

# Unions of 1-factors in $r$ -graphs and overfull graphs

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We prove lower bounds for the fraction of edges of an  $r$ -graph which can be covered by the union of  $k$  1-factors. The special case  $r = 3$  yields some known results for cubic graphs. Furthermore, we introduce the concept of  $k$ -overfull-free  $r$ -graphs and achieve better bounds for these graphs.

KEYWORDS AND PHRASES:  $r$ -graphs, 1-factors, overfull graphs.

## 1. Introduction

We consider finite graphs  $G$  with vertex set  $V(G)$  and edge set  $E(G)$ . Graphs do not contain loops in this paper. For  $v, w \in V(G)$ , the number of edges between  $v$  and  $w$  is denoted by  $\mu(v, w)$  and  $\mu(G) = \max\{\mu(v, w) : v, w \in V(G)\}$ .  $\mu(v, w)$  is called the multiplicity of  $vw$  and  $\mu(G)$  the multiplicity of  $G$ . A graph is simple if  $\mu(v, w) \leq 1$  for any two vertices  $v, w$ . The number of edges which are incident to vertex  $v$  is the vertex degree of  $v$  which is denoted by  $d_G(v)$ . The maximum vertex degree of  $G$  is  $\max\{d_G(v) : v \in V(G)\}$  and it is denoted by  $\Delta(G)$ . Further  $\delta(G)$  denotes the minimum degree of a vertex of  $G$ .

### 1.1. 1-factor covering

The following celebrated conjecture, often referred to as the Berge-Fulkerson conjecture, is due to Fulkerson and appears first in [5]:

**Conjecture 1.1** (Berge-Fulkerson conjecture [5]). *Every bridgeless cubic graph  $G$  has six 1-factors such that each edge of  $G$  is contained in precisely two of them.*

A set of such six 1-factors in the conjecture is called a Fulkerson cover of  $G$ . It is straightforward that Berge-Fulkerson Conjecture implies the existence of five 1-factors whose union is the edge-set of the graph  $G$ . This naturally raises a seemingly weaker conjecture, attributed to Berge (unpublished, see e.g. [28]).

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**Conjecture 1.2** (Berge conjecture). *Every bridgeless cubic graph  $G$  has five 1-factors such that each edge of  $G$  is contained in at least one of them.*

A set of the five 1-factors in Berge Conjecture is called a Berge cover of  $G$ . Recently, Mazzuoccolo [12] proved that the previous two conjectures are equivalent. It is unclear whether the same equivalence holds for every single bridgeless cubic graph, in other words, does a graph having a Berge cover always have a Fulkerson cover?

Let  $r$  be a positive integer. A graph  $G$  is  $r$ -regular, if  $d_G(v) = r$  for all  $v \in V(G)$ . Let  $X \subseteq V(G)$  be a set of vertices. The subgraph of  $G$  induced by  $X$  is denoted by  $G[X]$ , and the set of edges with precisely one end in  $X$  by  $\partial_G(X)$ . An  $r$ -regular graph  $G$  is an  $r$ -graph if  $|\partial_G(X)| \geq r$  for every odd set  $X \subseteq V(G)$ .

A cubic graph is a 3-graph if and only if it is bridgeless. Moreover, it was proved in [22] that every  $r$ -graph has a 1-factor. Hence, it is natural to consider similar questions on perfect matching covering for  $r$ -graphs as for bridgeless cubic graphs. In particular, aforementioned two conjectures were generalized to  $r$ -graphs. In 1979, Seymour [22] proposed the generalized Berge-Fulkerson conjecture:

**Conjecture 1.3** (Generalized Berge-Fulkerson conjecture [22]). *Every  $r$ -graph has  $2r$  1-factors such that each edge is contained in precisely two of them.*

Trivially, this conjecture implies the following generalized form of Conjecture 1.2, first proposed by Mazzuoccolo [13].

**Conjecture 1.4** (Generalized Berge conjecture [13]). *Every  $r$ -graph  $G$  has  $2r - 1$  1-factors such that each edge is contained in at least one of them.*

The value  $2r - 1$  in the conjecture is best possible, that is, it can not be smaller, as shown in [13]. In the same paper, Mazzuoccolo proved the equivalence between the generalized Berge-Fulkerson conjecture and the generalized Berge conjecture, in a similar way as he did for cubic case.

The excessive index  $\chi'_e(G)$  of a graph  $G$  is the minimum number of 1-factors needed to cover  $E(G)$ . This parameter, also called the perfect matching index in [4], was widely studied in the literature, e.g., [1, 2, 13, 15, 16, 19]. It is reasonable to consider the excessive index for  $r$ -graphs in the context that it can be arbitrary large for some family of bridgeless  $r$ -regular graphs, constructed in [16]. However, it is an open question whether there exists a constant  $k$  such that  $\chi'_e(G) \leq k$  for all  $r$ -graphs  $G$  for any fixed  $r \geq 3$ . The result of Mazzuoccolo [13] shows that if such  $k$  exists then it is at least  $2r - 1$ . The generalized Berge conjecture asserts that such  $k$  exists and  $k = 2r - 1$ .

Partial covers of  $r$ -graphs with 1-factors are of great interest, see e.g. [8, 23]. In this paper, we consider the following relaxed form of the generalized Berge conjecture: Over all  $r$ -graphs  $G$  for any fixed  $r$ , what is the maximum constant  $c$  ( $c \leq 1$ ), such that  $G$  has  $2r - 1$  1-factors whose union contains at least  $c|E(G)|$  edges? Note that the generalized Berge conjecture asserts that  $c = 1$ . We will show that  $c \geq 1 - e^{-2} \approx 0.8647$ . We will also show a second lower bound for  $c$  which depends on  $r$ , but which is always greater than  $1 - e^{-2}$ . In fact, this second lower bound is an approximation to the following more general problem.

Given an  $r$ -graph  $G$ , let  $\mathcal{M}$  be the set of distinct 1-factors in  $G$ . Fix a positive integer  $k$ . Define

$$m(r, k, G) = \max_{M_1, \dots, M_k \in \mathcal{M}} \frac{|\bigcup_{i=1}^k M_i|}{|E(G)|},$$

and

$$m(r, k) = \inf_G m(r, k, G),$$

where the infimum is taken over all  $r$ -graphs. Clearly,  $m(r, k) \leq m(r, k+1) \leq 1$ . With this notation, the generalized Berge conjecture can be reformulated as follows:

**Conjecture 1.5.**  $m(r, 2r - 1) = 1$  for every integer  $r$  with  $r \geq 3$ .

The parameter  $m(r, k)$  has primarily been studied in cubic case, i.e.  $r = 3$ . Berge’s conjecture states that  $m(3, 5) = 1$ . Kaiser, Král and Norine [9] proposed a lower bound for  $m(3, k)$  as

$$(1) \quad m(3, k) \geq 1 - \prod_{i=1}^k \frac{i+1}{2i+1},$$

and verified it for the case  $k \in \{2, 3\}$ . Meanwhile, Patel [17] conjectured that  $m(3, 2) = \frac{3}{5}$ ,  $m(3, 3) = \frac{4}{5}$  and  $m(3, 4) = \frac{14}{15}$ . Since the example of Petersen graph, the result of Kaiser, Král and Norine confirms that  $m(3, 2) = \frac{3}{5}$ . But the exact values for  $m(3, 3)$  and  $m(3, 4)$  are still unknown. A complete proof for the lower bound in (1) was later given by Mazzuocolo [14].

In Section 3, we obtain the following lower bound for  $m(r, k)$ :

$$(2) \quad m(r, k) \geq 1 - \prod_{i=1}^k \frac{(r^2 - 3r + 1)i - (r^2 - 5r + 3)}{(r^2 - 2r - 1)i - (r^2 - 4r - 1)}$$

Table 1: Approximate values of the two lower bounds for  $m(r, k)$  presented in formulations (2) and (3) and in Theorem 3.1, shown respectively in the left and the right sides of the inequality in the table. In particular, the one for  $m(r, 2r - 1)$  is presented in bold

	$r = 3$	$r = 4$	$r = 5$
$m(r, 2) \geq$	$0.6 \geq 0.5556$	$0.45 \geq 0.4375$	$0.3714 \geq 0.36$
$m(r, 3) \geq$	$0.7714 \geq 0.7037$	$0.6 \geq 0.5781$	$0.5081 \geq 0.488$
$m(r, 4) \geq$	$0.873 \geq 0.8025$	$0.7103 \geq 0.6836$	$0.6157 \geq 0.5904$
$m(r, 5) \geq$	<b><math>0.9307 \geq 0.8683</math></b>	$0.7908 \geq 0.7627$	$0.7 \geq 0.6723$
$m(r, 6) \geq$	$0.9627 \geq 0.9122$	$0.8492 \geq 0.822$	$0.766 \geq 0.7379$
$m(r, 7) \geq$	$0.9801 \geq 0.9415$	<b><math>0.8914 \geq 0.8665</math></b>	$0.8176 \geq 0.7903$
$m(r, 8) \geq$	$0.9895 \geq 0.961$	$0.9219 \geq 0.8999$	$0.8578 \geq 0.8322$
$m(r, 9) \geq$	$0.9945 \geq 0.974$	$0.9439 \geq 0.9249$	<b><math>0.8892 \geq 0.8658</math></b>

for any even  $r \geq 4$  and any  $k \geq 1$ , and

$$(3) \quad m(r, k) \geq 1 - \prod_{i=1}^k \frac{(r^2 - 2r - 1)i - (r^2 - 4r + 1)}{(r^2 - r - 2)i - (r^2 - 3r - 2)}$$

for any odd  $r \geq 3$  and any  $k \geq 1$ .

For instance of small  $r$  and  $k$ , the values of this lower bound are listed in Table 1.

In particular, if we take  $r = 3$ , this lower bound coincides with the established bound in (1); if we take  $k = 2r - 1$ , it gives a partial result to the generalized Berge conjecture, and the approximate value of  $m(r, 2r - 1)$  is shown in bold in Table 1.

Now we are going to show that the lower bounds in (2) and (3) is always better than  $1 - e^{-2}$ . Let  $f(x)$  be a function defined by

$$f(x) = \frac{(r^2 - 3r + 1)x - (r^2 - 5r + 3)}{(r^2 - 2r - 1)x - (r^2 - 4r - 1)}.$$

We can calculate that  $f(1) = \frac{r-1}{r}$  and the derivative

$$f'(x) = \frac{-2}{[(r^2 - 2r - 1)x - (r^2 - 4r - 1)]^2} < 0.$$

Hence,  $f(i) \leq \frac{r-1}{r}$  for each  $i \geq 1$ . So when we take  $k = 2r - 1$ , the lower bound in (2) is greater than

$$1 - \left(\frac{r-1}{r}\right)^{2r-1} > 1 - e^{-2},$$

where the last inequality is given by Corollary 3.2. Similarly, when  $k = 2r - 1$ , we can deduce that the lower bound in (3) is greater than  $1 - e^{-2}$  as well.

### 1.2. Edge-colorings and overfull graphs

A graph  $G$  is  $k$ -overfull if  $|V(G)|$  is odd,  $\Delta(G) \leq k$  and  $\frac{|E(G)|}{\lfloor \frac{1}{2}|V(G)| \rfloor} > k$ . It is easy to see that  $G$  is  $k$ -overfull if and only if  $2|E(G)| > k(|V(G)| - 1)$ . Furthermore, the  $k$ -deficiency of  $G$  is  $k|V(G)| - 2|E(G)|$ , and it is denoted by  $s_k(G)$ .

A  $k$ -edge-coloring of  $G$  is a mapping  $c : E(G) \rightarrow \{1, \dots, k\}$  such that adjacent edges are colored differently. The chromatic index  $\chi'(G)$  is the minimum number  $k$  such that  $G$  has a  $k$ -edge-coloring. Vizing [26] proved that  $\Delta(G) \leq \chi'(G) \leq \Delta(G) + \mu(G)$ , in particular if  $G$  is simple, then  $\chi'(G) \in \{\Delta(G), \Delta(G) + 1\}$ . We say that  $G$  is class 1 if  $\chi'(G) = \Delta(G)$ , and it is class 2 otherwise.

Clearly,  $\Delta(G)$  is a lower bound for the chromatic index of  $G$ . Overfull graphs are class 2 graphs for the trivial reason that they contain too many edges. In general we have that  $\chi'(G) \geq \max_{H \subseteq G} \lceil \frac{|E(H)|}{\lfloor \frac{1}{2}|V(H)| \rfloor} \rceil$ .

A graph  $G$  is critical with respect to  $\chi'(G)$ , if  $\chi'(G - e) < \chi'(G)$  for every  $e \in E(G)$ . For simple graphs we have the definition of a  $k$ -critical graph which says that a critical graph  $H$  is  $k$ -critical, if  $\Delta(H) = k$  and  $\chi'(H) = k + 1$ . Vizing [27] proved the classical result that a simple class 2 graph with maximum degree  $k$  contains a  $t$ -critical subgraph for every  $t \in \{2, \dots, k\}$ . These results are the motivation for the result of Section 4, which proves that a  $k$ -overfull graph contains a  $t$ -overfull subgraph for every  $t \in \{2, \dots, k\}$ .

A graph  $G$  is  $k$ -overfull-free, if it does not contain a  $k$ -overfull subgraph. Clearly, there are no 1-critical graphs and the 2-critical graphs are the odd circuits which are also the connected 2-overfull graphs. Hence we have: A graph is 2-overfull-free if and only if it is bipartite. We study  $k$ -overfull-free graphs in Section 4. If an  $r$ -regular graph  $G$  is class 1, then surely  $G$  is an  $r$ -graph and  $G$  is  $r$ -overfull-free. For  $i \in \{1, 2\}$  let  $G_i$  be an  $r_i$ -regular graph. We say that an  $r$ -graph  $G$  is decomposable into  $G_1$  and  $G_2$  if  $r = r_1 + r_2$ ,  $V(G_i) = V(G)$  and  $E(G) = E(G_1) \cup E(G_2)$ . We will characterize some decomposable  $r$ -graphs in terms of excluded overfull subgraphs.

## 2. The perfect matching polytope

Let  $G$  be a graph and  $w$  be a vector of  $\mathbb{R}^{E(G)}$ . The entry of  $w$  corresponding to an edge  $e$  is denoted by  $w(e)$ , and for  $A \subseteq E$ , we define  $w(A) = \sum_{e \in A} w(e)$ . The vector  $w$  is a *fractional 1-factor* if it satisfies

- (i)  $0 \leq w(e) \leq 1$  for every  $e \in E(G)$ , and
- (ii)  $w(\partial(\{v\})) = 1$  for every  $v \in V(G)$ , and
- (iii)  $w(\partial(S)) \geq 1$  for every  $S \subseteq V(G)$  with odd cardinality.

Let  $F(G)$  denote the set of all fractional 1-factors of a graph  $G$ . If  $M$  is a 1-factor, then its characteristic vector  $\chi^M$  is contained in  $F(G)$ . Furthermore, if  $w_1, \dots, w_n \in F(G)$ , then any convex combination  $\sum_{i=1}^n \alpha_i w_i$  (where  $\alpha_1, \dots, \alpha_n$  are nonnegative real numbers summing up to 1) also belongs to  $F(G)$ . It follows that  $F(G)$  contains the convex hull of all the vectors  $\chi^M$  where  $M$  is a 1-factor of  $G$ . The following theorem by Edmonds asserts that the converse inclusion also holds:

**Theorem 2.1** (Perfect Matching Polytope Theorem [3]). *For any graph  $G$ , the set  $F(G)$  coincides with the convex hull of the characteristic vectors of all 1-factors of  $G$ .*

Towards the generalized Berge-Fulkerson conjecture, Seymour [22] gave an alternative proof of the following theorem, which is a corollary of Edmonds's matching polytope theorem (see [22] for the details between these two theorems).

**Theorem 2.2** ([22]). *For any  $r$ -graph  $G$ , there is a positive integer  $p$  such that  $G$  has  $rp$  1-factors and each edge is contained in precisely  $p$  of them.*

We will use this theorem to deduce our first lower bound in the next section. Moreover, the following property on fractional 1-factors will play a crucial role in the proof for our second lower bound.

**Lemma 2.3** ([9]). *Let  $w$  be a fractional 1-factor of a graph  $G$  and  $c \in \mathbb{R}^{E(G)}$ . Then  $G$  has a 1-factor  $M$  such that  $c \cdot \chi^M \geq c \cdot w$ , where  $\cdot$  denotes the scalar product, and  $|M \cap C| = 1$  for each edge-cut  $C$  of odd cardinality and with  $w(C) = 1$ .*

The proof of this lemma was given in [9], where Theorem 2.1 is the main tool for the proof.

### 3. Lower bounds for $m(r, k)$

We are going to deduce a lower bound for the parameter  $m(r, k)$  by using Theorem 2.2 only.

**Theorem 3.1.**  $m(r, k) \geq 1 - \left(\frac{r-1}{r}\right)^k$  for every positive integers  $r$  and  $k$  with  $r \geq 3$ .

*Proof.* (induction on  $k$ .) Since every  $r$ -graph has a 1-factor, which covers a fraction  $\frac{1}{r}$  of the edges, the proof is trivial for  $k = 1$ . We proceed to the induction step. Let  $G$  be any  $r$ -graph and  $E = E(G)$ . By the induction hypothesis,  $G$  has  $k - 1$  many 1-factors  $M_1, \dots, M_{k-1}$  such that

$$(4) \quad \frac{|\bigcup_{i=1}^{k-1} M_i|}{|E|} \geq 1 - \left(\frac{r-1}{r}\right)^{k-1}.$$

Moreover, by Theorem 2.2, there exists a positive integer  $p$  such that  $G$  has  $rp$  1-factors  $F_1, \dots, F_{rp}$  and each edge is contained in precisely  $p$  of them. It follows that for every  $X \subseteq E$ , graph  $G$  has a 1-factor  $F$  among  $F_1, \dots, F_{rp}$  such that  $|F \cap X| \geq \frac{|X|}{r}$ . In particular, let  $X = E \setminus \bigcup_{i=1}^{k-1} M_i$  and consequently, take  $M_k = F$ . Thus,

$$(5) \quad |M_k \cap (E \setminus \bigcup_{i=1}^{k-1} M_i)| \geq \frac{|E \setminus \bigcup_{i=1}^{k-1} M_i|}{r},$$

that is,

$$(6) \quad \frac{|\bigcup_{i=1}^k M_i| - |\bigcup_{i=1}^{k-1} M_i|}{|E|} \geq \frac{1}{r} \left(1 - \frac{|\bigcup_{i=1}^{k-1} M_i|}{|E|}\right).$$

It follows that

$$(7) \quad \frac{|\bigcup_{i=1}^k M_i|}{|E|} \geq \left(1 - \frac{1}{r}\right) \frac{|\bigcup_{i=1}^{k-1} M_i|}{|E|} + \frac{1}{r} \geq 1 - \left(\frac{r-1}{r}\right)^k$$

where the last inequality follows by using the inequality (4). Therefore,  $m(r, k, G) \geq 1 - \left(\frac{r-1}{r}\right)^k$  and by the choice of  $G$ , we have  $m(r, k) \geq 1 - \left(\frac{r-1}{r}\right)^k$ .  $\square$

In particular, if we take  $k = 2r - 1$ , we can further deduce from this theorem a constant lower bound for  $m(r, 2r - 1)$ .

**Corollary 3.2.** *For every integer  $r \geq 3$ , we have  $m(r, 2r - 1) \geq 1 - e^{-2} \approx 0.8647$ .*

*Proof.* Let  $f(r)$  denote the function  $1 - \left(\frac{r-1}{r}\right)^{2r-1}$ . It is easy to see that  $f(r)$  is strictly monotonic decreasing with respect to  $r$ . Moreover,  $\lim_{r \rightarrow +\infty} f(r) = 1 - e^{-2}$ . It follows with Theorem 3.1 that  $m(r, 2r - 1) \geq f(r) \geq 1 - e^{-2}$ .  $\square$

We now prove the following theorem, which will be used to deduce a second lower bound for  $m(r, k)$ . An  $i$ -cut of a graph  $G$  is an edge cut of  $G$  of cardinality  $i$ . The proof of the theorem is conducted by induction. In the induction step, we apply Lemma 2.3 to a well-chosen fractional 1-factor, whose existence can be guaranteed by both inclusions of the induction hypothesis, one on the union of 1-factors and the other on  $i$ -cuts. The resulting 1-factor with its properties described in Lemma 2.3 and the 1-factors given by the induction hypothesis together complete the proof.

**Theorem 3.3.** *Let  $G$  be an  $r$ -graph, and  $V = V(G)$  and  $E = E(G)$ .*

- (a) *If  $r$  is even and  $r \geq 4$ , then for any positive integer  $k$ , graph  $G$  has  $k$  1-factors  $M_1, \dots, M_k$  such that*

$$\frac{|\bigcup_{i=1}^k M_i|}{|E|} \geq 1 - \prod_{i=1}^k \frac{(r^2 - 3r + 1)i - (r^2 - 5r + 3)}{(r^2 - 2r - 1)i - (r^2 - 4r - 1)}$$

and  $\sum_{i=1}^k \chi^{M_i}(C) \leq (r - 1)k + 2$  for each  $(r + 1)$ -cut  $C$ .

- (b) *If  $r$  is odd and  $r \geq 3$ , then for any positive integer  $k$ , graph  $G$  has  $k$  1-factors  $M_1, \dots, M_k$  such that*

$$\frac{|\bigcup_{i=1}^k M_i|}{|E|} \geq 1 - \prod_{i=1}^k \frac{(r^2 - 2r - 1)i - (r^2 - 4r + 1)}{(r^2 - r - 2)i - (r^2 - 3r - 2)},$$

$\sum_{i=1}^k \chi^{M_i}(C) = k$  for each  $r$ -cut  $C$  and  $\sum_{i=1}^k \chi^{M_i}(D) \leq rk + 2$  for each  $(r + 2)$ -cut  $D$ .

*Proof.* (induction on  $k$ ).

**Statement (a).** The statement holds for  $k = 1$ , since the required  $M_1$  can be an arbitrary 1-factor of  $G$ . Assume that  $k \geq 2$ . By the induction hypothesis,  $G$  has  $k - 1$  many 1-factors  $M_1, \dots, M_{k-1}$  such that

$$\frac{|\bigcup_{i=1}^{k-1} M_i|}{|E|} \geq 1 - \prod_{i=1}^{k-1} \frac{(r^2 - 3r + 1)i - (r^2 - 5r + 3)}{(r^2 - 2r - 1)i - (r^2 - 4r - 1)}$$

and

$$(8) \quad \sum_{i=1}^{k-1} \chi^{M_i}(C) \leq (r - 1)(k - 1) + 2$$

for each  $(r + 1)$ -cut  $C$ .



For  $e \in E$ , let  $n(e)$  denote the number of 1-factors among  $M_1, \dots, M_{k-1}$  which contain  $e$ , and define

$$w_k(e) = \frac{(r-2)k - (r-4) - n(e)}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)}.$$

We claim that  $w_k$  is a fractional 1-factor of  $G$ , that is,  $w_k \in F(G)$ . Since  $k \geq 2, r \geq 4$  and  $0 \leq n(e) \leq k - 1$ , we can deduce that  $\frac{1}{r+3} < w_k(e) < 1$ . Moreover, note that for every  $X \subseteq E$ , the equality  $\sum_{e \in X} n(e) = \sum_{i=1}^{k-1} \chi^{M_i}(X)$  always holds and so

$$(9) \quad w_k(X) = \sum_{e \in X} w_k(e) = \frac{[(r-2)k - (r-4)]|X| - \sum_{i=1}^{k-1} \chi^{M_i}(X)}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)}.$$

Thus for  $v \in V$ , since  $\sum_{i=1}^{k-1} \chi^{M_i}(\partial(\{v\})) = k - 1$ , we have  $w_k(\partial(\{v\})) = \frac{[(r-2)k - (r-4)]r - (k-1)}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)} = 1$ . Finally, let  $S \subseteq V$  with odd cardinality. Since  $G$  is an  $r$ -graph, we have  $|\partial(S)| \geq r$ . On the other hand, by recalling that  $w_k(e) > \frac{1}{r+3}$  for each edge  $e$ , we have  $w_k(\partial(S)) > 1$  provided by  $|\partial(S)| \geq r + 3$ . Hence, we may next assume that  $|\partial(S)| = r + 1$  by parity. Since in this case  $S$  is a  $(r + 1)$ -cut, the formula (8) implies  $\sum_{i=1}^{k-1} \chi^{M_i}(\partial(S)) \leq (r - 1)(k - 1) + 2$ , and thus with the help of the formula (9), we deduce  $w_k(\partial(S)) \geq \frac{[(r-2)k - (r-4)](r+1) - [(r-1)(k-1) + 2]}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)} = 1$ . This completes the proof of the claim.

By Lemma 2.3, the graph  $G$  has a 1-factor  $M_k$  such that

$$(1 - \chi^{\cup_{i=1}^{k-1} M_i}) \cdot \chi^{M_k} \geq (1 - \chi^{\cup_{i=1}^{k-1} M_i}) \cdot w_k.$$

Since the left side is just  $|\cup_{i=1}^k M_i| - |\cup_{i=1}^{k-1} M_i|$  and the right side equals to  $\frac{(r-2)k - (r-4)}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)} (|E| - |\cup_{i=1}^{k-1} M_i|)$ , it follows that

$$\begin{aligned} \left| \bigcup_{i=1}^k M_i \right| &\geq \frac{(r^2 - 3r + 1)k - (r^2 - 5r + 3)}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)} \left| \bigcup_{i=1}^{k-1} M_i \right| \\ &\quad + \frac{(r-2)k - (r-4)}{(r^2 - 2r - 1)k - (r^2 - 4r - 1)} |E|, \end{aligned}$$

which leads to

$$\frac{|\bigcup_{i=1}^k M_i|}{|E|} \geq 1 - \prod_{i=1}^k \frac{(r^2 - 3r + 1)i - (r^2 - 5r + 3)}{(r^2 - 2r - 1)i - (r^2 - 4r - 1)},$$

as desired.

Moreover, let  $C$  be an edge cut with cardinality  $r + 1$ . Clearly,  $\chi^{M_k}(C) \leq r + 1$ . Thus, if  $\sum_{i=1}^{k-1} \chi^{M_i}(C) \leq (r - 1)(k - 1)$  then  $\sum_{i=1}^k \chi^{M_i}(C) \leq (r - 1)k + 2$ , as desired. By the formula (8) and by parity, we may next assume that  $\sum_{i=1}^{k-1} \chi^{M_i}(C) = (r - 1)(k - 1) + 2$ . In this case, we calculate from the formula (9) that  $w_k(C) = 1$ . Thus  $\chi^{M_k}(C) = 1$  by Lemma 2.3, which yields  $\sum_{i=1}^k \chi^{M_i}(C) = (r - 1)k - r + 4 < (r - 1)k + 2$ , as desired. This completes the proof of Statement (a).

**Statement (b).** We follow a similar way to prove this statement as we did for Statement (a). Let  $w_1$  be a vector of  $\mathbb{R}^E$  defined by  $w_1(e) = \frac{1}{r}$  for  $e \in E$ . Clearly,  $w_1 \in F(G)$ . By Lemma 2.3,  $G$  has a 1-factor  $M_1$  such that  $\chi^{M_1}(C) = 1$  for each edge cut  $C$  with odd cardinality and with  $w_1(C) = 1$ , that is, for each  $r$ -cut  $C$ . Therefore, the statement is true for  $k = 1$ .

Assume  $k \geq 2$ . By the induction hypothesis,  $G$  has  $k - 1$  many 1-factors  $M_1, \dots, M_{k-1}$  such that

$$\frac{|\bigcup_{i=1}^{k-1} M_i|}{|E|} \geq 1 - \prod_{i=1}^{k-1} \frac{(r^2 - 2r - 1)i - (r^2 - 4r + 1)}{(r^2 - r - 2)i - (r^2 - 3r - 2)},$$

and for each  $r$ -cut  $C$

$$(10) \quad \sum_{i=1}^{k-1} \chi^{M_i}(C) = k - 1,$$

and for each  $(r + 2)$ -cut  $D$

$$(11) \quad \sum_{i=1}^{k-1} \chi^{M_i}(D) \leq r(k - 1) + 2.$$

For  $e \in E$ , let  $n(e)$  denote the number of 1-factors among  $M_1, \dots, M_{k-1}$  that contains  $e$ , and define

$$w_k(e) = \frac{(r - 1)k - (r - 3) - 2n(e)}{(r^2 - r - 2)k - (r^2 - 3r - 2)}.$$

We claim that  $w_k \in F(G)$ . Since  $k \geq 2, r \geq 3$  and  $0 \leq n(e) \leq k - 1$ , we can deduce that  $0 < \frac{1}{r+4} < w_k(e) < 1$ . Moreover, note that for every

$X \subseteq E$ , the equality  $\sum_{e \in X} n(e) = \sum_{i=1}^{k-1} \chi^{M_i}(X)$  always holds and so

$$(12) \quad w_k(X) = \frac{[(r-1)k - (r-3)]|X| - 2 \sum_{i=1}^{k-1} \chi^{M_i}(X)}{(r^2 - r - 2)k - (r^2 - 3r - 2)}.$$

Thus for  $v \in V$ , since  $\sum_{i=1}^{k-1} \chi^{M_i}(\partial(\{v\})) = k - 1$ , we have  $w_k(\partial(\{v\})) = \frac{[(r-1)k - (r-3)]r - 2(k-1)}{(r^2 - r - 2)k - (r^2 - 3r - 2)} = 1$ . Finally, let  $S \subseteq V$  with odd cardinality. Since  $G$  is an  $r$ -graph,  $|\partial(S)| \geq r$ . On the other hand, by recalling that  $w_k(e) > \frac{1}{r+4}$  for each edge  $e$ , we have  $w_k(\partial(S)) > 1$  provided by  $|\partial(S)| \geq r + 4$ . Hence, we may next assume that either  $|\partial(S)| = r$  or  $|\partial(S)| = r + 2$  by parity. In the former case, the formula (10) implies  $\sum_{i=1}^{k-1} \chi^{M_i}(\partial(S)) = k - 1$ , and thus we can calculate from the formula (12) that  $w_k(\partial(S)) = 1$ . In the latter case, the formula (11) implies  $\sum_{i=1}^{k-1} \chi^{M_i}(\partial(S)) \leq r(k - 1) + 2$  and similarly, we get  $w_k(\partial(S)) \geq \frac{[(r-1)k - (r-3)](r+2) - 2[r(k-1) + 2]}{(r^2 - r - 2)k - (r^2 - 3r - 2)} = 1$ . This proves the claim.

By Lemma 2.3, the graph  $G$  has a 1-factor  $M_k$  such that

$$(1 - \chi^{\cup_{i=1}^{k-1} M_i}) \cdot \chi^{M_k} \geq (1 - \chi^{\cup_{i=1}^{k-1} M_i}) \cdot w_k.$$

Since the left side is just  $|\cup_{i=1}^k M_i| - |\cup_{i=1}^{k-1} M_i|$  and the right side equals to  $\frac{(r-1)k - (r-3)}{(r^2 - r - 2)k - (r^2 - 3r - 2)} (|E| - |\cup_{i=1}^{k-1} M_i|)$ , it follows that

$$\begin{aligned} |\cup_{i=1}^k M_i| &\geq \frac{(r-1)k - (r-3)}{(r^2 - r - 2)k - (r^2 - 3r - 2)} |E| \\ &\quad + \frac{(r^2 - 2r - 1)k - (r^2 - 4r + 1)}{(r^2 - r - 2)k - (r^2 - 3r - 2)} |\cup_{i=1}^{k-1} M_i|, \end{aligned}$$

which leads to

$$\frac{|\cup_{i=1}^k M_i|}{|E|} \geq 1 - \prod_{i=1}^k \frac{(r^2 - 2r - 1)i - (r^2 - 4r + 1)}{(r^2 - r - 2)i - (r^2 - 3r - 2)},$$

as desired.

Moreover, let  $C$  be an edge cut of cardinality  $r$ . The formula (10) implies  $\sum_{i=1}^{k-1} \chi^{M_i}(C) = k - 1$ . On the other hand, We can calculate from the formula (12) that  $w_k(C) = 1$ , and thus  $\chi^{M_k}(C) = 1$  by Lemma 2.3. Therefore,  $\sum_{i=1}^k \chi^{M_i}(C) = k$ , as desired.

We next let  $D$  be an edge cut of cardinality  $r+2$ . Clearly,  $\chi^{M_k}(D) \leq r+2$ . Thus if  $\sum_{i=1}^{k-1} \chi^{M_i}(D) \leq r(k-1)$ , then  $\sum_{i=1}^k \chi^{M_i}(D) \leq rk+2$ , as desired. By

the formula (11) and by parity, we may next assume that  $\sum_{i=1}^{k-1} \chi^{M_i}(D) = r(k-1) + 2$ . By calculation we can get  $w_k(D) = 1$ , and thus  $\chi^{M_k}(D) = 1$  by Lemma 2.3, which also yields  $\sum_{i=1}^k \chi^{M_i}(D) \leq rk + 2$ . This completes the proof of this theorem.  $\square$

The following corollary is a direct consequence of this theorem.

**Corollary 3.4.** *Let  $r$  and  $k$  be two positive integers with  $r \geq 3$ . If  $r$  is even then*

$$m(r, k) \geq 1 - \prod_{i=1}^k \frac{(r^2 - 3r + 1)i - (r^2 - 5r + 3)}{(r^2 - 2r - 1)i - (r^2 - 4r - 1)},$$

and if  $r$  is odd then

$$m(r, k) \geq 1 - \prod_{i=1}^k \frac{(r^2 - 2r - 1)i - (r^2 - 4r + 1)}{(r^2 - r - 2)i - (r^2 - 3r - 2)}.$$

### 4. Overfull graphs

We start with the following observations.

**Observation 4.1.** *Let  $r \geq 2$  be an integer. Every  $r$ -overfull-free  $r$ -regular graph is an  $r$ -graph.*

**Observation 4.2.** *A graph  $G$  is 2-overfull-free if and only if  $G$  is bipartite.*

If  $G$  is a graph, then  $o(G)$  denotes the number of odd components of  $G$ . We will use the following theorem of Tutte.

**Theorem 4.3** ([25]). *A graph  $G$  has a 1-factor if and only if  $o(G - S) \leq |S|$  for all  $S \subseteq V(G)$ .*

**Proposition 4.4.** *Let  $k \geq 2$ . If  $G$  is a  $k$ -overfull graph, then  $0 \leq s_k(G) \leq k - 2$  and  $k < \frac{|V(G)|}{|V(G)|-1} \Delta(G)$ .*

*Proof.* Since  $G$  is  $k$ -overfull,  $2|E(G)| > k(|V(G)| - 1)$  and  $|V(G)|$  is odd. Notice that the two sides of this inequality has the same parity. So,  $2|E(G)| \geq k(|V(G)| - 1) + 2$ , that is,  $s_k(G) \leq k - 2$ . Moreover, by Handshaking Lemma,  $2|E(G)| = \sum_{v \in V(G)} d_G(v) \leq \Delta(G)|V(G)|$ . Combining it with the fact that  $2|E(G)| > k(|V(G)| - 1)$ , we deduce that  $k < \frac{|V(G)|}{|V(G)|-1} \Delta(G)$ .  $\square$

**Theorem 4.5.** *Let  $k \geq 3$  be an integer. Every  $k$ -overfull graph contains a  $(k - 1)$ -overfull subgraph.*

*Proof.* Suppose to the contrary that the statement is not true. Then there is a  $k$ -overfull graph  $G$  which does not contain a  $(k - 1)$ -overfull subgraph. We may assume that  $|V(G)|$  is minimum and according to this property  $|E(G)|$  is minimum as well.

It holds  $\Delta(G) = k$ , since for otherwise  $G$  is  $(k - 1)$ -overfull as well, a contradiction.

**Claim 4.5.1.** *Let  $H$  be a proper subgraph of  $G$ . If  $H$  is of odd order, then  $s_k(H) \geq k$ .*

By the minimality of  $G$ , the subgraph  $H$  is not  $k$ -overfull. Note that  $H$  is of odd order and has maximum degree at most  $k$ . Thus,  $\frac{2|E(H)|}{|V(H)|-1} \leq k$  and therefore,  $s_k(H) = k|V(H)| - 2|E(H)| \geq k|V(H)| - k|V(H)| + k = k$ .

**Claim 4.5.2.**  *$s_k(G) = k - 2$ , that is,  $2|E(G)| = k(|V(G)| - 1) + 2$ .*

Choose any edge  $e$  of  $G$ . By Claim 4.5.1,  $s_k(G - e) \geq k$ . It follows that  $s_k(G) = s_k(G - e) - 2 \geq k - 2$ . On the other hand,  $s_k(G) \leq k - 2$  by Proposition 4.4. Therefore,  $s_k(G) = k - 2$ .

**Claim 4.5.3.** *For every  $z \in V(G)$ , the graph  $G - z$  has a 1-factor.*

Let  $G' = G - z$ . Then  $s_k(G') = s_k(G) + d_G(z) - (k - d_G(z)) = k - 2 + 2d_G(z) - k = 2d_G(z) - 2 \leq 2k - 2$ .

Suppose to the contrary that  $G'$  does not have a 1-factor. By Theorem 4.3, there is  $S \subseteq V(G')$  such that  $o(G' - S) > |S|$ . Let  $O_1, \dots, O_n$  be the odd components of  $G' - S$ . Since  $G - z$  has even order,  $n$  and  $|S|$  have the same parity. Thus,  $n \geq |S| + 2$ .

With Claim 4.5.1 it follows that  $s_k(O_i) \geq k$ . Hence,  $|\partial_G(S)| \geq \sum_{i=1}^n s_k(O_i) - s_k(G') \geq nk - 2k + 2 = k(n - 2) + 2 \geq k|S| + 2$ , a contradiction.

We now deduce the statement. If  $G$  is regular, then  $s_k(G) = 0 = k - 2$ . So  $k = 2$ , a contradiction. Hence, there is  $z \in V(G)$  such that  $d_G(z) < k$ . By Claim 4.5.3,  $G - z$  has a 1-factor  $F$ . Let  $G' = G - F$ . Then  $\Delta(G') = k - 1$ ,  $|E(G')| = |E(G)| - \frac{1}{2}(|V(G)| - 1)$ , and  $|V(G')| = |V(G)|$ . Hence,  $\frac{2|E(G')|}{|V(G')|-1} = \frac{2|E(G)| - (|V(G)| - 1)}{|V(G)| - 1} = \frac{2|E(G)|}{|V(G)| - 1} - 1 > k - 1$ . This contradicts our assumption that  $G$  does not contain a  $(k - 1)$ -overfull subgraph and the statement is proved.  $\square$

The following corollaries are immediate consequences of Theorem 4.5. The first one has the same flavor as a result of Vizing [27] that a class 2 graph with chromatic index  $k$  contains critical subgraphs with chromatic index  $t$  for every  $t \in \{2, \dots, k\}$ .

**Corollary 4.6.** *Let  $k \geq 2$  be an integer and  $G$  be a graph. If  $G$  is  $k$ -overfull, then  $G$  contains a  $t$ -overfull subgraph for every  $t \in \{2, \dots, k\}$ .*

**Corollary 4.7.** *Let  $k \geq 2$  be an integer and  $G$  be a graph. If  $G$  is  $k$ -overfull-free, then  $G$  is  $t$ -overfull-free for every  $t \geq k$ .*

**Corollary 4.8.** *Let  $2 \leq k \leq r$  be integers and  $G$  be an  $r$ -regular graph. If  $G$  is  $k$ -overfull-free, then  $G$  is an  $r$ -graph and  $G$  can be decomposed into a  $(r - k)$ -graph that is class 1 and a  $k$ -graph.*

*Proof.* By Corollary 4.7,  $G$  is  $r$ -overfull-free and further, by Observation 4.1,  $G$  is an  $r$ -graph. Let  $F_1$  be a 1-factor of  $G$ . Consider  $G - F_1$ . If  $k = r$ , then we are done. Hence, we may assume  $k \leq r - 1$ . Similarly, we can deduce that  $G - F_1$  is an  $(r - 1)$ -graph having a 1-factor  $F_2$ . Continue as above till  $G' = G - \bigcup_{i=1}^{r-k} F_i$ . Then  $G'$  and  $G'' = (V(G), \bigcup_{i=1}^{r-k} F_i)$  is the desired decomposition.  $\square$

Corollary 4.8 gives a sufficient condition for an  $r$ -graph decomposable into a  $r_1$ -graph and a  $r_2$ -graph for some  $r_1$  and  $r_2$ . It also shows that for any  $t$ -overfull-free  $r$ -graph with  $2 \leq t \leq r$ , we can obtain a better lower bound of  $m(r, k, G)$  than the one of  $m(r, k)$ . More precisely, for  $k \leq r - t$ , take  $k$  pairwise disjoint 1-factors of the class 1 graph from the decomposition by Corollary 4.8, which gives  $m(r, k, G) = \frac{k}{r}$ . For  $k > r - t$ , applying Theorem 3.3 to the  $t$ -graph from the decomposition by Corollary 4.8 gives  $k$  1-factors, which together with any  $r - t$  many pairwise disjoint 1-factors of the class 1 graph from the decomposition leads to a better lower bound for  $(m, k, G)$ .

Moreover, Corollary 4.8 confirms the following classical result of König [11].

**Theorem 4.9.** *Let  $k \geq 0$  be an integer. Every  $k$ -regular bipartite graph is class 1.*

*Proof.* For  $k \in \{0, 1\}$ , the proof is trivial. For  $k \geq 2$ , let  $G$  be a  $k$ -regular bipartite graph. By Observation 4.2,  $G$  is 2-overfull-free. By Corollary 4.8,  $G$  is decomposable into a class 1 subgraph and a 1-factor. Thus,  $G$  is class 1.  $\square$

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