

BLOCKCHAIN-ENABLED IOT PLATFORM FOR END-TO-END SUPPLY CHAIN RISK MANAGEMENT

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Driven by the critical challenges of Industrial Hemp Supply Chain (IHSC), including high complexity and variability, data tampering, and lack of an immutable information tracking system, we develop a blockchain-enabled IoT platform to support process tracking, scalability, interoperability, and risk management. Built on parallel processing and state-sharding technology, we develop a two-layer blockchain with proof-of-authority based smart contracts and a hierarchical automatic verification system, which can leverage the distributed resources from local authorities with state/federal regulators, accelerate quality control verification, and ensure regulatory compliance and data integrity. Then, we create a blockchain-enabled IoT platform with user-friendly mobile app so that each participant can use a smart phone to real-time collect and upload their data to the cloud, and further share the process verification and tracking information through the blockchain network. The proposed platform can support interoperability and traceability, and improve end-to-end supply chain safety, throughput, efficiency, and transparency. It can be extended to general biopharmaceuticals, agriculture and food supply chains.

KEYWORDS AND PHRASES: Blockchain, End-to-end supply chain risk management, Safety regulation, Internet-of-Things (IoT), State sharding, Parallel processing.

1. INTRODUCTION

The objective of our study is to create a blockchain-enabled IoT platform for end-to-end regulated supply chain, which can improve the traceability, accelerate the regulation verification and quality control, and facilitate the development of a safe, efficient, reliable, and automated supply chain system. Even though we consider the end-to-end IHSC in this paper, the proposed platform is general and it can be extendable to global biopharmaceutical manufacturing supply chain, which is critically important during the COVID-19 pandemic.

After being legalized as an agricultural commodity by the 2018 U.S. Farm Bill, industrial hemp (IH) production is moved from limited pilot programs administered by state

regulatory officials and becomes a public *regulated* agriculture production. There is intense interest in growing IH, and the total area licensed for hemp production has increased dramatically from 37,122 (in year 2017) to 310,721 (in year 2019) acres nationally [1]. According to the U.S. Department of Agriculture (USDA), the sales are expected to increase from \$25 million in 2020 to more than \$100 million by 2022. The two most common cannabinoid produced from hemp are cannabidiol (CBD mainly for medicinal uses) and tetrahydrocannabinol (THC mainly for recreational drug use). As opposed to the safety of CBD, THC has been reported some unpleasant side-effects including but not limited to anxiety and panic, impaired attention, memory, and psychomotor performance while intoxicated [2]. Thus, most state regulatory officials set the permissible THC concentration levels, say not exceed 0.3 percent on a dry weight basis, and laboratories must test for and report it; see [Division 48 Industrial Hemp](#). *The development of a safe, reliable, sustainable, efficient and automated IHSC plays a critical role in improving economy and ensuring public health.*

However, the management of IH industry faces critical challenges, including scalability, high complexity and variability, very limited IHSC knowledge, data tampering, and lack of immutable data/information tracking system. First, the production process of end-to-end IHSC is complex and many factors can contribute to the CBD and THC production and dynamic flows. Second, there isn't a well-developed platform to track historical records and maintain the immutability and transparency of data. Third, the veracity of the collected data is hard to guarantee, especially for the data required by the regulation. Fourth, how to allocate the limited inspection resources from state and federal regulatory officials to monitor this fast-growing industry is not clear.

Therefore, IH practitioners and government regulators have urgent needs, including improving traceability and transparency, eliminating the risks, ensuring product quality, and controlling the regulatory THC/CBD production and flow through the supply chain. A potential solution to alleviate all these challenges and concerns is blockchain technology, which is a peer-to-peer digital ledger built on blockchain network rather than relying on centralized servers. Even though the conventional one-layer blockchain can support traceability, immutability, transparency, and

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2. LITERATURE REVIEW

regulatory compliance, the process validation efficiency is limited by the single chain capacity and the number of available state regulation officials for on-site visit and quality verification. Given the restricted capacity of a single blockchain and the limited state/federal regulator inspection resources at each state, it is challenging to handle the situation when the scale of IH industry grows dramatically.

Here, we summarize the *key contributions* of this paper. Driven by the critical needs from IHSC quality control and risk management, we first create a two-layer blockchain design and proof-of-authority-based smart contract, which explores the parallel computing and utilizes the state sharding technique to simultaneously process and verify the transactions from different areas. It can leverage the distributed resources from local authorities (e.g., local quality assurance consulting and service companies) with state/federal regulators to improve the efficiency and safety of supply chain. In addition, we develop a blockchain-enabled IoT platform with user-friendly mobile app so that each participant can use a smart phone to real-time collect and upload the validated data to the cloud, share the process verification results, and support the historical record online tracking, which can promote traceability, flexibility, efficiency and interoperability of end-to-end supply chain.

This study is a foundation of our academia-industry collaboration on “AI- and Blockchain-based IoT Platform Development for End-to-End IHSC Mechanism Learning, Risk Management, and Automation.” Our blockchain is built on QuackChain network (see [quarkchain wiki](#)). By collaborating with multiple research teams from Oregon State University, Alabama A&M University, Colorado State University-Pueblo, Colorado State University, and industrial partners (i.e., Willamette Valley Assured LLC), we test and validate the developed blockchain-enabled IoT platform during the real-world small-scale pilot phase: IH season 2020 in different states.

This paper is organized as follows. In Section 2, we review the most related blockchain development and applications. In Section 3, we introduce the end-to-end industrial hemp supply chain (IHSC). In Section 4, we propose the blockchain-enabled internet-of-things (IoT) platform, including IHSC process monitoring and tracking, two-layer blockchain design, smart contract, and consensus design for data/information sharing, process quality validation, quality control, and regulation compliance. In Section 5, we discuss the architecture of the proposed platform, and present the key algorithms for record creating, verification, validation, and data/information retrieving. Then, the performance of the proposed blockchain-enabled IoT platform for improving the supply chain efficiency and safety is studied, and the real-world implementation at Oregon, Alabama, Colorado, and Pennsylvania is discussed in Section 6. We conclude this paper in Section 7.

Here, we briefly summarize the most relative literature. For more comprehensive literature review on blockchain development and application, please refer to [3, 4, 5]. Blockchain technology was first introduced by Satoshi Nakamoto as Bitcoin in 2008 to solve the double-spending problem [6]. Beyond Bitcoin, the so-called second generation of cryptocurrencies, Ethereum, was proposed in [7, 8]. It allows the developers to write smart contracts. A number of decentralized blockchain applications have already been built based on it; see for example [5, 9].

Blockchain is a distributed ledger technology that maintains all transactions between participants through a peer to peer (P2P) network. As presented in [10], it can work as a permanently immutable ledger upon blockchain network, no matter the involved participants (nodes) are trustful or not. The stored records are co-owned by all members of the network. Without a central controller in the blockchain architecture, before a new block is appended to the existing blockchain, all the nodes have to reach a consensus. Many different consensus algorithms have been proposed, including Proof-of-Work (PoW) [6], Proof-of-Stake (PoS) [11], Proof-of-Authority (PoA) [12], Proof-of-Object (PoO) [13], Ripple Protocol Consensus Algorithm (RPCA) [14], etc.

Although the main focus of classical blockchain applications includes banking, finance, and insurance industries, the attempts to explore and extend to various other domains (e.g., supply chain, healthcare, and agriculture) start to gain in popularity. One of the most crucial challenges of supply chain risk management is tracking the data provenance and maintaining its traceability and transparency through the end-to-end supply chain network. The traditional centralized supply chains depend on a third party to validate transactions, which is vulnerable to data modification and also impacts the process efficiency and interoperability. The literature [15] shows that blockchain technologies can improve food safety by enhancing transparency. In [16], the authors integrate blockchain technology into food supply chain management, which allows the traceability along the process and provides end customers with improved information about the origin of product in order to make an informed purchase decision. Through blockchain, the grain quality assurance is created and shared transparently to the public, which can lead to an added valuation of its selling price. The authors in [17] utilize Ethereum blockchain and smart contracts to control all interactions and transactions among the participants involved in the soybean supply chain ecosystem without the validation from a trusted third-party authority. The study in [18] presents a complete blockchain-based solution to ensure traceability, trust, and delivery mechanism in Agriculture and Food (Agri-Food) supply chain. Multiple smart contracts are designed to support the interactions of different types of entities in the system. The authors in [19] propose a blockchain-based solution that carries out the

yield estimation of agricultural products. Thus, the necessary precautions for excessive imbalances that may arise in agricultural products will be planned in advance and the risk of price fluctuation would be hedged. In [20], the authors develop a new blockchain-enabled supply chain financial platform, which can improve the efficiency of capital and information flows, reduce the cost, and provide better financial services.

Due to its innovative features, blockchain technology can be used to facilitate Internet of Things (IoT) and Industrial IoT (IIoT) [21]. The study in [22] uses IoT sensor devices leveraging blockchain technology and Ethereum smart contracts to assert data immutability and public accessibility of environmental records (e.g., temperature and humidity) over the transport of medical products. The sensor devices can monitor the storage environment during the shipment and ensure the regulations compliance on “Good Distribution Practice of medicinal products for human use (GDP)”. Another study [13] creates an end-to-end blockchain architecture for food traceability by integrating the radio frequency identification (RFID)-based sensors at the physical layer and blockchain at the cyber layer. The authors implement a proof-of-object-based authentication protocol to ensure network security and reduce the cost. Researchers in [23] present AgriBlockIoT, a fully decentralized, blockchain-based traceability solution for Agri-Food supply chain management, which is able to integrate various IoT devices and directly produce the valuable information along the whole supply chain. The implementations of AgriBlockIoT are illustrated on both Ethereum and Hyperledger platforms. Meanwhile, built on the blockchain and Electronic Product Code Information Services (EPCIS) network, [24] proposes a decentralized system for food safety traceability. A prototype of the proposed architecture has been implemented, which demonstrates the effectiveness and superiority over the existing systems. The system consists of on- and off-chain modules, which can alleviate the data explosion issue of the blockchain for IoT.

As far as we know, there is a lack of specific blockchain literature to improve the traceability, quality, and safety of regulated end-to-end industrial hemp supply chain. Therefore, in this paper, a blockchain-enabled IoT platform is developed. The performance analysis and implementation of this platform are also demonstrated.

3. END-TO-END INDUSTRIAL HEMP SUPPLY CHAIN

Even though the proposed blockchain-enabled IoT platform can be extended to general agri-food and biopharmaceutical supply chains, in this paper, we consider the IHSC from seed selection to final commercial product, called “THC free broad-spectrum CBD oil”, which will be shortened as *CBD oil* for simplification. We briefly describe the CBD oil based IHSC procedure in Section 3.1. For more detailed information, please refer to [25]. Then we summarize the main

groups or categories of participants involved in the process, and discuss their expectations and needs in Section 3.2.

3.1 Introduction of Industrial Hemp Supply Chain (IHSC)

The main operating units of IHSC include: (1) cultivation (from seed to harvest), (2) stabilization (drying), and (3) manufacturing (extraction, winterization, and purification-PLC); see an illustration in Figure 1.

Cultivation: The licensed growers (farmers) select and buy seed varieties from breeder to grow for the incoming season. Once receiving the selected seed, the farmer simultaneously starts Germination and Pre-Plant Soil Preparation process. *Germination* happens at the greenhouse with the controlled-growth environment. In the meantime, after the *Soil Test* (which provides a detailed and comprehensive description of soil, such as pH, nutrition level, heavy metal, herbicide, and pesticide contains), the farmer adjusts the properties of field soil through *Pre-Plant Soil Preparation*. Once both steps are finished, the germinated seedlings are transplanted into the field. Then, the farmer continues the cultivation process. The *Flowering* is the final and most important stage of growth, where the resinous buds develop and the majority of CBD is generated. During this phase, besides the regular control of weed, insect, and mold, the flowers require pollination control to avoid the CBD loss.

After that, the sample of IH is sent to Hemp Testing Lab for *pre-harvest test*, which provides the results of CBD/THC levels. *Based on the state regulation, the lot with THC level greater than 0.3% is required to be destroyed completely.* For the IH lots that have passed the pre-harvest test, farmers can start the *harvest process*. Usually, farmers tend to wait for several days due to get more CBD production. Since the THC content also increases, the government requires farmers to complete harvest no longer than 15 days after the pre-harvest test. If farmers don’t finish harvesting these lots in time, the rest needs to be rescheduled for another testing and then followed by new harvest within another 15-day limit.

Stabilization: The harvested IH biomass often has a high moisture content, even up to 85%, which has to be reduced to an ideal level to avoid deterioration in storage and transportation. Drying is most common for the post-harvest stabilization process. After drying, a Certificate of Analysis (COA) will be implemented to test the cannabinoid content, pesticide, and heavy metals residue, etc.

Manufacturing: The dried IH biomass is then sold to licensed processors and extractors to produce the desired commercial product (CBD oil). The main steps include Extraction, Winterization, and Preparatory Liquid Chromatography (PLC). *Extraction* is a process to produce crude oil, which typically includes two steps: extraction and decarboxylation. Although CBD is the main cannabinoids with

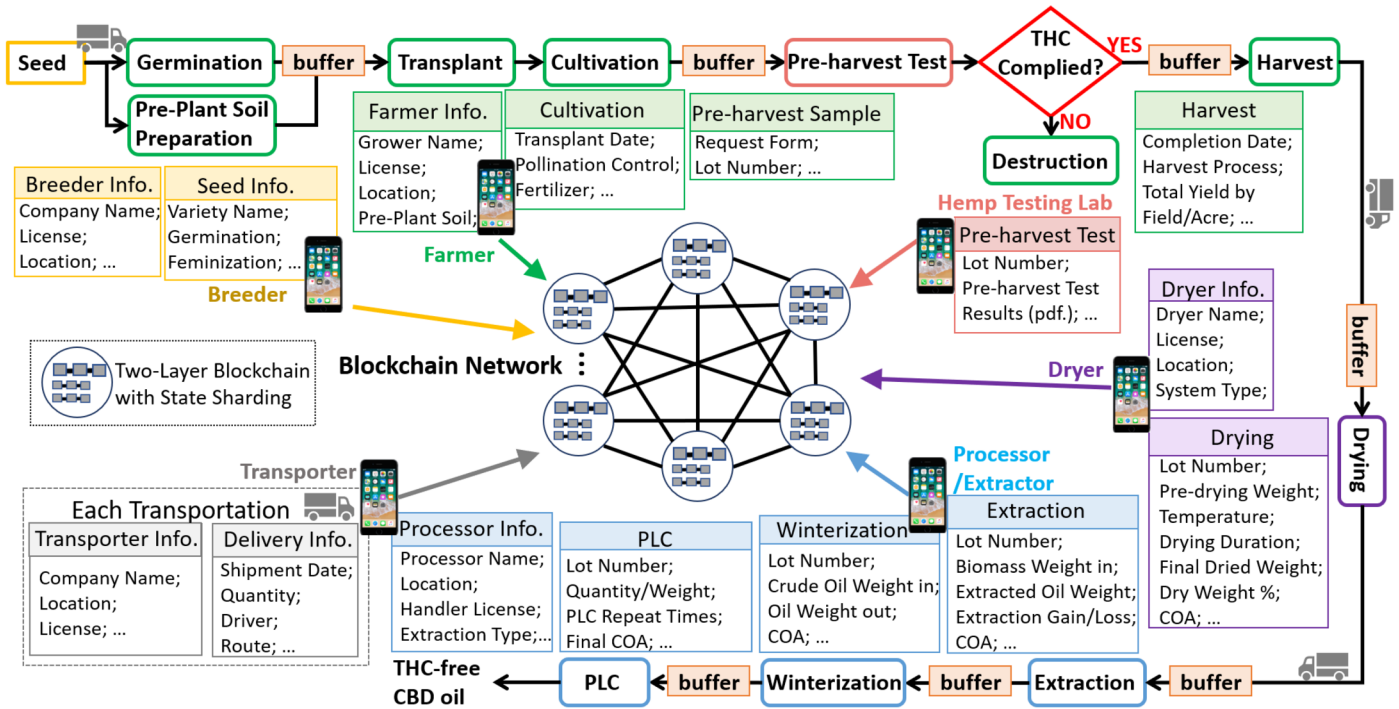


Figure 1. Illustration of Blockchain-Enable End-to-End Industrial Hemp Supply Chain.

the healthy and medicinal potential, the highest concentrations of cannabinoid in biomass (flowers) is cannabidiolic acid (CBDA). After the extraction process, the decarboxylation reaction is needed to transform CBDA into its neutral cannabinoids CBD [26], which can also prevent the degradation of desirable cannabinoids [27]. Thus, to decarboxylate the CBDA to CBD, the oil is processed under controlled temperature. In the meantime, the tetrahydrocannabinolic acid (THCA) is transformed to THC. Not only cannabinoids but also other undesirable elements in biomass are extracted. Another process, called *winterization*, needs to be applied to remove the undesirable fat, terpene, and wax from crude oil. Finally, several *PLC purification steps* are used to remove some specific target molecule, such as THC. After the PLC steps, there will be another COA test, and the final product can only be acceptable with less than 0.05% THC.

3.2 Description of regulated participants

The practitioners and government regulators have urgent needs to improve the transparency, develop a comprehensive and deep understanding of end-to-end IHSC, reduce the risks, ensure the CBD products quality, and control the THC production and flow through the supply chain process. More detailed expectation from different participants is listed as follows.

1. *Breeder*: A breeder produces IH seed varieties or clones, which will be sold to the farmers. They want to obtain the real data about their varieties' attributes and performance (e.g., yield, mold resistance) outside of lab

across different locations under various environmental conditions, which can facilitate determining the optimal adaptation of hemp essential oil variety types and genetics across U.S. farm resource regions.

2. *Licensed Grower*: A grower obtains the seeds varieties from breeder, and then cultivates the IH until harvest. The performance of seed variety (e.g., yield, THC/CBD content, etc.) is the key criterion when they purchase the seed. After harvest, they need to find reliable and stable buyers. In addition, they are interested in keeping tracking their IH flow to the end of supply chain.
3. *Dryer*: A dryer provides the harvested IH drying-stabilization service for the growers. They can properly schedule the equipment based on the updated volume information about harvested IH.
4. *Licensed Processor and Extractor*: A processor purchases the dried IH biomass from growers or dryers, and then conducts processing and extraction to produce the commercial CBD oil. One wants to find high quality and stable IH supplier.
5. *Transporter*: A transporter ships and delivers the items (intermediate IH product) between different participants of IHSC. Due to the legality of THC content control, sharing the credible information of IH shipment with regulators and authorities will help the transporter pass the checking points faster.
6. *Hemp Testing Lab*: A Hemp Testing Lab receives the IH samples from the official sampler and then provides the pre-harvest test results to the growers.

7. *Authorities and Regulators*: The local authorities are responsible for the verification in their local area, and then the regulators (i.e., state USDA) will confirm all the uploaded data (may randomly select some for detailed verification). The majority of their duty is monitoring and surveilling the whole supply chain, tracking the THC, and solving the dispute.

To meet the unique expectations and needs from different participants, the traceability, accountability, credibility, and auditability are the key requirements for IHSC management.

4. DESIGN OF BLOCKCHAIN-ENABLED IOT PLATFORM

Here we present a blockchain-enabled IoT platform for the end-to-end IHSC to improve the transparency, safety, efficiency, and throughput. In Section 4.1, we describe IHSC process monitoring and CBD/THC tracking. We discuss critical process parameters, key information, and regulation required data, which can support process tracking, learning, interoperability, decision making, and real-time problem detection. Then, for the highly *regulated supply chain*, we present the two-layer blockchain in Section 4.2 and then propose the proof-of-authority (PoA) based smart contract and hierarchical consensus design in Section 4.3.

This study allows us to leverage the verification resources from local authorities to assist the regulatory agency (i.e., USDA), which can improve the IHSC safety, quality control, efficiency, and scalability. We design the geography-based state sharding and two-layer blockchain, which can simultaneously process the jobs coming from different areas. To improve transparency and safety and facilitate the product quality verification for the end-to-end IHSC, we develop a blockchain-enabled IoT platform with user-friendly mobile app so that the internet-connected smartphones or devices can be used to real-time dynamically monitor the process, record and share the important information, and track the historical records and data.

4.1 IHSC process monitoring and tracking

For the highly regulated industrial hemp industry, all participants require to be licensed. When they upload the record information to the blockchain-enabled IoT system and database, their licenses and background need to be verified online. We summarize the critical information of participants in Table 1, including name, background, and permission in terms of their activities in the IHSC ecosystem.

The transactions occurring in each operating unit of IHSC are categorized and described in Table 2. For each transaction \mathbb{T} , the signature \mathbb{S} records the participants who will take the responsibility for the accuracy and truth of the information uploaded to the blockchain and database system. The information \mathbb{I} column summarizes the critical information and data needed to be recorded, including the

messages required by the regulations and other important data used to monitor and track the IHSC process.

For all the uploaded information and data, we have online verification \mathbb{V} ; see Table 2. For some records (i.e., pre-harvest sample, pre-harvest test, harvest, and certificate of analysis test after manufacturing), *on-site investigation and verification* are required by the regulatory compliance to ensure that the corresponding critical data records (i.e., the THC content at pre-harvest test, harvest completed date, and THC level of final product) are accurate and reliable; see [Division 48 Industrial Hemp](#).

4.2 Two-layer blockchain design

Built on our previous studies [28, 25], we develop the two-layer blockchain for the end-to-end IHSC. The blockchain is a distributed database and a global ledger that records all the process data as a timestamp chain of blocks. For the existing IHSC design with only state regulators in charge of inspection, the verification efficiency totally depends on the number of available officials for on-site visit. Given the limited inspection resources at state and federal offices of USDA, it is challenging to handle the situation when the IH industry grows dramatically. *Therefore, the two-layer blockchain platform allows us to leverage the distributed resources from local authorities with state regulators, and improve the throughput, efficiency, and security of IHSC.*

The two-layer blockchain includes: (1) the sharding layer supported by local authorities for on-site verification, which divides the IHSC into multiple areas or *geography-based state sharding*; and (2) the root chain layer supported by state regulators, which serves as the coordinator among all shard chains and conducts the final confirmation on the transactions and records that have been verified by different shard chains. We use an illustrative example in Figure 2 to show the state-partition based two-layer blockchain design.

The root chain and multiple shard chains can be processed simultaneously by parallel computing. Basically, a cluster is the equivalence of a “full node”, which keeps the full transaction history of the blockchain network. It consists of a collection of machines and CPUs, one of which runs the root chain and others run different shard chains. Each block in a shard blockchain has two hash pointers: one links to the previous shard chain block and the other pointer links to a block in the root chain.

Built on the participant information and transaction design presented in Tables 1 and 2, the structure design of shard chains for IHSC is illustrated in Figure 3. *For each j -th block in the i -th shard chain, denoted by $B_{i,j}$, there are three parts: Verification, Data Body and Header.* The Verification contains the signature of validator for on-site verification, denoted by $\mathbb{V}\mathbb{S}_{i,j}$, if this record is needed by the regulation compliance based on Table 2. The Data Body consists of critical data information, denoted by $\mathbb{I}_{i,j}$, and the signature of responsible participant(s), denoted by $\mathbb{S}_{i,j}$. The Header, denoted by $H_{i,j}$, returns: (1) the hash value of

Table 1. IHSC participant information

Participant \mathbb{P}	Information \mathbb{I}	Verification \mathbb{V}
Breeder	Breeder Name, Registration, PVP, Address	Online
Licensed Grower	Name, License, Field Name, GPS, Pre-Plant Soil Tests, Irrigation Type, Background Check, Soil Type	Online
Dryer	Name, License, Address, System Type	Online
Licensed Processor	Processor-Extractor Name, Address, Handler License Information, System Type	Online
Transporter	Transporter Information, Driver License	Online
Hemp Testing Lab	Lab Name, Address, License, And Other Related Information	Online
Authorities/Regulators	Name, Address, License	Online

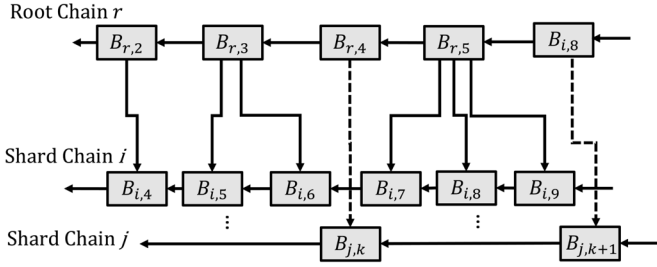


Figure 2. The simple illustration example of the root chain structure design.

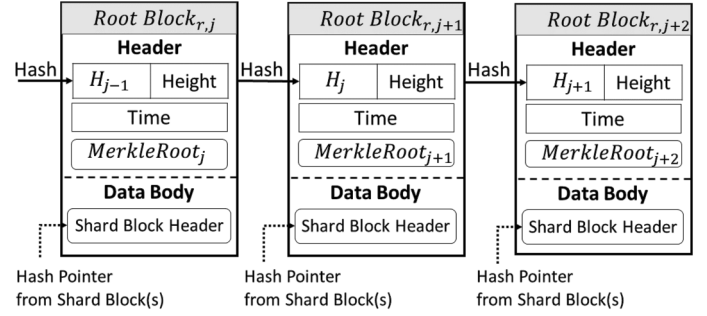


Figure 4. The root chain structure design.

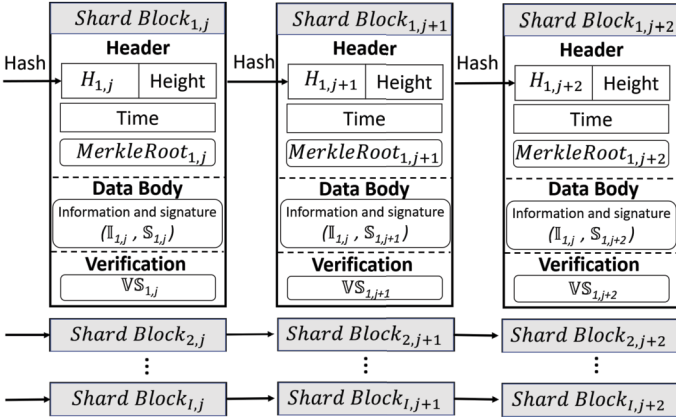


Figure 3. Shard block structure design and n parallel shard chains.

previous block, $H_{i,j} = h(B_{i,j-1})$, (2) the height of current i -th shard chain, (3) the creating time of proposed block, and (4) Merkle root, denoted by $MerkleRoot_{i,j}$, where $h(\cdot)$ is a cryptographic hash function. The Merkle root is the hash of Data Body and Verification (if there is any required on-site verification):

$$MerkleRoot_{i,j} = h(\mathbb{I}_{i,j}, \mathbb{S}_{i,j}, \mathbb{V}\mathbb{S}_{i,j}).$$

The structure design of the root chain for IHSC is illus-

trated in Figure 4. For each j -th block in root chain r , denoted by $B_{r,j}$, it has three parts: Confirmation, Data Body and Header. The Confirmation contains the signature of regulatory, denoted by $\mathbb{C}\mathbb{S}_{r,j}$, who confirms the verified information contained in the corresponding shard block. The root block describes the canonical chain of each shard chain by including the hash pointers or headers of the last shard block observed,

$$B_{i,o_i(B_{r,j})} = ob_i(B_{r,j}),$$

where $o_i(B_{r,j})$ means the highest index of the block of the i -th shard chain included until the root block $B_{r,j}$; see the illustration example in Figure 2.

The Data Body describes the canonical chain of each shard chain by including the hash pointer or header of the shard block $B_{i,o_i(B_{r,j})}$. The Header of root chain includes the hash of Data Body and Confirmation. Therefore, following this unique mechanism of each root and shard block, a two-layer blockchain design is created to improve the safety, the efficiency, and the throughput of IHSC, and also support the scalability and data integrity.

Remark. The Verification in the shard block and the Confirmation in the root block are only required for those transactions that need to follow the regulation specifications and compliance; see Table 2.

Table 2. IHSC process information (* indicates on-site verification required)

Operation Unit ①	Signature ②	Information ③
Seed Sourcing	Breeder, Transporter, Grower	Variety, Seed Lot Number, Seed Purity Analysis, Flowering Type, Feminization Process, Seed Feminization Percentage, Clone Information, Quantity
Seed Pickup	Breeder, Transporter	Transporter Information, Sender/ Receiver Information, Pickup Date, Driver License
Seed Arrival	Breeder, Transporter, Grower	Shipment/Delivery Date, Vehicle/Model Of Transport, Route of Transportation
Germination & Field Preparation	Grower	Seeding/Transplanting Date, Plant Density, Row Width, Grown On Plastic, Lot Number, GPS, Pre-Plant Soil Test, Irrigation Type, Soil Type
Cultivation	Grower	Irrigation Frequency/Volume, Fertilizer Frequency/Volume, Weed/Insect/Mold/Pollination Control
Pre-Harvest Sample	Grower, Validator	Pre-Harvest Hemp Sampling and Testing Request Form
Pre-Harvest Test*	Lab	Sampling Date, Lot Number, COA Test, Cannabinoid Content, Pesticide Residue, Heavy Metals
Harvest*	Grower, Validator	Destruction or Harvest Process And Completion Date, Moisture Content, Total Yield By Field
IH Pickup	Grower, Transporter, Dryer	Transporter Information, Driver License, Lot Number, Sender/Receiver Information, Quantity, Pickup Date
IH Arrival	Grower, Transporter, Dryer	Shipment/Delivery Date, Vehicle/Model of Transport, Route of Transportation
Drying Stabilizing	Dryer	Lot Number, Pre-Drying Weight, Temperature/Duration of Drying, Final Dried Weight, Dry Weight, Container Type/Weight, Cannabinoid Content, Pesticide Residue, Heavy Metals
Dried IH Pickup	Grower, Dryer, Transporter, Processor	Transporter Information, Driver License, Lot Number, Sender/Receiver Information, Quantity, Pickup Date
Dried IH Arrival	Grower, Dryer, Transporter, Processor	Shipment/Delivery Date, Vehicle/Model of Transport, Route of Transportation
Extraction	Processor	Lot Number, Biomass Weight In, Extraction Input Quantity/Recaptured, Quantity Of Oil Extracted, Gain/Loss Of Extraction, Post Extraction Test
Winterization	Processor	Lot Number, Crude Oil Weight In, Winterized Oil Out, Post Winterization Test
PLC*	Processor, Validator	Lot Number, Quantity/Weight In, Quantity/Weight Out, PLC Repeat Times, Post PLC Test

4.3 Blockchain network, smart contract, and consensus design

Based on the unique features of regulated end-to-end IHSC management, in this section, we discuss blockchain network and design smart contract and consensus, including hierarchical verification and validation for two-layer blockchain. For the highly regulated IH industry, Proof-of-Authority (PoA) based smart contract, denoted by \mathbb{SC} , is designed to ensure that the blockchain contains valid and reliable data and information. It can support regulatory compliance and quality verification, control data tampering and cyberattacks, and improve the IHSC safety and data integrity.

The two-layer blockchain provides the ledger recording all important historical data and transactions occurring in the IHSC, represented by

$$\mathbb{L} \equiv (\mathbf{B}_r, \mathbf{B}_1, \mathbf{B}_2, \dots, \mathbf{B}_I),$$

where $\mathbf{B}_r = [B_{r,0}, B_{r,1}, \dots, B_{r,l_r}]$ is the list of blocks included in the root chain, I is the number of shard chains, and $\mathbf{B}_i = [B_{i,0}, B_{i,1}, \dots, B_{i,l_i}]$ represents the list of blocks recorded in the i -th shard chain for $1 \leq i \leq I$. The ledger \mathbb{L} , providing the world state of data that have been recorded in blockchain, is replicated across different nodes, and it is shared among the internet-connected participants of the IHSC.

Suppose that each k -th node, denoted by \mathcal{N}_k , corresponds the k -th participant N_k with a single device. All nodes are equally involved in maintaining the blockchain, but different nodes can have different roles for running the blockchain. In this paper, we consider a fixed set of nodes. Let $\mathbf{N} \equiv (N_1, N_2, \dots, N_K)$ denote all participants, where K is the number of participants. Since the *validated blockchain* is replicated and broadcast among the nodes through the internet network, we represent the blockchain network,

$$\mathcal{N} \equiv \{\mathcal{N}_1(\mathbb{L}, \mathbb{SC}), \mathcal{N}_2(\mathbb{L}, \mathbb{SC}), \dots, \mathcal{N}_K(\mathbb{L}, \mathbb{SC})\}$$

where $\mathcal{N}_k(\mathbb{L}, \mathbb{SC})$ for $k = 1, 2, \dots, K$ represents Node k with blockchain ledger copy \mathbb{L} and PoA based smart contract, denoted by \mathbb{SC} , as a piece of code residing on this blockchain.

Each new record and/or transaction will be processed by smart contract for validation, the validated shard and root blocks will be added to the two-layer blockchain, and then the updated blockchain will be broadcast to the blockchain network. To facilitate the interoperability and support the participants reaching a common global view of the world state, each blockchain network needs to establish consensus rules that each data record (or transaction) should conform to. The consensus \mathbb{C} contains two elements: the validity function $V(\cdot)$, and fork-choice rule function. Here, we are mainly focusing on the *validity function*. The proposed validation for IHSC blockchain is built on the Boson consensus introduced in [28], which can scale the network dramatically while keeping security guaranteed.

We first discuss the validation for shard chain. Each new j -th shard block $B_{i,j}$ at the i -th shard chain with $i = 1, 2, \dots, I$ includes multiple transactions and/or records. For any new information \mathbb{I} coming to shard chain i , if it is required by the regulation compliance, the smart contract will send out a verification request to the local authority which will then dispatch an investigator for on-site verification,

$$(1) \quad v_i^a(\mathbb{I}) = \begin{cases} 1, & \text{If } \mathbb{I} \text{ is approved by a randomly} \\ & \text{selected local investigator from area } i; \\ 0, & \text{otherwise.} \end{cases}$$

For those transactions \mathbb{I} that only require online verification, we set $v_i^a(\mathbb{I}) = 1$. Each shard block has the size limit and blocks are generated per block interval. The verified messages will be uploaded to the block $B_{i,j}$ until reaching the block size. Thus, the block $B_{i,j}$ is called verified, represented by $V_i^a(B_{i,j}) = 1$, which means each transaction or record included in this block is verified, $v_i^a(\mathbb{I}) = 1$ for any $\mathbb{I} \in B_{i,j}$.

Then, the *validation function* for the i -th shard chain becomes,

$$(2) \quad V_i(B_{i,j}) = \begin{cases} 1, & V_i^a(B_{i,j}) = 1, \\ & V_i(B_{i,j-1}) = 1, \text{ and} \\ & h(B_{i,j-1}) = \text{pre_hash}(B_{i,j}); \\ 0, & \text{otherwise,} \end{cases}$$

for any $i = 1, 2, \dots, I$, where $\text{pre_hash}(B_{i,j})$ returns the hash value of the previous block, $h(B_{i,j-1}) = \text{pre_hash}(B_{i,j})$. The new shard block $B_{i,j}$ is validated if the previous block is already validated and it is also hash-linked to $B_{i,j-1}$. Then, the verified data together with investigator's signature will be included in a new shard block.

After that, we discuss the validation function for each root block. At the root chain, a regulatory official simply confirms the record and information included by each shard block. At this point, we suppose the regulatory officials completely trust the decision made by local authorities. Each new root block, denoted by $B_{r,q+1}$, includes the headers of multiple new shard blocks (see an illustrative example in Figure 2), where q represents the highest index of the block included in the root chain. Denote the highest index of the root block which contains the i -th shard chain's block as $h(i)$. Similar to shard chains, each root block has a size limit and blocks are generated based on certain interval. Then, the validation for the new root block is,

$$(3) \quad V_r(B_{r,q+1}) = \begin{cases} 1, & V_r(B_{r,q}) = 1, \\ & h(B_{r,q}) = \text{pre_hash}(B_{r,q+1}), \\ & ob_i(B_{r,q+1}) \xrightarrow{o_i(B_{r,q+1}) - o_i(B_{r,h(i)})} \\ & ob_i(B_{r,h(i)}), \text{ and} \\ & V_i(B_{i,k}) = 1 \text{ for} \\ & o_i(B_{r,h(i)}) + 1 \leq k \leq o_i(B_{r,q+1}). \\ 0, & \text{otherwise,} \end{cases}$$

for any $i = 1, 2, \dots, I$, where

$$(4) \quad ob_i(B_{r,q+1}) \xrightarrow{o_i(B_{r,q+1}) - o_i(B_{r,h(i)})} ob_i(B_{r,h(i)})$$

represents that the blocks, i.e., $ob_i(B_{r,q+1})$ and $ob_i(B_{r,h(i)})$, are $[o_i(B_{r,q+1}) - o_i(B_{r,h(i)})]$ -hash-linked; see the detailed description in [28]. In addition, all shard blocks $B_{i,k}$ with index $o_i(B_{r,q}) + 1 \leq k \leq o_i(B_{r,q+1})$ and the previous root block $B_{r,q}$ should be validated. Once the new root block is validated, this root block and its corresponding shard blocks are generated and added to the blockchain.

To make it easy to follow, we use the simple example in Figure 2 to illustrate the implementation of hash-link in (4), and the value assigned for each element. Here, we consider three representative situations. First, both previous and appending root blocks contain the headers of shard blocks from the i -th shard chain (i.e., $B_{r,2}$ and $B_{r,3}$), and we have $ob_i(B_{r,q+1}) = ob_i(B_{r,3}) = B_{i,6}$, $ob_i(B_{r,h(i)}) = ob_i(B_{r,2}) = B_{i,4}$, $o_i(B_{r,q+1}) - o_i(B_{r,h(i)}) = 6 - 4 = 2$, and $B_{i,6} \xrightarrow{2} B_{i,4}$, which means the $B_{i,6}$ and $B_{i,4}$ are 2-hash-linked. Second, the previous root block has but the appending one doesn't have the headers from the i -th shard chain (i.e., $B_{r,3}$ and $B_{r,4}$). Then, $ob_i(B_{r,q+1}) = ob_i(B_{r,4})$, which is not further updated from $ob_i(B_{r,3})$. Thus, $B_{i,6} \xrightarrow{0} B_{i,6}$, and the block $B_{i,6}$ has been validated through Equation (2). Third, the previous root block doesn't have but the appending one has the headers from the i -th shard chain (i.e., $B_{r,4}$ and $B_{r,5}$). We have

$ob_i(B_{r,q+1}) = ob_i(B_{r,5}) = B_{i,9}$, $ob_i(B_{r,h(i)}) = ob_i(B_{r,3}) = B_{i,6}$, $o_i(B_{r,q+1}) - o_i(B_{r,h(i)}) = 9 - 6 = 3$, and the $B_{i,9}$ and $B_{i,6}$ are 3-hash-linked as $B_{i,9} \xrightarrow{3} B_{i,6}$.

For the fork-choice rule function, when new IHSC data and information records need to be written in the global ledger, new blocks will be generated and added to the blockchain as long as it is defined validly by $V(\cdot)$, and broadcast to the network so that each node will update the copy of the ledger. However, if another block is produced at the same height and results in different ledgers, namely, forks, the network reaches an inconsistent state temporarily, then the fork-choice rule will determine which fork to survive. The fork-choice rule is beyond paper's scope, more detailed descriptions are presented at [28].

5. BLOCKCHAIN-ENABLED IOT PLATFORM DEVELOPMENT

We describe the architecture of the proposed blockchain-enabled IoT platform in Section 5.1, which can be extended and generalized to various application areas, such as regulated biopharmaceutical manufacturing, agriculture, and supply chain. After that, we describe how various messages flow through the cyber network of IHSC and provide the algorithm design for the platform in Section 5.2.

5.1 Architecture of proposed platform

The proposed blockchain-enabled IoT platform is composed of the key components, including: front-end mobile user interface (UI), back-end server, database, and blockchain Infrastructure; see the illustrative plot in Figure 5. More specially, it consists of: (1) cross-platform mobile application for the front end, which supports both iOS and Android operating system; (2) Node.js project for back-end server; (3) MongoDB for NoSQL database; and (4) QuackChain clusters for blockchain infrastructure.

We create the geography-based state partition and blockchain sharding in the proposed platform so that it can simultaneously process the jobs coming from different areas, support the interoperability, and improve the efficiency and throughput. Basically, the records from the i -th area are processed and verified by the i -th shard chain, and the root chain coordinates different shard chains.

Mobile user interface: The users of IHSC blockchain-enabled IoT platform can be divided into two categories: record creator and data/information visitor. The record creators include those participants who need to write data and information into the blockchain system, such as seed companies, farmers, transportation companies, hemp testing lab,

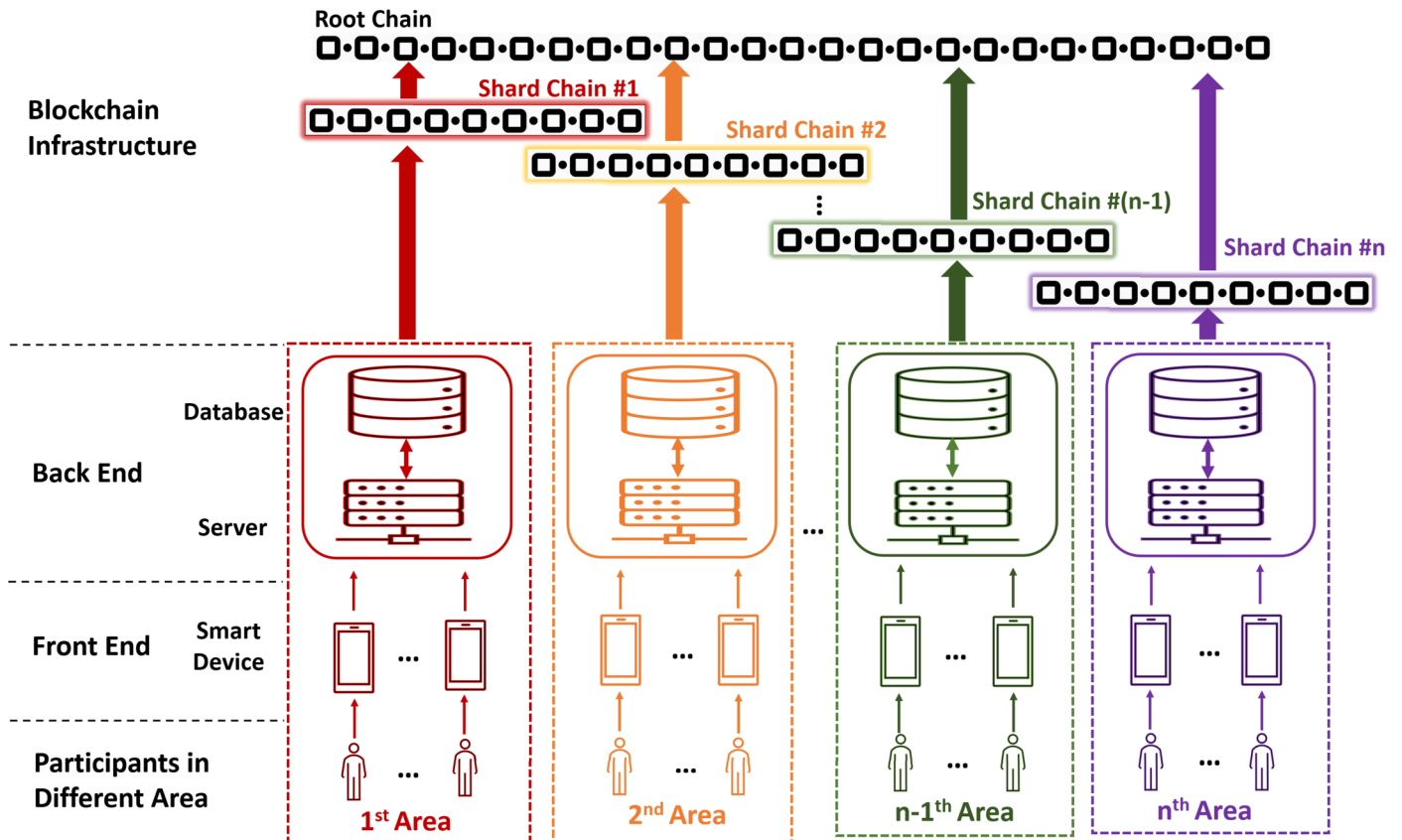


Figure 5. Architecture of proposed Blockchain-Enabled IoT Framework.

drying companies, and manufacturers. They can write the records into the platform through uploading the information by using our developed cross-platform (Android and iOS) mobile application. The uploaded information will be further validated, and only validated messages can be saved in the blockchain system.

The record visitors include those participants who want to track the detailed historical information about certain products. Their primary use of this platform is to check the record on the IH sourcing, cultivation, and processing for various safety and efficiency related needs, such as regulatory compliance monitoring, etc. For each IH final or semi-manufacturing product, there is a unique product ID, denoted as P^{id} . Therefore, the visitor can directly retrieve the product historical record through the mobile app and blockchain system by utilizing the product ID.

Back-end server: We provide a back-end service to manage the data flow from Mobile User Interface (UI) to Database and Blockchain. Mobile applications can send requests to back-end servers through RESTful Application Programming Interface (API). Currently, there are about 50 APIs developed in our platform, which automatically support user create, user login, company create, company modify, product create/processing information adding, transportation information adding, etc. Back-end servers are connected with the two-layer blockchain clusters by using Quarkchain-web3.js. Since blockchain runs Ethereum Virtual Machine, this library is built on top of web3.js and supports smart contracts. We design and deploy smart contracts on blockchain mainnet, which is used to automatically manage the data and control the dynamic interactions of the entities or participants involved in the IHSC system, such as users, companies, products, and transportation packages. Quarkchain-web3.js can send JSON RPC calls to the mainnet so the back-end servers can interact with the smart contracts in the blockchain infrastructure automatically.

Database: MongoDB is used as a backup to store user information and large data sets. For any large file, such as pictures and videos of IH harvesting process, and PDF files of the comprehensive seed cloning and hemp testing results, we first compute the SHA-256 hash of the file and save the hashed string into blockchain. The actual file is saved in the MongoDB. When a user wants to retrieve these files, they will download them from the back-end server and compare the SHA-256 signature with the information/data saved in the blockchain. Since the large-size files are not saved in blockchain, this procedure can help to ensure the data integrity. Additionally, in order to counter the malicious cyberattacks, we deploy several back-end servers and databases as backup.

Blockchain infrastructure: In the proposed platform, we develop the two-layer blockchain with Proof-of-authority based hierarchical smart contract; see the the structure description in Section 4.2. Moreover, due to the state partition and sharding technique, the blockchain infrastructure

utilizes the parallel computing and processing. It has the excellent performance in terms of scalability and speeding up verification and validation. The records, transactions, and jobs coming from different areas can be processed simultaneously, which can support interoperability and greatly improve the IHSC safety and efficiency.

5.2 Algorithm design

Based on the blockchain-enabled IoT platform and its architecture described in section 5.1, we present the overall flowchart and the algorithms of record validation and retrieve. We describe how the messages flow through the proposed platform and present the algorithm implementation.

The implementation procedure of record creation is described in Algorithm 1. The record creators write the validated record into the blockchain-enabled IoT system with main steps and message flow illustrated in the top part of Figure 6. Specifically, the creators input crucial IHSC information and data into our developed mobile app, which will be sent to back-end server through Restful API. If the data contain the large-size file (i.e., picture and/or video), denoted by \mathbb{F} , the server will store it in the database, obtain the Uniform Resource Identifier (URI), and compute its hash. Then, the server sends the URI and hash string to the blockchain mainnet along with other digital/textual information through JSON RPC. Once receiving the message, if this instance is relative to the IHSC regulatory compliance, the smart contract on shard chain will randomly select one available local authority and dispatch him to have on-site investigation. If it passes the verification, the record waits at buffer for the generation of next shard chain block. Otherwise, the error message showing the verification failure will send back to the user.

At any shard block generation time, the local authority, represented by one node, constructs a shard block containing the new verified records, and attempt to append the block to shard chain validly, based on Equation (2). The header of the newly generated shard block will be sent to root chain and wait for validation and next root block generation. A randomly selected regulatory official will confirm and validate the new root block according to Equation (3). After validation, the newly generated shard and root blocks will be broadcast to the whole blockchain network. Then, the success message will return to user.

The implementation procedure of information or record retrieve is illustrated in Algorithm 2. Given the product ID, the visitor can retrieve its historical records along the end-to-end IHSC. The main steps of message flow is shown in the bottom part of Figure 6. The visitor can simply send the retrieve request with product ID P^{id} to blockchain through the Mobile App and back-end server. Once obtaining the request, the corresponding smart contract on blockchain will obtain all historical records based on P^{id} from ledger \mathbb{L} . If the URI exists, the server will use it to retrieve the large-size file \mathbb{F} from Database, and compare the hash value of

Algorithm 1: Two-Layer Blockchain-Enabled IoT Platform for Process Quality Validation and Record Creation

Input: IHSC Information/Data $\mathbb{I}_i = \{\mathbb{D}_i, \mathbb{F}_i\}$, where \mathbb{D} is the digital/textual information and \mathbb{F} represents the large-size file (i.e., picture and video), signature of corresponding participants \mathbb{S}_i , current ledger of blockchain network \mathbb{L} . Suppose the current heights of i -th shard chain and root chain are $j - 1$ and $q - 1$ respectively.

Output: Return the status of record creation on the blockchain: $U(\mathbb{I}_i, \mathbb{S}_i, \mathbb{L}) = 1$ for success; and 0 for failure.

Function: Create new record of data/transaction, conduct the validation, and update the blockchain network.

Step (1) User inputs the corresponding information \mathbb{I}_i and signature \mathbb{S}_i through the front-end mobile app.

Step (2) The front end sends the record and request to the back-end server through pre-designed RESTful API.

Step (3) Pre-process the large-size file at the back end if needed.

Step (3.1) Large-size file control:
if *there is large-size file* \mathbb{F}_i **then**
 | Store \mathbb{F}_i at the database and compute the hash of file $h(\mathbb{F}_i)$.
end

Step (3.2) The server sends the collection of all information $\mathbb{R}_i = \{\mathbb{D}_i, \mathbb{S}_i, h(\mathbb{F}_i), \text{URI of large-size file}\}$ to blockchain smart contract through JSON RPC;

Step (4) Smart contract for on-site verification control:
if \mathbb{I} *is relative to regulation* **then**
 | Once receiving, the smart contract \mathbb{SC}_i on shard chain i will randomly dispatch a local authority in charge of area i to investigate on-site.
 | Update v_i^a based on Equation (1).
 if $v_i^a = 0$ **then**
 | **Return** Message “unapproved by local authority” to user and terminate the whole process.
 end
end

Step (5) Shard block validation and generation.

Step (5.1) After on-site verification, the record \mathbb{R}_i needs to wait at buffer for the next block generation.

Step (5.2) At next shard block generation time, the authorized node generates the shard block $B_{i,j}$, which contains the record \mathbb{R}_i and other records from same area i , based on the structure introduced at Figure 3.

Step (5.3) The node attempts to append the shard block to shard chain i validly based on Equation (2).

Step (5.4) Once validated, the new shard block $B_{i,j}$ is connected to shard chain i .

Step (6) Root block validation and generation.

Step (6.1) The shard-block header $B_{i,j}.Header$ is sent to the root chain. The regulatory official confirm it online.

Step (6.2) After confirmation, the header $B_{i,j}.Header$ waits at buffer for being written to root chain.

Step (6.3) At next root block generation time, the regulatory official node generates the root block $B_{i,q}$, containing $B_{i,j}.Header$ and other headers from different shard chain, based on the structure introduced at Figure 4.

Step (6.4) The node attempts to append the block to root chain validly based on Equation (3).

Step (6.5) If validated, the new root block $B_{i,q}$ is appended to root chain.

Step (7) New blocks’ broadcast and ledger updating.

Step (7.1) The new root block and corresponding shard blocks are broadcast to the whole blockchain network.

Step (7.2) All node receive them and update their ledger \mathbb{L} .

Step (7.3) Update $U(\mathbb{I}_i, \mathbb{S}_i, \mathbb{L}) = V_r(B_{r,q})$.

Return Message to user based on $U(\mathbb{I}_i, \mathbb{S}_i, \mathbb{L})$.

obtained file $h(\mathbb{F})$ with the hash string from blockchain to check the integrity of \mathbb{F} . If \mathbb{F} matches with the corresponding blockchain record, all retrieved records will be sent back to the visitor’s Mobile App through well-designed hierarchical information check pages. Otherwise, the error message, “database is tempered,” will return.

6. PERFORMANCE ANALYSIS

The developed blockchain-enabled IHSC platform is tested and validated during the small-scale pilot phase: industrial hemp season 2020 in Oregon, Alabama, Colorado, and Pennsylvania. In this section, we conduct the virtual

experiments to study the performance of this platform in terms of improving safety, efficiency, transparency, and process quality control.

According to [1], the total acres licensed for IH production is 310,721 in 2019 nationally, and the average acres per lot is 8–10. Thus, we set the number of lots to be $K = 320,000/8 = 40,000$. In addition, we set: (1) the number of transplant and harvest machines, $n_f = 8,000$; (2) the number of pre-harvest test equipment, $n_l = 8,000$; (3) the number of drying machines, $n_d = 2,400$; (4) the number of machines used for extraction, winterization and PLC, $n_p = 1,600$. To avoid the product destruction required by the regulation, suppose each participant could

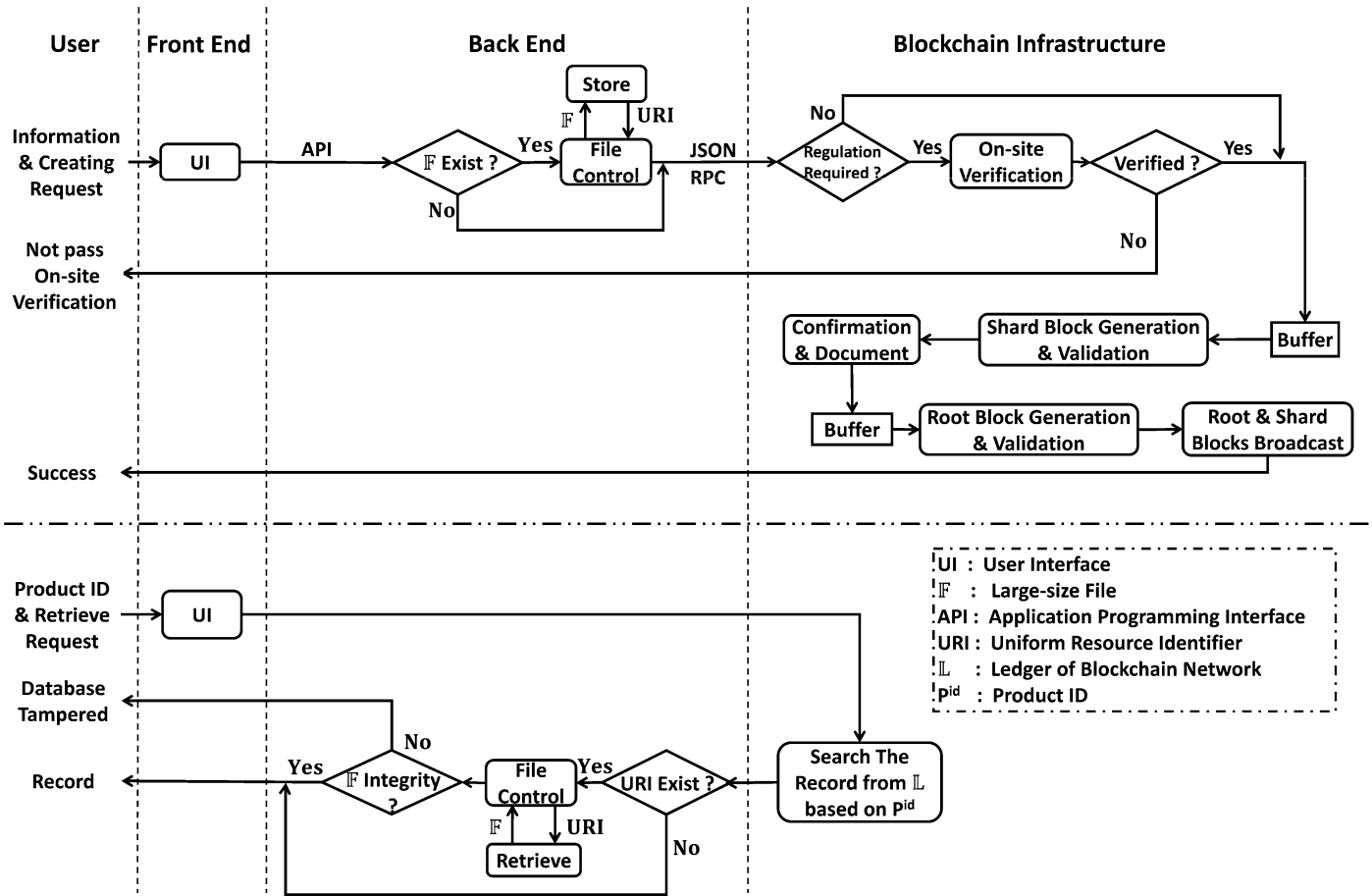


Figure 6. Message flow of proposed Blockchain-Enable IoT Framework.

Algorithm 2: Two-layer Blockchain-Enabled IoT Platform for Record Retrieve

Input: Product ID P^{id} , current state/ledger of blockchain network \mathbb{L} .

Output: Historical records of this product.

Function: Retrieve IHSC Records.

Step (1) User provides the product ID P^{id} at the front-end mobile app.

Step (2) The front end sends requests and P^{id} to the smart contract on blockchain through the backend server.

Step (3) Once receiving the request, the smart contract searches and gets the historical records from ledger \mathbb{L} based on P^{id} .

Step (4): Large-size file retrieve and validation at the back end.

Step (4.1) Large-size file control:

if URI exists **then**

 Based on the URI, obtain the large-size file from the database.

end

Step (4.2) Computes the hash value of the file and compares it to the hash value retrieved from the blockchain to validate the file's integrity;

if Doesn't match **then**

Return Message "error, database is tampered" to the user and terminate the whole process.

end

Return Historical records to the user.

tamper data with probability $p_2 = 30\%$. For the two-layer blockchain, let the verification and confirmation times follow the exponential distributions, i.e., $F_v \sim \exp(\mu_v)$ and $F_c \sim \exp(\mu_c)$ with means $\mu_v = 0.1$ and $\mu_c = 0.005$. The generation interval of shard block and root block are assigned as 15s and 1.5min. The blockchain has 4 shard chains. There are $n_s = 175$ local validators assigned to each shard chain and $n_r = 50$ state regulators in charge of confirmation at the root chain. Assume each shard block can contain the information up to 4 transactions and each root block can have up to 24 transaction headers. Other setting parameters are assigned based on [25].

We first show that the blockchain-enabled IHSC can improve the safety. Here we compare the performance of IHSC with and without blockchain. For the critical record (see Table 2), the validators need to have on-site visits to ensure the data integrity. To assess the IHSC safety, we consider three types of false pass rates, including: (1) for pre-harvest test, the expected percentage of lots with more than 0.3% THC that aren't destroyed, $q_{fp} = \lim_{K \rightarrow \infty} E[K_{fp}/K]$; (2) for the 15-day harvest regulation, the expected percentage of lots completed harvest violating the 15 days requirement, $q_{fh} = \lim_{K \rightarrow \infty} E[K_{fh}/K]$; and (3) the expected percentage of lots with more than 0.05% THC and reaching to customers, $q_{fq} = \lim_{K \rightarrow \infty} E[K_{fq}/K]$, where K_{fp} , K_{fh} , K_{fq} are the counts of corresponding false approval. The simulation results in Table 3 illustrate that blockchain can greatly improve IHSC security and safety.

Then, we compare the performance of a traditional single chain with our two-layer block chain with 4 shards. For the single chain, suppose that the verification time for each record follows the exponential distribution, $F_s \sim \exp(\mu_s)$ with mean $\mu_s = 0.1$ day. The block size is 4 transactions and the generation interval is 1.5 min.

The frequency of all types of record arrivals along the IHSC process during one season is presented at Figure 7(a). The important percentiles (95%, 50%, and 5%) of the corresponding waiting times for online validation obtained by single-chain v.s. our two-layer blockchain are showed at Figures 7(b) and 7(c) respectively. Moreover, Figures 7(d) and 7(e) illustrate the results of waiting times for on-site verification. The plots show that the two-layer blockchain structure can improve the efficiency and throughput of both online validation and on-site verification, support scalability, and avoid the prolonged waiting.

Table 3. Simulation results of security improvement

Security	With Blockchain	Without Blockchain
False Pass	0±0%	2.32±1.04%
Pre-Harvest		
False Pass	0±0%	3.13±2.56%
Harvest		
Fake	0±0%	0.65±0.43%
Qualified		

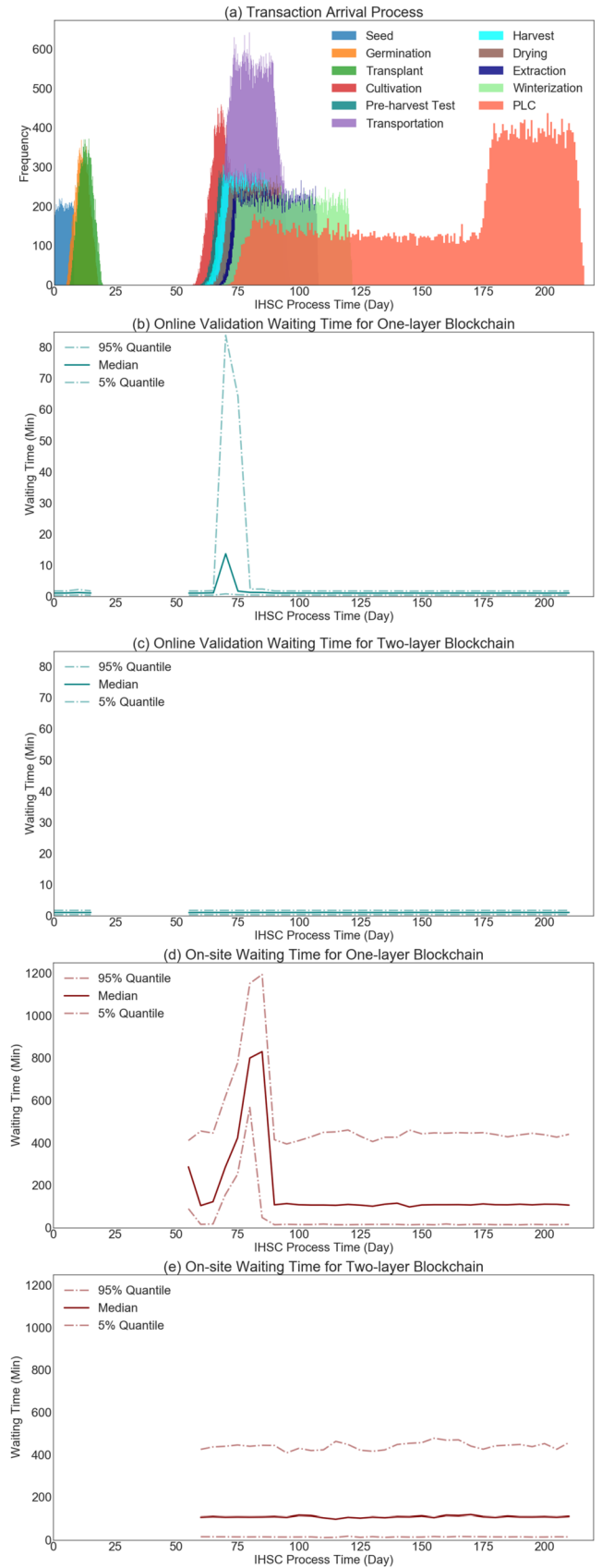


Figure 7. Transaction arrival along the IHSC process.

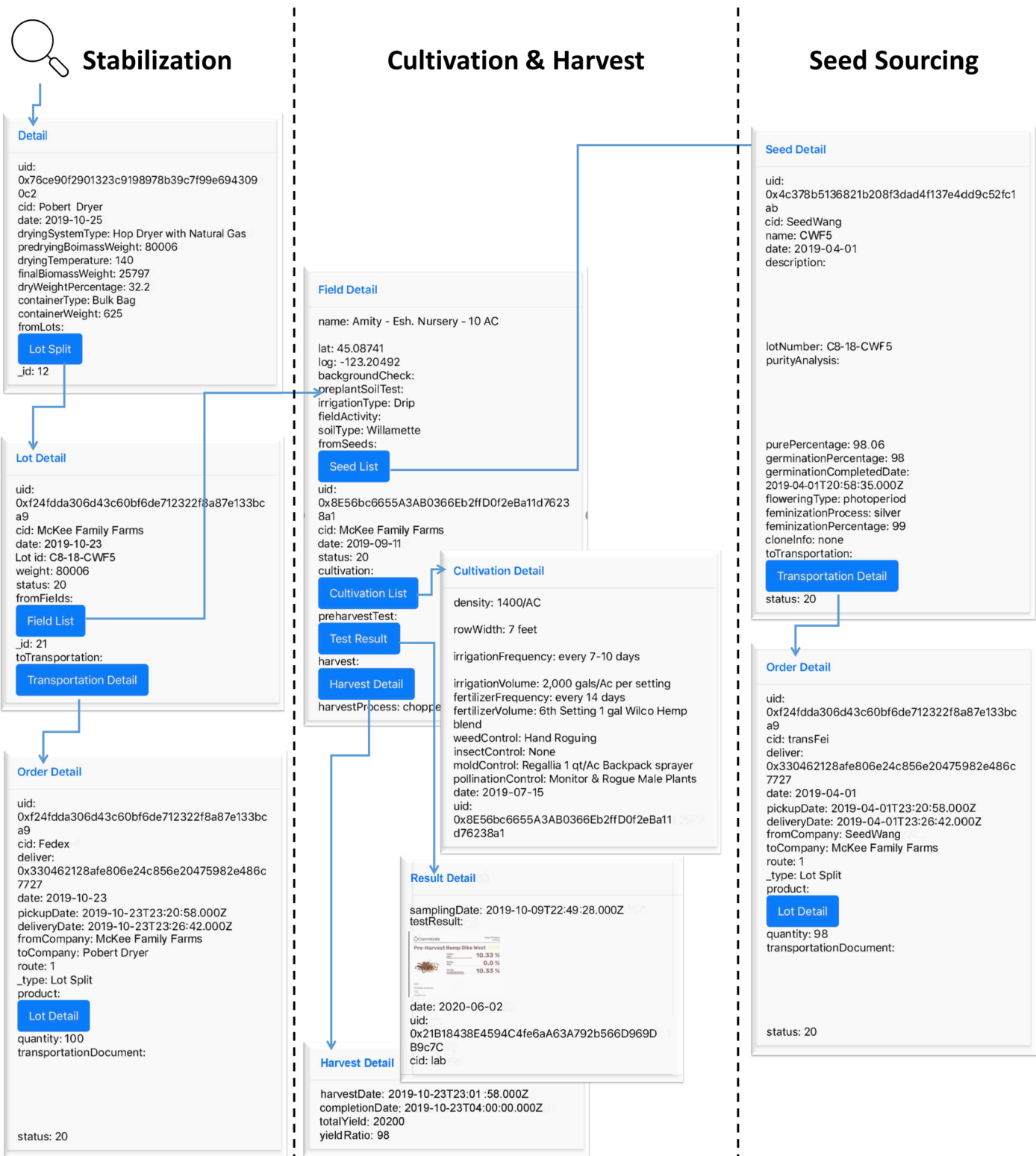


Figure 8. Historical Information and Record Retrieve through the Mobile App.

Finally, we validate and implement the proposed blockchain-enabled IoT platform by using the real-world IHSC data obtained in Oregon. After the data are validated and written into the blockchain, the user can trace back all historical information on any product along the IHSC. For each IH final and semi-manufacturing product, we can use the product ID to track and retrieve the historical data and information by using the developed mobile app and blockchain; see an example illustration in Figure 8. By easily click the information card (blue block), the user can obtain all historical records, including seed, cultivation, pre-harvest test, harvest, drying, and transportation.

7. CONCLUSION

Driven by the critical challenges and needs from regulated production supply chain, i.e., industrial hemp supply chain (IHSC), we create a blockchain-enabled internet-of-things (IoT) platform to improve transparency, interoperability, safety, security, traceability, and throughput. Basically, we propose a two-layer blockchain design with geography-based state partition and introduce hierarchical proof-of-authority based smart contract and consensus design. We further develop the blockchain-enabled IoT platform with user-friendly mobile app so that each participant can use internet-connected smartphones and devices to real-time collect and upload the validated data to the blockchain system, and track the historical data, e.g., industry hemp production and shipment along the integrated supply chain process. Thus, the proposed platform can support the interoperability, accelerate the product quality control and validation, and facilitate the dynamic information and data tracking. The empirical study indicates that the proposed blockchain-enabled IoT platform has promising performance. The description of practical implementation is provided. This platform can be extended and utilized in various application areas, such as highly regulated global biopharmaceuticals manufacturing and supply chains. It can improve the economy and public safety, especially during the COVID-19 pandemic.

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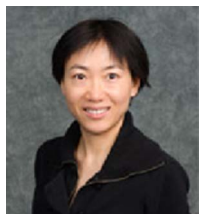
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