -category theory. More recently, a more general notion of ‘weak’ enrichment was developed in [12]. For an overview, see the introduction to [4].

The notion of a \mathcal{V} -enriched category, for (\mathcal{V}, \otimes) a general monoidal ∞ -category, was introduced in [4]. The more flexible language of [6], although it appeared later, was apparently developed concurrently. It is worth mentioning that Hinich proves a Yoneda lemma [6, Cor. 6.2.7].

In both cases the definition of the 1-category $\mathcal{V}\mathbf{Cat}$ of \mathcal{V} -enriched categories proceeds in two stages:

1. First we define a notion which in this paper we will call an *algebroid* in \mathcal{V} over a space X , encoding the \mathcal{V} -valued Hom-bifunctor $X \times X \rightarrow \mathcal{V}$ with its associative composition law. (These are the objects called ‘categorical algebras’ in [4] and ‘enriched precategory’ in [6].)
2. Second, localise to a full subcategory of *complete* (or *univalent*) algebroids. These are those algebroids for which the underlying space X *classifies objects* [4, §5.2].

In this paper, we will not go into details on the localisation stage.

2.5. Corepresenting algebroids

To a monoidal category \mathcal{V} and space X each of the references [4, 6] defines the category of algebroids in \mathcal{V} over X by constructing a corepresenting object. Hinich also gives a definition when X is a category.

- To X [4] functorially attaches a certain generalised planar operad $\Delta_X^{\text{op}} \rightarrow \Delta^{\text{op}}$ [4, §4.1], which will corepresent algebroids with space of objects X . Note: $\Delta_X^{\text{op}} = (\Delta_{/X})^{\text{op}}$.

The authors then define *categorical algebras*:

$$\text{Alg}_{\text{cat}}(\mathcal{V}) = \text{Alg}_{\text{cat}}^{\text{GH}}(\mathcal{V}) := \mathbf{Op}_{\text{planar}}^{\text{gen}}(\Delta_X^{\text{op}}, \mathcal{V}^{\otimes})$$

as a category of morphisms between generalised planar operads.

Since the target is a monoidal category, it makes no difference if we replace Δ_X^{op} with its nearest (non-generalised) operad quotient $L_{\text{gen}}\Delta_X^{\text{op}}$. When X is

presented as a simplicial groupoid, this is modelled by a simplicial multicategory \mathcal{O}_X [4, §4.2] (itself actually defined for any simplicial *category* X).

- The associative operad is denoted $\mathbf{Ass}(= \Delta^{\text{op}})$. Hinich associates to X the planar operad $\mathbf{Ass}(X)$ [6, (3.2.8)]. The category of \mathcal{V} -enriched precategories is then defined as the category of operad morphisms $\mathbf{Op}(\mathbf{Ass}(X), \mathcal{V}^{\otimes})$.

It is reasonable to regard $\mathbf{Ass}(X)$ as *always* corepresenting algebroids over X , even for X a general $(\infty, 1)$ -category, while Δ_X^{op} is correct only when X is a space (some of the arrows end up pointing the wrong way in general).

Being a mapping category, as X and \mathcal{V} varies, it defines a bifunctor [6, (3.5.3)]

$$\mathbf{Algbrd} : \mathbf{Cat}^{\text{op}} \times \mathbf{Cat}^{\otimes} \rightarrow \mathbf{Cat}.$$

Fixing $\mathcal{V} : \mathbf{Cat}^{\otimes}$ and integrating over \mathbf{Cat} yields a Cartesian fibration

$$\mathbf{Alg}d(\mathcal{V}) \rightarrow \mathbf{Cat}$$

whose total space is by definition the category of all algebroids in \mathcal{V} .

2.6. A clarification

A couple of remarks are required to fully equate the language of [6] with the form presented in §2.5.

- The paper is written in a generalised setting of operads over a ‘strong approximation’ to a (symmetric) operad [6, (2.5.2)]. In this language, $\mathbf{Ass} = \Delta^{\text{op}}$ is a strong approximation to the associative operad, and $\mathbf{Op}_{\mathbf{Ass}}$ is (definitionally) the same as the category of non-symmetric operads appearing in [4, §3].
- Rather than defining them directly as a mapping category, Hinich actually defines \mathcal{V} -enriched precategories as algebras in the ‘Day convolution’ internal mapping operad

$$\mathbf{Quiv}_X(\mathcal{V}) = \mathbf{Funop}(\mathbf{Ass}(X), \mathcal{V}^{\otimes})$$

from $\mathbf{Ass}(X)$ to (\mathcal{V}, \otimes) . This object is defined for so-called *flat* operads, a class which includes $\mathbf{Ass}(X)$ [6, §3.3]. By [6, Cor. 2.6.5], algebras in this category compute operad morphisms, so this agrees with the formula written here.

3. A Quillen adjunction

Before formulating the main statement 3.3, we must address some technical matters: since \mathcal{F} is defined only on $\Delta_{\mathbf{Ass}}$ and not $\mathbf{Cat}_{\mathbf{Ass}}$, we should address the issue of extending adjunction data on this subcategory to a genuine adjunction with a left Kan extension.

Definition 3.1 (Formal adjunction). Let C^\wedge and D be categories with $C \subseteq C^\wedge$ a dense full subcategory, and let $C \xrightarrow{L} D \xrightarrow{R} C^\wedge$ be two functors. A *formal adjunction* $L \dashv R$ between L and R is the data of a natural isomorphism

$$c : C, d : D \quad C^\wedge(c, Rd) \cong D(Lc, d)$$

(i.e. an isomorphism of functors of c, d). By the Yoneda lemma, L is uniquely determined by R as the pullback $C \rightarrow \mathbf{Fun}(D, \mathbf{S})^{\text{op}}, c \mapsto D(c, R-)$. The converse holds by density of C in C^\wedge .

If $C = C^\wedge$, then using [1, Lemma 4.1] to exchange the bifunctor $C(c, Rd)$ with a correspondence $C \sqcup D \subseteq M \rightarrow \Delta^1$, the data of a formal adjunction is equivalent to that of a usual adjunction of ∞ -categories [9, Def. 5.2.2.1].

Lemma 3.2 (Extending a formal adjunction). *Let $\text{adj} : L \dashv R, C \rightarrow D \rightarrow C^\wedge$ be a formal adjunction. Suppose that L admits a (pointwise) left Kan extension $L^\wedge : C^\wedge \rightarrow D$. Then there is a unique adjunction $L^\wedge \dashv R$ extending the given formal adjunction. In particular, $\text{Aut}(L) = \text{Aut}(L^\wedge)$.*

Proof. By the definition of the Kan extension, for $c : C^\wedge$ we have

$$D(L^\wedge c, d) \cong \lim_{c' : C/c} D(Lc', d) \cong \lim_{c' : C/c} C(c', Rd) \cong C^\wedge(c, Rd)$$

where the last line follows from $\text{colim}_{c' : C/c} c' \cong c$ because C is dense in C^\wedge . □

3.1. Hinich’s functor \mathcal{F}

We recall that Hinich’s functor \mathcal{F} is, by definition, formally left adjoint to \mathbf{Ass} , as in:

$$\begin{array}{ccc} \Delta/\mathbf{Ass} & \xrightarrow{\mathcal{F}} & \mathbf{Cat} \\ \downarrow & \swarrow \mathbf{Ass} & \\ \mathbf{Cat}/\mathbf{Ass} & & \end{array}$$

Moreover, \mathcal{F} actually lifts to a functor into \mathbf{Cat}^1 .

3.2. Model structure on a slice

The slice category $s\mathbf{Cat}/\mathbf{Ass}$ inherits sets of fibrations, cofibrations, and weak equivalences from the corresponding sets in the Bergner model structure by inverse image along the forgetful functor $s\mathbf{Cat}/\mathbf{Ass} \rightarrow s\mathbf{Cat}$. It is well-known that because \mathbf{Ass} is fibrant, this is also a model structure. By [3, Cor. 7.6.13], its localisation is the ∞ -category $\mathbf{Cat}/\mathbf{Ass}$.

3.3. Gepner–Haugsgeng’s \mathcal{O}_X

Gepner–Haugsgeng’s \mathcal{O}_X is a functor of a simplicial category $X : s\mathbf{Cat}$ valued in simplicial multicategories. Throughout, we tacitly replace this multicategory with its operad of operators (reviewed in [4, §2.2]), which is a simplicial category over \mathbf{Ass} .

It follows from the definition, and the fact that weak equivalences are preserved by products, that \mathcal{O}_X descends to a functor $\mathbf{Cat} \rightarrow \mathbf{Cat}/\mathbf{Ass}$.

We are now ready to formulate our main reduction step in the proof of Theorem 1.1.

Proposition 3.3 ($\hat{\mathcal{F}}_\bullet \dashv \mathcal{O}_\bullet$). *Suppose given a formal adjunction $\text{adj} : \mathcal{F} \dashv \mathcal{O}$ between \mathcal{F} and the restriction of \mathcal{O} to the category \mathbf{Cat}^1 of 1-categories.*

Then there is an extension of adj to a Quillen adjunction

$$\hat{\mathcal{F}}_\bullet : s\mathbf{Cat}/\mathbf{Ass} \rightleftarrows s\mathbf{Cat} : \mathcal{O}$$

between the Bergner model structure on $s\mathbf{Cat}$ and the slice structure on $s\mathbf{Cat}/\mathbf{Ass}$.

Proof. We define the functor $\hat{\mathcal{F}}_\bullet : s\mathbf{Cat}/\mathbf{Ass} \rightarrow s\mathbf{Cat}$ by applying the left Kan extension $\hat{\mathcal{F}} : \mathbf{Cat}^1_{\mathbf{Ass}} \rightarrow \mathbf{Cat}^1$ in each dimension. (Note that \mathcal{O} is also defined by applying

the same operation in each dimension, as products of simplicial sets are calculated dimension-by-dimension.) Then mapping sets are computed using an end:

$$\begin{aligned} \text{Fun}_{\mathbf{Ass}}(S_\bullet, (\mathcal{O}_X)_\bullet) &= \text{end}_{n,m} \text{Fun}_{\mathbf{Ass}}(S_m, \mathcal{O}_{X_n}) \\ &= \text{end}_{n,m} \text{Fun}(\hat{\mathcal{F}}(S_m), X_n) \\ &= \text{Fun}(\hat{\mathcal{F}}_\bullet S_\bullet, X_\bullet) \end{aligned}$$

so that $\hat{\mathcal{F}}_\bullet \dashv \mathcal{O}_\bullet$.

Moreover, \mathcal{O} preserves weak equivalences and fibrations because weak equivalences and fibrations of simplicial sets are preserved by products and the mapping spaces in \mathcal{O}_X are products of those in X . In other words, it is a right Quillen functor, so $\hat{\mathcal{F}} \dashv \mathcal{O}$ is a Quillen adjunction. \square

Corollary 3.4 ($\mathcal{F} \dashv \mathcal{O}$). *Suppose given a formal adjunction $\text{adj}: \mathcal{F} \dashv \mathcal{O}$ between \mathcal{F} and the restriction of \mathcal{O} to the category \mathbf{Cat}^1 of classical 1-categories. There is an extension to an adjunction*

$$\hat{\mathcal{F}}: \mathbf{Cat}/_{\Delta^{\text{op}}} \rightleftarrows \mathbf{Cat} : \mathcal{O}$$

between \mathcal{O} and a left Kan extension $\hat{\mathcal{F}}$ of \mathcal{F} .

Proof. By [11], the derived functors of a Quillen adjunction yield an adjunction of the localised ∞ -categories. \square

4. The technical bit

In this section, we will construct the adjunction at the level of the $(1, 1)$ -category \mathbf{Cat}^1 of 1-categories (cf. §2.2). This comparison is straightforward, and it is foreshadowed in [6, (3.2.9)], but sadly the specifics are rather fiddly.

Proposition 4.1 ($\mathcal{F} \dashv \mathcal{O}$). *There is a formal adjunction*

$$\begin{array}{ccc} \Delta/_{\mathbf{Ass}} & \xrightarrow{\mathcal{F}} & \mathbf{Cat}^1 \\ \downarrow & \swarrow \mathcal{O} & \\ \mathbf{Cat}^1/_{\mathbf{Ass}} & & \end{array}$$

between Hinich’s functor \mathcal{F} and the restriction of Gepner–Haugseeng’s \mathcal{O} to classical 1-categories.

4.1. Notation

In what follows, we denote objects of $\mathbf{Ass} = \Delta^{\text{op}}$ in the format $[n]$, corresponding to the n -simplex $\Delta^n : \Delta$ in the opposite category. If $\sigma : \Delta^k \rightarrow \mathbf{Ass}$ is a k -simplex of \mathbf{Ass} , we write σ_i for its i th vertex, so $\sigma_i = [n]$ for some $n : \mathbb{N}$.

If $\mathcal{O} \rightarrow \mathbf{Ass}$ is a category over \mathbf{Ass} , such as a planar operad, then write $\mathcal{O}[n]$ for the fibre of the structure functor over $[n] : \mathbf{Ass}$.

Inert. Let us write $[k] \subseteq [n]$ when $[k]$ is a convex subset of the totally ordered set $[n]$ (dual to an inert morphism $[n] \rightarrow [k]$ in \mathbf{Ass} [4, Def. 3.1.1]). If $f : [m] \rightarrow [n]$ is a morphism in \mathbf{Ass} , there is an induced pullback operation f^{-1} on the poset of convex

subsets. It is constructed by taking the convex hull of the image under the dual map $\Delta^n \rightarrow \Delta^m$.

Decomposition. In this case, the n convex inclusions $i : [1] \subseteq [n]$ induce a decomposition of $[m]$ as a concatenation $\text{cat}_{i:[1] \subseteq [n]}[m_i] = f^{-1}i$. This decomposition is used to formulate the key axiom in the definition of a planar operad [4, Def. 3.1.3, (ii)].

4.2. Graphs

Denote by $\Delta^{[0,1]} \subset \Delta$ the full subcategory spanned by Δ^0 and Δ^1 . An *oriented graph* Γ defines a presheaf of sets on $\Delta^{[0,1]}$ by defining $\text{Map}(\Delta^k, \Gamma)$ to be the set of vertices of Γ if $k = 0$ and the union of the sets of (oriented) edges and of vertices for $k = 1$. The graph may be recovered from its associated presheaf: the vertices are the 0-simplices, the edges are the non-degenerate 1-simplices, and the face maps yield the incidence relation.

Accordingly, we define the *category of oriented graphs* to be the category $\mathbf{Grf} = \text{PSh}^0(\Delta^{[0,1]})$ of presheaves of sets on $\Delta^{[0,1]}$. Left Kan extension of the inclusion $\Delta^{[0,1]} \subset \mathbf{Cat}$ yields a colimit-preserving functor

$$\langle - \rangle : \mathbf{Grf} \rightarrow \mathbf{Cat}, \tag{1}$$

free category functor. It factors through \mathbf{Cat}^1 (whether we consider the latter as a (1, 1)-category or a (2, 1)-category).

The finite inhabited totally ordered sets may be thought of as oriented graphs with vertices the elements of the set and edges the nearest-neighbour order relations. Under this correspondence, the n -simplex corresponds to an A_{n+1} graph. Let $\Delta^{\text{Grf}} \subset \mathbf{Grf}$ be the full subcategory spanned by these objects. The free category functor fits into a square

$$\begin{array}{ccc} \Delta^{\text{Grf}} & \longrightarrow & \Delta \\ \downarrow & & \downarrow \\ \mathbf{Grf} & \longrightarrow & \mathbf{Cat}. \end{array}$$

The map $\Delta^{\text{Grf}} \rightarrow \Delta$ is the inclusion of a subcategory whose morphisms, in terms of the usual terminology for morphisms in Δ , are generated by the degeneracy and *outer* face maps.

We denote by $\Delta_{/\text{Ass}}^{[0,1]} \subset \Delta_{/\text{Ass}}^{\text{Grf}} \subset \Delta_{/\text{Ass}}$ the corresponding comma categories.

4.3. Summary of the definition of \mathcal{F}

The definition of the functor \mathcal{F} is rather involved — it occupies 5 pages of [6, §3.2] — and since the proof of 4.1 will follow the same lines it will be helpful to have a summary of it here.

1. First, $\mathcal{F}^{[0,1]}$ is defined explicitly on the full subcategory $\Delta^{[0,1]} \subseteq \Delta$ spanned by the zero and one-simplices of \mathbf{Ass} :
 - (a) It is defined as a map on the set $\{\Delta^0\}_{/\text{Ass}}$ of zero-simplices by the formula:

$$\mathcal{F}^{[0,1]}(\sigma : \Delta^0 \rightarrow \mathbf{Ass}) := \{[1] \subseteq \sigma_0\} \times \{\mathbf{x}, \mathbf{y}\}, \tag{2}$$

where $\{[1] \subseteq \sigma_0\}$ is the set (of cardinality $\#\sigma_0 - 1$) of edges of σ_0 .

Let us denote by $\mathcal{F}_0 : \Delta_{/\text{Ass}} \rightarrow \mathbf{Set}$ the functor that associates to $\sigma : \Delta^k \rightarrow \mathbf{Ass}$ the disjoint union of $\mathcal{F}^{[0,1]}(\tau)$, where τ ranges over all vertices of Δ^k .

- (General case). As explained in §4.1, to each segment $i : [1] \subseteq \sigma_1 \simeq [m]$ there corresponds by pullback a segment $[n_i] \simeq \sigma_0^i \subseteq \sigma_0$, and $\sigma_0 = \text{cat}_{i:[1] \subseteq \sigma_1} \sigma_0^i$. Denote by σ^i the corresponding arrow $[n_i] \rightarrow [1]$ so that $\sigma = \text{cat}_{i:[1] \subseteq \sigma_1} \sigma^i$. (Potential confusion: this concatenation is postcomposition of maps into **Ass** with the concatenation operation there, *not* composition of 1-simplices.) By definition,

$$\mathcal{F}(\sigma) := \prod_{i:[1] \subseteq \sigma_1} \mathcal{F}(\sigma^i) \tag{7}$$

and so we calculate:

$$\begin{aligned} \text{Fun}_{\mathbf{Ass}}(\sigma, \mathcal{O}_X) &= \int_{u:\mathcal{O}_X[n]} \int_{v:\mathcal{O}_X[m]} \mathcal{O}_X(u, v) \\ &= \int_{u:\mathcal{O}_X[n]} \int_{v:\mathcal{O}_X[m]} \prod_{i:[1] \subseteq \sigma_1} \mathcal{O}_X(u_i, v_i) \quad \mathcal{O}_X \text{ is an operad} \\ &= \prod_{i:[1] \subseteq \sigma_1} \int_{u:\mathcal{O}_X[n_i]} \int_{v:\mathcal{O}_X[1]} \mathcal{O}_X(u, v) \quad f \leftrightarrow \prod \text{ (cf. 4.2)} \tag{8} \\ &= \prod_{i=1}^n \text{Fun}(\mathcal{F}(\sigma_i), X) \quad \text{via adj (5)} \\ &= \text{Fun}(\mathcal{F}(\sigma), X). \quad \text{by def. (7)} \end{aligned}$$

4.5. Naturality

Face maps. Compatibility with face maps is the commutativity of

$$\begin{array}{ccc} \int \int_{u,v} \mathcal{O}_X(u, v) & \xleftarrow{\text{adj}_1} & \text{Fun}(\mathcal{F}(\sigma), X) \\ \downarrow & & \downarrow \\ (\text{Ob}(X) \times \text{Ob}(X))^{n+m} & \xleftarrow{\text{adj}_0} & \text{Fun}(\mathcal{F}(\sigma_0), X) \times \text{Fun}(\mathcal{F}(\sigma_1), X) \end{array}$$

for any edge $\sigma : \Delta_{\mathbf{Ass}}^{[0,1]}$. Now by definition, adj_1 covers an identification between the bottom two terms defined by the labelling (3), which we must check is indeed given by adj_0 , after relabelling variables. The appropriate relabelling is explained in (6).

Degeneracy. Since the operad structure gives us a decomposition

$$\begin{array}{ccc} \text{Fun}_{\mathbf{Ass}}(\sigma, \mathcal{O}_X) & \xlongequal{\quad} & (X^{\text{op}} \times X)^{\{[1] \subseteq \sigma_0\}} \\ \downarrow & & \downarrow \\ \text{Fun}_{\mathbf{Ass}}(\delta\sigma, \mathcal{O}_X) & \xlongequal{\quad} & (X^{\text{op}} \times X)^{\{[1] \subseteq \sigma_0\} \times \Delta^1} \end{array}$$

for any vertex σ , it suffices to check that the square

$$\begin{array}{ccc} X^{\text{op}} \times X & \xleftarrow{\text{adj}_0} & \text{Fun}(\mathcal{F}(\sigma), X) \\ \downarrow & & \downarrow \\ (X^{\text{op}} \times X)^{\Delta^1} & \xleftarrow{\text{adj}_1} & \text{Fun}(\mathcal{F}(\delta\sigma), X) \end{array}$$

commutes in the case $\sigma_0 = [1]$. But then, applying adj_0 to $u = (u^x, u^y)$ yields the

diagram

$$\begin{array}{ccc} u^{\mathbf{X}} & & u^{\mathbf{Y}} \\ \text{id} \uparrow & & \downarrow \text{id} \\ u^{\mathbf{X}} & & u^{\mathbf{Y}} \end{array}$$

which the reader will readily see is a special case of the labelling (3) applied to $\mathcal{O}_X(u, u) = \mathcal{O}_X((u^{\mathbf{X}}, u^{\mathbf{Y}}), (u^{\mathbf{X}}, u^{\mathbf{Y}}))$.

Remark 4.2. I comment here on the exchange of products with Grothendieck integral that occurs in line (8). We have used here the commutativity of a diagram

$$\begin{array}{ccc} \prod_i \text{Fun}(C_i, \mathbf{S}) & \longrightarrow & \prod_i \text{RFib}(C_i) \\ \downarrow & & \downarrow \\ \text{Fun}(\prod_i C_i, \mathbf{S}) & \longrightarrow & \text{RFib}(C_i) \end{array}$$

I don't know a reference for this fact, but it can be deduced rather easily from the naturality of the construction in \mathbf{C} and the fact that \int preserves products (and indeed, all limits).

4.6. Inner face maps

For our adjunct isomorphism adj to be natural in Δ_{Ass} , rather than merely $\Delta_{\text{Ass}}^{\text{Grf}}$, is yet another condition: that the square

$$\begin{array}{ccc} \text{Fun}_{\text{Ass}}(\sigma, \mathcal{O}_X) & \longleftarrow & \text{Fun}(\mathcal{F}(\sigma), X) \\ \circ \downarrow & & \downarrow \\ \text{Fun}_{\text{Ass}}(\tau, \mathcal{O}_X) & \longleftarrow & \text{Fun}(\mathcal{F}(\tau), X) \end{array}$$

induced by the inner face map $\Delta^1 \rightarrow \Delta^2$ is commutative. Here τ is the inner edge of the 2-simplex $\sigma : \Delta_{\text{Ass}}$, whereby the left-hand vertical arrow is the composition law in \mathcal{O}_X . Since [4, Def. 4.2.4] is not absolutely explicit about the definition of this composition law, the most I can show here is that what they say corresponds intuitively to the behaviour of the right-hand vertical arrow.

The functor $\mathcal{F}(\tau) \rightarrow \langle \mathcal{F}(\sigma) \rangle$ (see (1) for notation) sends each morphism of $\mathcal{F}(\tau)$ to a (unique) composition of edges of the graph $\mathcal{F}(\sigma)$. Hence, the right-hand vertical arrow above takes a representation of the graph $\mathcal{F}(\sigma)$ in X to the representation of $\mathcal{F}(\tau)$ obtained by composing the corresponding morphisms in X . It is reasonable to suppose that this is what Gepner–Haugseeng meant by defining composition "in the obvious way, using composition in X ".

The compositions that actually occur are those which, in terms of the bordism interpretation sketched in the introduction, lie along 'horizontal' boundary components of the surface with boundary $\mathcal{F}(\sigma)$. For more information, consult the pictures in [6, (3.2.6)].

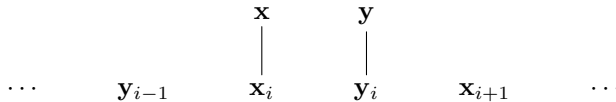
5. Unicity

In this section, we will show that the automorphism group of \mathcal{F} is trivial. We continue to use the conventions explained in §§4.1, 4.3.

Proposition 5.1. *The automorphism groups of $\mathcal{F}: \Delta_{/\text{Ass}} \rightarrow \mathbf{Cat}^1$ and of its composite with groupoid completion $B\mathcal{F}: \Delta_{/\text{Ass}} \rightarrow \mathbf{S}$ are trivial.*

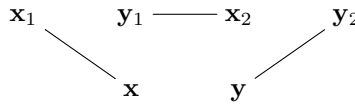
Proof. We will argue for $B\mathcal{F}$; the argument for \mathcal{F} is the same, except a bit shorter. So let $\phi : \text{Aut}(B\mathcal{F})$. By naturality with respect to the face maps $\Delta^0 \rightarrow \Delta^k$, the action of ϕ on $\mathcal{F}(\sigma)$ must preserve the decomposition of the set of objects as $\coprod_{i:\Delta^k} \mathcal{F}(\sigma_i)$. Since by [6, Lemma 3.2.5] each $\mathcal{F}(\sigma)$ is simply-laced, if ϕ is the identity on each 0-simplex, then it is the identity. Thus, it suffices to show that ϕ acts trivially on $B\mathcal{F}$ of 0-simplices.

Decomposition into segments. Each segment $[1] \subseteq \sigma_0$ yields a graph



from which it may be seen that ϕ must preserve each pair $\{\mathbf{x}_i, \mathbf{y}_i\}$, and moreover, act on that pair through $\phi \mid B\mathcal{F}([1])$.

$(\phi \mid B\mathcal{F}([1]))$. It remains to show that $\phi \mid B\mathcal{F}([1])$ is necessarily trivial. This follows from the diagram



associated by $B\mathcal{F}$ to the 1-simplex $[2] \rightarrow [1]$ given by the inner face map: no symmetry of this diagram preserves both the decomposition into top and bottom rows and of the top row into two pairs. □

Remark 5.2 (Opposite). The formation of opposites as discussed in [6, §2.9] has the following expression in the variables of the present section:

$$\begin{aligned} \mathcal{O}_{X^{\text{op}}} [(u_1^{\mathbf{x}}, u_1^{\mathbf{y}}), \dots, (u_n^{\mathbf{x}}, u_n^{\mathbf{y}}); (v^{\mathbf{x}}, v^{\mathbf{y}})] &= X^{\text{op}}(v^{\mathbf{x}}, u_1^{\mathbf{x}}) \times \prod_{i=1}^{n-1} X^{\text{op}}(u_i^{\mathbf{y}}, u_{i+1}^{\mathbf{x}}) \times X^{\text{op}}(u_n^{\mathbf{y}}, v^{\mathbf{y}}) \\ &= X(v^{\mathbf{y}}, u_n^{\mathbf{y}}) \times \prod_{i=1}^{n-1} X(u_{n-i+1}^{\mathbf{x}}, u_{n-i}^{\mathbf{y}}) \times X(u_1^{\mathbf{x}}, v^{\mathbf{x}}) \\ &= \mathcal{O}_X [(u_n^{\mathbf{y}}, u_n^{\mathbf{x}}), \dots, (u_1^{\mathbf{y}}, u_1^{\mathbf{x}}); (v^{\mathbf{y}}, v^{\mathbf{x}})] \\ &= \mathcal{O}_X^{\text{rev}} [(u_1^{\mathbf{y}}, u_1^{\mathbf{x}}), \dots, (u_n^{\mathbf{y}}, u_n^{\mathbf{x}}); (v^{\mathbf{y}}, v^{\mathbf{x}})], \end{aligned}$$

where \mathcal{O}^{rev} denotes the reversed operad. In other words, the right Quillen functor \mathcal{O} can be made $\mathbb{Z}/2\mathbb{Z}$ -equivariant with respect to the action by opposite on $s\mathbf{Cat}$ and reversal on $s\mathbf{Cat}_{/\text{Ass}}$. Correspondingly, the ∞ -adjunction $\mathcal{F} \dashv \text{Ass}$ is also $\mathbb{Z}/2\mathbb{Z}$ -equivariant. Since the adjunction has no automorphisms, this equivariance is even unique.

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Andrew W. Macpherson mail@awmacpherson.com

Hiratsuka Mansion 2F, Shiomidai 2-13-1, Yokosuka-shi 238-0054, Japan