

# On 2-Selmer groups and quadratic twists of elliptic curves

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*To the memory of John Tate*

Let  $K$  be a number field and  $E/K$  be an elliptic curve with no 2-torsion points. In the present article we give lower and upper bounds for the 2-Selmer rank of  $E$  in terms of the 2-torsion of a narrow class group of a certain cubic extension of  $K$  attached to  $E$ . As an application, we prove (under mild hypotheses) that a positive proportion of prime conductor quadratic twists of  $E$  have the same 2-Selmer group.

## Introduction

Given an elliptic curve  $E$  over a number field  $K$ , the Mordell-Weil theorem implies that its set of  $K$ -rational points is a finitely generated abelian group. In particular, it has a torsion part and a free one. From a computational point of view finding the torsion part is the “easy” task (and is implemented in most number theory computational systems, such as PARI/GP [PAR19], SageMath [Sag19] or Magma [BCP97]). The computation of the free part is more subtle, and involves the *descent* method (see for example section VIII.3 of [Sil09]), and is still an open question whether the proposed algorithms to compute ranks of elliptic curves end or not (depending on the finiteness of the Tate-Shafarevich group).

The most effective way to compute the rank is to apply 2-descent, which involves computing the 2-Selmer group (see Definition 2.2). Since computing

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the 2-Selmer group involves hard computations, a natural question is whether one can give a bound for it. Let  $E$  be an elliptic curve of the form

$$E : y^2 = F(x)$$

with  $F(x) \in \mathcal{O}_K[x]$  a monic irreducible cubic polynomial and let  $A_K = K[x]/F(x)$  be the cubic extension of  $K$  given by  $F(x)$ . In [BK77] the authors give, for  $K = \mathbb{Q}$ , an upper bound for semistable elliptic curves in terms of the class group of  $A_{\mathbb{Q}}$  (which can be efficiently computed), and is the first article to show a relation between the 2-Selmer group and a class group. Recently, in [Li19] the author used similar ideas to provide a lower bound for the 2-Selmer group of a rational elliptic curve under some restrictive hypotheses; namely has odd and square-free discriminant.

The purpose of the present article is to extend the ideas of Brumer-Kramer and Li to give both lower and upper bounds for the 2-Selmer groups of elliptic curves over number fields with more relaxed hypotheses. Denote by  $\text{Cl}_*(A_K, E)$  the narrow class group given in Definition 2.8. One of our main results is the following:

**Theorem 2.16.** *Let  $K$  be a number field and let  $E/K$  be an elliptic curve satisfying hypotheses 2.1. Then*

$$\dim_{\mathbb{F}_2} \text{Cl}_*(A_K, E)[2] \leq \dim_{\mathbb{F}_2} \text{Sel}_2(E) \leq \dim_{\mathbb{F}_2} \text{Cl}_*(A_K, E)[2] + [K : \mathbb{Q}].$$

*In particular, if  $K = \mathbb{Q}$ , the order of the Selmer group is determined by the 2-torsion of  $\text{Cl}_*(A_K, E)$  and the root number of  $E$ .*

The advantage of our results is twofold: on the one hand, we can get a lower and upper bound which we expect to be sharp for general number fields (we show this is the case in some examples).

On the other hand, our relaxed hypotheses allow us to consider families of quadratic twists of elliptic curves: let for example  $E/\mathbb{Q}$  be a rational elliptic curve satisfying hypotheses 2.1, and let  $p$  be a prime congruent to 1 modulo 4 which is inert or totally ramified in  $A_K$ . Then the quadratic twist  $E_p$  of  $E$  by a character of conductor  $p$  also satisfies hypotheses 2.1, hence our rank bound also applies to its 2-Selmer group. Studying the root number change from  $E$  to  $E_p$  allows us to deduce that for a positive proportion of them, the rank of their 2-Selmer group is constant. In particular, all such twists have precisely the same 2-Selmer group (see Theorems 3.3 and 3.7). Such interesting phenomena has implication in distributions of ranks of elliptic

curves under quadratic twists and the order of the Tate-Shafarevich group  $\text{III}(E_p)[2]$ . For example, let  $d_2(E)$  denote the 2-Selmer rank of  $E$  and define

$$N_r(E, X) = |\{\text{quadratic } L/\mathbb{Q} : d_2(E^L) = r \text{ and } |\delta(L/\mathbb{Q})| < X\}|,$$

where  $E^L$  denotes the quadratic twist of  $E$  corresponding to  $L$  and  $\delta(L/\mathbb{Q})$  is the discriminant of the extension  $L/\mathbb{Q}$ . A direct application of our result proves the following Corollary.

**Corollary 3.4.** *Let  $E/\mathbb{Q}$  be an elliptic curve satisfying hypotheses 2.1, and suppose furthermore that either  $\Delta(E) < 0$  or  $\text{Cl}_+(A_{\mathbb{Q}}) = \text{Cl}(A_{\mathbb{Q}})$ . Let  $r \geq 0$ , and suppose that  $E$  has a quadratic twist by a prime inert in  $A_{\mathbb{Q}}$  whose 2-Selmer group has rank  $r$ . Then  $N_r(E, X) \gg X/\log(X)^{1-\alpha}$ , where*

$$\alpha = \begin{cases} 1/3 & \text{if } A_{\mathbb{Q}}/\mathbb{Q} \text{ is Galois,} \\ 1/6 & \text{otherwise.} \end{cases}$$

When  $\Delta(E) > 0$  a similar result holds replacing  $\alpha$  by  $\alpha/2$ . Such results are important to understand the so called Goldfeld's conjecture. In [MR10] the authors study the problem of the variation of the 2-Selmer group in quadratic twists families, and they obtain a little stronger result for any base field  $K$  (see Theorem 1.4), although their techniques are slightly different from ours. In [KL19] the authors obtain similar results as ours over  $\mathbb{Q}$  (see the proofs of [KL19, Lemma 5.9 and Lemma 5.10] and [KL19, Theorem 1.12]).

An immediate application of the result is the following: suppose that  $E/\mathbb{Q}$  is an elliptic curve with trivial 2-Selmer group, and let  $K/\mathbb{Q}$  be the (infinite) polyquadratic extension obtained by composing all quadratic extensions in the hypothesis of Theorems 3.3 and 3.7. Then  $E(K)$  is finitely generated (see Corollary 3.6).

The article is organized as follows: Section 1 contains the local computations of the Kummer map and its image, which are needed to bound the 2-Selmer group. Section 2 contains the main result (Theorem 2.16). Our main contributions are: we can work with polynomials  $F(x)$  which do not generate the whole ring of integers of  $A_K$  (a key fact for allowing quadratic twists), and also we explain in detail how to handle the case of "positive discriminants", i.e. the real places of  $K$  where the discriminant of  $F(x)$  is positive. In order to treat this case we work with a "narrow class group" instead of a classical one. Section 3 contains the application of the main results to families of quadratic twists. We stated two results (Theorems 3.3 and 3.7) for elliptic curves over  $\mathbb{Q}$  (which historically received a lot of attention) but they have a similar

version over general number fields. At last, Section 4 includes many examples of elliptic curves over number fields; the purpose of the examples is to show that the bounds obtained in this article are sharp for different number fields. At the same time we show that the lower bound and the upper bound do not hold when twisting by primes not satisfying hypotheses 2.1.

## 1. Kummer map

Let us recall some general statements on the 2-Selmer group on elliptic curves (we refer to Section 2 of [BK77]). Let  $K$  be a field of characteristic different from 2, let  $\bar{K}$  be a Galois closure of  $K$  and let  $G_K = \text{Gal}(\bar{K}/K)$ . Let  $E/K$  be an elliptic curve of the form

$$E : y^2 = F(x)$$

for some monic cubic square-free polynomial  $F(x) \in K[x]$ . The following exact sequence of  $G_K$ -modules

$$0 \longrightarrow E(\bar{K})[2] \longrightarrow E(\bar{K}) \xrightarrow{\times 2} E(\bar{K}) \longrightarrow 0$$

gives rise to a long exact sequence in cohomology. In particular, it induces an injective morphism called the Kummer map

$$\delta_K : E(K)/2E(K) \hookrightarrow H^1(G_K, E(\bar{K})[2]).$$

Let  $A_K$  be the  $K$ -algebra  $K[T]/(F(T))$ . Then  $H^1(G_K, E(\bar{K})[2])$  is isomorphic to the subgroup of elements in  $A_K^\times / (A_K^\times)^2$  whose norm is a square in  $K$  (see [Cas66, p. 240]); let us denote by  $(A_K^\times / (A_K^\times)^2)_\square$  such set. In particular, we get an injective map

$$\delta_K : E(K)/2E(K) \hookrightarrow (A_K^\times / (A_K^\times)^2)_\square.$$

Explicitly, let  $P \in E(K)$  and let  $x(P)$  denote its first coordinate. Then,

$$\delta_K(P) = x(P) - T,$$

whenever  $x(P) - T$  is invertible in  $A_K$  (see [BK77, p. 716-717]). Note that the algebra  $A_K$  and the map  $\delta_K$  do not depend on the choice of model for  $E$ . Moreover, we denote by  $\delta_K(E)$  the image of the Kummer map and remark that it is a hard problem to describe it.

**1.1. The case  $K$  a complete archimedean field**

Let  $\Delta$  denote the discriminant of  $F(x)$  and  $K$  be a complete archimedean field. Then clearly

$$A_K \simeq \begin{cases} \mathbb{R} \times \mathbb{C} & \text{if } K = \mathbb{R} \text{ and } \Delta < 0, \\ \mathbb{R} \times \mathbb{R} \times \mathbb{R} & \text{if } K = \mathbb{R} \text{ and } \Delta > 0, \\ \mathbb{C} \times \mathbb{C} \times \mathbb{C} & \text{if } K = \mathbb{C}. \end{cases}$$

In the second case, let  $\theta_1 < \theta_2 < \theta_3$  denote the roots of  $F(x)$ , and take the isomorphism sending  $T$  to  $(\theta_1, \theta_2, \theta_3)$ .

**Lemma 1.1.** *For complete archimedean fields, the following holds:*

- (i) *If  $K = \mathbb{R}$  and  $\Delta < 0$ ,  $\delta_{\mathbb{R}}(E) = \{(1, 1)\}$ ,*
- (ii) *If  $K = \mathbb{R}$  and  $\Delta > 0$ ,  $\delta_{\mathbb{R}}(E) = \langle (1, -1, -1) \rangle$ ,*
- (iii) *If  $K = \mathbb{C}$ ,  $\delta_{\mathbb{C}}(E) = \{(1, 1, 1)\}$ .*

*Proof.* In cases (i) and (iii)  $E(K)/2E(K)$  is trivial. In case (ii)  $E(K)/2E(K)$  has order 2, and a point  $P$  with  $\theta_1 < x(P) < \theta_2 < \theta_3$  maps to  $(1, -1, -1)$  up to squares (see also [BK77, Proposition 3.7]). □

**Remark 1.2.** When  $K = \mathbb{R}$  and  $\Delta > 0$ ,  $A_K$  has three real places, and one of them is distinguished, as it is the unique one satisfying that the composition of  $\delta_{\mathbb{R}}$  with its projection is trivial. Lemma 1.1 states that when the roots of  $F(T)$  are ordered, such place corresponds to the first one, but for a general elliptic curve  $E/\mathbb{R}$ , we can always talk of such distinguished place.

**1.2. The case  $K$  is a finite extension of  $\mathbb{Q}_p$**

For the rest of this section we assume that  $K$  is a finite extension of  $\mathbb{Q}_p$ . Let  $\mathcal{O}$  denote its ring of integers,  $\mathfrak{p}$  its maximal ideal,  $\pi$  a generator of  $\mathfrak{p}$  and  $k = \mathcal{O}/\mathfrak{p}$  its residue field.

**Lemma 1.3.** *The order of  $\delta_K(E)$  equals  $|\mathcal{O} : 2\mathcal{O}| \cdot |E(K)[2]|$ .*

*Proof.* See Lemma 3.1 of [BK77]. □

Let  $A_{\mathcal{O}}$  be the ring of integers of  $A_K$ .

**Remark 1.4.** Since  $A_K$  is isomorphic to a product of local fields,  $A_{\mathcal{O}}$  is isomorphic to the product of the ring of integers of such fields. Furthermore, since  $[A_K : K] = 3$ , the norm map  $\mathcal{N} : A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2 \rightarrow \mathcal{O}^{\times}/(\mathcal{O}^{\times})^2$  is surjective (it is the identity on the class of elements of  $\mathcal{O}^{\times}$ ).

Denote  $(A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$  the subgroup of elements in  $A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2$  with square norm. There is a natural inclusion  $(A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square} \subset (A_K^{\times}/(A_K^{\times})^2)_{\square}$ .

**Lemma 1.5.** *The order of  $(A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$  equals  $[\mathcal{O} : 2\mathcal{O}]^2 \cdot |E(K)[2]|$ .*

*Proof.* The  $K$ -algebra  $A_K$  is isomorphic to a product of fields  $L_1 \times \cdots \times L_t$ , where  $1 \leq t \leq 3$ . Let  $R_i$  be the ring of integers of  $L_i$ , so that  $A_{\mathcal{O}} \simeq R_1 \times \cdots \times R_t$ . By [O’M00, Proposition 63:9] we have  $[\mathcal{O}^{\times} : (\mathcal{O}^{\times})^2] = 2[\mathcal{O} : 2\mathcal{O}]$  and  $[R_i^{\times} : (R_i^{\times})^2] = 2[R_i : 2R_i]$ .

Since  $A_K$  has dimension 3 over  $K$ , we have  $\prod [R_i : 2R_i] = [\mathcal{O} : 2\mathcal{O}]^3$ . It follows that  $[A_{\mathcal{O}}^{\times} : (A_{\mathcal{O}}^{\times})^2] = 2^t [\mathcal{O} : 2\mathcal{O}]^3$ . Since  $\mathcal{N} : A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2 \rightarrow \mathcal{O}^{\times}/(\mathcal{O}^{\times})^2$  is surjective, its kernel  $(A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$  has order  $[A_{\mathcal{O}}^{\times} : (A_{\mathcal{O}}^{\times})^2] / [\mathcal{O}^{\times} : (\mathcal{O}^{\times})^2] = 2^{t-1} [\mathcal{O} : 2\mathcal{O}]^2$ .

The result follows by noting that  $2^{t-1} = |E(K)[2]|$ . □

**Definition 1.6.** We say that  $E : y^2 = F(x)$  satisfies  $(\dagger)$  if  $F(x) \in \mathcal{O}[x]$  is a monic cubic square-free polynomial and any of the following conditions holds:

- ( $\dagger$ .i)  $A_K$  is a field extension of  $K$ , or
- ( $\dagger$ .ii)  $A_{\mathcal{O}} = \mathcal{O}[T]/(F(T))$ , or
- ( $\dagger$ .iii)  $\text{char}(k) > 2$  and  $[E(K) : E_0(K)]$  is odd, where  $E_0(K)$  is the subgroup of the points of  $E(K)$  whose reduction is non-singular, or
- ( $\dagger$ .iv)  $\text{char}(k) = 2$ ,  $K/\mathbb{Q}_2$  is unramified, and  $E$  has good reduction.

**Remark 1.7.** When  $\text{char}(k) > 2$  condition ( $\dagger$ .i) or condition ( $\dagger$ .ii) imply ( $\dagger$ .iii). To see it let  $I_K \subset \text{Gal}(\overline{K}/K)$  be the inertia subgroup and consider the following three possibilities  $E[2](\overline{K})^{I_K} = \{0\}$  or  $E[2](\overline{K})^{I_K} \cong \mathbb{Z}/2\mathbb{Z}$  or  $E[2](\overline{K})^{I_K} \cong (\mathbb{Z}/2\mathbb{Z})^2$ . If  $E[2](\overline{K})^{I_K} = \{0\}$  then  $[E(K) : E_0(K)]$  is odd by [GP12, Lemma 4]. Observe that if ( $\dagger$ .i) is true then  $E[2](\overline{K})^{I_K} \cong \mathbb{Z}/2\mathbb{Z}$  is not possible. If  $E[2](\overline{K})^{I_K} \cong \mathbb{Z}/2\mathbb{Z}$  and ( $\dagger$ .ii) holds  $v_{\mathfrak{p}}(\text{disc}(F(x))) = 1$  hence  $[E(K) : E_0(K)] = 1$  by Tate’s algorithm ([Tat75]). Finally if  $E[2](\overline{K})^{I_K} \cong (\mathbb{Z}/2\mathbb{Z})^2$  then hypothesis ( $\dagger$ .i) or ( $\dagger$ .ii) implies that  $E$  has good reduction hence ( $\dagger$ .iii) is clearly true.

**Theorem 1.8.** *If  $E$  satisfies  $(\dagger)$  then  $\delta_K(E) \subset (A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$ .*

*Proof.* A similar result (for particular cases) is given in Corollary 3.3, Proposition 3.4, Lemma 3.5, Proposition 3.6, and Lemma 4.2 of [BK77], and in Lemma 2.12 of [Li19].

Suppose first that  $E$  satisfies (†.i), i.e.  $A_K$  is a cubic field extension of  $K$  (either unramified or totally ramified). Let  $\mathfrak{P}$  denote the maximal ideal of  $A_{\mathcal{O}}$ . Let  $P = (x, y) \in E(K)$ . The equality  $y^2 = F(x)$  implies that  $2v_{\mathfrak{P}}(y) = 3v_{\mathfrak{P}}(x - T)$ , hence  $v_{\mathfrak{P}}(x - T) = 2n$  is even. If  $\tilde{\pi}$  denotes a local uniformizer in  $A_{\mathcal{O}}$  then  $\tilde{\pi}^{-2n}(x - T) \in A_{\mathcal{O}}^{\times}$  so that  $\delta_K(P) \in (A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$ .

Suppose now that  $E$  satisfies (†.ii). If  $A_K$  is a field the result is already proven, hence we can restrict to the cases  $A_K \simeq K \times K \times K$  or  $A_K \simeq K \times L$ , for  $L/K$  a quadratic extension. In the case  $A_K \simeq K \times K \times K$ ,  $F(x) = (x - c_1)(x - c_2)(x - c_3)$  with  $c_i \in \mathcal{O}$ , and hypothesis (†.ii) implies  $v_{\mathfrak{p}}(c_i - c_j) = 0$  for  $i \neq j$ . Let  $P = (x, y) \in E(K)$ . If  $v_{\mathfrak{p}}(x) < 0$  then  $v_{\mathfrak{p}}(x - c_i) = v_{\mathfrak{p}}(x)$ , hence  $2v_{\mathfrak{p}}(y) = 3v_{\mathfrak{p}}(x)$  and so  $v_{\mathfrak{p}}(x - c_i)$  is even for all  $i$ . Otherwise  $v_{\mathfrak{p}}(x) \geq 0$  and  $v_{\mathfrak{p}}(x - c_i) \geq 0$  for all  $i$ . Since  $v_{\mathfrak{p}}(c_i - c_j) = 0$  for  $i \neq j$ , at least two terms in the right hand side of the equality

$$2v_{\mathfrak{p}}(y) = v_{\mathfrak{p}}(x - c_1) + v_{\mathfrak{p}}(x - c_2) + v_{\mathfrak{p}}(x - c_3),$$

are 0, hence the third term must also be even. In either case  $\delta_K(P) = (\pi^{-v_{\mathfrak{p}}(x-c_1)}(x - c_1), \pi^{-v_{\mathfrak{p}}(x-c_2)}(x - c_2), \pi^{-v_{\mathfrak{p}}(x-c_3)}(x - c_3)) \in (A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$ .

In the case  $A_K \simeq K \times L$  for a quadratic extension  $L/K$  (either unramified or ramified),  $F(x) = (x - c)(x - \gamma)(x - \gamma')$ , with  $c \in \mathcal{O}$  and  $\gamma \in \mathcal{O}_L$ . Let  $v_{\mathfrak{p}}$  denote the valuation of  $L$  which extends that of  $K$ . Hypothesis (†.ii) implies  $v_{\mathfrak{p}}(c - \gamma) = 0$  and  $v_{\mathfrak{p}}(x - \gamma) \in \{0, \frac{1}{2}\}$  if  $x \in \mathcal{O}$ . Let  $P = (x, y) \in E(K)$ , then

$$2v_{\mathfrak{p}}(y) = v_{\mathfrak{p}}(x - c) + 2v_{\mathfrak{p}}(x - \gamma).$$

When  $v_{\mathfrak{p}}(x) < 0$  this implies  $v_{\mathfrak{p}}(x - c) = v_{\mathfrak{p}}(x - \gamma) = v_{\mathfrak{p}}(x)$  is even. When  $v_{\mathfrak{p}}(x) \geq 0$  then  $v_{\mathfrak{p}}(x - c) \geq 0$  and  $v_{\mathfrak{p}}(x - \gamma) \geq 0$ , and at least one must be 0 since  $v_{\mathfrak{p}}(c - \gamma) = 0$ . It follows that  $v_{\mathfrak{p}}(x - \gamma) \in \mathbb{Z} \cap \{0, \frac{1}{2}\}$ . Hence  $v_{\mathfrak{p}}(x - \gamma) = 0$  and  $v_{\mathfrak{p}}(x - c)$  is also even. In either case we have  $v_{\mathfrak{p}}(x - c)$  and  $v_{\mathfrak{p}}(x - \gamma)$  are both even, hence  $\delta_K(P) = (\pi^{-v_{\mathfrak{p}}(x-c)}(x - c), \pi^{-v_{\mathfrak{p}}(x-\gamma)}(x - \gamma)) \in (A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$ .

When  $E$  satisfies (†.iii) the result is given in [BK77, Corollary 3.3]. Finally, suppose  $E$  satisfies (†.iv). When  $E$  has supersingular reduction, then the assumption  $K/\mathbb{Q}_2$  unramified implies that  $A_K$  is a cubic ramified extension of  $K$  [BK77, Proposition 3.4], in which case  $E$  satisfies (†.i) so the result is already proved. When  $E$  has ordinary reduction, the result is proved under the assumption  $K/\mathbb{Q}_2$  unramified in [BK77, Proposition 3.6]. □

It is not true that Theorem 1.8 holds in full generality. Here are some examples where the hypotheses (†) are not satisfied and the statement of Theorem 1.8 does not hold.

**Example 1.** Let

$$E : y^2 = x(x + 3p)(x + 1 - p)$$

be the elliptic curve over  $\mathbb{Q}_p$ , with Kodaira type  $I_2$  if  $p \neq 2, 3$ , type  $I_4$  if  $p = 3$  and type III if  $p = 2$ , and let  $P = (p, 2p) \in E(\mathbb{Q}_p)$ . Here  $A_{\mathbb{Q}_p} \simeq \mathbb{Q}_p \times \mathbb{Q}_p \times \mathbb{Q}_p$ , but two roots are congruent (hence (†.ii) is not satisfied);  $\delta_{\mathbb{Q}_p}(P) = (p, 4p, 1) \notin (A_{\mathbb{Z}_p}^\times / (A_{\mathbb{Z}_p}^\times)^2) \square$ .

**Example 2.** Let  $r \in \mathbb{Z}$  with  $\left(\frac{r}{p}\right) = -1$  if  $p \neq 2$ ,  $r \equiv 1 \pmod{8}$  if  $p = 2$  and let

$$E : y^2 = x(x^2 - rp^2 - r^2p^4)$$

be the elliptic curve over  $\mathbb{Q}_p$ , with Kodaira type  $I_0^*$  if  $p \neq 2$  and type  $I_2^*$  if  $p = 2$ . Let  $P = (-rp^2, rp^2) \in E(\mathbb{Q}_p)$ . Here  $A_{\mathbb{Q}_p} \simeq \mathbb{Q}_p \times \mathbb{Q}_p(\gamma)$  with  $\gamma = p\sqrt{r + r^2p^2}$ , the latter a quadratic unramified extension (whose ring of integers is not generated by  $\gamma$ , so (†.ii) is not satisfied);  $\delta_{\mathbb{Q}_p}(P) = (-rp^2, -rp^2 - \gamma) \notin (A_{\mathbb{Z}_p}^\times / (A_{\mathbb{Z}_p}^\times)^2) \square$ .

**Example 3.** Let

$$E : y^2 = x(x^2 - p - p^2),$$

with Kodaira type III and let  $P = (-p, p) \in E(\mathbb{Q}_p)$ . Here  $A_{\mathbb{Q}_p} \simeq \mathbb{Q}_p \times \mathbb{Q}_p(\gamma)$  via  $T \rightarrow (0, \gamma)$  with  $\gamma = \sqrt{p + p^2}$  generating a quadratic ramified extension. Since the image satisfies that both coordinates are congruent modulo  $p$ , (†.ii) is not satisfied;  $\delta_{\mathbb{Q}_p}(P) = (-p, -p - \gamma) \notin (A_{\mathbb{Z}_p}^\times / (A_{\mathbb{Z}_p}^\times)^2) \square$

**Corollary 1.9.** *Suppose that  $E$  satisfies (†) and  $\text{char}(k) > 2$ . Then  $\delta_K(E) = (A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2) \square$ .*

*Proof.* By Theorem 1.8 we know that  $\delta_K(E) \subset (A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2) \square$ , and by Lemmas 1.3 and 1.5 both sets have the same cardinality. □

**1.2.1. The case  $K$  is a finite extension of  $\mathbb{Q}_2$ .** Consider the set

$$U_4 = \{u \in A_{\mathcal{O}}^\times : u \equiv \square \pmod{4A_{\mathcal{O}}} \text{ and } \mathcal{N}(u) = \square\} \subset A_{\mathcal{O}}^\times.$$

Note that  $(A_{\mathcal{O}}^\times)^2 \subset U_4$ .

**Lemma 1.10.** *Suppose  $p = 2$ . Then:*

1) *For  $\alpha \in \mathcal{O}$  we have*

$$1 + 4\alpha = \square \iff \text{Tr}_{k/\mathbb{F}_2} \alpha = 0$$

2) *Let  $L/K$  be a finite extension with odd ramification index. For all  $\alpha \in \mathcal{O}_L$  we have*

$$1 + 4\alpha = \square \iff \mathcal{N}_{L/K}(1 + 4\alpha) = \square$$

3) *Let  $L/K$  be a finite extension with even ramification index. For all  $\alpha \in \mathcal{O}_L$  we have  $\mathcal{N}_{L/K}(1 + 4\alpha) = \square$ .*

4) *The group  $\{u \in \mathcal{O}^\times : u \equiv \square \pmod{4}\}$  contains  $(\mathcal{O}^\times)^2$  with index 2.*

5) *The index of  $(A_{\mathcal{O}}^\times)^2$  in  $U_4$  is given by*

$$\#(U_4/(A_{\mathcal{O}}^\times)^2) = \begin{cases} 1 & \text{if } A_K \text{ is a field,} \\ 2 & \text{if } A_K \simeq K \times L, \text{ with } L \text{ a field,} \\ 4 & \text{if } A_K \simeq K \times K \times K. \end{cases}$$

*Proof.* Note first that if  $1 + 4\alpha$  is a square, say  $1 + 4\alpha = \beta^2$ , then  $\beta \equiv 1 \pmod{2}$ . Indeed,  $v_{\mathfrak{p}}(\beta - 1) < v_{\mathfrak{p}}(2)$  would imply  $v_{\mathfrak{p}}(\beta + 1) = v_{\mathfrak{p}}(\beta - 1) < v_{\mathfrak{p}}(2)$ , but then  $v_{\mathfrak{p}}(4\alpha) = v_{\mathfrak{p}}(\beta - 1) + v_{\mathfrak{p}}(\beta + 1) < v_{\mathfrak{p}}(4)$  contradicting  $\alpha \in \mathcal{O}$ .

Furthermore, recall that units in a local field which are congruent to 1 modulo  $4\mathfrak{p}$  are squares (see for example [O'M00, Theorem 63:1]), hence  $1 + 4\alpha$  is a square in  $\mathcal{O}_L$  if and only if there exists  $v \in \mathcal{O}_L$  such that  $\alpha \equiv v + v^2 \pmod{\mathfrak{p}}$  (so  $(1 + 4\alpha) = (1 + 2v)^2$  up to squares).

Consider the map  $\phi : \mathcal{O}/\mathfrak{p} \rightarrow \mathcal{O}/\mathfrak{p}$  given by  $\phi(v) = v^2 + v$ ; it is a group homomorphism with kernel  $\{0, 1\}$ , hence its image has index 2. Furthermore, the composite map  $\text{Tr}_{k/\mathbb{F}_2} \circ \phi : \mathcal{O}/\mathfrak{p} \rightarrow \mathbb{F}_2$  is the trivial map. Since the trace map is surjective, we conclude that the image of  $\phi$  equals the kernel of the trace map, which proves the first statement.

To prove statements (2) and (3), let  $L/K$  be a finite extension of local fields with ramification index  $e_L$ , ring of integers  $\mathcal{O}_L$ , maximal ideal  $\mathfrak{p}_L$  and residue field  $k_L$ . Clearly  $\mathcal{N}_{L/K}(1 + 4\alpha) \equiv 1 + 4 \text{Tr}_{L/K}(\alpha) \pmod{4\mathfrak{p}}$ , hence the results follow from a comparison between the trace map on  $L/K$  and the one on their residue fields. Recall that if  $x \in \mathcal{O}_L$ ,  $\text{Tr}_{L/K}(x) \equiv e_L \text{Tr}_{k_L/k}(\bar{x}) \pmod{\mathfrak{p}}$  (see [CF69] Lemma 1, page 20), so the result follows.

To prove (4) note that  $u \equiv \square \pmod{4}$  if and only if  $K(\sqrt{u})/K$  is a quadratic unramified extension, and there are exactly two such extensions (the split extension and the unramified field extension).

The last statement follows easily from the previous ones. For example when  $A_K$  is a field apply (4) to  $A_K$  to obtain  $\{u \in A_{\mathcal{O}}^{\times} : u \equiv \square \pmod{4}\}/(A_{\mathcal{O}}^{\times})^2$  has exactly two elements and statement (2) implies that only the trivial one has square norm. The other two cases follow from a similar computation using (3) and (4). □

**Theorem 1.11.** *Let  $K/\mathbb{Q}_2$  be a finite extension and let  $E/K$  be an elliptic curve satisfying (†). Then  $\delta_K(E) \subset (A_{\mathcal{O}}^{\times}/(A_{\mathcal{O}}^{\times})^2)_{\square}$  with index  $2^{[K:\mathbb{Q}_2]}$ . Furthermore,  $U_4 \subset \delta_K(E)$ .*

*Proof.* The first claim follows from Theorem 1.8 and Lemmas 1.3 and 1.5. For the second statement, suppose first that  $E$  satisfies (†.i), so that  $A_K$  is a field. Then the result follows since  $U_4/(A_{\mathcal{O}}^{\times})^2$  is trivial by Lemma 1.10.

Suppose now that  $E$  satisfies (†.ii). The case when  $A_K$  is a field is already proved. Recall that if  $E/K$  is given by

$$E : y^2 = x^3 + a_2 x^2 + a_4 x + a_6$$

with  $a_2, a_4, a_6 \in \mathcal{O}$ , using the formal group structure on  $E$ , for every  $z \in \mathfrak{p}$  there is a point  $P = P(z)$  with  $x$ -coordinate given by

$$x(P) = z^{-2} - a_2 + O(z^2).$$

Then

$$z^2 \delta_K(P) = z^2 (x(P) - T) = 1 - (a_2 + T) z^2 + O(z^4).$$

In the case  $A_K \simeq K \times K \times K$ , by Lemma 1.10,  $U_4/(A_{\mathcal{O}}^{\times})^2$  has 4 elements of the form  $\{(\square, \square, \square), (\square, \square, \square), (\square, \square, \square), (\square, \square, \square)\}$ . It is enough to prove that there exists  $z_1, z_2, z_3 \in \mathcal{O}$  such that  $\delta_K(P(2z_i))$  has  $i$ -th coordinate not a square. Let  $u = 1 + 4\alpha \in \mathcal{O}$  be a unit congruent to 1 modulo 4 which is not a square. Let  $\{c_1, c_2, c_3\}$  be the roots of  $F(x)$ . The hypothesis (†.ii) implies that  $\mathfrak{p} \nmid (c_2 + c_3) = -(a_2 + c_1)$ , so there exists  $z_1 \in \mathcal{O}$  such that  $-(a_2 + c_1)z_1^2 \equiv \alpha \pmod{\mathfrak{p}}$  (since the map  $x \rightarrow x^2$  is a bijection in  $\mathcal{O}/\mathfrak{p}$ ). Then  $\delta_K(P(2z_1)) = (u, *, *)$  has its first coordinate a non-square. A similar argument applies to the other two coordinates.

In the case  $A_K \simeq K \times L$  for a quadratic extension  $L/K$ , let  $\{c, \gamma, \gamma'\}$  be the roots of  $F(x)$ , with  $c \in \mathcal{O}$  and  $\gamma \in \mathcal{O}_L$ . If  $L/K$  is unramified, consider  $z = 2u$  so the first coordinate  $z^2 \delta_K(P(z))_1 \equiv 1 + 4(\gamma + \gamma')u^2 \pmod{4\mathfrak{p}}$ , and

the hypothesis (†.ii) implies that  $\gamma + \gamma' \notin \mathfrak{p}$ , hence there exists  $u \in \mathcal{O}$  such that  $1 + 4(\gamma + \gamma')u^2$  is not a square. If  $L/K$  is ramified, let  $\mathfrak{P}$  be the maximal ideal of  $\mathcal{O}_L$  and consider  $z = 2u$  so the second coordinate  $z^2\delta_K(P(z))_2 \equiv 1 + 4(c + \gamma')u^2 \pmod{4\mathfrak{p}}$ . The hypothesis (†.ii) implies that  $c + \gamma' \notin \mathfrak{P}$  so there exists  $u \in \mathcal{O}$  (we can take  $u \in \mathcal{O}$  because it has the same residue field as  $\mathcal{O}_L$ ) such that  $1 + 4(c + \gamma')u^2$  is not a square.

In either case,  $z^2\delta_K(P(z)) \in U_4$  is not a square in  $A_K$ , but by Lemma 1.10, we know that  $U_4/(A_{\mathcal{O}}^{\times})^2$  has two elements so the statement follows.

The case (†.iii) does not occur since  $\text{char}(k) = 2$ . Finally, suppose  $E$  satisfies (†.iv). If  $E$  has supersingular reduction, then  $A_K$  is a cubic ramified extension of  $K$  [BK77, Proposition 3.4], in which case the result is already proved. If  $E$  has ordinary reduction, the result follows from [BK77, Proposition 3.6]. □

## 2. 2-Selmer groups and Class groups

Suppose now that  $K$  is a number field and  $E$  is an elliptic curve over  $K$ . For  $v$  a place of  $K$ , let  $K_v$  denotes its completion. From now on we assume the following hypotheses:

**Hypotheses 2.1.** *The elliptic curve  $E$  and the field  $K$  satisfy:*

- 1) *The narrow class number of  $K$  is odd.*
- 2)  $E(K)[2] = \{0\}$ .
- 3) *For all finite places  $v$  of  $K$ ,  $E/K_v$  satisfies (†) (see Definition 1.6).*

Note that the second hypothesis implies that  $A_K$  is a cubic field extension of  $K$  and we denote by  $A_{\mathcal{O}}$  its ring of integers. For each place  $v$  of  $K$ , let  $G_v = G_{K_v}$  and fix an immersion  $G_v \hookrightarrow G_K$ . To ease the notation let  $\delta_v = \delta_{K_v}$  and let  $\text{res}_v$  denote the restriction map  $H^1(G_K, E(\bar{K})[2]) \rightarrow H^1(G_v, E(\bar{K}_v)[2])$ .

**Definition 2.2.** The 2-Selmer group of  $E$  consists of the cohomology classes in  $H^1(G_K, E(\bar{K})[2])$  whose restriction to  $G_v$  lies in the image of  $\delta_v$  for all places  $v$  of  $K$ , i.e.

$$\text{Sel}_2(E) = \{c \in H^1(G_K, E(\bar{K})[2]) : \text{res}_v(c) \in \delta_v(E) \text{ for each place } v \text{ of } K\}.$$

If  $v$  is an archimedean place of  $K$  then either:

- (i)  $K_v \simeq \mathbb{R}$  and  $A_{K_v} \simeq \mathbb{R} \times \mathbb{C}$ ,

(ii)  $K_v \simeq \mathbb{R}$  and  $A_{K_v} \simeq \mathbb{R} \times \mathbb{R} \times \mathbb{R}$ ,

(iii)  $K_v \simeq \mathbb{C}$  and  $A_{K_v} \simeq \mathbb{C} \times \mathbb{C} \times \mathbb{C}$ .

We say that an archimedean place of  $K$  has type (i), (ii) or (iii) depending on the above cases. Let us introduce the following notations: if  $\alpha \in A_K$ , the notation  $\mathcal{N}(\alpha) \gg 0$  means that for each real archimedean place  $v$  of  $K$ ,  $v(\mathcal{N}(\alpha)) > 0$ . If  $v$  is a real place of  $K$  of type (i), let  $\tilde{v}$  denote the unique real place in  $A_K$  extending  $v$ . If  $v$  is a real place of  $K$  of type (ii), let  $\tilde{v}, \tilde{v}_2, \tilde{v}_3$  denote the places of  $A_K$  extending  $v$ , so that  $\tilde{v}$  is the distinguished one (see Remark 1.2).

Define the following subgroups of  $A_K^\times / (A_K^\times)^2$ :

$$C_*(E) = \left\{ [\alpha] \in A_K^\times / (A_K^\times)^2 : A_K(\sqrt{\alpha})/A_K \text{ is unramified at all finite places of } A_K, \text{ it is unramified at } \tilde{v} \text{ for all real places } v \text{ of } K, \text{ and for each } v \text{ of type (ii) it ramifies at } \tilde{v}_2 \Leftrightarrow \text{it ramifies at } \tilde{v}_3 \right\},$$

and

$$\tilde{C}(E) = \left\{ [\alpha] \in A_K^\times / (A_K^\times)^2 : \mathcal{N}(\alpha) = \square, w(\alpha) \text{ is even for all finite places } w \text{ of } A_K, \tilde{v}(\alpha) > 0 \text{ for all real places } v \text{ of } K \right\}.$$

**Remark 2.3.** In the definition of  $C_*(E)$  and  $\tilde{C}(E)$ , the dependence of  $E$  comes only from the distinguished place in  $A_K$  at real archimedean places of type (ii). In particular, if no such place exists, these subgroups depend only on  $A_K$  (and not on the particular curve whose cubic field extension of  $K$  is  $A_K$ ).

**Example 4.** Here is a concrete example where  $C_*(E)$  depends on  $E$  and not only on  $A_K$ : consider the curve

$$E : y^2 = F(x) = x^3 - 7x + 3$$

over  $K = \mathbb{Q}$ , so that  $A_{\mathbb{Q}} = \mathbb{Q}[T]/(T^3 - 7T + 3)$ . The real place  $v$  of  $\mathbb{Q}$  is of type (ii) since  $F$  has three real roots  $\theta_1 < \theta_2 < \theta_3$ . The field  $A_{\mathbb{Q}}$  has class number 1, but narrow class number 2. Indeed the narrow Hilbert class field (maximal abelian extension unramified at all finite places) of  $A_{\mathbb{Q}}$  is  $A_{\mathbb{Q}}(\sqrt{T^2 - 8})$ ; it is unramified at  $\tilde{v}$  and it is ramified at  $\tilde{v}_2$  and  $\tilde{v}_3$  (since  $\theta_1^2 - 8 > 0$ ,  $\theta_2^2 - 8 < 0$  and  $\theta_3^2 - 8 < 0$ ). Thus  $[T^2 - 8] \in C_*(E)$  and  $C_*(E)$  has order 2.

On the other hand, consider the quadratic twist of  $E$  by  $\mathbb{Q}(\sqrt{-1})$ ,

$$E_{-1} : y^2 = -F(-x) = x^3 - 7x - 3.$$

This curve has the same cubic field  $A_{\mathbb{Q}}$ , but twisting changes the distinguished place from  $\tilde{v}$  to  $\tilde{v}_3$ , so that  $[T^2 - 8] \notin C_*(E_{-1})$  and it follows that  $C_*(E_{-1})$  is trivial. In Example 7 we use this to compute the 2-Selmer rank for all the quadratic twists of this curve.

**Lemma 2.4.** *The set  $C_*(E)$  equals the set of elements  $[\alpha] \in A_K^\times / (A_K^\times)^2$  satisfying the following local conditions:*

- For all finite places  $w$  of  $A_K$ ,  $w(\alpha)$  is even.
- For all real places  $v$  of  $K$ ,  $\tilde{v}(\alpha) > 0$ .
- $\mathcal{N}(\alpha) \gg 0$ .
- $\alpha \equiv \square \pmod{4A_{\mathcal{O}}}$ .

*Proof.* The only non-trivial part is the condition at places dividing 2, which is a well known result and a detailed proof is given in [CP19, Lemma 3.4].  $\square$

**Lemma 2.5.** *We have  $C_*(E) \subset (A_K^\times / (A_K^\times)^2)_{\square}$ .*

*Proof.* If  $[\alpha] \in C_*(E)$ , by Lemma 2.4 its norm  $\mathcal{N}(\alpha)$  has even valuation at all finite places of  $K$ , it is totally positive, and a square modulo  $4\mathcal{O}$ . Hence  $K(\sqrt{\mathcal{N}(\alpha)})/K$  is unramified at all places of  $K$ , and since the class number of  $K$  is odd, this implies that  $\mathcal{N}(\alpha)$  is a square.  $\square$

**Proposition 2.6.** *The following inclusions hold*

$$C_*(E) \subset \text{Sel}_2(E) \subset \tilde{C}(E).$$

*Proof.* Since  $C_*(E) \subset (A_K^\times / (A_K^\times)^2)_{\square}$ , to prove that  $C_*(E) \subset \text{Sel}_2(E)$  it is enough to check that if  $[\alpha] \in C_*(E)$  then for each place  $v$  of  $K$ ,  $[\alpha] \in \text{Im}(\delta_v)$ . The condition at the infinity places is clear by Lemma 1.1. If  $v$  is a finite place of  $K$  not dividing 2, as the quadratic extension is unramified then  $\alpha$  is a unit in  $A_{K_v}$  (up to squares), hence by Corollary 1.9 it lies in the image of  $\delta_v$ . For a place  $v$  dividing 2, by Lemma 2.4,  $\alpha \equiv \square \pmod{4A_{\mathcal{O}}}$ , and by Theorem 1.11 such set is contained in the image of  $\delta_v$ .

The claim  $\text{Sel}_2(E) \subset \tilde{C}(E)$  follows from Lemma 1.1 and Theorem 1.8.  $\square$

Let  $\text{Frac}(A_K)$  denote the group of fractional ideals of  $A_K$ , let  $P$  be the subgroup of principal ideals, and consider the subgroup

$$P_*(E) = \{(\alpha) \in P : \text{and } \tilde{v}_2(\alpha) \tilde{v}_3(\alpha) > 0 \text{ for all } v \text{ of type (ii)} \}$$

Let  $P_+ = \{(\alpha) \in P : \alpha \gg 0\}$ . Clearly  $P_+ \subset P_*(E) \subset P$  and  $P/P_+$  is an elementary 2-group.

**Lemma 2.7.** *We have:*

$$P_*(E) = \{(\alpha) \in P : \tilde{v}(\alpha) > 0 \text{ for all real places } v \text{ of } K, \text{ and } \mathcal{N}(\alpha) \gg 0 \}$$

*Proof.* The inclusion  $\supset$  is trivial. For the other inclusion, let  $(\alpha) \in P_*(E)$ . Since the narrow class number of  $K$  is odd there are units in  $K$  with arbitrary signs for the real places, in particular there is a unit  $\mu \in \mathcal{O}^\times$  such that  $\tilde{v}(\mu\alpha) > 0$  for all real places of  $K$ . Moreover, this implies that  $\mathcal{N}(\mu\alpha) \gg 0$ . Thus  $(\alpha) = (\mu\alpha)$  is in the set of the right hand side.  $\square$

**Definition 2.8.** Denote by  $\text{Cl}(A_K) = \text{Frac}(A_K)/P$  the class group of  $A_K$  and by  $\text{Cl}_+(A_K) = \text{Frac}(A_K)/P_+$  the narrow class group of  $A_K$ . Let

$$\text{Cl}_*(A_K, E) = \text{Frac}(A_K)/P_*(E)$$

denote the class group attached to  $P_*(E)$ .

**Remark 2.9.** If  $\text{Cl}_+(A_K) = \text{Cl}(A_K)$ , then  $P_+ = P = P_*(E)$ , therefore  $\text{Cl}_*(A_K, E) = \text{Cl}(A_K)$ . In particular,  $\text{Cl}_*(A_K, E)$  is independent of the elliptic curve  $E$ .

**Proposition 2.10.** *The group  $C_*(E)$  is isomorphic to the torsion 2-subgroup of  $\text{Cl}_*(A_K, E)$ , i.e.  $C_*(E) \simeq \text{Cl}_*(A_K, E)[2]$ .*

*Proof.* Let  $L$  be the maximal abelian extension of  $A_K$  satisfying:

- it is unramified at all finite places of  $A_K$ ,
- it is unramified at  $\tilde{v}$  for all real places  $v$  of  $K$ ,
- for each  $v$  of type (ii),  $G_{\tilde{v}_2} = G_{\tilde{v}_3}$  as subgroups of  $\text{Gal}(L/A_K)$ .

Then  $L$  is a finite extension of  $A_K$ , and  $C_*(E) \simeq \text{Hom}(\text{Gal}(L/A_K), \mu_2)$ . The Artin reciprocity map  $\text{rec} : \text{Frac}(A_K) \rightarrow \text{Gal}(L/A_K)$  has kernel  $P_*(E)$ , hence  $\text{Cl}_*(A_K, E) \simeq \text{Gal}(L/A_K)$ . It follows that  $C_*(E) \simeq \text{Cl}_*(A_K, E)[2]$  as claimed.  $\square$

**Theorem 2.11.** *The index  $[\tilde{C}(E) : C_*(E)] \leq 2^{[K:\mathbb{Q}]}$ .*

Before giving the proof, we need some auxiliary results. Let  $A, B$  and  $C$  be the set of archimedean places of  $K$  of type (i), (ii) and (iii) respectively, and let  $a, b, c$  denote their cardinalities, so  $[K : \mathbb{Q}] = a + b + 2c$ . Consider the *sign map*

$$\text{sign} : A_K^\times \rightarrow \prod_{v \in A} \{\pm 1\} \times \prod_{v \in B} (\{\pm 1\} \times \{\pm 1\} \times \{\pm 1\}).$$

This induces a well defined map on  $A_K^\times / (A_K^\times)^2$ . Let

$$\tilde{W} = \prod_{v \in A} \{1\} \times \prod_{v \in B} W$$

where  $W = \{(1, 1, 1), (1, -1, -1), (-1, 1, -1), (-1, -1, 1)\}$ , and let

$$\tilde{V} = \prod_{v \in A} \{1\} \times \prod_{v \in B} V \subset \tilde{W}$$

where  $V = \{(1, 1, 1), (1, -1, -1)\}$ . Note that  $\text{sign}((A_K^\times / (A_K^\times)^2)_\square) \subset \tilde{W}$ , and that  $\text{sign}(\tilde{C}(E)) \subset \tilde{V}$ .

**Lemma 2.12.** *There is an isomorphism*

$$\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_\square) \cdot \tilde{V} \simeq \frac{\text{sign}(A_{\mathcal{O}}^\times) \cdot \tilde{V}}{\text{sign}(\mathcal{O}^\times)}.$$

*Proof.* Note the inclusion  $\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_\square) \subset \text{sign}(A_{\mathcal{O}}^\times)$  induces a homomorphism  $\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_\square) \cdot \tilde{V} \rightarrow (\text{sign}(A_{\mathcal{O}}^\times) \cdot \tilde{V}) / \text{sign}(\mathcal{O}^\times)$ . To prove it is surjective, let  $\alpha \in A_{\mathcal{O}}^\times$ . Clearly  $\mathcal{N}(\alpha) \in \mathcal{O}^\times$ , so

$$\text{sign}(\alpha) = \text{sign}(\alpha \mathcal{N}(\alpha)) \text{sign}(\mathcal{N}(\alpha))$$

is the image of  $\text{sign}(\alpha \mathcal{N}(\alpha)) \in \text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_\square)$ .

To prove it is injective note that  $\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_\square) \cdot \tilde{V} \subset \tilde{W}$  and  $\text{sign}(\mathcal{O}^\times)$  satisfies that for places  $v$  in  $B$  its three coordinates are the same, hence  $\text{sign}(\mathcal{O}^\times) \cap \tilde{W}$  is trivial. □

Let  $[\alpha] \in \tilde{C}(E)$ . Since  $w(\alpha)$  is even for all finite places  $w$  of  $A_K$ , there is a (unique) ideal  $I \in \text{Frac}(A_K)$  such that  $I^2 = (\alpha)$ .

**Lemma 2.13.** *The association  $[\alpha] \mapsto [I]$  induces a well defined map  $\phi : \tilde{C}(E) \rightarrow \text{Cl}(A_K)$ .*

*Proof.* Let  $\alpha \in A_K^\times$  and  $I \in P$  such that  $I^2 = (\alpha)$ . If  $\beta^2 \in (A_K^\times)^2$  then  $(\alpha\beta^2) = I^2(\beta^2) = (I\beta)^2$ . As  $I(\beta)$  lies in the same class as  $I$  then the map  $\phi$  is well defined. □

*Proof of Theorem 2.11.* Consider the short exact sequences

$$0 \longrightarrow \ker \phi \longrightarrow \tilde{C}(E) \xrightarrow{\phi} \text{Cl}(A_K)$$

and

$$0 \longrightarrow P/P_*(E) \longrightarrow \text{Cl}_*(A_K, E)[2] \xrightarrow{\psi} \text{Cl}(A_K)$$

where  $\psi$  is the restriction of the natural projection  $\text{Cl}_*(A_K, E) \rightarrow \text{Cl}(A_K)$ . From the definition of  $\tilde{C}(E)$  and Lemma 2.7 it is clear that the image of  $\phi$  is contained in that of  $\psi$ , and using Proposition 2.10 it follows that

$$(2.1) \quad [\tilde{C}(E) : C_*(E)] = \frac{\#\tilde{C}(E)}{\#\text{Cl}_*(A_K, E)[2]} \leq \frac{\#\ker \phi}{\#(P/P_*(E))}.$$

If  $[\alpha] \in \ker \phi$ , then  $(\alpha) = (\beta)^2$ , so  $\alpha = \beta^2\mu$ , with  $\mu \in A_{\mathcal{O}}^\times$ . Thus

$$\ker \phi = (A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square} \cap \tilde{C}(E).$$

The sign map induces an isomorphism

$$\frac{(A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square}}{(A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square} \cap \tilde{C}(E)} \simeq \frac{\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square})}{\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square}) \cap \tilde{V}}.$$

By the second isomorphism theorem,

$$\frac{\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square})}{\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square}) \cap \tilde{V}} \simeq \frac{\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square}) \cdot \tilde{V}}{\tilde{V}},$$

hence

$$\frac{\#(A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square}}{\#\ker \phi} = \frac{\#(\text{sign}((A_{\mathcal{O}}^\times / (A_{\mathcal{O}}^\times)^2)_{\square}) \cdot \tilde{V})}{\#\tilde{V}} = \frac{\#(\text{sign}(A_{\mathcal{O}}^\times) \cdot \tilde{V})}{\#\tilde{V} \# \text{sign}(\mathcal{O}^\times)},$$

where the last equality follows from Lemma 2.12.

On the other hand,

$$\frac{P}{P_*(E)} \simeq \frac{A_K^\times}{A_\mathcal{O}^\times \cdot \text{sign}^{-1}(\tilde{V})} \simeq \frac{\text{sign}(A_K^\times)}{\text{sign}(A_\mathcal{O}^\times) \cdot \tilde{V}}$$

via the sign map. We conclude

$$[\tilde{C}(E) : C_*(E)] \leq \frac{\#\ker \phi}{\#(P/P_*(E))} = \frac{\#\tilde{V} \#\text{sign}(\mathcal{O}^\times) \#(A_\mathcal{O}^\times/(A_\mathcal{O}^\times)^2)_\square}{\#\text{sign}(A_K^\times)}$$

and the theorem follows from  $\#\tilde{V} = 2^b$ ,  $\#\text{sign}(\mathcal{O}^\times) = 2^{a+b}$ ,  $\#\text{sign}(A_K^\times) = 2^{a+3b}$ ,  $a + b + 2c = [K : \mathbb{Q}]$  and the following lemma.  $\square$

**Lemma 2.14.** *With the previous notation,  $\#(A_\mathcal{O}^\times/(A_\mathcal{O}^\times)^2)_\square = 2^{a+2b+2c}$ .*

*Proof.* Consider the norm map  $\mathcal{N} : A_\mathcal{O}^\times/(A_\mathcal{O}^\times)^2 \rightarrow \mathcal{O}^\times/(\mathcal{O}^\times)^2$ . This map is surjective since  $[A_K : K] = 3$  (given  $\epsilon \in \mathcal{O}^\times$ ,  $\mathcal{N}(\epsilon) = \epsilon$  up to squares) and  $(A_\mathcal{O}^\times/(A_\mathcal{O}^\times)^2)_\square$  is by definition its kernel. By Dirichlet’s unit theorem we have  $\#\mathcal{O}^\times/(\mathcal{O}^\times)^2 = 2^{a+b+c}$ . Likewise we have  $\#A_\mathcal{O}^\times/(A_\mathcal{O}^\times)^2 = 2^{2a+3b+3c}$ , and the result follows.  $\square$

**Remark 2.15.** The inequality in Theorem 2.11 becomes an equality if the image of  $\psi$  equals that of  $\phi$ ; in that case, the inequality in (2.1) becomes an equality and the proof continues mutatis mutandis. This is the case if for example  $K$  is a totally real number field. The reason is that a totally positive number field  $K$  with odd class number satisfies that all totally positive units are squares. Then if  $I \in \text{Cl}_*(A_K, E)[2]$ , by definition  $I^2 = (\alpha)$ , with  $\alpha \in P_*(E)$ . Clearly  $\alpha$  has even valuation at all finite places, and satisfies the hypothesis on elements of  $\tilde{C}(E)$  at the archimedean places by definition of  $P_*(E)$ . Note that  $\mathcal{N}(\alpha)$  is a square up to a unit (it matches the norm of  $I^2$ ), and it is totally positive, hence the unit must be also a square.

Combining Proposition 2.6, Proposition 2.10 and Theorem 2.11, we obtain

**Theorem 2.16.** *Let  $K$  be a number field and let  $E/K$  be an elliptic curve satisfying hypotheses 2.1. Then*

$$\dim_{\mathbb{F}_2} \text{Cl}_*(A_K, E)[2] \leq \dim_{\mathbb{F}_2} \text{Sel}_2(E) \leq \dim_{\mathbb{F}_2} \text{Cl}_*(A_K, E)[2] + [K : \mathbb{Q}].$$

*In particular, if  $K = \mathbb{Q}$ , the order of the Selmer group is determined by the 2-torsion of  $\text{Cl}_*(A_K, E)$  and the root number of  $E$ .*

This is a generalization of [Li19, Theorem 2.18], noting that if  $\Delta(E) < 0$  then  $\text{Cl}_*(A_{\mathbb{Q}}, E) = \text{Cl}(A_{\mathbb{Q}})$  (in particular it does not depend on the elliptic curve  $E$ ). It is a natural question whether the bound in Theorem 2.16 is sharp. We will show some examples of elliptic curves over number fields which do attain the lower and upper bound in Section 4.

### 3. Application to quadratic twists

For this section,  $E/\mathbb{Q}$  will denote an elliptic curve satisfying hypotheses 2.1. If  $d \in \mathbb{Z}$ , we denote by  $E_d$  the twist of  $E$  by  $\mathbb{Q}(\sqrt{d})$ , namely if  $E$  is given by an equation  $E : y^2 = F(x)$  then  $E_d : dy^2 = F(x)$ , which also equals

$$E_d : y^2 = d^3 F(x/d).$$

Note that both  $E$  and  $E_d$  have the same attached cubic field.

**Lemma 3.1.** *If  $d$  is a fundamental discriminant satisfying that all primes  $p \mid d$  are inert or totally ramified in  $A_{\mathbb{Q}}$  then the twisted curve  $E_d$  also satisfies hypotheses 2.1.*

*Proof.* By definition, we need to check the condition locally at each prime  $p$ . Clearly the condition (†.i) is invariant under twisting (since the attached cubic field is invariant). Note that all primes  $p$  dividing  $d$  belong to the case (†.i) by the hypothesis. Consider now a prime  $p \nmid d$ . If  $E/\mathbb{Q}_p$  satisfies (†.ii) then the discriminants of  $F(x)$  and  $d^3 F(x/d)$  differ by a unit, hence  $E_d$  also satisfies (†.ii). If  $E/\mathbb{Q}_p$  satisfies (†.iii) then  $E_d$  also satisfies (†.iii), since for each  $p > 2$  the parity of  $[E(\mathbb{Q}_p) : E_0(\mathbb{Q}_p)]$  and  $[E_d(\mathbb{Q}_p) : (E_d)_0(\mathbb{Q}_p)]$  are equal (see the proof of [KL19, Lemma 5.6]). At last, if  $E/\mathbb{Q}_p$  satisfies (†.iv) then  $E_d$  also satisfies (†.iv), because for  $d \equiv 1 \pmod{4}$ ,  $E$  has good reduction at 2 if and only if  $E_d$  does.  $\square$

In particular, if  $d$  is a fundamental discriminant such that all primes  $p \mid d$  are inert in  $A_{\mathbb{Q}}$ , we can apply Theorem 2.16 to both  $E$  and  $E_d$ . The caveat is that if  $\Delta(E) > 0$  the order of the roots of  $F(T)$  (hence the distinguished place) is reversed when  $d < 0$  and preserved when  $d > 0$ , hence for  $d < 0$  the class groups  $\text{Cl}_*(A_{\mathbb{Q}}, E)$  and  $\text{Cl}_*(A_{\mathbb{Q}}, E_d)$  might be different (but only if  $\Delta(E) > 0$  and  $\text{Cl}_+(A_{\mathbb{Q}}) \neq \text{Cl}(A_{\mathbb{Q}})$ ). This issue can be overcome if we consider pairs  $d_1, d_2$  of discriminants satisfying the above hypothesis with  $d_1/d_2 > 0$ .

**Remark 3.2.** Let  $E/\mathbb{Q}$  be an elliptic curve satisfying hypotheses 2.1, and let  $d_1, d_2$  be fundamental discriminants satisfying that all primes  $p \mid d_i$ ,  $i = 1, 2$

are inert in  $A_{\mathbb{Q}}$ . Suppose also that either (a)  $\Delta(E) < 0$ , (b)  $\text{Cl}_+(A_{\mathbb{Q}}) = \text{Cl}(A_{\mathbb{Q}})$ , or (c)  $d_1/d_2 > 0$ . Then we have the following diagram

$$\begin{array}{ccccc} C_*(E_{d_1}) & \subset & \text{Sel}_2(E_{d_1}) & \subset & \tilde{C}(E_{d_1}) \\ \parallel & & & & \parallel \\ C_*(E_{d_2}) & \subset & \text{Sel}_2(E_{d_2}) & \subset & \tilde{C}(E_{d_2}) \end{array}$$

As the index  $[\tilde{C}(E_{d_1}) : C_*(E_{d_1})] = 2$ , then  $\text{Sel}_2(E_{d_1}) = \text{Sel}_2(E_{d_2})$  if and only if both curves have the same root number. In particular we have infinitely many twists of  $E$  with the same 2-Selmer group.

We can explicitly determine for which discriminants both Selmer groups coincide, and say something on their densities if we restrict to prime discriminants. Let  $p$  be an odd prime number, and let  $p^* = \left(\frac{-1}{p}\right)p$ ; recall that the quadratic extension of  $\mathbb{Q}$  unramified outside  $p$  corresponds to  $\mathbb{Q}(\sqrt{p^*})$ . Let  $\epsilon(E)$  denote the root number of  $E$ . Recall that if  $p \nmid 2\Delta(E)$ , then

$$\epsilon(E)\epsilon(E_{p^*}) = \chi_p(-N_E),$$

where  $N_E$  is the conductor of  $E$  and  $\chi_p$  is the quadratic character unramified outside  $p$ .

**Theorem 3.3.** *Let  $E/\mathbb{Q}$  be an elliptic curve satisfying hypotheses 2.1, and suppose furthermore that either  $\Delta(E) < 0$  or  $\text{Cl}_+(A_{\mathbb{Q}}) = \text{Cl}(A_{\mathbb{Q}})$ . Then the set of prime numbers  $p$  inert in  $A_{\mathbb{Q}}$  has density at least  $1/3$  and for any such prime  $p$  which does not divide  $\Delta(E)$  it holds:*

- *if  $-\frac{\Delta(E)}{N_E} \equiv \square \pmod{p}$  then  $E$  and  $E_{p^*}$  have the same root number. In particular, both curves have the same 2-Selmer group,*
- *otherwise,  $E$  and  $E_{p^*}$  have opposite root number, and all curves  $E_{p^*}$  in this second case have the same 2-Selmer group.*

*In particular, the set of all quadratic twists of  $E$  by prime discriminants has a subset of density at least  $1/6$  where all curves in this set have the same 2-Selmer group.*

*Proof.* The density of prime discriminants that are inert in  $A_{\mathbb{Q}}/\mathbb{Q}$  equals

$$\text{density} = \begin{cases} \frac{2}{3} & \text{if } A_{\mathbb{Q}}/\mathbb{Q} \text{ is Galois,} \\ \frac{1}{3} & \text{otherwise.} \end{cases}$$

Recall that for an elliptic curve of the form  $E : y^2 = F(x)$ ,  $\Delta(E) = 2^4 \Delta(F(x))$ , hence  $\Delta(A_{\mathbb{Q}})$  differ from  $\Delta(E)$  by a square. In particular, since  $p$  is inert in  $A_{\mathbb{Q}}$ ,  $\chi_p(\Delta(A_{\mathbb{Q}})) = 1$ , and

$$\epsilon(E)\epsilon(E_{p^*}) = \chi_p(-N_E) = \chi_p\left(-\frac{\Delta(E)}{N_E}\right).$$

This proves the claim on the root numbers. The result on the 2-Selmer group follows from Remark 3.2, noting that when  $\Delta(E) < 0$  there are no real places of type (ii) so in the bounds of Theorem 2.16 are independent of  $E$ ; and this is always the case when  $\text{Cl}_+(A_{\mathbb{Q}}) = \text{Cl}(A_{\mathbb{Q}})$ .  $\square$

Recall the definitions given in the introduction: let  $d_2(E)$  denote the 2-Selmer rank of  $E$  and define

$$N_r(E, X) = |\{\text{quadratic } L/\mathbb{Q} : d_2(E^L) = r \text{ and } |\delta(L/\mathbb{Q})| < X\}|,$$

where  $E^L$  denotes the quadratic twist of  $E$  corresponding to  $L$  and  $\delta(L/\mathbb{Q})$  is the discriminant of the extension  $L/\mathbb{Q}$ .

**Corollary 3.4.** *Let  $E/\mathbb{Q}$  be an elliptic curve satisfying hypotheses 2.1, and suppose furthermore that either  $\Delta(E) < 0$  or  $\text{Cl}_+(A_{\mathbb{Q}}) = \text{Cl}(A_{\mathbb{Q}})$ . Let  $r \geq 0$ , and suppose that  $E$  has a quadratic twist by a prime inert in  $A_{\mathbb{Q}}$  whose 2-Selmer group has rank  $r$ . Then  $N_r(E, X) \gg X/\log(X)^{1-\alpha}$ , where*

$$\alpha = \begin{cases} 1/3 & \text{if } A_{\mathbb{Q}}/\mathbb{Q} \text{ is Galois,} \\ 1/6 & \text{otherwise.} \end{cases}$$

*Proof.* The proof is a standard application of Ikehara’s tauberian theorem, as explained in [KL19], proof of Theorem 1.12.  $\square$

**Remark 3.5.** If  $-\frac{\Delta(E)}{N_E}$  is a square then all inert primes lie in the first case of Theorem 3.3 (and the proportion of twists with the same 2-Selmer group raises to 1/3, and in the previous Corollary the constant  $\alpha$  is doubled). This is the case for example if the elliptic curve  $E$  is semistable of odd conductor and  $\Delta(E) < 0$ . In such case Ogg’s formula ([Sai88]) implies that for each prime of (multiplicative) bad reduction the difference between the conductor and the discriminant valuations at an odd prime  $p$  equals the number of irreducible components of the Néron model minus one; we claim that hypotheses 2.1 together with  $E$  being semistable implies that such number is always odd, hence the result. Note that the 2-division polynomial of a semistable curve

always has a root on the base field, hence (†.i) cannot hold. The case (†.ii) implies that the discriminant of the polynomial has valuation 0 or 1 (recall that  $p$  is odd), hence there is a unique component. Finally, the condition (†.iii) implies that the number of components is odd.

A similar result holds for other elliptic curves where all primes of bad reduction satisfy that  $[E(K) : E_0(K)]$  is odd (i.e. condition (†.iii) even for  $p = 2$ ), since for odd primes the hypothesis implies that the number of irreducible components in the Néron model of  $E$  is odd and for  $p = 2$  the result follows from the proof of [KL19, Lemma 5.9], end of part (3).

Let  $\mathcal{C}_1$  be the set of prime numbers which ramify completely or are totally inert in  $A_{\mathbb{Q}}$ , and let  $K = \mathbb{Q}(\sqrt{p^*} : p \in \mathcal{C}_1)$ , an infinite polyquadratic extension.

**Corollary 3.6.** *In the hypotheses of Theorem 3.3, suppose that  $E$  has trivial 2-Selmer group. Then  $E(K)$  is finite.*

*Proof.* If  $P \in E(K)$  is a point of infinite order, then  $P$  belongs to a finite polyquadratic subextension  $L/\mathbb{Q}$ . Let  $A = \text{Res}_{\mathbb{Q}}^L E$  be the restriction of scalars, so  $E(L) = A(\mathbb{Q})$ . There is an isogeny

$$\phi : A \rightarrow \sum_{\chi} E_{\chi},$$

where  $\chi$  runs over quadratic characters of  $\text{Gal}(L/\mathbb{Q})$ . By Theorems 2.16 and 3.3 all curves  $E_{\chi}$  have trivial 2-Selmer group, hence  $P$  cannot have infinite order. We deduce the corollary by noting that  $E(K)_{\text{tors}}$  is finite by [Rib81].  $\square$

**Example 5.** The elliptic curve  $E_{11a1}$  with LMFDB label 11.a1 has no rational 2-torsion points and is semistable. Its cubic field corresponds to the polynomial  $x^3 - x^2 + x + 1$  of discriminant  $-44$ . The prime 11 is not totally ramified in  $A_{\mathbb{Q}}$ , hence it does not belong to  $\mathcal{C}_1$ . The prime 2 is totally ramified so  $2 \in \mathcal{C}_1$ . The set  $\mathcal{C}_1 \subset \{p : \left(\frac{-44}{p}\right) = 1\} \cup \{2\}$ , and over the polyquadratic extension  $K = \mathbb{Q}(\sqrt{p} : p \in \mathcal{C}_1)$ , the group  $E(K)$  is finite.

For positive discriminants we get a similar result (with a similar corollary); see also Example 7. Let  $E/\mathbb{Q}$  be an elliptic curve with  $\Delta(E) > 0$ , and divide the set of primes inert in  $A_{\mathbb{Q}}$  into the following four different sets:

- $\mathcal{C}_{+,\square} = \{p \equiv 1 \pmod{4} \text{ such that } \frac{\Delta(E)}{N_E} \equiv \square \pmod{p}\},$

- $\mathcal{C}_{+, \square} = \{p \equiv 1 \pmod{4} \text{ such that } \frac{\Delta(E)}{N_E} \equiv \square \pmod{p}\},$
- $\mathcal{C}_{-, \square} = \{p \equiv 3 \pmod{4} \text{ such that } \frac{\Delta(E)}{N_E} \equiv \square \pmod{p}\},$
- $\mathcal{C}_{-, \square} = \{p \equiv 3 \pmod{4} \text{ such that } \frac{\Delta(E)}{N_E} \equiv \square \pmod{p}\}.$

The set  $\mathcal{C}_{+, \square}$  is non-empty and has density at least  $1/12$ .

**Theorem 3.7.** *Let  $E/\mathbb{Q}$  be an elliptic curve satisfying hypotheses 2.1, and suppose furthermore that  $\Delta(E) > 0$ . Then if  $p$  is a prime inert in  $A_{\mathbb{Q}}$  which does not divide  $\Delta(E)$ , the root number of  $E_{p^*}$  equals that of  $E$  if  $p \in \mathcal{C}_{+, \square} \cup \mathcal{C}_{-, \square}$ , while it is the opposite one if  $p \in \mathcal{C}_{+, \square} \cup \mathcal{C}_{-, \square}$ . Furthermore, if  $p_1, p_2$  are inert primes in the same set,  $\text{Cl}_*(A_{\mathbb{Q}}, E_{p_1^*}) = \text{Cl}_*(A_{\mathbb{Q}}, E_{p_2^*})$ . In particular, if  $p_1$  and  $p_2$  belong to the same set, the curve  $E_{p_1^*}$  and the curve  $E_{p_2^*}$  have the same 2-Selmer group.*

*Proof.* Note that primes in  $\mathcal{C}_{+, \square} \cup \mathcal{C}_{+, \square}$  (i.e.  $p \equiv 1 \pmod{4}$ ) correspond to twists by real quadratic fields and primes in  $\mathcal{C}_{-, \square} \cup \mathcal{C}_{-, \square}$  correspond to twists by imaginary quadratic fields.

The proof mimics the negative discriminant case. To get the root number statement, note that  $\chi_p(-N_E) = \chi_p(-1)\chi_p(\Delta(E))$ . Then if  $p \equiv 1 \pmod{4}$ , the same proof applies, while if  $p \equiv 3 \pmod{4}$ ,  $\chi_p(-N_E) = -\chi_p(\Delta(E))$ , which explains the change of root number.

Regarding the 2-Selmer statement, if  $p_1$  and  $p_2$  belong to the same set, the curves  $E_{p_1^*}$  and  $E_{p_2^*}$  are a positive quadratic twist of each other, hence  $\text{Cl}_*(A_{\mathbb{Q}}, E_{p_1^*}) = \text{Cl}_*(A_{\mathbb{Q}}, E_{p_2^*})$  so the bound of Theorem 2.16 and Remark 3.2 prove the statement. □

An immediate application of the previous result is that when  $\Delta(E) > 0$  among the set of all quadratic twists of  $E$  there is a subset with density at least  $1/12$  satisfying that all curves on it have the same 2-Selmer group as  $E$  (corresponding to the primes in  $\mathcal{C}_{+, \square}$ ). A result similar to Corollary 3.6 applies in this situation.

### 3.1. General fields

The results of the previous section have a natural analogue over a general number field  $K$ . Still there are many subtleties, for example: it is not always true that given a prime ideal  $\mathfrak{p}$  of  $K$  there is a quadratic extension of  $K$  which is unramified outside  $\mathfrak{p}$  (and there might be more than one such extension). The way to solve it is to consider quadratic extensions  $K(\sqrt{\alpha})/K$  of prime

discriminant (instead of prime ideals), and twist curves by them. Although most of the results for  $\mathbb{Q}$  extend mutatis mutandis for  $K$ , we give a weaker not technical version.

**Theorem 3.8.** *Let  $K$  be a number field and let  $E/K$  be an elliptic curve satisfying hypotheses 2.1. Then among the quadratic twists of  $E$  by quadratic extensions of prime discriminant, a positive proportion have 2-Selmer group whose rank lies in the interval  $[\text{Cl}_*(A_K, E)[2], \text{Cl}_*(A_K, E)[2] + [K : \mathbb{Q}]$ .*

*Proof.* Considering only quadratic extensions  $K(\sqrt{\alpha})$  of prime discriminant which are unramified at the archimedean places of  $K$  of type (ii), we can assure that the groups  $\text{Cl}_*(A_K, E)$  and  $\text{Cl}_*(A_K, E_\alpha)$  are equal, hence the result follows from Theorem 2.16 and Remark 3.2.  $\square$

**Remark 3.9.** A similar application of the previous Theorem gives a result in the spirit of Corollary 3.4 for general number fields. However, even if we fix the root number, we cannot state precisely which rank in the above interval is obtained infinitely many times (except for example when  $[K : \mathbb{Q}] = 2$ ), hence our result is not as strong as that of [MR10] (Theorem 1.4).

## 4. Examples

The following examples were computed using SageMath [Sag19] and PARI/GP [PAR19]. The 2-Selmer rank, when necessary, was computed using Magma [BCP97].

### 4.1. Examples with $K = \mathbb{Q}$

**Example 6.** Let  $F(x) = x^3 - x^2 - 54x + 169$  (corresponding to the elliptic curve 106276.a1). Its rank equals 3. The discriminant of  $F(x)$  equals  $163^2$ , which also equals the discriminant of  $A_{\mathbb{Q}}$ , hence (†.ii) is satisfied for all primes. Furthermore, since the discriminant is a square,  $A_{\mathbb{Q}}$  is a Galois extension of  $\mathbb{Q}$ . The class group  $\text{Cl}(A_{\mathbb{Q}}) = \text{Cl}_+(A_{\mathbb{Q}}) \simeq \mathbb{Z}/2 \times \mathbb{Z}/2$ . In particular,  $\text{Cl}_*(A_{\mathbb{Q}}, E_d) = \text{Cl}(A_{\mathbb{Q}})$  has 2-rank 2, hence Theorems 2.16, 3.3 and 3.7 imply that the curve and all quadratic twists by primes which are inert in  $A_{\mathbb{Q}}$  have 2-Selmer rank in  $\{2, 3\}$ .

In fact the sign of the functional equations gives the parity of the 2-Selmer rank (see [Mon96, Theorem 1.5]), hence the 2-Selmer rank of  $E_p$  is 3 for inert primes  $p \equiv 1 \pmod{4}$  and 2 for inert primes  $p \equiv 3 \pmod{4}$ . For instance,  $E$  itself has 2-Selmer rank 3, while its quadratic twist by  $d = -3$  has 2-Selmer rank 2. In particular, both bounds are attained.

If we consider twists by split primes (not satisfying hypotheses 2.1) we check that the twists by  $d = -23, 5, -347, 241, -331, 2341$  have 2-Selmer rank 0, 1, 2, 3, 4, 5 (respectively), so neither the lower or upper bounds hold.

**Example 7.** Let  $F(x) = x^3 - 7x + 3$  (corresponding to the elliptic curve 9032.a1, see Example 4). Its rank equals 2. The discriminant of  $F(x)$  equals 1129, which also equals the discriminant of  $A_{\mathbb{Q}}$ , hence (†.ii) is satisfied for all primes. The class group  $\text{Cl}(A_{\mathbb{Q}})$  is trivial but the narrow class group  $\text{Cl}_+(A_{\mathbb{Q}})$  has order 2. The ray class group  $\text{Cl}_*(A_{\mathbb{Q}}, E)$  also has order 2. In particular, when taking quadratic twists by discriminants  $d > 0$  it turns out that  $\text{Cl}_*(A_{\mathbb{Q}}, E_d) = \text{Cl}_*(A_{\mathbb{Q}}, E)$  has 2-rank 1, hence Theorem 2.16 implies that the curve and all quadratic twists by positive prime discriminants which are inert in  $A_{\mathbb{Q}}$  have 2-Selmer rank in  $\{1, 2\}$ , determined by the sign of the functional equation. For instance, the quadratic twists by  $d = 5$  and  $d = 113$  have 2-Selmer rank 1 and 2, respectively.

If we take quadratic twists by discriminants  $d < 0$ , the distinguished real place changes, and  $\text{Cl}_*(A_{\mathbb{Q}}, E_d)$  is trivial, hence all quadratic twists by negative prime discriminants which are inert in  $A_{\mathbb{Q}}$  have 2-Selmer group rank in  $\{0, 1\}$ , determined by the sign of the functional equation. For instance, the quadratic twists by  $d = -43$  and  $d = -7$  have 2-Selmer rank 0 and 1, respectively.

#### 4.2. Examples with $K = \mathbb{Q}(\sqrt{17})$

The quadratic field  $K$  has trivial narrow class group (hence it equals the class group).

**Example 8.** Let  $F(x) = x^3 + x + 3$  (corresponding, over  $\mathbb{Q}$ , to the elliptic curve 1976.a1). Its rank equals 2. The discriminant of  $F(x)$  equals  $-13 \cdot 19$ , which also equals the discriminant of  $A_K$ , hence (†.ii) is satisfied for all primes. The narrow class group of  $A_K$  is trivial, hence  $\text{Cl}_*(A_K, E_d)$  is trivial. Theorem 2.16 thus implies that the curve and all quadratic twists by primes which are inert in  $A_K$  have 2-Selmer rank in  $\{0, 1, 2\}$ .

The curve itself, and also the quadratic twist by  $d = 97 + 24\sqrt{17}$  of norm 383, have 2-Selmer rank 2, the quadratic twist by  $d = -13 + 2\sqrt{17}$  of norm 101 has 2-Selmer rank 1, and the quadratic twist by  $d = 45 + 8\sqrt{17}$  of norm 937 has 2-Selmer rank 0. On the other hand the quadratic twist by  $d = 29 + 4\sqrt{17}$ , which is *not* inert in  $A_K$ , has 2-Selmer rank 3.

### 4.3. Examples with $K = 3.1.23.1$

The field  $K$  corresponds to the cubic field of discriminant  $-23$  given by  $K = \mathbb{Q}(\alpha)$  with  $\alpha^3 - \alpha^2 + 1$  and trivial narrow class group. Since  $[K : \mathbb{Q}] = 3$ , our lower and upper bound in Theorem 2.16 differ by 3 so the functional equation sign is not enough to determine the rank of the 2-Selmer group in any case.

**Example 9.** Let  $F(x) = x^3 + x + 3$  (corresponding, over  $\mathbb{Q}$ , to the elliptic curve 1976.a1). The discriminant of  $F(x)$  equals  $-13 \cdot 19$ , which also equals the discriminant of  $A_K$ , hence (†.ii) is satisfied for all primes. Its rank equals 1.

The narrow class group of  $A_K$  is trivial, hence  $\text{Cl}_*(A_K, E)$  is trivial. Our bound implies that the curve and all quadratic twists by primes which are inert in  $A_K$  have 2-Selmer rank in  $\{0, 1, 2, 3\}$ .

The curve itself and the quadratic twist by  $-2\alpha^2 + \alpha - 2$  have 2-Selmer rank 1, and the quadratic twist by  $-4\alpha^2 + 3\alpha + 1$  has 2-Selmer rank 0. In particular the lower bound is attained.

On the other hand, we note that all the quadratic twists by inert prime discriminants of norm up to 100 000 (there are 808 such discriminants) have 2-Selmer rank 0 or 1. This is not explained by our results.

**Example 10.** Let  $F(x) = x^3 + x + 11$  (corresponding, over  $\mathbb{Q}$ , to the elliptic curve 26168.a1). The discriminant of  $F(x)$  equals  $-3271$ , which also equals the discriminant of  $A_K$ , hence (†.ii) is satisfied for all primes. Its rank equals 4.

The class group  $\text{Cl}(A_K) = \text{Cl}_+(A_K) \simeq \mathbb{Z}/2$ . In particular  $\text{Cl}_*(A_K, E) = \text{Cl}(A_K)$  has 2-rank 1. Thus our bound implies that the curve and all quadratic twists by primes which are inert in  $A_K$  have 2-Selmer rank in  $\{1, 2, 3, 4\}$ .

The curve itself and the quadratic twist by  $-2\alpha^2 + \alpha - 2$  have 2-Selmer rank 4, and the quadratic twist by  $-\alpha^2 - \alpha + 4$  has 2-Selmer rank 3. In particular the upper bound is attained.

On the other hand, we note that all the quadratic twists by inert prime discriminants of norm up to 100 000 (there are 844 such discriminants) have 2-Selmer rank 3 or 4. This is not explained by our results.

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