

# Rank of incidence matrix with applications to digraph reconstruction

AYMEN BEN AMIRA AND JAMEL DAMMAK

The incidence matrix  $W_{t\ k}$  is defined as follow: Let  $V$  be a finite set, with  $v$  elements. Given non-negative integers  $t, k$ ,  $W_{t\ k}$  is the  $\binom{v}{t}$  by  $\binom{v}{k}$  matrix of 0's and 1's, the rows of which are indexed by the  $t$ -element subsets  $T$  of  $V$ , the columns are indexed by the  $k$ -element subsets  $K$  of  $V$ , and where the entry  $W_{t\ k}(T, K)$  is 1 if  $T \subseteq K$  and is 0 otherwise.

R.M. Wilson proved that for  $t \leq \min(k, v - k)$ , the rank of  $W_{t\ k}$  modulo a prime  $p$  is  $\sum_{i=0}^t \binom{v}{i} - \binom{v}{i-1}$  where  $p$  does not divide the binomial coefficient  $\binom{k-i}{t-i}$ .

In this paper, we begin by giving an analytic expression of the rank of the matrix  $W_{t\ k}$  when  $t = t_0 + t_1p + t_2p^2$ , with  $t_0, t_1, t_2 \in [0, p - 1]$  and we characterize values of  $t$  and  $k$  such that  $\dim \text{Ker}({}^tW_{t\ k}) \in \{0, 1\}$ . Next, using this result we generalize a result in the  $(\leq 6)$ -reconstruction of digraphs due to G. Lopez.

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## 1. Introduction

We consider the matrix  $W_{t\ k}$  defined as follows: Let  $V$  be a finite set, with  $v$  elements. Given non-negative integers  $t \leq k$ , let  $W_{t\ k}$  be the  $\binom{v}{t}$  by  $\binom{v}{k}$  matrix of 0's and 1's, the rows of which are indexed by the  $t$ -element subsets  $T$  of  $V$ , the columns are indexed by the  $k$ -element subsets  $K$  of  $V$ , and where the entry  $W_{t\ k}(T, K)$  is 1 if  $T \subseteq K$  and is 0 otherwise. The matrix transpose of  $W_{t\ k}$  is denoted  ${}^tW_{t\ k}$ . Theorem 1.1, due to Gottlieb [8], shows the rank over the field  $\mathbb{Q}$  of  $W_{t\ k}$  is  $\binom{v}{t}$ . On the other hand  $\text{rank}_p W_{t\ k}$  over the field  $\mathbb{Z}/p\mathbb{Z}$ , is given by Theorem 1.2 below, due to Wilson [17].

**Theorem 1.1.** (D.H. Gottlieb [8]) For  $t \leq \min(k, v - k)$ , the rank of  $W_{t\ k}$  over the field  $\mathbb{Q}$  of rational numbers is  $\binom{v}{t}$  and thus  $\text{Ker}({}^tW_{t\ k}) = \{0\}$ .

**Theorem 1.2.** (R.M. Wilson [17]) For  $t \leq \min(k, v - k)$ , the rank of  $W_{t k}$  modulo a prime  $p$  is

$$\sum \binom{v}{i} - \binom{v}{i-1}$$

where the sum is extended over those indices  $i$ ,  $0 \leq i \leq t$ , such that  $p$  does not divide the binomial coefficient  $\binom{k-i}{t-i}$ . In the statement of the theorem,  $\binom{v}{-1}$  should be interpreted as zero.

Let  $k, p$  be positive integers, the decomposition of  $k = \sum_{i=0}^{k(p)} k_i p^i$  in the basis  $p$  is also denoted  $[k_0, k_1, \dots, k_{k(p)}]_p$  where  $k_{k(p)} \neq 0$  if and only if  $k \neq 0$  and  $0 \leq k_i < p$  for all  $0 \leq i \leq k(p)$ .

First, we give an analytic expression of the rank of the matrix  $W_{t k}$  when  $t = [t_0, t_1, t_2]_p$ .

**Theorem 1.3.** Let  $p$  be a prime,  $t \leq k$  positive integers.

We assume that  $t = [t_0, t_1, t_2]_p$  and  $k = [k_0, k_1, \dots, k_{k(p)}]_p$ .

1) If  $k_0 \leq t_0 - 1$ ,  $k_1 \leq t_1$  and  $k_2 \leq t_2$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.$$

2) If  $k_0 \geq t_0$ ,  $k_1 \leq t_1 - 1$  and  $k_2 \leq t_2$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1) p + k_0}.$$

3) If  $k_0 \leq t_0 - 1$ ,  $k_1 \geq t_1 + 1$  and  $k_2 \leq t_2 - 1$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0} + \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.$$

4) If  $k_0 \geq t_0$ ,  $k_1 \geq t_1$  and  $k_2 \leq t_2 - 1$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1) p + k_0} + \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1) p + k_0}.$$

5) If  $k_0 \leq t_0 - 1$ ,  $k_1 \leq t_1$  and  $k_2 \geq t_2 + 1$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=0}^{t_2} \sum_{i_1=k_1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.$$

6) If  $k_0 \geq t_0$ ,  $k_1 \leq t_1 - 1$  and  $k_2 \geq t_2 + 1$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=0}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1)p + k_0}.$$

7) If  $k_0 \leq t_0 - 1$ ,  $k_1 \geq t_1 + 1$  and  $k_2 \geq t_2$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0} + \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.$$

8) If  $k_0 \geq t_0$ ,  $k_1 \geq t_1$  and  $k_2 \geq t_2$ . Then  $\text{rank}_p(W_{t k}) =$

$$\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1)p + k_0} + \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1)p + k_0}.$$

As a consequence of Theorem 1.3, we have.

**Corollary 1.1.** *Let  $p$  be a prime number. Let  $v, t$  and  $k$  be non-negative integers.*

*We assume that we have:*

1) Assume  $t < p$

a) If  $k_0 \geq t$ . Then

$$\text{rank}_p(W_{t k}) = \binom{v}{t} \text{ and } \text{Ker}_p({}^t W_{t k}) = \{0\}.$$

b) If  $k_0 = 0$ . Then

$$\text{rank}_p(W_{t k}) = \binom{v}{t} - 1, \dim \text{Ker}_p({}^t W_{t k}) = 1, \text{ and } \{(1, 1, \dots, 1)\} \text{ is a basis of } \text{Ker}_p({}^t W_{t k}).$$

2) Assume  $t = t_0 + t_1 p$

a) If  $k_0 = t_0$  and  $k_1 \geq t_1$ . Then

$$\text{Ker}_p({}^t W_{t k}) = \{0\}.$$

b) If  $t = t_1 p$  and  $k_0 = k_1 = 0$ . Then

$$\dim \text{Ker}_p({}^t W_{t k}) = 1 \text{ and } \{(1, 1, \dots, 1)\} \text{ is a basis of } \text{Ker}_p({}^t W_{t k}).$$

3) Assume  $t = t_0 + t_1 p + t_2 p^2$

a) If  $k_0 = t_0$ ,  $k_1 = t_1$  and  $k_2 \geq t_2$ . Then

$$\text{Ker}_p({}^t W_{t k}) = \{0\}.$$

b) If  $t = t_2 p^2$  and  $k_0 = k_1 = k_2 = 0$ . Then

$\dim Ker_p({}^tW_{t k}) = 1$  and  $\{(1, 1, \dots, 1)\}$  is a basis of  $Ker_p({}^tW_{t k})$ .

A *directed graph* or simply *digraph*  $G$  consists of a finite and nonempty set  $V$  of vertices together with a prescribed collection  $E$  of ordered pairs of distinct vertices, called the set of the arcs of  $G$ . Such a digraph is denoted by  $(V(G), E(G))$  or simply  $(V, E)$ . Given a digraph  $G = (V, E)$  with each nonempty subset  $X$  of  $V$  associate the *subdigraph*  $(X, E \cap (X \times X))$  of  $G$  induced by  $X$  denoted by  $G_{\upharpoonright X}$ . Given a proper subset  $X$  of  $V$ ,  $G_{\upharpoonright V-X}$  is also denoted by  $G - X$ , and by  $G - v$  whenever  $X = \{v\}$ .

Let  $G = (V, E)$  be a digraph, for  $x \neq y \in V$ ,  $x \rightarrow_G y$  or  $y \leftarrow_G x$  means  $(x, y) \in E$  and  $(y, x) \notin E$ ,  $x \xrightarrow{\_G} y$  means  $(x, y) \in E$  and  $(y, x) \in E$ ,  $x \dots_G y$  means  $(x, y) \notin E$  and  $(y, x) \notin E$ . For  $X, Y \subseteq V$ ,  $X \rightarrow_G Y$  (or simply  $X \rightarrow Y$  or  $X < Y$  if there is no confusion) signifies that for every  $x \in X$  and  $y \in Y$ ,  $x \rightarrow_G y$ . For  $X, Y \subseteq V$ ,  $X \xrightarrow{\_G} Y$  and  $X \dots_G Y$  are defined in the same way. Given a digraph  $G = (V, E)$ , two distinct vertices  $x$  and  $y$  of  $G$  form an *oriented pair* or *directed pair* if either  $x \rightarrow_G y$  or  $x \leftarrow_G y$ . Otherwise,  $\{x, y\}$  is a *neutral pair*; it is *full* if  $x \xrightarrow{\_G} y$ , and *void* when  $x \dots_G y$ . A digraph  $T = (V, E)$  is a *tournament* whenever  $x \rightarrow_T y$  or  $y \rightarrow_T x$ , for all  $x \neq y \in V$ . A *total order* or a *chain* is a tournament  $T$  such that for  $x, y, z \in V(T)$ , if  $x \rightarrow_T y$  and  $y \rightarrow_T z$  then  $x \rightarrow_T z$ . Given a total order  $O = (V, E)$ , for  $x, y \in V$ ,  $x < y$  means  $x \rightarrow_O y$ , then  $O$  can be denoted by  $v_0 < v_1 \dots < v_{n-1}$  where  $n = |V|$ .

Given two digraphs  $G = (V, E)$  and  $G' = (V', E')$ , a bijection  $\sigma$  from  $V$  onto  $V'$  is an *isomorphism* from  $G$  onto  $G'$  provided that for any  $x, y \in V$ ,  $(x, y) \in E$  if and only if  $(\sigma(x), \sigma(y)) \in E'$ . Two digraphs are then isomorphic if there exists an isomorphism from one onto the other which is denoted by  $G \simeq G'$ .

Let  $G = (V, E)$  be a digraph. A digraph  $H$  *embeds* into a digraph  $G$  or  $H$  is *embeddable* in  $G$ , if  $H$  is isomorphic to a subdigraph of  $G$ . The digraph  $G^* = (V, E^*)$ , dual of  $G$ , is defined by  $(x, y) \in E^*$  if  $(y, x) \in E$  for all  $x \neq y \in V$ . A digraph is *self-dual* if it is isomorphic to its dual.

Two digraphs  $G$  and  $G'$  on the same vertex set  $V$  are *hereditarily isomorphic* if for all  $X \subseteq V$ ,  $G_{\upharpoonright X}$  and  $G'_{\upharpoonright X}$  are isomorphic.

Let  $k$  be a non-negative integer,  $G$  and  $G'$  are  $\{k\}$ -*hypomorphic* if for every  $k$ -element subset  $K$  of  $V$ , the induced subdigraphs  $G'_{\upharpoonright K}$  and  $G_{\upharpoonright K}$  are isomorphic. We say that  $G$  and  $G'$  are  $(\leq k)$ -*hypomorphic* if  $G$  and  $G'$  are  $\{h\}$ -hypomorphic for every integer  $h \leq k$ . Let  $k \leq |V|$  be an integer, the digraphs  $G$  and  $G'$  are  $\{-k\}$ -*hypomorphic* if they are  $\{|V| - k\}$ -hypomorphic. A digraph  $G$  is  $\{k\}$ -*reconstructible* (resp.  $\{-k\}$ -reconstructible) if any digraph  $\{k\}$ -hypomorphic (resp.  $\{-k\}$ -hypomorphic) to  $G$  is isomorphic to it.

A digraph  $G$  is  $(\leq k)$ -reconstructible if any digraph  $(\leq k)$ -hypomorphic to  $G$  is isomorphic to it.

In 1977 P. K. Stockmeyer [15] showed that the tournaments are not, in general,  $\{-1\}$ -reconstructible, invalidating the conjecture of Ulam [16] for digraphs. Then, M. Pouzet [2, 3] proposed the  $\{-k\}$ -reconstruction problem of digraphs. P. Ille [9], in 1988, established that a digraph with at least 11 vertices  $\{-5\}$ -reconstructible. G. Lopez and C. Rauzy [12, 13], in 1992, showed that a digraph with at least 10 vertices is  $\{-4\}$ -reconstructible. In 1972, G. Lopez [10, 11], proved that the digraphs are  $(\leq 6)$ -reconstructible.

The incidence matrix is used in many reconstruction problems. For example J. Dammak, G. Lopez, M. Pouzet and H. Si Kaddour, in 2009, have used this matrix in a hypomorphy up to complementation problems [6]. As well, A. Ben Amira, J. Dammak and H. Si Kaddour, in 2014, have used this matrix in many construction of graphs and tournaments problems [1]. In this paper we use the previous results of incidence matrix Theorem 1.3 to prove Theorem 1.5 which is a generalization of Theorem 1.4.

**Theorem 1.4.** ([10, 11]) *The digraphs are  $(\leq 6)$ -reconstructible.*

Using the incidence matrix, we give a version modulo a prime of Theorem 1.4. To introduce this version we should define some digraphs of cardinality 5 which are not self-dual.

$\alpha_5^+ = \{v_1, v_2, v_3, t_1, t_2\}, \{(v_1, v_2), (v_1, t_2), (v_2, t_2), (t_2, v_3), (v_3, v_1), (v_3, v_2), (v_3, t_1), (t_1, t_2), (t_2, t_1), (t_1, v_1), (t_1, v_2)\}$ ,  $\beta_5^+ = \{v_1, v_2, v_3, t_1, t_2\}, \{(v_1, v_2), (v_1, t_2), (v_2, t_2), (t_2, v_3), (v_3, v_1), (v_3, v_2), (v_3, t_1), (t_1, v_1), (t_1, v_2)\}$ ,  $\gamma_5^+ = \{v_1, t_1, t_2, t_3, t_4\}, \{(v_1, t_2), (v_1, t_3), (t_2, t_3), (t_3, t_4), (t_4, 1), (t_4, t_1), (t_1, t_3), (t_3, t_1), (t_1, v_1)\}$ ,  $\alpha_5^- = (\alpha_5^+)^*$ ,  $\beta_5^- = (\beta_5^+)^*$  and  $\gamma_5^- = (\gamma_5^+)^*$ . Obviously,  $\alpha_5^+, \beta_5^+$  and  $\gamma_5^+$  are not self-dual.

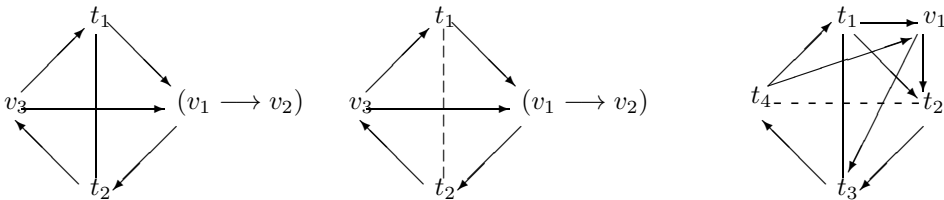


Figure 1:  $\alpha_5^+, \beta_5^+$  and  $\gamma_5^+$ .

We set  $\beta_6^+$  the tournament defined in the set of vertices  $V = \{v_0, v_1, v_2, v_3, v_4, v_5\}$  as follow,  $v_0 < v_1 < v_2, v_3 < v_4, v_5 \longrightarrow \{v_0, v_1, v_2\} \longrightarrow \{v_3, v_4\} \longrightarrow v_5$ .

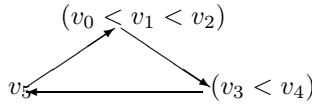


Figure 2:  $\beta_6^+$ .

According to these digraphs and for a digraph  $G = (V, E)$ , we denote the following sets and their cardinals, that will be used in the hypothesis of Theorem 1.5.

$$\begin{aligned} A_5^+(G) &:= \{X \subset V : G_{\uparrow X} \simeq \alpha_5^+\}, & A_5^-(G) &:= \{X \subset V : G_{\uparrow X} \simeq \alpha_5^-\}, \\ B_5^+(G) &:= \{X \subset V : G_{\uparrow X} \simeq \beta_5^+\}, & B_5^-(G) &:= \{X \subset V : G_{\uparrow X} \simeq \beta_5^-\}, \\ C_5^+(G) &:= \{X \subset V : G_{\uparrow X} \simeq \gamma_5^+\}, & C_5^-(G) &:= \{X \subset V : G_{\uparrow X} \simeq \gamma_5^-\}, \\ a_5^+(G) &:= |A_5^+(G)|, & a_5^-(G) &:= |A_5^-(G)|, & b_5^+(G) &:= |B_5^+(G)|, \\ b_5^-(G) &:= |B_5^-(G)|, & c_5^+(G) &:= |C_5^+(G)| & \text{and } c_5^-(G) &:= |C_5^-(G)|. \\ A_6^+(G) &:= \{X \subset V : G_{\uparrow X} \simeq \beta_6^+\}, & a_6^+(G) &:= |A_6^+(G)|. \end{aligned}$$

**Theorem 1.5.** *Let  $G, G'$  be two  $\{4\}$ -hypomorphic digraphs on the same set  $V$  of  $v$  vertices. Let  $p$  be a prime number and  $k = [k_0, k_1, \dots]_p$  be an integer;  $6 \leq k \leq v - 6$ .*

*If one of the following conditions is satisfied,*

- 1)  $a_5^+(G_{\uparrow K}) = a_5^+(G'_{\uparrow K}), b_5^+(G_{\uparrow K}) = b_5^+(G'_{\uparrow K}), c_5^+(G_{\uparrow K}) = c_5^+(G'_{\uparrow K})$  and  $a_6^+(G_{\uparrow K}) = a_6^+(G'_{\uparrow K})$ , for all  $k$ -elements subset  $K$  of  $V$ .
- 2)  $a_5^+(G_{\uparrow K}) \equiv a_5^+(G'_{\uparrow K}) \pmod{p}, b_5^+(G_{\uparrow K}) \equiv b_5^+(G'_{\uparrow K}) \pmod{p}, c_5^+(G_{\uparrow K}) \equiv c_5^+(G'_{\uparrow K}) \pmod{p}$  and  $a_6^+(G_{\uparrow K}) \equiv a_6^+(G'_{\uparrow K}) \pmod{p}$ , for all  $k$ -elements subset  $K$  of  $V$ ,  $p \geq 7$  and  $(k_0 \geq 6 \text{ or } k_0 = 0)$ .

*Then  $G$  and  $G'$  are hereditarily isomorphic.*

## 2. Rank of the matrix $W_{t \ k}$ and kernel of ${}^tW_{t \ k}$

The notation  $a \mid b$  (resp.  $a \nmid b$ ) means  $a$  divides  $b$  (resp.  $a$  does not divide  $b$ ).

**Theorem 2.1.** *(Lucas's Theorem [7]) Let  $p$  be a prime number,  $t, k$  be positive integers,  $t \leq k$ ,  $t = [t_0, t_1, \dots, t_{t(p)}]_p$  and  $k = [k_0, k_1, \dots, k_{k(p)}]_p$ . Then*

$$\binom{k}{t} = \prod_{i=0}^{t(p)} \binom{k_i}{t_i} \pmod{p}, \text{ where } \binom{k_i}{t_i} = 0 \text{ if } t_i > k_i.$$

As a consequence of Theorem 2.1, we have.

**Corollary 2.1.** *Let  $p$  be a prime number,  $t, k$  be positive integers,  $t \leq k$ ,  $t = [t_0, t_1, \dots, t_{t(p)}]_p$  and  $k = [k_0, k_1, \dots, k_{k(p)}]_p$ . Then*

$$p \mid \binom{k}{t} \text{ if and only if there is } i \in [0, t(p)] \text{ such that } t_i > k_i.$$

*Proof.* We assume that  $t_i \leq k_i < p$ , for all  $i \in [0, t(p)]$ , we will prove that  $p \nmid \binom{k}{t}$ .

We have  $t_i!(k_i - t_i)! \binom{k_i}{t_i} = k_i!$  and  $p \nmid k_i!$ , then  $p \nmid \binom{k_i}{t_i}$  for all  $i \in [0, t(p)]$ .

From Theorem 2.1,  $\binom{k}{t} = \prod_{i=0}^{t(p)} \binom{k_i}{t_i} \pmod{p}$ , then  $p \nmid \binom{k}{t}$ . Inversely, we assume that there exist  $i \in [0, t(p)]$ , such that  $t_i > k_i$ , so from Theorem 2.1  $\binom{k_i}{t_i} = 0$  and  $\binom{k}{t} = \prod_{i=0}^{t(p)} \binom{k_i}{t_i} \pmod{p}$ , then  $p \mid \binom{k}{t}$ . □

**Lemma 2.1.** *Let  $p$  be a prime number,  $t, k$  be positive integers,  $t \leq k$ ,  $t = [t_0, t_1, \dots, t_{t(p)}]_p$  and  $k = [k_0, k_1, \dots, k_{k(p)}]_p$ .*

*We have  $p \nmid \binom{k_i}{t_i}$   $t_i \leq k_i \leq p$  and  $\binom{k_i}{t_i} = 0$  if  $t_i > k_i$ .*

*Proof.* The proof follow immediately from Corollary 2.1. □

To prove Theorem 1.3, we use the following lemma:

**Lemma 2.2.** *Let  $p$  be a prime,  $t, k$  and  $i$  be positive integers,  $i \leq t \leq k$ ,  $t = [t_0, t_1, \dots, t_{t(p)}]_p$ ,  $k = [k_0, k_1, \dots, k_{k(p)}]_p$  and  $i = [i_0, i_1, \dots, i_{i(p)}]_p$ .*

*$p \nmid \binom{(k-i)_0}{(t-i)_0}$  if and only if*

1.  $k_0 < t_0$  and  $i_0 \in [k_0 + 1, t_0]$ .
2.  $k_0 \geq t_0$  and  $i_0 \notin [t_0 + 1, k_0]$ .

*Proof.*

1.  $k_0 < t_0$

(a) If  $i_0 \in [0, k_0]$  then  $(t - i)_0 = t_0 - i_0 > k_0 - i_0 = (k - i)_0$ . From Lemma 2.1, we have  $p \mid \binom{(k-i)_0}{(t-i)_0}$  then  $p \mid \binom{k-i}{t-i}$ .

(b) If  $i_0 \in [k_0 + 1, t_0]$  then  $(k - i)_0 = p + k_0 - i_0 \geq t_0 - i_0 = (t - i)_0$ . From Lemma 2.1, we have  $p \nmid \binom{(k-i)_0}{(t-i)_0}$ .

(c) If  $i_0 \in [t_0 + 1, p - 1]$  then  $(t - i)_0 = p + t_0 - i_0 > p + k_0 - i_0 = (k - i)_0$ . From Lemma 2.1, we have  $p \mid \binom{(k-i)_0}{(t-i)_0}$  then  $p \mid \binom{k-i}{t-i}$ .

2.  $k_0 \geq t_0$

(a) If  $i_0 \in [0, t_0]$  then  $(k - i)_0 = k_0 - i_0 \geq t_0 - i_0 = (t - i)_0$ . From Lemma 2.1, we have  $p \nmid \binom{(k-i)_0}{(t-i)_0}$ .

- (b) If  $i_0 \in [t_0 + 1, k_0]$  then  $(t - i)_0 = p + t_0 - i_0 > k_0 - i_0 = (k - i)_0$ .  
From Lemma 2.1, we have  $p \mid \binom{(k-i)_0}{(t-i)_0}$  then  $p \mid \binom{k-i}{t-i}$ .
- (c) If  $i_0 \in [k_0 + 1, p - 1]$  then  $(k - i)_0 = p + k_0 - i_0 \geq p + t_0 - i_0 = (t - i)_0$ .  
From Lemma 2.1, we have  $p \nmid \binom{(k-i)_0}{(t-i)_0}$ . □

**Lemma 2.3.** *Let  $p$  be a prime,  $t, k$  and  $i$  be positive integers,  $i \leq t \leq k$ ,  $t = [t_0, t_1, \dots, t_{t(p)}]_p$ ,  $k = [k_0, k_1, \dots, k_{k(p)}]_p$  and  $i = [i_0, i_1, \dots, i_{i(p)}]_p$ .*

*$p \nmid \binom{(k-i)_0}{(t-i)_0}$  and  $p \nmid \binom{(k-i)_1}{(t-i)_1}$  if and only if*

- 1)  $k_0 < t_0$  and  $i_0 \in [k_0 + 1, t_0]$ .
  - a)  $k_1 - 1 < t_1$  and  $i_1 \in [k_1, t_1]$ .
  - b)  $k_1 - 1 \geq t_1$  and ( $i_1 \in [0, t_1]$  or  $i_1 \in [k_1, p - 1]$ ).
- 2)  $k_0 \geq t_0$  and  $i_0 \in [0, t_0]$ .
  - a)  $k_1 < t_1$  and  $i_1 \in [k_1 + 1, t_1]$ .
  - b)  $k_1 \geq t_1$  and ( $i_1 \in [0, t_1]$  or  $i_1 \in [k_1 + 1, p - 1]$ ).
- 3)  $k_0 \geq t_0$  and  $i_0 \in [k_0 + 1, p - 1]$ .
  - a)  $k_1 - 1 < t_1 - 1$  and  $i_1 \in [k_1, t_1 - 1]$ .
  - b)  $k_1 - 1 \geq t_1 - 1$  and ( $i_1 \in [0, t_1 - 1]$  or  $i_1 \in [k_1, p - 1]$ ).

*Proof.*

- 1) As  $k_0 < i_0 \leq t_0$ , we have  $k - i = [k_0 - i_0 + p, \dots]_p$  and  $t - i = [t_0 - i_0, \dots]_p$ .  
In Lemma 2.2, we replace  $k_0$  by  $k_1 - 1$  and  $t_0$  by  $t_1$  we have
  - a) Assume  $k_1 - 1 < t_1$ .
    - i) If  $i_1 \in [0, k_1 - 1]$  then  $(t - i)_1 = t_1 - i_1 > k_1 - i_1 - 1 = (k - i)_1$ .  
From Lemma 2.1, we have  $p \mid \binom{(k-i)_1}{(t-i)_1}$ .
    - ii) If  $i_1 \in [k_1, t_1]$  then  $(k - i)_1 = p + k_1 - i_1 - 1 \geq t_1 - i_1 = (t - i)_1$ .  
From Lemma 2.1, we have  $p \nmid \binom{(k-i)_1}{(t-i)_1}$ .
    - iii) If  $i_1 \in [t_1 + 1, p - 1]$  then  $(t - i)_1 = p + t_1 - i_1 > p + k_1 - i_1 - 1 = (k - i)_1$ . From Lemma 2.1, we have  $p \mid \binom{(k-i)_1}{(t-i)_1}$ .
  - b) Assume  $k_1 - 1 \geq t_1$ .
    - i) If  $i_1 \in [0, t_1]$  then  $(k - i)_1 = k_1 - i_1 - 1 \geq t_1 - i_1 = (t - i)_1$ . From Lemma 2.1, we have  $p \nmid \binom{(k-i)_1}{(t-i)_1}$ .
    - ii) If  $i_1 \in [t_1 + 1, k_1 - 1]$  then  $(t - i)_1 = p + t_1 - i_1 > k_1 - i_1 - 1 = (k - i)_1$ .  
From Lemma 2.1, we have  $p \mid \binom{(k-i)_1}{(t-i)_1}$ .



iii) If  $i_1 \in [k_1, p-1]$  then  $(k-i)_1 = p+k_1-i_1-1 \geq p+t_1-i_1 = (t-i)_1$ .

From Lemma 2.1, we have  $p \nmid \binom{(k-i)_1}{(t-i)_1}$ .

- 2) As  $i_0 \leq t_0 \leq k_0$ , we have  $k-i = [k_0 - i_0, \dots]_p$  and  $t-i = [t_0 - i_0, \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_1$  and  $t_0$  by  $t_1$  we have the result.
- 3) As  $t_0 \leq k_0 < i_0$ , we have  $k-i = [k_0 - i_0 + p, \dots]_p$  and  $t-i = [t_0 - i_0 + p, \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_1 - 1$  and  $t_0$  by  $t_1 - 1$  we the result.  $\square$

**Lemma 2.4.** *Let  $p$  be a prime,  $t, k$  and  $i$  be positive integers,  $i \leq t \leq k$ ,  $t = [t_0, t_1, t_2]_p$ ,  $k = [k_0, k_1, \dots, k_{k(p)}]_p$  and  $i = [i_0, i_1, i_2]_p$ .*

*$p \nmid \binom{(k-i)}{(t-i)}$  if and only if*

- 1)  $k_0 < t_0, k_1 - 1 < t_1, i_0 \in [k_0 + 1, t_0]$  and  $i_1 \in [k_1, t_1]$ .
  - a)  $k_2 \leq t_2$  and  $i_2 \in [k_2, t_2]$ .
  - b)  $k_2 \geq t_2 + 1$  and  $i_2 \in [0, t_2]$ .
- 2)  $k_0 < t_0, k_1 - 1 \geq t_1, i_0 \in [k_0 + 1, t_0]$  and  $i_1 \in [0, t_1]$ .
  - a)  $k_2 \leq t_2 - 1$  and  $i_2 \in [k_2 + 1, t_2]$ .
  - b)  $k_2 \geq t_2$  and  $i_2 \in [0, t_2]$ .
- 3)  $k_0 < t_0, k_1 - 1 \geq t_1, i_0 \in [k_0 + 1, t_0]$  and  $i_1 \in [k_1, p - 1]$ .
  - a)  $k_2 \leq t_2 - 1$  and  $i_2 \in [k_2, t_2 - 1]$ .
  - b)  $k_2 \geq t_2$  and  $i_2 \in [0, t_2 - 1]$ .
- 4)  $k_0 \geq t_0, k_1 < t_1, i_0 \in [0, t_0]$  and  $i_1 \in [k_1 + 1, t_1]$ .
  - a)  $k_2 \leq t_2$  and  $i_2 \in [k_2, t_2]$ .
  - b)  $k_2 \geq t_2 + 1$  and  $i_2 \in [0, t_2]$ .
- 5)  $k_0 \geq t_0, k_1 \geq t_1, i_0 \in [0, t_0]$  and  $i_1 \in [0, t_1]$ .
  - a)  $k_2 \leq t_2 - 1$  and  $i_2 \in [k_2 + 1, t_2]$ .
  - b)  $k_2 \geq t_2$  and  $i_2 \in [0, t_2]$ .
- 6)  $k_0 \geq t_0, k_1 \geq t_1, i_0 \in [0, t_0]$  and  $i_1 \in [k_1 + 1, p - 1]$ .
  - a)  $k_2 \leq t_2 - 1$  and  $i_2 \in [k_2, t_2 - 1]$ .
  - b)  $k_2 \geq t_2$  and  $i_2 \in [0, t_2 - 1]$ .
- 7)  $k_0 \geq t_0, k_1 - 1 < t_1 - 1, i_0 \in [k_0 + 1, p - 1]$  and  $i_1 \in [k_1, t_1 - 1]$ .
  - a)  $k_2 \leq t_2$  and  $i_2 \in [k_2, t_2]$ .
  - b)  $k_2 \geq t_2 + 1$  and  $i_2 \in [0, t_2]$ .

- 8)  $k_0 \geq t_0$ ,  $k_1 - 1 \geq t_1 - 1$ ,  $i_0 \in [k_0 + 1, p - 1]$  and  $i_1 \in [0, t_1 - 1]$ .  
 a)  $k_2 \leq t_2 - 1$  and  $i_2 \in [k_2 + 1, t_2]$ .  
 b)  $k_2 \geq t_2$  and  $i_2 \in [0, t_2]$ .
- 9)  $k_0 \geq t_0$ ,  $k_1 - 1 \geq t_1 - 1$ ,  $i_0 \in [k_0 + 1, p - 1]$  and  $i_1 \in [k_1, p - 1]$ .  
 a)  $k_2 \leq t_2 - 1$  and  $i_2 \in [k_2, t_2 - 1]$ .  
 b)  $k_2 \geq t_2$  and  $i_2 \in [0, t_2 - 1]$ .

*Proof.* As  $i \leq t$ , we have  $i_2 \leq t_2$ .

- 1) As  $k_0 < i_0 \leq t_0$  and  $k_1 - 1 < i_1 \leq t_1$ , we have  $k - i = [k_0 - i_0 + p, k_1 - i_1 + p \dots]_p$  and  $t - i = [t_0 - i_0, t_1 - i_1 \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2 - 1$  and  $t_0$  by  $t_2$  we have
- a) Assume  $k_2 \leq t_2$ .
- i) If  $i_2 \in [0, k_2 - 1]$  then  $(t - i)_2 = t_2 - i_2 > k_2 - i_2 - 1 = (k - i)_2$ . From Lemma 2.1, we have  $p \mid \binom{(k-i)_2}{(t-i)_2}$ , then  $p \mid \binom{(k-i)}{(t-i)}$ .
- ii) If  $i_2 \in [k_2, t_2]$  then  $(k - i)_2 = p + k_2 - i_2 - 1 \geq t_2 - i_2 = (t - i)_2$ . From Lemma 2.1, we have  $p \nmid \binom{(k-i)_2}{(t-i)_2}$ , then  $p \nmid \binom{(k-i)}{(t-i)}$ .
- b) Assume  $k_2 \geq t_2 + 1$ .
- i) If  $i_2 \in [0, t_2]$  then  $(k - i)_2 = k_2 - i_2 - 1 \geq t_2 - i_2 = (t - i)_2$ . From Lemma 2.1, we have  $p \nmid \binom{(k-i)_2}{(t-i)_2}$ , then  $p \nmid \binom{(k-i)}{(t-i)}$ .
- 2) As  $k_0 < i_0 \leq t_0$ ,  $i_1 \leq t_1 \leq k_1 - 1$ , we have  $k - i = [k_0 - i_0 + p, k_1 - i_1 \dots]_p$  and  $t - i = [t_0 - i_0, t_1 - i_1 \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2$  and  $t_0$  by  $t_2$  we have the result.
- 3) As  $k_0 < i_0 \leq t_0$ ,  $t_1 \leq k_1 - 1 \leq i_1$ , we have  $k - i = [k_0 - i_0 + p, k_1 - i_1 + p \dots]_p$  and  $t - i = [t_0 - i_0, t_1 - i_1 + p \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2 - 1$  and  $t_0$  by  $t_2 - 1$  we have the result.
- 4) As  $i_0 \leq t_0 \leq k_0$ ,  $k_1 < i_1 \leq t_1$ , we have  $k - i = [k_0 - i_0, k_1 - i_1 + p \dots]_p$  and  $t - i = [t_0 - i_0, t_1 - i_1 \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2 - 1$  and  $t_0$  by  $t_2$  we have the result.
- 5) As  $i_0 \leq t_0 \leq k_0$ ,  $i_1 \leq t_1 \leq k_1$ , we have  $k - i = [k_0 - i_0, k_1 - i_1 \dots]_p$  and  $t - i = [t_0 - i_0, t_1 - i_1 \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2$  and  $t_0$  by  $t_2$  we have the result.
- 6) As  $i_0 \leq t_0 \leq k_0$ ,  $t_1 \leq k_1 < i_1$ , we have  $k - i = [k_0 - i_0, k_1 - i_1 + p \dots]_p$  and  $t - i = [t_0 - i_0, t_1 - i_1 + p \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2 - 1$  and  $t_0$  by  $t_2 - 1$  we have the result.
- 7) As  $t_0 \leq k_0 < i_0$ ,  $k_1 - 1 < i_1 \leq t_1 - 1$ , we have  $k - i = [k_0 - i_0 + p, k_1 - i_1 + p \dots]_p$  and  $t - i = [t_0 - i_0 + p, t_1 - i_1 \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2 - 1$  and  $t_0$  by  $t_2$  we have the result.

- 8) As  $t_0 \leq k_0 < i_0, i_1 \leq t_1 - 1 \leq k_1 - 1$ , we have  $k - i = [k_0 - i_0 + p, k_1 - i_1 \dots]_p$  and  $t - i = [t_0 - i_0 + p, t_1 - i_1 \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2$  and  $t_0$  by  $t_2$  we have the result.
- 9) As  $t_0 \leq k_0 < i_0, t_1 - 1 \leq k_1 - 1 < i_1, i_0 \in [k_0 + 1, p - 1], i_1 \in [k_1, p - 1]$ , we have  $k - i = [k_0 - i_0 + p, k_1 - i_1 + p \dots]_p$  and  $t - i = [t_0 - i_0 + p, t_1 - i_1 + p \dots]_p$ . In Lemma 2.2, we replace  $k_0$  by  $k_2 - 1$  and  $t_0$  by  $t_2 - 1$  we have the result.  $\square$

*Proof of Theorem 1.3.* Let  $p$  be a prime number,  $t, k$  be positive integers,  $t \leq \min(k, v - k)$ ,  $t = [t_0, t_1, t_2]_p$  and  $k = [k_0, k_1, \dots, k_k(p)]_p$ .

Obviously, we have  $\sum_{i=\alpha}^{\beta} \binom{v}{i} - \binom{v}{i-1} = \binom{v}{\beta} - \binom{v}{\alpha-1}$

1. We have  $k_0 \leq t_0 - 1, k_1 \leq t_1$  and  $k_2 \leq t_2$ , then from 1)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2, t_2], i_1 \in [k_1, t_1]$  and  $i_0 \in [k_0 + 1, t_0]$ . From Theorem 1.2,  $\text{rank}(W_{t k})$

$$= \sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1}^{t_1} \sum_{i_0=k_0+1}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1}$$

$$= \sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.$$

2. We have  $k_0 \geq t_0, k_1 \leq t_1 - 1$  and  $k_2 \leq t_2$ , then from 4)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2, t_2], i_1 \in [k_1 + 1, t_1]$  and  $i_0 \in [0, t_0]$ , from 7)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2, t_2], i_1 \in [k_1, t_1 - 1]$  and  $i_0 \in [k_0 + 1, p - 1]$ . From Theorem 1.2,  $\text{rank}(W_{t k})$

$$= \sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1+1}^{t_1} \sum_{i_0=0}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} +$$

$$\sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1}^{t_1-1} \sum_{i_0=k_0+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1}$$

$$= \sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} +$$

$$\sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1}^{t_1-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0}$$

$$= \sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1)p + p - 1} +$$

$$\sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + (i_1 - 1)p + p - 1} - \binom{v}{i_2 p^2 + (i_1 - 1)p + k_0}$$

$$= \sum_{i_2=k_2}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1 - 1)p + k_0}.$$

3. We have  $k_0 \leq t_0 - 1$ ,  $k_1 \geq t_1 + 1$  and  $k_2 \leq t_2 - 1$ , then from 2)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2 + 1, t_2]$ ,  $i_1 \in [0, t_1]$  and  $i_0 \in [k_0 + 1, t_0]$  and from 3)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2, t_2 - 1]$ ,  $i_1 \in [k_1, p - 1]$  and  $i_0 \in [k_0 + 1, t_0]$ . From Theorem 1.2,

$$\begin{aligned} & \text{rank}(W_{t k}) \\ &= \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \sum_{i_0=k_0+1}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\ & \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1}^{p-1} \sum_{i_0=k_0+1}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\ &= \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0} + \\ & \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}. \end{aligned}$$

4. We have  $k_0 \geq t_0$ ,  $k_1 \geq t_1$  and  $k_2 \leq t_2 - 1$ , then from 5)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2 + 1, t_2]$ ,  $i_1 \in [0, t_1]$  and  $i_0 \in [0, t_0]$ , from 6)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2, t_2 - 1]$ ,  $i_1 \in [k_1 + 1, p - 1]$  and  $i_0 \in [0, t_0]$ , from 8)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2 + 1, t_2]$ ,  $i_1 \in [0, t_1 - 1]$  and  $i_0 \in [k_0 + 1, p - 1]$  and from 9)a) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [k_2, t_2 - 1]$ ,  $i_1 \in [k_1, p - 1]$  and  $i_0 \in [k_0 + 1, p - 1]$ . From Theorem 1.2,  $\text{rank}(W_{t k})$

$$\begin{aligned} &= \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \sum_{i_0=0}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\ & \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \sum_{i_0=0}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\ &+ \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1-1} \sum_{i_0=k_0+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\ & \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1}^{p-1} \sum_{i_0=k_0+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\ &= \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\ & \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} \\ &+ \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} + \\ & \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} \end{aligned}$$

$$\begin{aligned}
 &= \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\
 &\quad \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} \\
 &+ \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\
 &\quad \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} \\
 &= \sum_{i_2=k_2}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1-1)p + k_0} + \\
 &\quad \sum_{i_2=k_2+1}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1-1)p + k_0}.
 \end{aligned}$$

5. We have  $k_0 \leq t_0 - 1$ ,  $k_1 \leq t_1$  and  $k_2 \geq t_2 + 1$ , then from 1)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2]$ ,  $i_1 \in [k_1, t_1]$  and  $i_0 \in [k_0 + 1, t_0]$ . From Theorem 1.2,  $rank(W_{t \ k})$

$$\begin{aligned}
 &= \sum_{i_2=0}^{t_2} \sum_{i_1=k_1}^{t_1} \sum_{i_0=k_0+1}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} = \\
 &\quad \sum_{i_2=0}^{t_2} \sum_{i_1=k_1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.
 \end{aligned}$$

6. We have  $k_0 \geq t_0$ ,  $k_1 \leq t_1 - 1$  and  $k_2 \geq t_2 + 1$ , then from 4)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2]$ ,  $i_1 \in [k_1 + 1, t_1]$  and  $i_0 \in [0, t_0]$ , from 7)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2]$ ,  $i_1 \in [k_1, t_1 - 1]$  and  $i_0 \in [k_0 + 1, p - 1]$ . From Theorem 1.2,  $rank(W_{t \ k})$

$$\begin{aligned}
 &= \sum_{i_2=0}^{t_2} \sum_{i_1=k_1+1}^{t_1} \sum_{i_0=0}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\
 &\quad \sum_{i_2=0}^{t_2} \sum_{i_1=k_1}^{t_1-1} \sum_{i_0=k_0+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\
 &= \sum_{i_2=0}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\
 &\quad \sum_{i_2=0}^{t_2} \sum_{i_1=k_1}^{t_1-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} \\
 &= \sum_{i_2=0}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1-1)p + p - 1} + \\
 &\quad \sum_{i_2=0}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + (i_1-1)p + p - 1} - \binom{v}{i_2 p^2 + (i_1-1)p + k_0} \\
 &= \sum_{i_2=0}^{t_2} \sum_{i_1=k_1+1}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1-1)p + k_0}.
 \end{aligned}$$

7. We have  $k_0 \leq t_0 - 1$ ,  $k_1 \geq t_1 + 1$  and  $k_2 \geq t_2$ , then from 2)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2]$ ,  $i_1 \in [0, t_1]$  and  $i_0 \in [k_0 + 1, t_0]$  and from 3)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2 - 1]$ ,  $i_1 \in [k_1, p - 1]$  and  $i_0 \in [k_0 + 1, t_0]$ . From Theorem 1.2,  $\text{rank}(W_{t k})$
- $$\begin{aligned}
&= \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \sum_{i_0=k_0+1}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\
&\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1}^{p-1} \sum_{i_0=k_0+1}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\
&= \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0} + \\
&\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p + k_0}.
\end{aligned}$$
8. We have  $k_0 \geq t_0$ ,  $k_1 \geq t_1$  and  $k_2 \geq t_2$ , then from 5)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2]$ ,  $i_1 \in [0, t_1]$  and  $i_0 \in [0, t_0]$ , from 6)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2 - 1]$ ,  $i_1 \in [k_1 + 1, p - 1]$  and  $i_0 \in [0, t_0]$ , from 8)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2]$ ,  $i_1 \in [0, t_1 - 1]$  and  $i_0 \in [k_0 + 1, p - 1]$  and from 9)b) of Lemma 2.4,  $p \nmid \binom{k-i}{t-i}$  if and only if  $i_2 \in [0, t_2 - 1]$ ,  $i_1 \in [k_1, p - 1]$  and  $i_0 \in [k_0 + 1, p - 1]$ . From Theorem 1.2,  $\text{rank}(W_{t k})$
- $$\begin{aligned}
&= \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \sum_{i_0=0}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\
&\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \sum_{i_0=0}^{t_0} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\
&+ \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1-1} \sum_{i_0=k_0+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} + \\
&\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1}^{p-1} \sum_{i_0=k_0+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + i_0} - \binom{v}{i_2 p^2 + i_1 p + i_0 - 1} \\
&= \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\
&\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} \\
&+ \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} + \\
&\sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0}
\end{aligned}$$

$$\begin{aligned}
 &= \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\
 &\quad \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} \\
 &\quad + \sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + i_1 p - 1} + \\
 &\quad \sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + p - 1} - \binom{v}{i_2 p^2 + i_1 p + k_0} \\
 &= \sum_{i_2=0}^{t_2-1} \sum_{i_1=k_1+1}^{p-1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1-1)p + k_0} + \\
 &\quad \sum_{i_2=0}^{t_2} \sum_{i_1=0}^{t_1} \binom{v}{i_2 p^2 + i_1 p + t_0} - \binom{v}{i_2 p^2 + (i_1-1)p + k_0} \quad \square
 \end{aligned}$$

### 3. Proof of Theorem 1.5

Let  $k \geq 1$  be an integer and  $G$  be a digraph.  $G$  is  $\{k\}$ -*monomorphic* if  $G_{\uparrow X} \cong G_{\uparrow Y}$  for all  $k$ -element subsets  $X$  and  $Y$  of  $V$ .

**Lemma 3.1.** ([14]) *Let  $v, t, k$  be three integers,  $t \leq \min(k, v - k)$  and  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices. If  $G$  and  $G'$  are  $\{k\}$ -hypomorphic (resp.  $G$  is  $\{k\}$ -monomorphic) then  $G$  and  $G'$  are  $\{t\}$ -hypomorphic (resp.  $G$  is  $\{t\}$ -monomorphic).*

Let  $G = (V, E)$  and  $G' = (V, E')$  be two digraph.  $G$  and  $G'$  are  $\{2\}$ -hypomorphic if and only if, for all  $x, y \in V$ , if  $x \xrightarrow{G} y$  (resp.  $x \dots_G y$ ), then  $x \xrightarrow{G'} y$  (resp.  $x \dots_{G'} y$ ) and if  $\{x, y\}$  is an oriented pair in  $G$  then  $\{x, y\}$  is oriented in  $G'$ .

From Lemma 3.1, follow immediately this result.

**Corollary 3.1.** *If  $G = (V, E)$  and  $G' = (V, E')$  are  $\{4\}$ -hypomorphic digraphs and  $|V| \geq 7$ , then  $G$  and  $G'$  are  $(\leq 4)$ -hypomorphic.*

A 3-cycle is a tournament isomorphic to  $C_3 = (\{v_0, v_1, v_2\}, \{(v_0, v_1), (v_1, v_2), (v_2, v_0)\})$ .

**Lemma 3.2.**

- 1) Every digraph  $G$  with at least 7 vertices contains a restriction of cardinality 5 not isomorphic to  $\alpha_5^+$ , nor  $\beta_5^+$ , nor  $\gamma_5^+$ .
- 2) Every digraph  $G$  with at least 9 vertices contains a restriction of cardinality 6 not isomorphic to  $\beta_6^+$ .

*Proof.*

- 1) By contradiction, we assume that  $G_{\uparrow X} \simeq \alpha_5^+$  (resp.  $G_{\uparrow X} \simeq \beta_5^+$  or  $G_{\uparrow X} \simeq \gamma_5^+$ ) for all 5-element subsets  $X$ , so  $G$  is  $\{5\}$ -monomorphic. From Lemma 3.1, we deduce  $G$  is  $(\leq 2)$ -monomorphic, then  $G$  is a tournament, or  $G$  is the full graph, or  $G$  is the empty graph. A contradiction.
- 2) By contradiction, we assume that  $G_{\uparrow X} \simeq \beta_6^+$  for all 6-element subsets  $X$ , so  $G$  is  $\{6\}$ -monomorphic. From Lemma 3.1, we deduce  $G$  is  $(\leq 3)$ -monomorphic. As  $\beta_6^+$  embeds at least a 3-cycle and a 3-chain. A contradiction. □

A *flag* is a digraph isomorphic to  $(\{v_0, v_1, v_2\}, \{(v_1, v_0), (v_0, v_2), (v_2, v_0)\})$  or to its dual.

A *full peak* is a digraph isomorphic to  $(\{v_0, v_1, v_2\}, \{(v_1, v_0), (v_2, v_0), (v_1, v_2), (v_2, v_1)\})$  or to its dual.

A *void peak* is a digraph isomorphic to  $(\{v_0, v_1, v_2\}, \{(v_1, v_0), (v_2, v_0)\})$  or to its dual.

A *3-consecutivity* is a digraph isomorphic to  $(\{v_0, v_1, v_2\}, \{(v_0, v_1), (v_1, v_2)\})$  or to  $(\{v_0, v_1, v_2\}, \{(v_0, v_1), (v_1, v_2), (v_2, v_0), (v_0, v_2)\})$ .

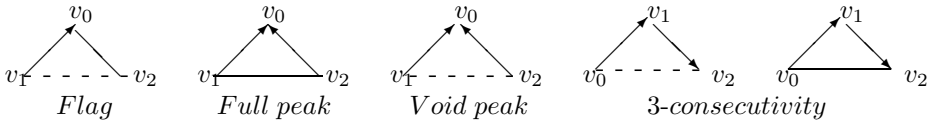


Figure 3: Flag, Full peak, Void peak, 3-consecutivity.

Let  $G = (V, E)$  and  $G' = (V, E')$  be two  $(\leq 2)$ -hypomorphic digraphs. Denote  $\mathfrak{D}_{G,G'}$  the binary relation on  $V$  such that: for  $x \in V$ ,  $x \mathfrak{D}_{G,G'} x$ ; and for  $x \neq y \in V$ ,  $x \mathfrak{D}_{G,G'} y$  if there exists a sequence  $x_0 = x, \dots, x_n = y$  of elements of  $V$  satisfying  $(x_i, x_{i+1}) \in E$  if and only if  $(x_i, x_{i+1}) \notin E'$ , for all  $i$ ,  $0 \leq i \leq n - 1$ . The relation  $\mathfrak{D}_{G,G'}$  is an equivalence relation called *the difference relation*, its classes are called *difference classes*. Let  $D_{G,G'}$  denote the set of difference classes. The  $x = x_0, x_1, \dots, x_n = y$  as above, are referred to as  $D_{G,G'}$ -paths.

**The families  $S_n$  and  $\mathcal{E}(S_n)$**  Let  $n \geq 1$  be an integer. The integers below are considered modulo  $2n$ . An *element of the family  $\mathcal{E}(S_n)$*  is a digraph, not a tournament that embeds neither peaks nor diamonds nor adjacent neutral pairs. The morphology of such a family is described by G. Lopez and C.



Rauzy [12]. First we introduce a *sub family*  $S_n$  of the family  $\mathcal{E}(S_n)$ . For  $n = 1$ , an element of the family  $S_1$  is a digraph on 2 vertices with a neutral pair. For  $n \geq 2$ , an element of the family  $S_n$  is a digraph isomorphic to  $g_n = (\{t_1, \dots, t_{2n}\}, E_n)$ , where  $g_n$  is defined by,  $\{t_i, t_j\}$  is a neutral pair of  $g_n$  if and only if  $j = i+n$  and  $t_i \rightarrow_{g_n} t_j$  if there exists  $k \in \{1, \dots, n-1\}$  such that  $j = i+k$ . The two neutral pairs  $\{t_i, t_{i+n}\}$  and  $\{t_{i+1}, t_{i+n+1}\}$  are called *successive* for every  $i \in \{1, 2, \dots, n-1\}$ . An element of the family  $\mathcal{E}(S_n)$  is a digraph isomorphic to the digraph  $G_n$ , where  $G_n$  is obtained from  $g_n$  by adding mutually disjoint sets  $s_1, s_2, \dots, s_{2n}$  (the set  $s_i$  is called a *sector* and it could be empty) to the vertex set  $\{t_1, t_2, \dots, t_{2n}\}$  of  $g_n$  satisfying the following conditions:

- (i)  $G_n[\{t_1, t_2, \dots, t_{2n}\}] = g_n$  and for all  $i \in \{1, 2, \dots, 2n\}$ , the subdigraph  $G_n[s_i \cup \{t_i, t_{i+1}\}]$  is a finite chain such that  $t_i \rightarrow_{G_n} s_i$  and  $s_i \rightarrow_{G_n} t_{i+1}$ .
- (ii) For  $i \in \{1, 2, \dots, 2n\}$ ,  $\{t_i, t_{i+n}\}$  are the only neutral pairs of  $G_n$ .
- (iii) For  $i, j \in \{1, 2, \dots, 2n\}$ ,  $s_i \rightarrow_{G_n} t_j$  if there exists  $k \in \{1, \dots, n\}$  such that  $j = i+k$ .
- (iv) For  $i, j \in \{1, 2, \dots, 2n\}$ ,  $s_i \rightarrow_{G_n} s_j$  if there exists  $k \in \{1, 2, \dots, n-2, n-1\}$  such that  $j = i+k$ .

A *diamond* is a tournament isomorphic to  $\delta^+ = (\{v_0, v_1, v_2, v_3\}, \{(v_0, v_1), (v_1, v_2), (v_2, v_0), (v_0, v_3), (v_1, v_3), (v_2, v_3)\})$ , called a *positive diamond*, or to its dual  $\delta^- = (\delta^+)^*$ , called *negative diamond*. A tournament  $T$  is called a *diamond-free tournament* if none of its subtournaments is a diamond.

**Lemma 3.3.**

1. Two  $(\leq 6)$ -hypomorphic digraphs are hereditarily isomorphic.
2. Let  $G$  and  $G'$  be two digraphs. If for all  $C \in D_{G,G'}$   $C$  is an interval of  $G$  and  $G'$ , and  $G'_{\uparrow C}, G_{\uparrow C}$  are hereditarily isomorphic, then  $G$  and  $G'$  are hereditarily isomorphic.

*Proof.* Let  $C \in D_{G,G'}$ .

1. Let  $G$  and  $G'$  be two  $(\leq 6)$ -hypomorphic digraphs. For all  $K \subseteq V$ ,  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  are  $(\leq 6)$ -hypomorphic. So, from Theorem 1.4,  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  are isomorphic.
2. Let  $K \subseteq V$ . As  $K = \bigcup_{C \in D_{G,G'}} K \cap C$  and  $G'_{\uparrow C}, G_{\uparrow C}$  are hereditarily isomorphic, then  $G'_{\uparrow K \cap C} \simeq G_{\uparrow K \cap C}$  and  $K \cap C$  is an interval of  $G_{\uparrow K}$  and  $G'_{\uparrow K}$ . So,  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  are isomorphic. □

**Lemma 3.4.** [12] Let  $G$  and  $G'$  be two  $(\leq 4)$ -hypomorphic digraphs and  $C \in D_{G,G'}$ .

1. If  $G_{\downarrow C}$  is a tournament, then  $G_{\downarrow C}$  is a diamond-free tournament.
2. If  $G_{\downarrow C}$  has no 3-cycles, then  $G_{\downarrow C}$  is either a chain or a near-chain or a consecutivity or a cycle.
3. If  $G_{\downarrow C}$  has a 3-cycle and  $G_{\downarrow C}$  is not a tournament, then there exists an integer  $n \geq 1$  such that  $G_{\downarrow C}$  is an element of  $\mathcal{E}(S_n)$ .
4.  $C$  is an interval of  $G$  and  $G'$ . Hence, if  $G'_{\downarrow C'}$  and  $G_{\downarrow C'}$  are isomorphic for each  $C' \in D_{G,G'}$ , then  $G$  and  $G'$  are isomorphic.
5. Neither peaks nor flags and no diamonds are embeddable in the subdigraphs  $G_{\downarrow C}$  and  $G'_{\downarrow C}$ .
6. Every 3-consecutivity (resp. 3-cycle) in  $G_{\downarrow C}$  is reversed in  $G'_{\downarrow C}$ .

As a consequence from Lemma 3.4, we have:

**Corollary 3.2.** *Let  $G$  and  $G'$  be two  $(\leq 4)$ -hypomorphic digraphs, and  $C \in D_{G,G'}$ .*

1. If  $G_{\downarrow C}$  is neither a diamond-free tournament nor an element of  $\mathcal{E}(S_n)$ , then  $G'_{\downarrow C}$  and  $G_{\downarrow C}$  are hereditarily isomorphic.
2. If  $G_{\downarrow C}$  is either a diamond-free tournament or an element of  $\mathcal{E}(S_n)$ , then  $G'_{\downarrow C}$  and  $G^*_{\downarrow C}$  are hereditarily isomorphic.

**Lemma 3.5.** *([5]) Let  $T$  and  $T'$  be two  $(\leq 4)$ -hypomorphic tournaments on at least 5 vertices. Then,  $T$  and  $T'$  are  $(\leq 5)$ -hypomorphic.*

**Lemma 3.6.** *([4]) Let  $T$  and  $T'$  be two  $(\leq 5)$ -hypomorphic tournaments defined on a vertex set  $V$  such that for all  $X \subseteq V$ ; if  $T_{\downarrow X}$  is isomorphic to  $\beta_6^+$  or to  $\beta_6^-$ , then  $T'_{\downarrow X}$  is isomorphic to  $T_{\downarrow X}$ . Thus  $T$  and  $T'$  are  $(\leq 6)$ -hypomorphic.*

**Lemma 3.7.** *Let  $G$  and  $G'$  be two  $(\leq 4)$ -hypomorphic digraphs defined on a vertex set  $V$ . Let  $C \in D_{G,G'}$  such that  $G_{\downarrow C}$  is an element of  $\mathcal{E}(S_n)$  and for all  $X \subseteq C$ ; if  $G_{\downarrow X}$  is isomorphic to  $\alpha_5^+$  or to  $\alpha_5^-$  or to  $\beta_5^+$  or to  $\beta_5^-$  or to  $\gamma_5^+$  or to  $\gamma_5^-$ , then  $G'_{\downarrow X}$  is isomorphic to  $G_{\downarrow X}$ . Thus  $G_{\downarrow C}$  and  $G'_{\downarrow C}$  are  $(\leq 6)$ -hypomorphic.*

*Proof.*

**Fact 3.1.** *We have  $G_{\downarrow C}$  does not embeds  $\alpha_5^+$ ,  $\alpha_5^-$ ,  $\beta_5^+$ ,  $\beta_5^-$ ,  $\gamma_5^+$  and  $\gamma_5^-$ . Indeed, if there exist  $X \subset C$ , such that  $G_{\downarrow X}$  is isomorphic to  $\alpha_5^+$  or to  $\alpha_5^-$  or to  $\beta_5^+$  or to  $\beta_5^-$  or to  $\gamma_5^+$  or to  $\gamma_5^-$ , then from Lemma 3.4, every 3-consecutivity and 3-cycle of  $G_{\downarrow C}$  are reversed in  $G'_{\downarrow C}$ , then  $G'_{\downarrow X} \simeq G^*_{\downarrow X}$ , thus  $\alpha_5^+$  or  $\alpha_5^-$  or  $\beta_5^+$  or  $\beta_5^-$  or  $\gamma_5^+$  or  $\gamma_5^-$  is self dual, that is impossible.*

We have  $n \leq 3$ . Indeed, if  $n \geq 4$  then  $G_{\downarrow \{t_1, t_{1+n}, t_2, t_3, t_{4+n}\}} \simeq \alpha_5^+$  or  $\beta_5^+$ , that contradict Fact 3.1.

- 1) If  $n = 3$ , then  $G_{\uparrow C} \in S_3$  and it's neutral pairs have the same type. Indeed if  $\{t_1, t_4\}$ ,  $\{t_2, t_5\}$ ,  $\{t_3, t_6\}$  are 3 neutral pairs of  $G_{\uparrow C}$ . Without loss of generality, we assume that there is  $x$  in the sector  $s_1$ , then  $G_{\uparrow\{t_1, t_4, x, t_2, t_6\}} \simeq \alpha_5^+$  or  $\beta_5^+$  that contradict Fact 3.1. Thus  $G_{\uparrow C} \in S_3$  and from the fact that neither  $\gamma_5^+$  nor  $\gamma_5^-$  are embeddable in the subdigraph  $G_{\uparrow C}$ , the neutral pairs are all of the same type.
- 2) If  $n = 2$ , then  $G_{\uparrow C} \in S_2$  or  $G_{\uparrow C} \in \mathcal{E}(S_2)$  and its two neutral pairs have the same type and its sectors are empty except one of cardinality 1. Indeed if  $\{t_1, t_3\}$ ,  $\{t_2, t_4\}$  are 2 neutral pairs of  $G_{\uparrow C}$ .  
 Case 1. If  $a_1, b_1$  in the sector  $s_1$ , then  $G_{\uparrow\{t_1, a_1, b_1, t_3, t_4\}} \simeq \alpha_5^+$  or  $\beta_5^+$ .  
 Case 2. If  $a_1 \in s_1$  and  $a_2 \in s_2$  then  $G_{\uparrow\{a_1, t_2, a_2, t_3, t_4\}} \simeq \alpha_5^+$  or  $\beta_5^+$ .  
 Case 3. If  $a_1 \in s_1$ ,  $a_3 \in s_3$  and  $a_1 \rightarrow_G a_3$ , then  $G_{\uparrow\{a_1, t_2, t_3, a_3, t_4\}} \simeq \alpha_5^+$  or  $\beta_5^+$ .  
 All this cases contradict the Fact 3.1.  
 Since neither  $\gamma_5^+$  nor  $\gamma_5^-$  are embeddable in the subdigraph  $G_{\uparrow C}$  and from the 3 cases,  $G_{\uparrow C} \in S_2$  or  $G_{\uparrow C} \in \mathcal{E}(S_2)$  and its two neutral pairs have the same type and its sectors are empty except one of cardinality 1.
- 3) If  $n = 1$ , then  $G_{\uparrow C}$  is either a near-chain, or an element of  $\mathcal{E}(S_1)$  on 5 vertices with sectors  $s_1 = \{b_1, c_1\}$  and  $s_2 = \{b_2\}$  such that  $G_{\uparrow\{b_1, b_2, c_1\}}$  is a 3-cycle, or an element of  $\mathcal{E}(S_1)$  on 4 vertices.

Clearly, in all of this cases,  $G'_{\uparrow C}$  and  $G_{\uparrow C}$  are  $(\leq 6)$ -hypomorphic. □

In the rest of this paper  $G = (V, E)$ ,  $G' = (V, E')$  are supposed to be  $(\leq 4)$ -hypomorphic digraph. Under the same hypothesis of Theorem 1.5, we have the following results.

**Lemma 3.8.** 1)  $A_5^+(G) = A_5^+(G')$ ,  $B_5^+(G) = B_5^+(G')$ ,  $C_5^+(G) = C_5^+(G')$ .  
 2)  $A_6^+(G) = A_6^+(G')$ .

*Proof.* Let  $t \in \{5, 6\}$ . Let  $T_1, T_2, \dots, T_{\binom{v}{t}}$  be an enumeration of the  $t$ -elements subsets of  $V$ . Let  $K_1, K_2, \dots, K_{\binom{v}{k}}$  be an enumeration of the  $k$ -elements subsets of  $V$ .

- 1) Let  $w_G^a$  be the row matrix  $(g_1^a, g_2^a, \dots, g_{\binom{v}{t}}^a)$  where  $g_i^a = 1$  if  $G_{\uparrow T_i} \simeq \alpha_5^+$ , 0 otherwise.  
 Let  $w_G^b$  be the row matrix  $(g_1^b, g_2^b, \dots, g_{\binom{v}{t}}^b)$  where  $g_i^b = 1$  if  $G_{\uparrow T_i} \simeq \beta_5^+$ , 0 otherwise.  
 Let  $w_G^c$  be the row matrix  $(g_1^c, g_2^c, \dots, g_{\binom{v}{t}}^c)$  where  $g_i^c = 1$  if  $G_{\uparrow T_i} \simeq \gamma_5^+$ , 0 otherwise.

We have  $w_G^a W_{5k} = (a_5^+(G_{\uparrow K_1}), a_5^+(G_{\uparrow K_2}), \dots, a_5^+(G_{\uparrow K_{\binom{v}{k}}}))$ ,  $w_G^b W_{5k} = (b_5^+(G_{\uparrow K_1}), b_5^+(G_{\uparrow K_2}), \dots, b_5^+(G_{\uparrow K_{\binom{v}{k}}}))$  and  $w_G^c W_{5k} = (c_5^+(G_{\uparrow K_1}), c_5^+(G_{\uparrow K_2}), \dots, c_5^+(G_{\uparrow K_{\binom{v}{k}}}))$ . And we do the same for  $G'$ .

- (a) Since  $a_5^+(G_{\uparrow K_i}) = a_5^+(G'_{\uparrow K_i})$ ,  $b_5^+(G_{\uparrow K_i}) = b_5^+(G'_{\uparrow K_i})$  and  $c_5^+(G_{\uparrow K_i}) = c_5^+(G'_{\uparrow K_i})$  for all  $i \in [1, \binom{v}{k}]$ , then  $w_G^a - w_{G'}^a \in Ker_{\mathbb{Q}}({}^tW_{5k})$ ,  $w_G^b - w_{G'}^b \in Ker_{\mathbb{Q}}({}^tW_{5k})$  and  $w_G^c - w_{G'}^c \in Ker_{\mathbb{Q}}({}^tW_{5k})$ . From Theorem 1.1,  $Ker_{\mathbb{Q}}({}^tW_{5k}) = \{0\}$ , then  $w_G^a = w_{G'}^a$ ,  $w_G^b = w_{G'}^b$  and  $w_G^c = w_{G'}^c$ . Thus  $A_5^+(G) = A_5^+(G')$ ,  $B_5^+(G) = B_5^+(G')$  and  $C_5^+(G) = C_5^+(G')$ .
- (b) Since  $a_5^+(G_{\uparrow K_i}) \equiv a_5^+(G'_{\uparrow K_i}) \pmod{p}$ ,  $b_5^+(G_{\uparrow K_i}) \equiv b_5^+(G'_{\uparrow K_i}) \pmod{p}$  and  $c_5^+(G_{\uparrow K_i}) \equiv c_5^+(G'_{\uparrow K_i}) \pmod{p}$  for all  $i \in [1, \binom{v}{k}]$ ,  $w_G^a - w_{G'}^a \in Ker({}^tW_{5k})$ ,  $w_G^b - w_{G'}^b \in Ker_p({}^tW_{5k})$  and  $w_G^c - w_{G'}^c \in Ker_p({}^tW_{5k})$ .  
 Case 1.  $p \geq 7$ ,  $t = 5 = [5]_p$ ,  $k = [k_0, \dots]_p$  and  $t_0 = 5 \leq k_0$ , then from 1.a) of Corollary 1.1,  $Ker_p({}^tW_{5k}) = \{0\} \pmod{p}$ . Thus  $A_5^+(G) = A_5^+(G')$ ,  $B_5^+(G) = B_5^+(G')$  and  $C_5^+(G) = C_5^+(G')$ .  
 Case 2.  $p \geq 7$ ,  $t = 5 = [5]_p$  and  $k_0 = 0$ , then from 1.b) of Corollary 1.1 there is  $\lambda_1, \lambda_2, \lambda_3 \in \{0, 1, -1\}$  such that  $w_G^a - w_{G'}^a = \lambda_1(1, 1, \dots, 1)$ ,  $w_G^b - w_{G'}^b = \lambda_2(1, 1, \dots, 1)$ , and  $w_G^c - w_{G'}^c = \lambda_3(1, 1, \dots, 1)$ . From 1) of Lemma 3.2 there exist  $X_1, X_2$  and  $X_3$  of cardinality 5 such that  $G_{\uparrow X_1} \not\equiv \alpha_5^+$ ,  $G_{\uparrow X_2} \not\equiv \beta_5^+$  and  $G_{\uparrow X_3} \not\equiv \gamma_5^+$ , then  $\lambda_1 = \lambda_2 = \lambda_3 = 0$ . Thus  $A_5^+(G) = A_5^+(G')$ ,  $B_5^+(G) = B_5^+(G')$  and  $C_5^+(G) = C_5^+(G')$ .

2) Let  $w_G^a$  be the row matrix  $(g_1^a, g_2^a, \dots, g_{\binom{v}{t}}^a)$  where  $g_i^a = 1$  if  $G_{\uparrow T_i} \simeq \beta_6^+$ , 0 otherwise.

We have  $w_G^a W_{6k} = (a_6^+(G_{\uparrow K_1}), a_6^+(G_{\uparrow K_2}), \dots, a_6^+(G_{\uparrow K_{\binom{v}{k}}}))$ . And we do the same for  $G'$ .

- (a) Since  $a_6^+(G_{\uparrow K_i}) = a_6^+(G'_{\uparrow K_i})$  for all  $i \in [1, \binom{v}{k}]$ , then  $w_G^a - w_{G'}^a \in Ker({}^tW_{6k})$ . From Theorem 1.1,  $Ker({}^tW_{6k}) = \{0\}$ , then  $w_G^a = w_{G'}^a$ . Thus  $A_6^+(G) = A_6^+(G')$ .
- (b) Since  $a_6^+(G_{\uparrow K_i}) \equiv a_6^+(G'_{\uparrow K_i}) \pmod{p}$  for all  $i \in [1, \binom{v}{k}]$ , then  $w_G^a - w_{G'}^a \in Ker({}^tW_{6k})$ .  
 Case 1.  $p \geq 7$ ,  $t = 6 = [6]_p$ ,  $k = [k_0, \dots]_p$  and  $t_0 = 6 \leq k_0$ , from 1.a) of Corollary 1.1  $Ker({}^tW_{6k}) = \{0\} \pmod{p}$ . Thus  $A_6^+(G) = A_6^+(G')$ .  
 Case 2.  $p \geq 7$ ,  $t = 6 = [6]_p$  and  $k_0 = 0$ , from 1.b) of Corollary 1.1 there is  $\lambda \in \{0, 1, -1\}$  such that  $w_G^a - w_{G'}^a = \lambda(1, 1, \dots, 1)$ . From 2) of Lemma 3.2, there exist  $X$  of cardinality 6 such that  $G_{\uparrow X} \not\equiv \beta_6^+$  then  $\lambda = 0$ . Thus  $A_6^+(G) = A_6^+(G')$ . □

**Lemma 3.9.** *Let  $C \in D_{G,G'}$ .  $G_{\uparrow C}$  and  $G'_{\uparrow C}$  do not embed  $\alpha_5^+$ ,  $\alpha_5^-$ ,  $\beta_5^+$ ,  $\beta_5^-$ ,  $\gamma_5^+$ ,  $\gamma_5^-$ ,  $\beta_6^+$ , and  $\beta_6^-$ .*

*Proof.* By contradiction, we assume that there is  $S$  such that  $G_{\uparrow S}$  is isomorphic to an element of the set  $\{\alpha_5^+, \alpha_5^-, \beta_5^+, \beta_5^-, \gamma_5^+, \gamma_5^-, \beta_6^+, \beta_6^-\}$ . From Lemma 3.4, every 3-consecutivity and 3-cycle in  $G_{\uparrow C}$  are reversed in  $G'_{\uparrow C}$ , then  $G'_{\uparrow C} \simeq G_{\uparrow C}^*$ . From Lemma 3.8,  $G'_{\uparrow S} \simeq G_{\uparrow S}$ , so  $G_{\uparrow S} \simeq G_{\uparrow S}^*$ , a contradiction.  $\square$

*Proof of Theorem 1.5.* Let  $C \in D_{G,G'}$ . From Corollary 3.2, we can assume that  $G_{\uparrow C}$  is a diamond free tournament or an element  $\mathcal{E}(S_n)$ .

Case 1.  $G_{\uparrow C}$  is a diamond free tournament. From Lemma 3.9,  $G_{\uparrow C}$  and  $G'_{\uparrow C}$  do not embed  $\beta_6^+$  and  $\beta_6^-$ . From Lemma 3.5,  $G_{\uparrow C}$  and  $G'_{\uparrow C}$  are ( $\leq 5$ )-hypomorphic, so by Lemma 3.6,  $G_{\uparrow C}$  and  $G'_{\uparrow C}$  are ( $\leq 6$ )-hypomorphic.

Case 2.  $G_{\uparrow C}$  is an element  $\mathcal{E}(S_n)$ . From Lemma 3.9,  $G_{\uparrow C}$  and  $G'_{\uparrow C}$  do not embed  $\alpha_5^+$ ,  $\alpha_5^-$ ,  $\beta_5^+$ ,  $\beta_5^-$ ,  $\gamma_5^+$  and  $\gamma_5^-$ , so by Lemma 3.7,  $G_{\uparrow C}$  and  $G'_{\uparrow C}$  are ( $\leq 6$ )-hypomorphic, then, from Lemma 3.3,  $G$  and  $G'$  are hereditarily isomorphic.  $\square$

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AYMEN BEN AMIRA  
KING SAUD UNIVERSITY  
DEPARTMENT OF MATHEMATICS, COLLEGE OF SCIENCES  
P.O. BOX 2455  
RIYADH 11451  
SAUDI ARABIA

DEPARTMENT OF MATHEMATICS  
FACULTY OF SCIENCES OF SFAX  
B.P. 1171  
3000 SFAX  
TUNISIA

*E-mail address:* [ayman.benamira@yahoo.fr](mailto:ayman.benamira@yahoo.fr)

JAMEL DAMMAK  
DEPARTMENT OF MATHEMATICS  
FACULTY OF SCIENCES OF SFAX  
B.P. 1171  
3000 SFAX  
TUNISIA

*E-mail address:* [jdammak@yahoo.fr](mailto:jdammak@yahoo.fr)

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