

# Lecture hall $P$ -partitions

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We introduce and study  $s$ -lecture hall  $P$ -partitions which is a generalization of  $s$ -lecture hall partitions to labeled (weighted) posets. We provide generating function identities for  $s$ -lecture hall  $P$ -partitions that generalize identities obtained by Savage and Schuster for  $s$ -lecture hall partitions, and by Stanley for  $P$ -partitions. We also prove that the corresponding  $(P, s)$ -Eulerian polynomials are real-rooted for certain pairs  $(P, s)$ , and speculate on unimodality properties of these polynomials.

## 1. Introduction

Let  $s = (s_1, \dots, s_n)$  be a sequence of positive integers. An  $s$ -lecture hall partition is an integer sequence  $\lambda = (\lambda_1, \dots, \lambda_n)$  satisfying  $0 \leq \lambda_1/s_1 \leq \dots \leq \lambda_n/s_n$ . These are generalizations of *lecture hall partitions*, corresponding to the case when  $s = (1, 2, \dots, n)$ , first studied by Bousquet-Mélou and Eriksson [3]. It has recently been made evident that  $s$ -lecture hall partitions serve as a rich model for various combinatorial structures with interesting generating functions, see [2, 3, 4, 13, 14, 19, 18, 20, 21] and the references therein.

In this paper we generalize the concept of  $s$ -lecture hall partitions to labeled posets. This constitutes a generalization of Stanley's theory of  $P$ -partitions, see [24, Ch. 3.15]. In Section 3 we derive multivariate generating function identities for  $s$ -lecture hall  $P$ -partitions, and prove a reciprocity theorem (Theorem 3.9). When  $P$  is a naturally labeled chain or an anti-chain, the generating function identities obtained produce results on  $s$ -lecture hall partitions and signed permutations, respectively (see Section 6). We also introduce and study a  $(P, s)$ -Eulerian polynomial. In Section 4 we prove that this polynomial is palindromic for sign-graded labeled posets with a specific choice of  $s$ . In Section 5 we prove that the  $(P, s)$ -Eulerian polynomial is real-rooted for certain choices of  $(P, s)$ , and we also speculate on unimodality properties satisfied by these polynomials.

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## 2. Lecture hall $P$ -partitions

In this paper a *labeled poset* is a partially ordered set on  $[p] := \{1, \dots, p\}$  for some positive integer  $p$ , i.e.,  $P = ([p], \preceq)$ , where  $\preceq$  denotes the partial order. We will use the symbol  $\leq$  to denote the usual total order on the integers. If  $P$  is a labeled poset, then a  $P$ -partition<sup>1</sup> is a map  $f : [p] \rightarrow \mathbb{R}$  such that

1. if  $x \prec y$ , then  $f(x) \leq f(y)$ , and
2. if  $x \prec y$  and  $x > y$ , then  $f(x) < f(y)$ .

The theory of  $P$ -partitions was developed by Stanley in his thesis and has since then been used frequently in several different combinatorial settings, see [24, 25].

Let

$$O(P) = \{f \in \mathbb{R}^p : f \text{ is a } P\text{-partition and } 0 \leq f(x) \leq 1 \text{ for all } x \in [p]\}$$

be the *order polytope* associated to  $P$ . Note that if  $P$  is naturally labeled, i.e.,  $x \prec y$  implies  $x < y$ , then  $O(P)$  is a closed integral polytope. Otherwise  $O(P)$  is the intersection of a finite number of open or closed half-spaces. Recall that the *Ehrhart polynomial* of an integral polytope  $\mathcal{P}$  in  $\mathbb{R}^p$  is defined for nonnegative integers  $n$  as

$$i(\mathcal{P}, n) = |n\mathcal{P} \cap \mathbb{Z}^p|,$$

where  $n\mathcal{P} = \{n\mathbf{x} : \mathbf{x} \in \mathcal{P}\}$ , see [24, p. 497]. For order polytopes we have the following relationship due to Stanley:

$$\sum_{n \geq 0} i(O(P), n)t^n = \frac{A_P(t)}{(1-t)^{p+1}},$$

where  $A_P(t)$  is the  $P$ -Eulerian polynomial, which is the generating polynomial of the descent statistic over the set of all linear extensions of  $P$ , see [24, Ch. 3.15].

The purpose of this paper is to initiate the study of a lecture hall generalization of  $P$ -partitions. Let  $P$  be a labeled poset and let  $s : [p] \rightarrow \mathbb{Z}_+ := \{1, 2, 3, \dots\}$  be an arbitrary map. We define a *lecture hall  $(P, s)$ -partition* to be a map  $f : [p] \rightarrow \mathbb{R}$  such that

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<sup>1</sup>What we call  $P$ -partitions are called reverse  $(P, \omega)$ -partitions in [24, 25]. However the theory of  $(P, \omega)$ -partitions and reverse  $(P, \omega)$ -partitions are clearly equivalent.

1. if  $x \prec y$ , then  $f(x)/s(x) \leq f(y)/s(y)$ , and
2. if  $x \prec y$  and  $x > y$ , then  $f(x)/s(x) < f(y)/s(y)$ .

Let

$$O(P, s) = \{f \in \mathbb{R}^p : f \text{ is a } (P, s)\text{-partition and} \\ 0 \leq f(x)/s(x) \leq 1 \text{ for all } x \in [p]\}$$

be the *lecture hall order polytope* associated to  $(P, s)$ . We also let

$$C(P, s) = \{f \in \mathbb{R}^p : f \text{ is a } (P, s)\text{-partition and} \\ 0 \leq f(x)/s(x) \text{ for all } x \in [p]\}$$

be the *lecture hall order cone* associated to  $(P, s)$ . The  $(P, s)$ -*Eulerian polynomial*,  $A_{(P,s)}(t)$ , is defined by

$$\sum_{n \geq 0} i(O(P, s), n) t^n = \frac{A_{(P,s)}(t)}{(1-t)^{p+1}}.$$

### 3. The main generating functions

In this section we derive formulas for the main generating functions associated to lecture hall  $(P, s)$ -partitions. The outline follows Stanley's theory of  $P$ -partitions [24, Ch. 3.15]. We shall see in Section 6 that the special cases when  $P$  is naturally labeled chain or an anti-chain automatically produce results on lecture hall polytopes and signed permutations, respectively.

Let  $\mathfrak{S}_p$  denote the symmetric group on  $[p]$ . If  $\pi = \pi_1 \pi_2 \cdots \pi_p \in \mathfrak{S}_p$  is a permutation written in one-line notation, we let  $P_\pi$  denote the labeled chain  $\pi_1 \prec \pi_2 \prec \cdots \prec \pi_p$ . If  $P = ([p], \preceq)$  is a labeled poset, let  $\mathcal{L}(P)$  denote the set

$$\mathcal{L}(P) := \{\pi \in \mathfrak{S}_p : \text{if } \pi_i \preceq \pi_j, \text{ then } i \leq j, \text{ for all } i, j \in [p]\},$$

of *linear extensions* (or the *Jordan-Hölder set*) of  $P$ . The following lemma is an immediate consequence of Stanley's decomposition of  $P$ -partitions [24, Lemma 3.15.3].

**Lemma 3.1.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$C(P, s) = \bigsqcup_{\pi \in \mathcal{L}(P)} C(P_\pi, s),$$

where  $\bigsqcup$  denotes disjoint union.

Let  $s : [p] \rightarrow \mathbb{Z}_+$ . An  $s$ -colored permutation is a pair  $\tau = (\pi, r)$  where  $\pi \in \mathfrak{S}_p$ , and  $r : [p] \rightarrow \mathbb{N}$  satisfies  $r(\pi_i) \in \{0, 1, \dots, s(\pi_i) - 1\}$  for all  $1 \leq i \leq p$ . If  $P = ([p], \preceq)$  is a labeled poset, let

$$\mathcal{L}(P, s) = \{ \tau : \tau = (\pi, r) \text{ where } \pi \in \mathcal{L}(P) \text{ and } \tau \text{ is an } s\text{-colored permutation} \}.$$

For  $f : [p] \rightarrow \mathbb{N}$ , let  $q(f), r(f) : [p] \rightarrow \mathbb{N}$  be the unique functions satisfying

$$f(x) = q(f)(x) \cdot s(x) + r(f)(x), \quad \text{where } q(f)(x) \in \mathbb{N} \text{ and } 0 \leq r(f)(x) < s(x),$$

for all  $x \in [p]$ . Let further

$$F_{(P,s)}(\mathbf{x}, \mathbf{y}) = \sum_{f \in \mathbb{N}(P,s)} \mathbf{y}^{r(f)} \mathbf{x}^{q(f)},$$

where  $\mathbf{x}^r = x_1^{r(1)} x_2^{r(2)} \dots x_p^{r(p)}$  and  $\mathbb{N}(P, s) = C(P, s) \cap \mathbb{N}^p$ . We say that  $i \in [p - 1]$  is a *descent* of  $\tau = (\pi, r)$  if

$$\left\{ \begin{array}{l} \pi_i < \pi_{i+1} \text{ and } r(\pi_i)/s(\pi_i) > r(\pi_{i+1})/s(\pi_{i+1}), \text{ or,} \\ \pi_i > \pi_{i+1} \text{ and } r(\pi_i)/s(\pi_i) \geq r(\pi_{i+1})/s(\pi_{i+1}), \end{array} \right.$$

Let

$$D_1(\tau) = \{ i \in [p - 1] : i \text{ is a descent} \}.$$

**Theorem 3.2.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$(3.1) \quad F_{(P,s)}(\mathbf{x}, \mathbf{y}) = \sum_{\tau = (\pi, r) \in \mathcal{L}(P,s)} \mathbf{y}^r \frac{\prod_{i \in D_1(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p})}.$$

*Proof.* By Lemma 3.1 we may assume that  $P = P_\pi$  is a labeled chain. Let  $f \in \mathbb{N}^p$ , and write  $f(t) = q(t)s(t) + r(t)$ , where  $0 \leq r(t) < s(t)$  and  $q(t) \in \mathbb{N}$  for all  $t \in [p]$ . What conditions on  $q$  and  $r$  guarantee  $f \in \mathbb{N}(P, s)$ ? Suppose  $\pi_i < \pi_{i+1}$ . Then we need

$$(3.2) \quad q(\pi_i) + \frac{r(\pi_i)}{s(\pi_i)} = \frac{f(\pi_i)}{s(\pi_i)} \leq \frac{f(\pi_{i+1})}{s(\pi_{i+1})} = q(\pi_{i+1}) + \frac{r(\pi_{i+1})}{s(\pi_{i+1})}.$$

If  $r(\pi_i)/s(\pi_i) \leq r(\pi_{i+1})/s(\pi_{i+1})$ , then (3.2) holds if and only if  $q(\pi_i) \leq q(\pi_{i+1})$ . If  $r(\pi_i)/s(\pi_i) > r(\pi_{i+1})/s(\pi_{i+1})$ , then (3.2) holds if and only if  $q(\pi_i) < q(\pi_{i+1})$ .

Suppose  $\pi_i > \pi_{i+1}$ . Then we need

$$(3.3) \quad q(\pi_i) + \frac{r(\pi_i)}{s(\pi_i)} = \frac{f(\pi_i)}{s(\pi_i)} < \frac{f(\pi_{i+1})}{s(\pi_{i+1})} = q(\pi_{i+1}) + \frac{r(\pi_{i+1})}{s(\pi_{i+1})}.$$

If  $r(\pi_i)/s(\pi_i) < r(\pi_{i+1})/s(\pi_{i+1})$ , then (3.3) holds if and only if  $q(\pi_i) \leq q(\pi_{i+1})$ . If  $r(\pi_i)/s(\pi_i) \geq r(\pi_{i+1})/s(\pi_{i+1})$ , then (3.3) holds if and only if  $q(\pi_i) < q(\pi_{i+1})$ .

Let  $\tau = (\pi, r)$ , where  $r$  is fixed. Then  $f = qs + r \in \mathbb{N}(P, s)$  with given (fixed)  $r$  if and only if

$$(3.4) \quad 0 \leq q(\pi_1) \leq q(\pi_2) \leq \dots \leq q(\pi_p),$$

where  $q(\pi_i) < q(\pi_{i+1})$  if  $i \in D_1(\tau)$ . Hence  $f = qs + r \in \mathbb{N}(P, s)$  if and only if for each  $k \in [p]$ :

$$q(\pi_k) = \alpha_k + |\{i \in D_1(\tau) : i < k\}|,$$

where  $\alpha_k \in \mathbb{N}$  and  $0 \leq \alpha_1 \leq \dots \leq \alpha_p$ . Hence

$$\begin{aligned} \sum_q \prod_{i=1}^p x_{\pi_i}^{q(\pi_i)} &= \sum_{0 \leq \alpha_1 \leq \dots \leq \alpha_p} x_{\pi_1}^{\alpha_1} \dots x_{\pi_p}^{\alpha_p} \prod_{i \in D_1(\tau)} x_{\pi_{i+1}} \dots x_{\pi_p} \\ &= \frac{\prod_{i \in D_1(\tau)} x_{\pi_{i+1}} \dots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \dots x_{\pi_p})}, \end{aligned}$$

where the first sum is over all  $q$  satisfying (3.4). The theorem follows.  $\square$

Let  $\mathbb{Z}_+(P, s) = C(P, s) \cap \mathbb{Z}_+^p$  and let

$$F_{(P,s)}^+(\mathbf{x}, \mathbf{y}) = \sum_{f \in \mathbb{Z}_+(P,s)} \mathbf{y}^{r(f)} \mathbf{x}^{q(f)}.$$

Let further

$$D_2(\tau) = \begin{cases} D_1(\tau), & \text{if } r(\pi_1) \neq 0, \\ D_1(\tau) \cup \{0\}, & \text{if } r(\pi_1) = 0. \end{cases}$$

**Theorem 3.3.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$F_{(P,s)}^+(\mathbf{x}, \mathbf{y}) = \sum_{\tau=(\pi,r) \in \mathcal{L}(P,s)} \mathbf{y}^r \frac{\prod_{i \in D_2(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p})}.$$

*Proof.* Consider  $(P', s')$  where  $P'$  is obtained from  $P$  by adjoining a least element  $\hat{0}$  labeled  $p+1$ , and  $s' : [p+1] \rightarrow \mathbb{Z}_+$  is such that  $s'$  restricted to  $[p]$  agrees with  $s$ . Let also  $s'(p+1) > \max\{s(t) : t \in [p]\}$ . Then  $f \in \mathbb{N}(P', s')$  if and only if  $f|_{[p]} \in \mathbb{N}(P, s)$  and

$$0 \leq \frac{f(p+1)}{s'(p+1)} < \frac{f(x)}{s(x)}, \quad \text{for all } x \in [p].$$

Thus  $F_{(P,s)}^+(\mathbf{x}, \mathbf{y})$  is obtained from  $F_{(P',s')}(\mathbf{x}, \mathbf{y})$  when we restrict to all  $f \in \mathbb{N}(P', s')$  with  $f(p+1) = 1$ , i.e.,  $q(p+1) = 0$  and  $r(p+1) = 1$ , and then shift the indices. Hence  $i = 0$  is a descent in  $((p+1)\pi_1\pi_2 \cdots \pi_p, r)$  if and only if  $r(\pi_1) = 0$ , and the proof follows.  $\square$

For  $f : [p] \rightarrow \mathbb{Z}_+$ , let  $q'(f), r'(f) : [p] \rightarrow \mathbb{N}$  be the unique functions satisfying

$$f(x) = q'(f)(x) \cdot s(x) + r'(f)(x), \quad \text{where } q'(f)(x) \in \mathbb{N} \text{ and } 0 < r'(f)(x) \leq s(x),$$

for all  $x \in [p]$ . Let further

$$G_{(P,s)}(\mathbf{x}, \mathbf{y}) = \sum_{f \in \mathbb{Z}_+(P,s)} \mathbf{y}^{r'(f)} \mathbf{x}^{q'(f)}.$$

Let  $D_3(\tau)$  be the set of all  $i \in [p-1]$  for which

$$\begin{aligned} &\pi_i < \pi_{i+1} \text{ and } (r(\pi_i) + 1)/s(\pi_i) > (r(\pi_{i+1}) + 1)/s(\pi_{i+1}), \text{ or,} \\ &\pi_i > \pi_{i+1} \text{ and } (r(\pi_i) + 1)/s(\pi_i) \geq (r(\pi_{i+1}) + 1)/s(\pi_{i+1}). \end{aligned}$$

**Theorem 3.4.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$G_{(P,s)}(\mathbf{x}, \mathbf{y}) = \sum_{\tau=(\pi,r) \in \mathcal{L}(P,s)} \mathbf{y}^{r+1} \frac{\prod_{i \in D_3(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p})},$$

where  $\mathbf{1} = (1, 1, \dots, 1)$  is the all ones vector.

*Proof.* The proof is almost identical to that of Theorem 3.2, and is therefore omitted.  $\square$

For  $n \in \mathbb{N}$ , let

$$\mathbb{N}_{\leq n}(P, s) = \{f \in \mathbb{N}(P, s) : f(x)/s(x) \leq n \text{ for all } x \in [p]\},$$

and let

$$F_{(P,s)}(\mathbf{x}, \mathbf{y}; n) = \sum_{f \in \mathbb{N}_{\leq n}(P,s)} \mathbf{y}^{r(f)} \mathbf{x}^{q(f)}.$$

The polynomials  $F_{(P,s)}^+(\mathbf{x}, \mathbf{y}; n)$  and  $G_{(P,s)}(\mathbf{x}, \mathbf{y}; n)$  are defined analogously over  $\{f \in \mathbb{Z}_+(P, s) : f(x)/s(x) \leq n \text{ for all } x \in [p]\}$ . Let also

$$\mathbb{N}_{< n}(P, s) = \{f \in \mathbb{N}(P, s) : f(x)/s(x) < n \text{ for all } x \in [p]\},$$

and

$$F'_{(P,s)}(\mathbf{x}, \mathbf{y}; n) = \sum_{f \in \mathbb{N}_{< n}(P,s)} \mathbf{y}^{r(f)} \mathbf{x}^{q(f)}.$$

For  $\tau = (\pi, r) \in \mathcal{L}(P, s)$ , define

$$D(\tau) = \begin{cases} D_1(\tau), & \text{if } r(\pi_p) = 0, \\ D_1(\tau) \cup \{p\}, & \text{if } r(\pi_p) > 0, \end{cases}$$

and

$$D_4(\tau) = \begin{cases} D_2(\tau), & \text{if } r(\pi_p) = 0, \\ D_2(\tau) \cup \{p\}, & \text{if } r(\pi_p) > 0. \end{cases}$$

**Proposition 3.5.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

(3.5)

$$\sum_{n \geq 0} F_{(P,s)}(\mathbf{x}, \mathbf{y}; n) t^n = \sum_{\tau = (\pi, r) \in \mathcal{L}(P,s)} \mathbf{y}^r \frac{\prod_{i \in D(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p} t)} \frac{t^{|D(\tau)|}}{1 - t},$$

(3.6)

$$\sum_{n \geq 0} F'_{(P,s)}(\mathbf{x}, \mathbf{y}; n) t^n = \sum_{\tau = (\pi, r) \in \mathcal{L}(P,s)} \mathbf{y}^r \frac{\prod_{i \in D_1(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p} t)} \frac{t^{|D_1(\tau)|+1}}{1 - t},$$

(3.7)

$$\sum_{n \geq 0} F_{(P,s)}^+(\mathbf{x}, \mathbf{y}; n) t^n = \sum_{\tau = (\pi, r) \in \mathcal{L}(P, s)} \mathbf{y}^r \frac{\prod_{i \in D_4(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p} t)} \frac{t^{|D_4(\tau)|}}{1 - t},$$

(3.8)

$$\sum_{n \geq 0} G_{(P,s)}(\mathbf{x}, \mathbf{y}; n) t^n = \sum_{\tau = (\pi, r) \in \mathcal{L}(P, s)} \mathbf{y}^{r+1} \frac{\prod_{i \in D_3(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p} t)} \frac{t^{|D_3(\tau)|+1}}{1 - t}.$$

*Proof.* For (3.5) consider  $(P', s')$  where  $P'$  is obtained from  $P$  by adjoining a greatest element  $\hat{1}$  labeled  $p + 1$ , and  $s' : [p + 1] \rightarrow \mathbb{Z}_+$  restricted to  $[p]$  agrees with  $s$ , while  $s'(p + 1) = 1$ . If we set  $x_{p+1} = t$ , then

$$\sum_{n \geq 0} F_{(P,s)}(\mathbf{x}, \mathbf{y}; n) t^n = F_{(P',s')},$$

and

$$\mathcal{L}(P', s') = \{(\pi_1 \cdots \pi_p(p+1), r') : (\pi_1 \cdots \pi_p, r'|_P) \in \mathcal{L}(P, s) \text{ and } r'(p+1) = 0\}.$$

The identity (3.5) follows by noting that  $i = p$  is a descent of  $(\pi_1 \cdots \pi_p(p + 1), r')$  if and only if  $r(\pi_p)/s(\pi_p) > r'(p + 1)/s'(p + 1) = 0$ .

The other identities follows similarly. For example (3.6) follows by considering  $(P', s')$  where  $P'$  is obtained from  $P$  by adjoining a greatest element  $\hat{1}$  labeled 0 (and then relabel so that  $P'$  has ground set  $[p + 1]$ ). For (3.8) consider again  $(P', s')$ , where  $P'$  is obtained from  $P$  by adjoining a greatest element  $\hat{1}$  labeled  $p + 1$ , and  $s'$  is defined as for the case of (3.5). Note that since  $r'(p + 1) = 1$  we have  $q'(p + 1) = n - 1$  if  $f(p + 1) = n$ . This explains the shift by one in the exponent on the right hand side of (3.8), i.e.,  $|D_3(\tau)| + 1$ . □

If  $q$  is a variable, let  $[0]_q := 0$  and  $[n]_q := 1 + q + q^2 + \cdots + q^{n-1}$  for  $n \geq 1$ . For the special case of (3.5) when  $P$  is an anti-chain we acquire the following corollary, which is a generalization of [1, Theorem 5.23].



**Corollary 3.6.** *If  $P$  is an anti-chain and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$\sum_{n \geq 0} \prod_{i=1}^p (x_i^n + [n]_{x_i} [s(i)]_{y_i}) t^n = \sum_{\tau = (\pi, r) \in \mathcal{L}(P, s)} \mathbf{y}^r \frac{\prod_{i \in D(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p} t)} \frac{t^{|D(\tau)|}}{1 - t}$$

*Proof.* Let  $P$  be an anti-chain and let  $s : [p] \rightarrow \mathbb{Z}_+$ . Consider  $f \in \mathbb{N}_{\leq n}(P, s)$ . Since  $P$  is an anti-chain,  $f(i)$  and  $f(j)$  are independent for all  $1 \leq i < j \leq p$ , and the only restriction is  $0 \leq f(i) \leq ns(i)$  for all  $1 \leq i \leq p$ . We write  $f(i) = s(i)q(i) + r(i)$ , where  $0 \leq r(i) < s(i)$ . Then  $f \in \mathbb{N}_{\leq n}(P, s)$  if and only if either  $q(i) = n$  and  $r(i) = 0$ , or  $0 \leq q(i) \leq n - 1$  and  $0 \leq r(i) \leq s(i) - 1$ . Hence

$$\begin{aligned} \sum_{f \in \mathbb{N}_{\leq n}(P, s)} \mathbf{y}^{r(f)} \mathbf{x}^{q(f)} &= \prod_{i=1}^p (x_i^0 [s(i)]_{y_i} + \cdots + x_i^{n-1} [s(i)]_{y_i} + x_i^n) \\ &= \prod_{i=1}^p (x_i^n + [n]_{x_i} [s(i)]_{y_i}). \end{aligned}$$

The corollary now follows from (3.5). □

Note that the special case of (3.5) when  $P$  is a naturally labeled chain gives an analogue (by an appropriate change of variables) to one of the main results in [20], see Theorem 5 therein. From (3.5) we also get an interpretation of the Eulerian polynomial  $A_{(P, s)}(t)$ . For  $\tau \in \mathcal{L}(P, s)$ , let  $\text{des}_s(\tau) = |D(\tau)|$ .

**Corollary 3.7.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$A_{(P, s)}(t) = \sum_{\tau \in \mathcal{L}(P, s)} t^{\text{des}_s(\tau)}.$$

The next corollary follows from Proposition 3.5 by setting the  $x$ - and  $y$ -variables to 1.

**Corollary 3.8.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$\sum_{\tau \in \mathcal{L}(P, s)} t^{|D_4(\tau)|} = \sum_{\tau \in \mathcal{L}(P, s)} t^{|D_3(\tau)|+1},$$

and if  $s(x) = 1$  for all minimal elements  $x$  in  $P$ , then

$$(3.9) \quad A_{(P,s)}(t) = \sum_{\tau \in \mathcal{L}(P,s)} t^{|D(\tau)|} = \sum_{\tau \in \mathcal{L}(P,s)} t^{|D_3(\tau)|}.$$

Let  $P = ([p], \preceq)$  be a labeled poset. For  $i \in [p]$ , let  $i^* = p + 1 - i$ , and let  $(P^*, s^*)$  be defined by  $P^* = ([p], \preceq^*)$  with

$$i \preceq j \text{ in } P \quad \text{if and only if} \quad i^* \preceq^* j^* \text{ in } P^*, \quad \text{for all } i, j \in [p],$$

and  $s^*(i^*) = s(i)$  for all  $i \in [p]$ . The poset  $P^*$  is called the *dual* of  $P$ .

**Theorem 3.9** (Reciprocity theorem). *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$G_{(P^*, s^*)}(\mathbf{x}^*, \mathbf{y}^*) = (-1)^p \frac{y_1^{s(1)} \cdots y_p^{s(p)}}{x_1 \cdots x_p} F_{(P,s)}(\mathbf{x}^{-1}, \mathbf{y}^{-1}),$$

where  $\mathbf{x}^* = (x_p, x_{p-1}, \dots, x_1)$  and  $\mathbf{x}^{-1} = (x_1^{-1}, \dots, x_p^{-1})$ .

*Proof.* For  $\tau = (\pi, r) \in \mathcal{L}(P, s)$ , let  $\tau^* = (\pi_1^* \pi_2^* \cdots \pi_p^*, r^*)$  where  $r^*(i^*) = s(i) - 1 - r(i)$  for all  $i \in [p]$ . Clearly the map  $\tau \mapsto \tau^*$  is a bijection between  $\mathcal{L}(P, s)$  and  $\mathcal{L}(P^*, s^*)$ . Moreover if  $i \in [p - 1]$ , then  $i \in D_3(\tau)$  if and only if

$$\begin{cases} \pi_i < \pi_{i+1} \text{ and } (r(\pi_i) + 1)/s(\pi_i) > (r(\pi_{i+1}) + 1)/s(\pi_{i+1}), \text{ or,} \\ \pi_i > \pi_{i+1} \text{ and } (r(\pi_i) + 1)/s(\pi_i) \geq (r(\pi_{i+1}) + 1)/s(\pi_{i+1}), \end{cases}$$

if and only if

$$\begin{cases} \pi_i^* > \pi_{i+1}^* \text{ and } r^*(\pi_i^*)/s^*(\pi_i^*) < r^*(\pi_{i+1}^*)/s^*(\pi_{i+1}^*), \text{ or,} \\ \pi_i^* < \pi_{i+1}^* \text{ and } r^*(\pi_i^*)/s^*(\pi_i^*) \leq r^*(\pi_{i+1}^*)/s^*(\pi_{i+1}^*) \end{cases}$$

if and only if  $i \in [p - 1] \setminus D_1(\tau^*)$ . Thus

$$(3.10) \quad D_3(\tau) = [p - 1] \setminus D_1(\tau^*) \quad \text{and} \quad D_1(\tau) = [p - 1] \setminus D_3(\tau^*),$$

for all  $\tau \in \mathcal{L}(P, s)$ . Now

$$F_{(P,s)}(\mathbf{x}, \mathbf{y}) = \sum_{\tau \in \mathcal{L}(P,s)} \mathbf{y}^\tau \frac{\prod_{i \in D_1(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p})}$$

$$\begin{aligned}
 &= \sum_{\tau \in \mathcal{L}(P,s)} \mathbf{y}^r \frac{\prod_{i \in [p-1] \setminus D_3(\tau^*)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p})} \\
 &= \sum_{\tau \in \mathcal{L}(P,s)} \frac{\mathbf{y}^s (\mathbf{y}^*)^{-(r^*+1)} \prod_{i \in D_3(\tau^*)} x_{\pi_{i+1}}^{-1} \cdots x_{\pi_p}^{-1}}{x_1 \cdots x_p \prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p})} \prod_{i \in [p]} x_{\pi_i} \cdots x_{\pi_p} \\
 &= (-1)^p \frac{y_1^{s(1)} \cdots y_p^{s(p)}}{x_1 \cdots x_p} \sum_{\tau \in \mathcal{L}(P,s)} (\mathbf{y}^*)^{-(r^*+1)} \frac{\prod_{i \in D_3(\tau^*)} x_{\pi_{i+1}}^{-1} \cdots x_{\pi_p}^{-1}}{\prod_{i \in [p]} (1 - x_{\pi_i}^{-1} \cdots x_{\pi_p}^{-1})} \\
 &= (-1)^p \frac{y_1^{s(1)} \cdots y_p^{s(p)}}{x_1 \cdots x_p} G_{(P^*,s^*)}((\mathbf{x}^*)^{-1}, (\mathbf{y}^*)^{-1}),
 \end{aligned}$$

from which the theorem follows. □

**Remark 3.1.** Theorem 3.9 generalizes the reciprocity theorem in [4] which follows as the special case when  $P$  is a naturally labeled chain.

### 4. Sign-ranked posets

Let  $P = \{1 \prec 2 \prec \cdots \prec p\}$  be a naturally labeled chain, and let  $s(i) = i$  for all  $i \in [p]$ . Savage and Schuster [20, Lemma 1] proved that  $A_{(P,s)}(t)$  is equal to the Eulerian polynomial

$$A_p(t) = \sum_{\pi \in \mathfrak{S}_p} t^{\text{des}(\pi)},$$

where  $\text{des}(\pi) = |\{i \in [p] : \pi_i > \pi_{i+1}\}|$ . Recall that a polynomial  $g(t)$  is *palindromic* if  $t^N g(1/t) = g(t)$  for some integer  $N$ . It is well known that  $A_p(t)$  is palindromic (in fact  $t^{p-1} A_p(1/t) = A_p(t)$ ). The same is known to be true for the  $P$ -Eulerian polynomial of any naturally labeled graded poset, see [24, Corollary 3.15.18], and more generally for  $P$ -Eulerian polynomials of so called sign-graded labeled posets [10, Corollary 2.4]. We shall here generalize these results to  $(P, s)$ -Eulerian polynomials.

Recall that a pair of elements  $(x, y)$  taken from a labeled poset  $P$  is a *covering relation* if  $x \prec y$  and  $x \prec z \prec y$  for no  $z \in P$ . Let  $\mathcal{E}(P)$  denote the set of covering relations of  $P$ . If  $P$  is a labeled poset define a

function  $\epsilon : \mathcal{E}(P) \rightarrow \{-1, 1\}$  by

$$\epsilon(x, y) = \begin{cases} 1, & \text{if } x < y, \text{ and} \\ -1, & \text{if } x > y. \end{cases}$$

Sign-graded (labeled) posets, introduced in [10], generalize graded naturally labeled posets. A labeled poset  $P$  is *sign-graded* of rank  $r$ , if

$$\sum_{i=1}^k \epsilon(x_{i-1}, x_i) = r$$

for each maximal chain  $x_0 \prec x_1 \prec \dots \prec x_k$  in  $P$ . A sign-graded poset is equipped with a well-defined *rank-function*,  $\rho : P \rightarrow \mathbb{Z}$ , defined by

$$\rho(x) = \sum_{i=1}^k \epsilon(x_{i-1}, x_i),$$

where  $x_0 \prec x_1 \prec \dots \prec x_k = x$  is any unrefinable chain,  $x_0$  is a minimal element and  $x_k = x$ . Hence a naturally labeled poset is sign-graded if and only if it is graded. A labeled poset  $P$  is *sign-ranked* if for each maximal element  $x \in P$ , the subposet  $\{y \in P : y \preceq x\}$  is sign-graded. Note that each sign-ranked poset has a well-defined rank function  $\rho : P \rightarrow \mathbb{Z}$ . Thus a naturally labeled poset is sign-ranked if and only if it is ranked.

**Theorem 4.1.** *Let  $P$  be a sign-ranked labeled poset and suppose its rank function attains non-negative values only. Let  $s(x) = \rho(x) + 1$  for each  $x \in [p]$ , and define  $u : \mathbb{N}(P, s) \rightarrow \mathbb{Z}^P$  by  $u(f)(x^*) = f(x) + \rho(x)$ . Then  $u : \mathbb{N}_{\leq n}(P, s) \rightarrow \mathbb{N}_{< n+1}(P^*, s^*)$  is a bijection for each  $n \in \mathbb{N}$ .*

*Proof.* We first prove  $u : \mathbb{N}(P, s) \rightarrow \mathbb{N}(P^*, s^*)$ . Note that  $f$  is a  $(P, s)$ -partition if and only if

1. if  $(x, y) \in \mathcal{E}(P)$ , then  $f(x)/s(x) \leq f(y)/s(y)$ , and
2. if  $(x, y) \in \mathcal{E}(P)$  and  $\epsilon(x, y) = -1$ , then  $f(x)/s(x) < f(y)/s(y)$ .

Hence it suffices to consider covering relations when proving that  $u : \mathbb{N}(P, s) \rightarrow \mathbb{N}(P^*, s^*)$ .

Let  $f \in \mathbb{N}(P, s)$ . Suppose  $y$  covers  $x$  and  $\epsilon(x, y) = 1$ . Then  $f(x)/s(x) \leq f(y)/s(y)$  and  $s(x) < s(y)$ , and thus

$$\frac{u(f)(x^*)}{s^*(x^*)} = \frac{f(x) + s(x) - 1}{s(x)} \leq \frac{f(y)}{s(y)} + 1 - \frac{1}{s(x)} < \frac{f(y)}{s(y)} + 1 - \frac{1}{s(y)} = \frac{u(f)(y^*)}{s^*(y^*)},$$

as desired.

Suppose  $y$  covers  $x$  and  $\epsilon(x, y) = -1$ . Then  $f(x)/s(x) < f(y)/s(y)$  and  $s(x) = s(y) + 1$  so that

$$\frac{u(f)(y^*)}{s^*(y^*)} - \frac{u(f)(x^*)}{s^*(x^*)} = \frac{f(y)}{s(y)} - \frac{f(x)}{s(y) + 1} - \left( \frac{1}{s(y)} - \frac{1}{s(y) + 1} \right).$$

We want to prove that the quantity on either side of the equality above is nonnegative. By assumption

$$\frac{f(y)}{s(y)} - \frac{f(x)}{s(y) + 1} = \frac{(s(y) + 1)f(y) - s(y)f(x)}{s(y)(s(y) + 1)} > 0.$$

Hence  $(s(y) + 1)f(y) - s(y)f(x)$  is a positive integer, so that

$$\frac{f(y)}{s(y)} - \frac{f(x)}{s(y) + 1} \geq \frac{1}{s(y)(s(y) + 1)},$$

as desired. Note that  $u(f)$  is nonnegative since it is increasing and  $u(f)(x^*) = f(x)$  when  $x^*$  is a minimal element in  $P^*$ . Hence  $u(f) \in \mathbb{N}(P^*, s^*)$ .

Let  $\eta : \mathbb{N}(P^*, s^*) \rightarrow \mathbb{Z}^P$  be defined by  $\eta(g)(x) = g(x^*) - \rho(x) = g(x^*) + \rho^*(x^*)$ , where  $\rho^*$  is the rank function of  $P^*$ . Clearly  $\eta : \mathbb{N}(P^*, s^*) \rightarrow \mathbb{N}(P, s)$  by the exact same arguments as above. Thus  $u^{-1} = \eta$  and  $u : \mathbb{N}(P, s) \rightarrow \mathbb{N}(P^*, s^*)$  is a bijection.

Now  $u(f)(x^*)/s^*(x^*) = f(x)/s(x) + (s(x) - 1)/s(x) < n + 1$  if  $f \in \mathbb{N}_{\leq n}(P, s)$  and  $x \in P$ , so that  $u : \mathbb{N}_{\leq n}(P, s) \rightarrow \mathbb{N}_{< n+1}(P^*, s^*)$  for each  $n \in \mathbb{N}$ .

On the other hand if  $g \in \mathbb{N}_{< n+1}(P^*, s^*)$ , then  $g(x^*) = q(x^*)(\rho(x) + 1) + r(x^*)$  where  $0 \leq q(x^*) \leq n$  and  $0 \leq r(x^*) \leq \rho(x)$ . Hence

$$\frac{\eta(g)(x)}{s(x)} = \frac{g(x^*)}{\rho(x) + 1} - \frac{\rho(x)}{\rho(x) + 1} \leq n + \frac{r(x^*)}{\rho(x) + 1} - \frac{\rho(x)}{\rho(x) + 1} \leq n.$$

Thus  $\eta : \mathbb{N}_{< n+1}(P^*, s^*) \rightarrow \mathbb{N}_{\leq n}(P, s)$  which proves the theorem.  $\square$

**Theorem 4.2.** *If  $P$  is a sign-ranked labeled poset with nonnegative rank function  $\rho$  and  $s = \rho + 1$ , then*

$$A_{(P,s)}(t) = t^{p-1} A_{(P,s)}(t^{-1})$$

and

$$(-1)^{p_i} i(O(P, s), -t) = i(O(P, s), t - 2).$$

*Proof.* By (3.5), (3.6) and Theorem 4.1

$$A_{(P,s)}(t) = \sum_{\tau \in \mathcal{L}(P,s)} t^{|D(\tau)|} = \sum_{\tau^* \in \mathcal{L}(P^*,s^*)} t^{|D_1(\tau^*)|}.$$

The first part of the theorem now follows from (3.9) and (3.10). The second part follows from e.g., [24, Lemma 3.15.11]. □

### 5. Real-rootedness and unimodality

The Neggers-Stanley conjecture asserted that for each labeled poset  $P$ , the Eulerian polynomial  $A_P(t)$  is real-rooted. Although the conjecture is refuted in its full generality [9, 26], it is known to hold for certain classes of posets [6, 27]. Moreover, when  $P$  is sign-graded, then the coefficients of  $A_P(t)$  form a unimodal sequence [10, 16]. It is natural to ask for which pairs  $(P, s)$

- (a) is  $A_{(P,s)}(t)$  real-rooted?
- (b) do the coefficients of  $A_{(P,s)}(t)$  form a unimodal sequence?

We first address (a). Suppose  $P = ([p], \preceq_P)$ ,  $Q = ([q], \preceq_Q)$  and  $R = ([p + q], \preceq_R)$  are labeled posets such that  $[p + q]$  is the disjoint union of the two sets  $\{u_1 < u_2 < \dots < u_p\}$  and  $\{v_1 < v_2 < \dots < v_q\}$ , and  $x \preceq_R y$  if and only if either

- $x = u_i$  and  $y = u_j$  for some  $i, j \in [p]$  with  $i \preceq_P j$ , or
- $x = v_i$  and  $y = v_j$  for some  $i, j \in [q]$  with  $i \preceq_Q j$ .

We say that  $R$  is a *disjoint union* of  $P$  and  $Q$  and write  $R = P \sqcup Q$ . Moreover if  $s_P : [p] \rightarrow \mathbb{Z}_+$  and  $s_Q : [q] \rightarrow \mathbb{Z}_+$ , then we define  $s_{P \sqcup Q} : [p + q] \rightarrow \mathbb{Z}_+$  as the unique function satisfying  $s_{P \sqcup Q}(u_i) = s_P(i)$  and  $s_{P \sqcup Q}(v_j) = s_Q(j)$ .

**Proposition 5.1.** *If the polynomials  $A_{(P,s_P)}(t)$  and  $A_{(Q,s_Q)}(t)$  are real-rooted, then so is the polynomial  $A_{(P \sqcup Q, s_{P \sqcup Q})}(t)$ .*

*Proof.* Clearly

$$i((P \sqcup Q, s_{P \sqcup Q}), t) = i(O(P, s_P), t) \cdot i(O(Q, s_Q), t),$$

so the proposition follows from [28, Theorem 0.1]. □

It was proved in [22] that if  $P = \{1 \prec 2 \prec \dots \prec p\}$  and  $s : [p] \rightarrow \mathbb{Z}_+$  is arbitrary, then  $A_{(P,s)}(t)$  is real-rooted. In Theorem 5.2 below we generalize this result to ordinal sums of anti-chains. If  $P = (X, \preceq_P)$  and  $Q = (Y, \preceq_Q)$  are posets on disjoint ground sets, then the *ordinal sum*,  $P \oplus Q = (X \cup Y, \preceq)$ , is the poset with relations

1.  $x_1 \prec x_2$ , for all  $x_1, x_2 \in X$  with  $x_1 \prec_P x_2$ ,
2.  $y_1 \prec y_2$ , for all  $y_1, y_2 \in X$  with  $y_1 \prec_Q y_2$ , and
3.  $x \prec y$  for all  $x \in X$  and  $y \in Y$ .

Let  $f$  and  $g$  be two real-rooted polynomials in  $\mathbb{R}[t]$  with positive leading coefficients. Let further  $\alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_n$  and  $\beta_1 \geq \beta_2 \geq \dots \geq \beta_m$  be the zeros of  $f$  and  $g$ , respectively. If

$$\dots \leq \alpha_2 \leq \beta_2 \leq \alpha_1 \leq \beta_1$$

we say that  $f$  is an *interleaver* of  $g$  and we write  $f \ll g$ . We also let  $f \ll\ll 0$  and  $0 \ll\ll f$ . We call a sequence  $F_n = (f_i)_{i=1}^n$  of real-rooted polynomials *interlacing* if  $f_i \ll f_j$  for all  $1 \leq i < j \leq n$ . We denote by  $\mathcal{F}_n$  the family of all interlacing sequences  $(f_i)_{i=1}^n$  of polynomials and we let  $\mathcal{F}_n^+$  be the family of  $(f_i)_{i=1}^n \in \mathcal{F}_n$  such that  $f_i$  has nonnegative coefficients for all  $1 \leq i \leq n$ .

To avoid unnecessary technicalities we here redefine a labeled poset to be a poset  $P = (S, \preceq)$ , where  $S$  is any set of positive integers. Thus  $\mathcal{L}(P)$  is now the set of rearrangements of  $S$  that are also linear extensions of  $P$ .

Equip  $X(P, s) := \{(k, x) : x \in P \text{ and } 0 \leq k < s(x)\}$  with a total order defined by  $(k, x) < (\ell, y)$  if  $k/s(x) < \ell/s(y)$ , or  $k/s(x) = \ell/s(y)$  and  $x < y$ . For  $\gamma \in X(P, s)$ , let

$$A_{(P,s)}^\gamma(t) = \sum_{\substack{\tau=(\pi,r) \in \mathcal{L}(P,s) \\ (r(\pi_1), \pi_1) = \gamma}} t^{\text{des}_s(\tau)}.$$

**Theorem 5.2.** *Suppose  $P = A_{p_1} \oplus \dots \oplus A_{p_m}$  is an ordinal sum of anti-chains, and let  $s : P \rightarrow \mathbb{Z}_+$  be a function which is constant on  $A_{p_i}$  for  $1 \leq i \leq m$ . Then  $\{A_{(P,s)}^\gamma(t)\}_{\gamma \in X}$ , where  $X = X(P, s)$ , is an interlacing sequence of polynomials.*

*In particular  $A_{(P,s)}(t)$  and  $A_{(P,s)}^\gamma(t)$  are real-rooted for all  $\gamma \in X$ .*

*Proof.* The proof is by induction over  $m$ . Suppose  $m = 1$ ,  $p_1 = n$ ,  $A_n$  is the anti-chain on  $[n]$ , and  $s(A_n) = \{s\}$ . We prove the case  $m = 1$  by induction over  $n$ . If  $n = 1$  we get the sequence  $1, t, t, \dots, t$  which is interlacing. Otherwise if  $\gamma = (k, \pi_1)$ , then

$$A_{(A_n,s)}^\gamma(t) = \sum_{\kappa < \gamma} t A_{(A_{n-1},s')}^\kappa(t) + \sum_{\kappa \geq \gamma} A_{(A_{n-1},s')}^\kappa(t),$$

where  $s'$  is  $s$  restricted to  $A_{n-1}$ . This recursion preserves the interlacing property, see [22, Theorem 2.3] and [11], which proves the case  $m = 1$  by induction.

Suppose  $m > 1$ . The proof for  $m$  is again by induction over  $p_1 = n$ . If  $p_1 = 1$ , then

$$A_{(P,s)}^\gamma(t) = \sum_{\kappa < \gamma} t A_{(P',s')}^\kappa(t) + \sum_{\kappa > \gamma} A_{(P',s')}^\kappa(t),$$

Where  $P' = A_2 \oplus \dots \oplus A_m$ , and where  $s'$  is the restrictions to  $P'$ . Hence the case  $p_1 = 1$  follows by induction (over  $m$ ) since this recursion preserves the interlacing property, see [22, Theorem 2.3].

The case  $m > 1$  and  $p_1 > 1$  follows by induction over  $p_1$  just as for the case  $m = 1, n > 1$ .

Hence  $\{A_{(P,s)}^\gamma(t)\}_\gamma$  is an interlacing sequence, and thus

$$A_{(P,s)}(t) = \sum_{\gamma} A_{(P,s)}^\gamma(t),$$

is real-rooted by e.g., [22, Theorem 2.3]. □

Next we address (b). A palindromic polynomial  $g(t) = a_0 + a_1 t + \dots + a_n t^n$  may be written uniquely as

$$g(t) = \sum_{k=0}^{\lfloor d/2 \rfloor} \gamma_k(g) t^k (1+t)^{d-2k},$$

where  $\{\gamma_k(g)\}_{k=0}^{\lfloor d/2 \rfloor}$  are real numbers. If  $\gamma_k(g) \geq 0$  for all  $k$ , then we say that  $g(t)$  is  $\gamma$ -positive, see [11]. Note that if  $g(t)$  is  $\gamma$ -positive, then  $\{a_i\}_{i=0}^n$  is a unimodal sequence, i.e., there is an index  $m$  such that  $a_0 \leq \dots \leq a_m \geq a_{m+1} \geq \dots \geq a_n$ .

**Conjecture 5.3.** *Suppose  $P$  is a sign-ranked labeled poset with nonnegative rank function  $\rho$  and  $s = \rho + 1$ , then  $A_{(P,s)}(t)$  is  $\gamma$ -positive.*

**Remark 5.1.** Let  $P$  be a sign-ranked labeled poset with a rank function  $\rho$  with values only in  $\{0, 1\}$ , and let  $s = \rho + 1$ . Following the proof of [10, Theorem 4.2], with the use of Theorem 5.2, it follows that Conjecture 5.3 holds for  $(P, s)$ . We omit the technical details in recalling the proof here.

If  $P$  is a naturally labeled ranked poset and  $s = \rho + 1$ , then  $O(P, s)$  is a closed integral polytope and  $A_{(P,s)}(t)$  is the so called  $h^*$ -polynomial of  $O(P, s)$ . If the following conjecture is true, then the coefficients of  $A_{(P,s)}(t)$  form a unimodal sequence by a powerful theorem of Bruns and Römer [8, Theorem 1].



**Conjecture 5.4.** *Suppose  $P$  is a naturally labeled ranked poset, and let  $s = \rho + 1$ . Then  $O(P, s)$  (or some related polytope with the same Ehrhart polynomial) has a regular and unimodular triangulation.*

**Remark 5.2.** Evidence for Conjectures 5.3 and 5.4 is provided by [23] where it is proved that the coefficients of  $A_{(P,s)}(t)$  form unimodal sequence whenever  $P$  is a naturally labeled ranked poset with a least element, and  $s = \rho + 1$ .

### 6. Applications

In this section we derive some applications of the generating function identities obtained in Section 3. If  $\alpha = (\alpha_1, \dots, \alpha_p)$  is a sequence, let  $|\alpha| = \alpha_1 + \dots + \alpha_p$ . For  $\tau = (\pi, r) \in \mathcal{L}(P, s)$ , let

$$\begin{aligned} \text{comaj}(\tau) &= \sum_{i \in D(\tau)} p - i, \text{ and} \\ \text{lh}(\tau) &= |r| + \sum_{i \in D(\tau)} s(\pi_{i+1}) + \dots + s(\pi_p) \end{aligned}$$

**Theorem 6.1.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$(6.1) \quad \sum_{n \geq 0} \left( \sum_{f \in \mathbb{N}_{\leq n}(P,s)} q^{|r(f)|} u^{|q(f)|} \right) t^n = \frac{\sum_{\tau \in \mathcal{L}(P,s)} q^{|r|} u^{\text{comaj}(\tau)} t^{\text{des}_s(\tau)}}{\prod_{i=0}^p (1 - u^i t)}.$$

*Proof.* Set  $x_i = u$  and  $y_i = q$  for all  $1 \leq i \leq p$  in (3.5). Then

$$\begin{aligned} \sum_{\tau \in \mathcal{L}(P,s)} \mathbf{y}^r \frac{\prod_{i \in D(\tau)} x_{\pi_{i+1}} \cdots x_{\pi_p}}{\prod_{i \in [p]} (1 - x_{\pi_i} \cdots x_{\pi_p} t)} \frac{t^{|D(\tau)|}}{1 - t} &= \sum_{\tau \in \mathcal{L}(P,s)} \frac{q^{|r|} u^{\text{comaj}(\tau)} t^{\text{des}_s(\tau)}}{\prod_{i \in [p]} (1 - tu^{p+1-i})(1 - t)} \\ &= \frac{\sum_{\tau \in \mathcal{L}(P,s)} q^{|r|} u^{\text{comaj}(\tau)} t^{\text{des}_s(\tau)}}{\prod_{i \in [p]} (1 - tu^i)(1 - t)}. \end{aligned}$$

The theorem follows. □

**Theorem 6.2.** *If  $P$  is a labeled poset and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$(6.2) \quad \sum_{n \geq 0} \left( \sum_{f \in \mathbb{N}_{\leq n}(P,s)} q^{|f|} \right) t^n = \sum_{\tau \in \mathcal{L}(P,s)} \frac{q^{\text{lh}(\tau)} t^{\text{des}_s(\tau)}}{\prod_{i \in [p]} (1 - tq^{\sum_{j=i}^p s(\pi_j)}) (1-t)}.$$

*Proof.* Set  $x_i = q^{s(i)}$  and  $y_i = q$  for all  $1 \leq i \leq p$  in (3.5). □

**Corollary 6.3.** *If  $P$  is an anti-chain and  $s : [p] \rightarrow \mathbb{Z}_+$ , then*

$$(6.3) \quad \sum_{n \geq 0} \prod_{i=1}^p (u^n + [n]_u [s(i)]_q) t^n = \frac{\sum_{\tau \in \mathcal{L}(P,s)} q^{|\tau|} u^{\text{comaj}(\tau)} t^{\text{des}_s(\tau)}}{\prod_{i=0}^p (1 - u^i t)}.$$

*Proof.* The corollary follows from Theorem 6.1 and Corollary 3.6. □

The wreath product of  $\mathfrak{S}_p$  with a cyclic group of order  $k$  has elements

$$\mathbb{Z}_k \wr \mathfrak{S}_p = \{(\pi, r) : \pi \in \mathfrak{S}_p \text{ and } r : [p] \rightarrow \mathbb{Z}_k\}.$$

The elements of  $\mathbb{Z}_k \wr \mathfrak{S}_p$  are often thought of as  $r$ -colored permutations. We may identify  $\mathbb{Z}_k \wr \mathfrak{S}_p$  with  $\mathcal{L}(P, s)$  where  $P$  is an anti-chain on  $[p]$  and  $s(i) = k$  for all  $k \in [p]$ . For  $\tau = (\pi, r) \in \mathbb{Z}_k \wr \mathfrak{S}_p$  define

$$\text{fmaj}(\tau) = |r| + k \cdot \text{comaj}(\tau).$$

Note that  $\text{lh}(\tau)$  agrees with  $\text{fmaj}(\tau)$  when  $s = (k, k, \dots, k)$ .

Below we derive a Carlitz formula for  $\mathbb{Z}_k \wr \mathfrak{S}_p$  first proved by Chow and Mansour in [12].

**Corollary 6.4.** *For positive integers  $p$  and  $k$ ,*

$$(6.4) \quad \sum_{n \geq 0} [kn + 1]_q^p t^n = \frac{\sum_{\tau \in \mathbb{Z}_k \wr \mathfrak{S}_p} t^{\text{des}_s(\tau)} q^{\text{fmaj}(\tau)}}{\prod_{i=0}^p (1 - tq^{ki})}.$$

*Proof.* Let  $s = (k, k, \dots, k)$  and set  $u = q^k$  in (6.3). Then

$$\begin{aligned} \prod_{i=1}^p (u^n + [n]_u [s(i)]_q) &= \left( q^{nk} + [n]_{q^k} [k]_q \right)^p \\ &= \left( q^{nk} + \frac{q^{kn} - 1}{q^k - 1} \frac{q^k - 1}{q - 1} \right)^p \\ &= [nk + 1]_q^p. \end{aligned}$$

The right hand side follows since  $s(i) = k$  for all  $1 \leq i \leq p$ , and thus we sum over all  $\tau \in \mathbb{Z}_k \wr \mathfrak{S}_p$ .  $\square$

**Remark 6.1.** The definition of  $\text{fmaj}$  above differs from the definition of the flag major index  $\text{fmaj}_r$  in [12]. By the change in variables  $q \rightarrow q^{-1}$  and  $t \rightarrow tq^{kp}$  and by noting that  $[kn + 1]_q^p t^n$  is invariant under this change of variables we find that the two flag major indices have the same distribution.

**Corollary 6.5.** For positive integers  $p$  and  $k$ ,

$$\sum_{n \geq 0} \prod_{i=1}^p (1 + n[k]_{q_i}) t^n = \frac{\sum_{\tau \in \mathbb{Z}_k \wr \mathfrak{S}_p} q_1^{r_1} q_2^{r_2} \dots q_p^{r_p} t^{\text{des}_s(\tau)}}{(1 - t)^{p+1}}.$$

*Proof.* Let  $s = (k, k, \dots, k)$  and set  $x_i = 1$  for all  $1 \leq i \leq p$  in the equation displayed in Corollary 3.6.  $\square$

**Remark 6.2.** Note that when  $q_i \geq 0$  for all  $1 \leq i \leq p$ , the polynomial

$$n \mapsto \prod_{i=1}^p (1 + n[k]_{q_i})$$

has all its zeros in the interval  $[-1, 0]$ . By an application of [28, Theorem 0.1] it follows that the polynomial

$$\sum_{\tau \in \mathbb{Z}_k \wr \mathfrak{S}_p} q_1^{r_1} q_2^{r_2} \dots q_p^{r_p} t^{\text{des}_s(\tau)}$$

is real-rooted in  $t$ . This generalizes [7, Theorem 6.4], where the case  $k = 2$  was obtained.

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