

# On the finiteness of ample models

JUNPENG JIAO

In this paper, we generalize the finiteness of models theorem in [2] to Kawamata log terminal pairs with fixed Kodaira dimension. As a consequence, we prove that a Kawamata log terminal pair with  $\mathbb{R}$ -boundary has a canonical model, and it can be approximated by log pairs with  $\mathbb{Q}$ -boundary and the same canonical model.

## 1. Introduction

Throughout this paper, the ground field  $k$  is the field of complex numbers. The purpose of this paper is to prove the following theorems on the finiteness of ample models and good minimal models.

**Theorem 1.1 (Finiteness of Ample Models).** *Let  $X$  be a projective normal variety of dimension  $n$ . Let  $V$  be a finite dimensional affine subspace of the vector space  $\mathrm{WDiv}_{\mathbb{R}}(X)$  which is defined over  $\mathbb{Q}$ . Fix a nonnegative integer  $0 \leq k \leq n$ . Suppose  $L \subset \mathcal{L}(V)$  (see Definition 2.3) is a closed rational polytope, such that for any  $\mathbb{Q}$ -divisor  $\Delta \in L$ ,  $(X, \Delta)$  is klt and  $\kappa(X, K_X + \Delta) = k$ .*

*Then there are finitely many rational contractions  $\pi_j : X \dashrightarrow Z_j$ ,  $1 \leq j \leq l$ , such that if  $\pi : X \dashrightarrow Z$  is the ample model of  $K_X + \Delta$  for some  $\mathbb{R}$ -divisor  $\Delta \in L$ , then there is an index  $1 \leq j \leq l$  and an isomorphism  $\xi : Z_j \rightarrow Z$  such that  $\pi = \xi \circ \pi_j$ .*

**Theorem 1.2 (Finiteness of Good Minimal Models).** *With notation as in Theorem 1.1, if for some  $\mathbb{R}$ -divisor  $\Delta_0 \in \mathrm{int}(L)$ ,  $K_X + \Delta_0$  has a good minimal model. Then for any  $\Delta \in L$ ,  $K_X + \Delta$  has a good minimal model. And there are finitely many birational contractions  $\phi_j : X \dashrightarrow X_j$ ,  $1 \leq j \leq m$  such that if  $\phi : X \dashrightarrow Y$  is good minimal model of  $K_X + \Delta$ , for some  $\mathbb{R}$ -divisor  $\Delta \in L$ , then there is an index  $1 \leq j \leq m$  such that  $(Y, \phi_*\Delta)$  is crepant birational with  $(X_j, \phi_{j*}\Delta)$ .*

There are several interesting applications of these results. The first is an approximation of an effective klt pair with  $\mathbb{R}$ -boundary.

**Corollary 1.3.** *Suppose  $X$  is a projective variety,  $\Delta$  is an  $\mathbb{R}$ -divisor such that  $(X, \Delta)$  is a klt pair and  $\kappa(X, K_X + \Delta) \geq 0$ . Then for any  $0 < \epsilon \ll 1$ , we can find finitely many  $\mathbb{Q}$ -divisor  $\Delta_i$ ,  $1 \leq i \leq l$ , such that,*

- 1)  $\Delta$  is a convex  $\mathbb{R}$ -linear combination of  $\Delta_i$ .
- 2)  $\|\Delta - \Delta_i\| < \epsilon$ .
- 3) There is a normal variety  $Z$ , such that  $Z \cong \text{Proj } R(X, K_X + \Delta_i)$  for every  $i$ .

The idea is to use the Kodaira-type canonical bundle formula on Iitaka fibration. Let  $f : (X, \Delta) \rightarrow Z$  be an lc-trivial fibration, see definition 2.8. The Kodaira-type canonical bundle formula says that

$$D \sim_{\mathbb{Q}} K_Y + B_Y + M_Y,$$

where  $B_Y$  is the boundary part and  $M_Y$  is the moduli part. Much is known about the birational behaviour of such formulas: In particular, it is known that, after passing to a certain birational model  $Y'$  of  $Y$ , the divisor  $M_{Y'}$  is nef and for any higher birational model  $Y'' \rightarrow Y'$  the induced moduli part  $M_{Y''}$  on  $Y''$  is the pullback of  $M_{Y'}$ . We call such a variety  $Y'$  the Ambro model of  $f$ .

Two of the main conjectures in higher dimensional birational geometry are:

**Conjecture 1.4 (B-semiampleness Conjecture).** *Let  $(X, \Delta)$  be a sub pair and let  $f : (X, \Delta) \rightarrow Y$  be a klt-trivial fibration to an  $n$ -dimension variety  $Y$ . If  $Y$  is an Ambro model of  $f$ , then  $M_Y$  is semiample.*

**Conjecture 1.5 (Nonvanishing).** *Let  $(X, \Delta)$  be a klt pair of dimension  $n$  with  $\mathbb{Q}$ -boundary. If  $K_X + \Delta$  is pseudo-effective, then there exists an effective  $\mathbb{Q}$ -divisor  $D$  such that  $K_X + \Delta \sim_{\mathbb{Q}} D$ .*

Assume that these two conjectures hold in dimension  $n - 1$ , we prove the following statement which says that if a pseudo-effective klt pair is the limit of effective pairs with non-maximum Iitaka dimension, then it is effective.

**Corollary 1.6.** *Assume Conjecture 1.4 and Conjecture 1.5 hold in dimension  $n - 1$ . Let  $(X, \Delta)$  be a klt pair with  $\mathbb{Q}$ -boundary. Suppose  $K_X + \Delta$  is pseudo-effective, and there is an effective  $\mathbb{Q}$ -divisor  $H$  on  $X$ , such that for*

any  $0 < \epsilon \ll 1$ , we have

$$0 \leq \kappa(X, K_X + \Delta + \epsilon H) < n.$$

Then there exists an effective  $\mathbb{Q}$ -divisor  $D$  such that  $K_X + \Delta \sim_{\mathbb{Q}} D$ .

Another interesting application concerns the MMP with scaling.

**Corollary 1.7.** *Let  $(X, \Delta)$  be a klt pair with  $\mathbb{Q}$ -boundary,  $\kappa(X, K_X + \Delta) \geq 0$  and  $H$  is a pseudo-effective  $\mathbb{Q}$ -divisor, such that  $(X, \Delta + H)$  is klt. Suppose we can run the  $K_X + \Delta$  MMP with scaling of  $H$  to get a sequence  $\phi_i : X_i \dashrightarrow X_{i+1}$  of  $K_X + \Delta$  flips and divisorial contractions and real numbers  $1 \geq \lambda_1 \geq \lambda_2 \geq \dots$  such that  $K_{X_i} + \Delta_i + tH_i$  is nef for  $t \in [\lambda_i, \lambda_{i+1}]$ . Let  $\lambda := \lim_{i \rightarrow \infty} \lambda_i$ . If  $K_X + \Delta + H$  has a good minimal model and  $\lambda \neq \lambda_i$  for any  $i \in \mathbb{N}$ , then  $\lambda = 0$*

## 2. Preliminary

### 2.1. Notations and Conventions

We will use the notations in [2] and [8].

Let  $\mathbb{Q}$  be the field of rational numbers and  $\mathbb{R}$  be that of real numbers, let  $\mathbb{K}$  be  $\mathbb{Q}$  or  $\mathbb{R}$ . Let  $\pi : X \rightarrow U$  be a proper morphism of normal algebraic varieties. A  $\mathbb{K}$ -divisor (respectively  $\mathbb{K}$ -Cartier divisor)  $D$  on  $X$  is a  $\mathbb{K}$ -linear combination of prime divisors (respectively Cartier divisors). We say two  $\mathbb{K}$ -divisors  $D$  and  $D'$  are  $\mathbb{K}$ -linearly equivalent over  $U$ , denoted  $D \sim_{\mathbb{K}, U} D'$ , if their difference is a  $\mathbb{K}$ -linear combination of principal divisors and an  $\mathbb{K}$ -Cartier divisor pulled back from  $U$ .

If  $D = \sum d_i D_i$  is an  $\mathbb{R}$ -divisor on a normal variety  $X$ , then the round down of  $D$  is  $\lfloor D \rfloor = \sum \lfloor d_i \rfloor D_i$ , where  $\lfloor d \rfloor$  denotes the largest integer which is at most  $d$ , the fractional part of  $D$  is  $\{D\} = D - \lfloor D \rfloor$ .

The sheaf  $\mathcal{O}_X(D)$  is defined by

$$\mathcal{O}_X(D)(U) = \{f \in k(X) \mid (f)|_U + D|_U \geq 0\},$$

so that  $\mathcal{O}_X(D) = \mathcal{O}_X(\lfloor D \rfloor)$ . Similarly we define  $|D| = |\lfloor D \rfloor|$ .

A pair  $(X, \Delta)$  consists of a normal variety  $X$  over  $\mathbb{C}$  and an  $\mathbb{R}$ -divisor  $\Delta$  on  $X$  such that  $K_X + \Delta$  is  $\mathbb{R}$ -Cartier. If  $g : Y \rightarrow X$  is a birational morphism and  $E$  is a divisor on  $Y$ , the discrepancy  $a(E, X, \Delta)$  is  $-\text{coeff}_E(\Delta_Y)$  where  $K_Y + \Delta_Y := g^*(K_X + \Delta)$ . A pair  $(X, \Delta)$  is called subklt (respectively

sublc) if for every birational morphism  $Y \rightarrow X$  as above,  $a(E, X, \Delta) > -1$  (respectively  $\geq -1$ ) for every divisor  $E$  on  $Y$ . A pair  $(X, \Delta)$  is called klt (respectively lc) if  $(X, \Delta)$  is subklt (respectively sublc) and  $B$  is effective.

**Definition 2.1.** ([Nak04] and [Fuj17]) Let  $D$  be an  $\mathbb{R}$ -divisor of a projective normal variety  $X$  of dimension  $n$ . Assume that  $|D| \neq \emptyset$ . Then we have a rational map

$$\phi_D := \phi_{|D|} : X \dashrightarrow \mathbb{P}(H^0(X, \mathcal{O}_X(D))).$$

We set  $W_D$  to be image of  $\phi_D$ .

Let  $\mathbb{N}(D) := \{m \in \mathbb{N}; |mD| \neq \emptyset\}$ . The Iitaka-dimension  $\kappa(D) = \kappa(X, D)$  of  $D$  is defined as follows:

$$(1) \quad \kappa(D) = \begin{cases} -\infty, & \text{if } \mathbb{N}(D) = \emptyset \\ \max\{\dim W_{mD} \mid m \in \mathbb{N}(D)\}, & \text{if } \mathbb{N}(D) \neq \emptyset. \end{cases}$$

We define the invariant Iitaka dimension of  $D$ , denoted by  $\kappa_\iota(X, D)$ , as follows:

$$(2) \quad \kappa_\iota(X, D) = \begin{cases} \kappa(X, E), & \text{if there is an } \mathbb{R}\text{-divisor } E \geq 0 \text{ such that } E \sim_{\mathbb{R}} D, \\ -\infty, & \text{otherwise.} \end{cases}$$

Let  $A$  be an ample divisor on  $X$ , set

$$\nu(X, D, A) = \max\{k \in \mathbb{Z}_{\geq 0} \mid \limsup_{m \rightarrow \infty} m^{-k} \dim H^0(X, [mD] + A) > 0\},$$

if  $H^0(X, [mD] + A) \neq 0$  for infinitely many  $m \in \mathbb{N}$  or  $\nu(X, D, A) = -\infty$  otherwise.

We define the numerical Iitaka dimension of  $D$ , denoted by  $\nu(X, D)$ , to be

$$\nu(X, D) = \max_{A \text{ ample}} \nu(X, D, A)$$

It is easy to see that  $\nu(X, D) \geq \kappa(X, D)$ .

The relative invariant Iitaka dimension is defined as follows.

Let  $X \rightarrow U$  be a projective morphism of normal varieties and  $D$  be an  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor on  $X$ . Then the relative invariant Iitaka dimension of  $D$ , denoted by  $\kappa_\iota(X/U, D)$ , is defined as follows: If there is an  $\mathbb{R}$ -divisor  $E \geq 0$  such that  $D \sim_{\mathbb{R}, U} E$ , set  $\kappa_\iota(X/U, D) = \kappa_\iota(F, D|_F)$ , where  $F$  is a general fiber of the Stein factorization of  $X \rightarrow U$ , and otherwise we set  $\kappa_\iota(X/U, D) = -\infty$ . Similarly, we define the relative numerical Iitaka dimension to be  $\nu(X/U, D) = \nu(F, D|_F)$ . When there is an  $\mathbb{R}$ -divisor  $E \geq 0$  such

that  $D \sim_{\mathbb{R},U} E$ , it is easy to see that  $\kappa_\nu(X/U, D)$  and  $\nu(X/U, D)$  do not depend on the choice of  $E$  and  $F$ .

**Definition 2.2.** ([BCHM10]) Let  $\pi : X \rightarrow U$  be a projective morphism of normal projective varieties and let  $\Delta$  be an  $\mathbb{R}$ -divisor on  $X$  such that  $(X, \Delta)$  is a lc pair.

We say that a birational contraction  $f : X \dashrightarrow Y$  over  $U$  is a **minimal model** (respectively **good minimal model**) of  $K_X + \Delta$  over  $U$ , if given a common resolution  $p : W \rightarrow X$  and  $q : W \rightarrow Y$ , we may write

$$p^*(K_X + \Delta) = q^*(K_Y + \Delta') + E$$

where  $\Delta' = f_*\Delta$ ,  $K_Y + \Delta$  is nef (respectively semiample),  $E \geq 0$  is  $q$ -exceptional and the support of  $E$  contains the strict transform of the  $f$ -exceptional divisors,  $Y$  is normal, projective and  $\mathbb{Q}$ -factorial.

Let  $D$  be an  $\mathbb{R}$ -Cartier divisor on  $X$ , we say that  $g : X \dashrightarrow Z$  is the **ample model** of  $D$  over  $U$ , if  $g$  is a rational map over  $U$ ,  $Z$  is normal and projective over  $U$  and there is an ample divisor  $H$  over  $U$  on  $Z$  such that if  $p : W \rightarrow X$  and  $q : W \rightarrow Z$  resolve  $g$  then  $q$  is a contraction morphism and we may write  $p^*D \sim_{\mathbb{Q},U} q^*H + E$ , where  $E \geq 0$  and for every  $B \in |p^*D/U|_{\mathbb{R}}$ ,  $B \geq E$ . In particular, if  $D$  is  $\mathbb{Q}$ -Cartier and the  $\mathcal{O}_U$ -algebra  $R(X, D) := \bigoplus_{m \geq 0} \pi_*\mathcal{O}_X(mD)$  is finitely generated, then  $Z \cong \text{Proj}_U R(X, D)$ . If  $(X, \Delta)$  is lc and  $K_X + \Delta$  is big, the ample model of  $K_X + \Delta$  is also called the **canonical model** of  $K_X + \Delta$ .

**Definition 2.3.** ([BCHM10]) Let  $\mathbb{K}$  denote either the rational number field  $\mathbb{Q}$  or the real number field  $\mathbb{R}$ . Let  $\pi : X \rightarrow U$  be a morphism of projective normal varieties, let  $V$  be a finite dimensional affine subspace of the  $\mathbb{K}$ -vector space  $\text{WDiv}_{\mathbb{K}}(X)$  of Weil divisors on  $X$ . For a  $\mathbb{K}$ -divisor  $A$ , define

- 1)  $V_A = \{\Delta \mid \Delta = A + B, B \in V\}$ ,
- 2)  $\mathcal{L}(V) = \{\Delta \in V \mid (X, \Delta) \text{ is a lc pair}\}$ ,
- 3)  $\mathcal{L}_A(V) = \{\Delta = A + B \in V_A \mid (X, \Delta) \text{ is a lc pair and } B \geq 0\}$ ,
- 4)  $\mathcal{E}(V) = \{\Delta \in \mathcal{L}(V) \mid K_X + \Delta \text{ is pseudo-effective}\}$ ,
- 5) and given a rational contraction  $\phi : X \dashrightarrow Z$ , define  $\mathcal{A}_{\pi, \phi}(V) = \{\Delta \in \mathcal{L}(V) \mid \phi \text{ is the ample model of } K_X + \Delta \text{ over } U\}$ .

For an  $\mathbb{R}$ -divisor  $D = \sum d_i D_i$  where the  $D_i$  are the irreducible components of  $D$ , define  $\|D\| := \max\{|d_i|\}$ .

**Proposition 2.4.** *Let  $X \rightarrow U$  be a projective morphism of normal varieties and  $D$  be a pseudo-effective  $\mathbb{R}$ -divisor over  $U$ .*

(1). *If  $D_1 \geq 0, D_2 \geq 0$  are two  $\mathbb{R}$ -divisors, then*

$$\nu(X/U, D_1 + D_2) \geq \max\{\nu(X/U, D_1), \nu(X/U, D_2)\}.$$

(2). *If  $D \geq 0$  is an  $\mathbb{R}$ -divisor, then  $\nu(X/U, D) \geq \kappa(X/U, D)$ .*

(3). *If  $D'$  is an  $\mathbb{R}$ -divisor with  $D' - D$  being pseudo-effective, then  $\nu(X, D') \geq \nu(X, D)$ .*

(4). *Suppose that  $D_1 \sim_{\mathbb{R},U} N_1$  and  $D_2 \sim_{\mathbb{R},U} N_2$  for some  $\mathbb{R}$ -divisors  $N_1 \geq 0$  and  $N_2 \geq 0$  such that  $\text{Supp}(N_1) \subseteq \text{Supp}(N_2)$ . Then we have  $\kappa_\iota(X/U, D_1) \leq \kappa_\iota(X/U, D_2)$  and  $\nu(X/U, D_1) \leq \nu(X/U, D_2)$ .*

*Proof.* (1), (2) are obvious, (3) comes from [8, Proposition 5.2.7], (4) comes from [5, Remark 2.8]. □

**Lemma 2.5.** *Let  $(X, \Delta)$  be a klt pair with  $\mathbb{R}$ -boundary. Then  $(X, \Delta)$  has a good minimal model if and only if  $\kappa_\iota(X, K_X + \Delta) = \nu(X, K_X + \Delta)$ .*

*Proof.* When  $\Delta$  is a  $\mathbb{Q}$ -divisor, this is proved in [3, Theorem 4.3]. This  $\mathbb{R}$ -divisor version comes from [5, Lemma 2.13]. □

**Lemma 2.6.** *Let  $f : X \rightarrow U$  be a projective morphism,  $(X, \Delta)$  a klt pair with  $\mathbb{Q}$ -boundary and  $\phi : X \dashrightarrow X_M$  and  $\phi' : X \dashrightarrow X'_M$  be minimal models for  $K_X + \Delta$  over  $U$ . Then*

- 1) *the set of  $\phi$ -exceptional divisors coincides with the set of divisors contained in  $\mathbf{B}_-(K_X + \Delta/U)$  and if  $\phi$  is a good minimal model for  $K_X + \Delta$  over  $U$ , then this set also coincides with the set of divisors contained in  $\mathbf{B}(K_X + \Delta/U)$ .*
- 2)  *$X'_M \dashrightarrow X_M$  is an isomorphism in codimension 1 such that*

$$a(E; X_M, \phi_*\Delta) = a(E; X'_M, \phi'_*\Delta)$$

*for any divisor  $E$  over  $X$ , and*

- 3) *if  $\phi$  is a good minimal model of  $K_X + \Delta$  over  $U$ , then so is  $\phi'$ .*

*Proof.* This is [4, Lemma 2.4]. □

**Theorem 2.7.** *Let  $\pi : X \rightarrow U$  be a projective morphism between normal projective varieties. If  $(X, \Delta)$  is a klt pair with  $\mathbb{Q}$ -boundary and  $\kappa(X, \Delta) \geq 0$ , then the  $\mathcal{O}_U$ -algebra*

$$R(X, K_X + \Delta) := \bigoplus_{m \geq 0} \pi_* \mathcal{O}_X(m(K_X + \Delta))$$

*is finitely generated, therefore the ample model of  $K_X + \Delta$  over  $U$  exists.*

*Proof.* This is [2, Corollary 1.1.2]. □

**Definition 2.8.** An lc-trivial fibration  $f : (X, \Delta) \rightarrow Y$  consists of a proper surjective morphism  $f : X \rightarrow Y$  between normal varieties with connected fibers and a  $\mathbb{Q}$ -divisor  $\Delta = \Delta_{\geq 0} - \Delta_{\leq 0}$  written as the difference of its positive and negative parts, satisfying the following properties:

- 1)  $(X, \Delta)$  is sublc over the generic point of  $Y$ .
- 2) Let  $F$  denotes the generic fiber of  $Y$ , then  $h^0(F, \mathcal{O}_F([\Delta_{\leq 0}|_F])) = 1$ .
- 3) There exists a  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor  $D$  on  $Y$  such that

$$K_X + \Delta \sim_{\mathbb{Q}} f^*D.$$

The following is a simplified version of the Kodaira-type canonical bundle formula given in [6].

**Theorem 2.9.** *Let  $f : (X, \Delta) \rightarrow Z$  be an lc-trivial fibration,  $B$  a reduced divisor such that  $f$  has slc fibers in codimension 1 over  $Z \setminus B$ . Then we can write*

$$K_X + \Delta \sim_{\mathbb{Q}} f^*(K_Z + J + B_{\Delta})$$

*where  $J$  and  $B_{\Delta}$  have the following properties*

- 1)  $J$  is a  $\mathbb{Q}$ -linear equivalence class, called the modular part. It depends only on the generic fiber  $(X_{k(Z)}, \Delta_{k(Z)})$  and it is the push-forward of a nef class by some birational morphism  $Z' \rightarrow Z$ .
- 2)  $B_{\Delta}$  is a  $\mathbb{Q}$ -divisor, called the boundary part. It is supported on  $B$ .
- 3) Let  $D \subset B$  be an irreducible divisor. Then

$$\text{coeff}_D B_{\Delta} = \sup_E \left\{ 1 - \frac{1 + a(E, X, \Delta)}{\text{mult}_E f^*D} \right\}$$

*where the supremum is taken over all divisors over  $X$  that dominate  $D$ .*

This implies the following.

- 1) If  $D$  is dominated by a divisor  $E$  such that  $a(E, X, \Delta) < 0$  (respectively  $\leq 0$ ) then  $\text{coeff}_D B_\Delta > 0$  (respectively  $\geq 0$ ).
- 2) If  $\Delta$  is effective then so is  $B_\Delta$ .
- 3) If  $(X, \Delta)$  is sublc then  $\text{coeff}_D B_\Delta \leq 1$  for every  $D$  and  $\text{coeff}_D B_\Delta = 1$  if and only if  $D$  is dominated by a divisor  $E$  such that  $a(E, X, \Delta) = -1$ .

### 3. Proof of Main Theorem

In this section we prove the relative version of Theorem 1.1 and Theorem 1.2.

**Lemma 3.1.** *Let  $X \rightarrow U$  be a projective morphism of normal varieties, fix an integer  $0 \leq k \leq n$ . Let  $V$  be a finite dimensional affine subspace of the vector space  $\text{WDiv}_{\mathbb{R}}(X)$  of Weil divisors on  $X$  which is defined over the rationals. Suppose  $L$  is a convex subset, and for every  $\mathbb{Q}$ -divisor  $D \in L$ ,  $\kappa(X/U, D) = k$  and the ample model of  $D$  over  $U$  exists. Suppose  $f_D : X \dashrightarrow Z_D$  is the ample model of  $D$  over  $U$ . Then the generic fiber of  $f_D$  are the same for every  $D \in L$ , in particular,  $Z_D$  are birational equivalent for every  $D \in L$ .*

*Proof.* Choose two  $\mathbb{Q}$ -divisors  $D_1, D_2 \in L$ . After replacing  $X$  by a higher birational model and  $D_i$  by its pull back, we may assume that  $X \rightarrow Z_{D_i}$  is a morphism. Then we have the following diagram

$$\begin{array}{ccccc}
 & & X & & \\
 & f_1 \swarrow & \downarrow h & \searrow f_2 & \\
 Z_{D_1} & \xleftarrow{p_1} & Z_{D_1} \times Z_{D_2} & \xrightarrow{p_2} & Z_{D_2}
 \end{array}$$

where  $p_1$  and  $p_2$  are two projections from the fiber product  $Z_{D_1} \times Z_{D_2}$ . Since  $Z_{D_i}$  is the ample model of  $D_i$  over  $U$ , there exists an ample divisor  $A_i$  on  $Z_{D_i}$ , such that

$$D_i \sim_{\mathbb{Q},U} f_i^* A_i + E_i,$$

where  $E_i$  is effective,  $i = 1, 2$ .

By definition of the fiber product,  $A := \frac{1}{2}(p_1^* A_1 + p_2^* A_2)$  is an ample divisor on  $Z_{D_1} \times Z_{D_2}$ . Let  $Z$  be the normalization of image of  $X$  in  $Z_{D_1} \times$



$Z_{D_2}$ , then  $A|_Z$  is ample on  $Z$ , in particular,

$$\kappa(Z/U, A|_Z) = \dim(Z) - \dim(U) \geq \dim(Z_{D_i}) - \dim(U) = \kappa(X/U, D_i) = k,$$

Because

$$\frac{1}{2}(D_1 + D_2) \sim_{\mathbb{Q},U} h^*A|_Z + \frac{1}{2}(E_1 + E_2),$$

and  $\frac{1}{2}(D_1 + D_2) \in L$ , we have that

$$k = \kappa(X/U, \frac{1}{2}(D_1 + D_2)) \geq \kappa(X/U, h^*A|_Z) = \kappa(Z/U, A|_Z) \geq k,$$

which means  $\dim Z = \dim Z_{D_i}$ . Because  $f_i, i = 1, 2$  are algebraic contractions, it is easy to see that the morphisms  $p_i|_Z : Z \rightarrow Z_i, i = 1, 2$  are birational. □

### 3.1. Finiteness of Ample Models

Let  $h : X \rightarrow Y$  be an equidimensional algebraic fibration over  $U$  such that  $Y$  is smooth. Suppose  $(X, \Delta)$  is klt with  $\mathbb{Q}$ -boundary,  $\kappa(X/U, K_X + \Delta) = k \geq 0$  and  $h$  is birational equivalent to the Iitaka fibration of  $K_X + \Delta$  over  $U$ , therefore  $\kappa(X_\eta, (K_X + \Delta)|_\eta) = 0$ , where  $X_\eta$  is the generic fiber of  $h$ . First we show how to get a pair  $(Y, C)$  of log general type from  $(X, \Delta)$ .

Since  $\kappa(X/U, K_X + \Delta) = k \geq 0$ , there is a  $\mathbb{Q}$ -effective divisor  $L$  such that

$$K_X + \Delta \sim_{\mathbb{Q},U} L.$$

We put  $D := \max\{N \mid N \text{ is an effective } \mathbb{Q}\text{-divisor on } Y \text{ such that } L \geq h^*N\}$  and  $F := L - h^*D$ . Then we have

$$K_X + \Delta \sim_{\mathbb{Q},U} h^*D + F.$$

Because  $\kappa(X_\eta, (K_X + \Delta)|_\eta) = 0$ , then  $h_*\mathcal{O}_X([iF])$  is a reflexive sheaf of rank 1 on  $Y$ . Moreover, since  $Y$  is smooth,  $h_*\mathcal{O}_X([iF])$  is an invertible sheaf on  $Y$ . By construction, for any prime divisor  $P$  on  $Y$ ,  $\text{Supp}(F)$  does not contain the whole fiber over the generic point of  $P$ , therefore  $\mathcal{O}_Y \cong h_*\mathcal{O}_X([iF])$ . Moreover, it is easy to see that  $D$  and  $F$  are both  $\mathbb{Q}$ -divisors.

**Remark 3.2.** Notations as above, we show how  $D$  and  $F$  vary depending on  $\Delta$ . Define

$$\mathcal{D} = \{(a_1, a_2, \dots, a_m) \in [0, 1]^{\times m} \mid \sum_{i=1}^m a_i = 1\}$$

Let  $h : X \rightarrow Y$  be an equidimensional algebraic fibration and  $Y$  is smooth, let  $X_\eta$  denote the generic fiber of  $h$ . Suppose  $\{L_i, 1 \leq i \leq m\}$  are  $m$  linearly independent effective  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisors, such that for every  $(a_1, a_2, \dots, a_m) \in \mathcal{D}$ , we have  $\kappa(X_\eta, \sum_{i=1}^m a_i L_i) = 0$ . Define

$$D(a_1, \dots, a_m) := \max\{N \mid N \text{ is an effective } \mathbb{Q}\text{-divisor on } Y \\ \text{such that } \sum_{i=1}^m a_i L_i \geq f^*N\}.$$

and

$$F(a_1, \dots, a_m) := \sum_{i=1}^m a_i L_i - f^*D(a_1, \dots, a_m).$$

Next we show that  $D(a_1, \dots, a_m)$  is a piecewise  $\mathbb{Q}$ -linear function on  $\mathcal{D}$ . Let  $P$  be a prime divisor on  $Y$ , suppose  $h^*P = \sum_{j=1}^l b_j G_j$ , where  $G_j, 1 \leq j \leq l$  are prime divisors on  $X$ . Then the coefficient of  $P$  in  $D(a_1, \dots, a_m)$  is

$$\text{coeff}_P D(a_1, \dots, a_m) = \min\left\{\sum_{i=1}^m \frac{a_i}{b_j} \text{coeff}_{G_j} L_i, 1 \leq j \leq m\right\}.$$

It is easy to see that  $\text{coeff}_P D(a_1, \dots, a_m)$  is a piecewise linear function of  $(a_1, \dots, a_m)$ . Moreover, because there are only finitely many prime divisors  $P$  such that  $\text{Supp } h^*P \subset \cup_{1 \leq i \leq m} \text{Supp } L_i$ , and  $\frac{1}{b_j} \text{coeff}_{G_j} L_i$  are rational numbers for every  $j$ , we can divide  $\mathcal{D}$  into finitely many rational polytopes  $\cup_k \mathcal{D}_k$  such that  $D(a_1, \dots, a_m)$  is a  $\mathbb{Q}$ -linear function in each  $\mathcal{D}_k$ .

**Definition 3.3.** Let  $X$  be a projective normal variety,  $V$  be a finite dimensional affine subspace of the vector space  $\text{WDiv}_{\mathbb{R}}(X)$ . Let  $\Omega \subset \mathcal{L}(V)$  be a subset, define

$$\text{totaldiscrep}(X, \Omega) := \inf_{E, D} \{a(E, X, D) \mid E \text{ is a prime divisor over } X, D \in \Omega\}$$

**Theorem 3.4.** Let  $\pi : X \rightarrow U$  be a projective morphism of normal varieties and  $\dim(X) = n$ . Let  $V$  be a finite dimensional affine subspace of the vector

space  $\text{WDiv}_{\mathbb{R}}(X)$  which is defined over the rationals. Fix an integer  $0 \leq k \leq n$ . Suppose  $L \in \mathcal{L}(V)$  be a closed convex rational polytope, such that for any  $\Delta \in L$ ,  $(X, \Delta)$  is klt and  $\kappa(X/U, K_X + \Delta) = k$ . Then there exists a commutative diagram

$$\begin{array}{ccc} X & \xleftarrow{\mu} & X' \\ \pi \downarrow & & \downarrow h \\ U & \xleftarrow{g} & Y \end{array}$$

with the following properties.

- 1)  $\mu$  is birational morphism,  $h$  is an equidimensional algebraic fibration,  $X'$  has only  $\mathbb{Q}$ -factorial toroidal singularities and  $Y$  is smooth;
- 2) There exists a finite dimensional affine subspace  $V'$  of the vector space  $\text{WDiv}_{\mathbb{R}}(X')$  which is defined over  $\mathbb{Q}$ , a closed rational polytope  $L' \subset \mathcal{L}(V')$  such that

$$\text{totaldiscrep}(X', L') = \text{totaldiscrep}(X, L),$$

and a  $\mathbb{Q}$ -linear isomorphism  $*' : L \rightarrow L'$ . For any divisor  $\Delta \in L$ ,  $(X', \text{Supp}(\Delta'))$  is quasi-smooth (i.e.,  $(X', \text{Supp}(\Delta'))$  is toroidal), and

$$\mu_* \mathcal{O}_{X'}(m(K_{X'} + \Delta')) \cong \mathcal{O}_X(m(K_X + \Delta)), \quad \forall m \in \mathbb{N};$$

- 3)  $h$  is birational equivalent to the Iitaka fibration of  $K_X + \Delta$  for every  $\Delta \in L$ .

*Proof.* Fix  $\Delta_1 \in L$ , we may choose a birational projective morphism  $\mu : X' \rightarrow X$ , such that there exists a projective morphism  $h : X' \rightarrow Y$  of smooth projective varieties over  $U$  and the restriction  $h_\eta : X'_\eta \rightarrow Y_\eta$  over the generic point  $\eta$  of  $U$  is birational to the Iitaka fibration of  $K_X + \Delta_1$ . By Lemma 3.1, it is birational to the Iitaka fibration of  $K_X + \Delta$ , for every  $\Delta \in L$ . Let  $\Delta_0 \in L$  be an inner rational point. By the weak semi-stable reduction theorem of Abramovich and Karu (cf. [1]), we can assume that  $h : (X', D') \rightarrow (Y, D_Y)$  is an equidimensional toroidal morphism for some divisors  $D'$  on  $X'$  and  $D_Y$  on  $Y$  where  $(X', D')$  is quasi-smooth,  $Y$  is smooth and  $\mu^{-1}(\Delta_0 \cup \text{Sing}(X)) \subset D'$ .

Let  $F$  be the exceptional divisor of  $\mu$ , denote  $a := \text{totaldiscrep}(X, L)$ . For a  $\Delta \in L$ , define  $\Delta'$  by

$$\Delta' := \mu_*^{-1} \Delta + \max\{0, -a\}F$$

Since  $\Delta_0$  is an inner point of  $L$ , it is easy to see that  $\text{Supp}(\Delta') \in D'$ , clearly  $(X', \Delta')$  satisfies (1) and (2).  $\square$

The following theorem is the relative version of Theorem 1.1.

**Theorem 3.5.** *Let  $\pi : X \rightarrow U$  be a projective morphism of normal varieties and  $\dim(X) = n$ . Let  $V$  be a finite dimensional affine subspace of the vector space  $\text{WDiv}_{\mathbb{R}}(X)$  which is defined over  $\mathbb{Q}$ . Fix a nonnegative integer  $0 \leq k \leq n$ . Suppose  $L \subset \mathcal{L}(V)$  is a closed rational polytope, such that For any  $\Delta \in L$ ,  $(X, \Delta)$  is klt and  $\kappa(X, K_X + \Delta) = k$ .*

*Then there are finitely many rational contractions  $\pi_j : X \dashrightarrow Z_j, 1 \leq i \leq l$  over  $U$ , such that if  $\pi : X \dashrightarrow Z$  is an ample model of  $K_X + \Delta$  over  $U$  for some  $\mathbb{Q}$ -divisor  $\Delta \in L$ , then there is an index  $1 \leq j \leq l$  and an isomorphism  $\xi : Z_j \rightarrow Z$  such that  $\pi = \xi \circ \pi_j$ .*

*Proof.* It is easy to see that we may assume that  $L$  is a convex polytope. By Theorem 3.4, there are a birational morphism  $\mu : X' \rightarrow X$ , an equidimensional algebraic fibration  $h : X' \rightarrow Y$  over  $U$  and a  $\mathbb{Q}$ -linear isomorphism  $*' : L \rightarrow L'$  such that  $\text{totaldiscrep}(X', L') = \text{totaldiscrep}(X, L)$  and  $\mu_* \mathcal{O}_{X'}(m(K_{X'} + \Delta')) \cong \mathcal{O}_X(m(K_X + \Delta)), \forall m \in \mathbb{N}$ . Moreover,  $Z$  is the ample model of  $(X, \Delta)$  over  $U$  if and only if it is the ample model of  $(X', \Delta')$  over  $U$ . Therefore we can replace  $X$  by  $X'$  and  $L$  by  $L'$ . Then we can assume that there is an equidimensional algebraic fibration  $h : X \rightarrow Y$  over  $U$ ,  $Y$  is smooth and for every  $\mathbb{Q}$ -divisor  $\Delta \in L, \kappa(X_\eta, (K_X + \Delta)|_\eta) = 0$ , where  $X_\eta$  is the generic fiber of  $h$ .

By Remark 3.2, we may divide  $L$  into finitely many rational simplexes  $\cup_i L_k$ . For every  $\Delta \in L$ , there are divisors  $D_\Delta$  and  $F_\Delta$  satisfying the following equations

$$K_X + \Delta \sim_{\mathbb{Q}, U} h^* D_\Delta + F_\Delta,$$

$$\mathcal{O}_Y \cong h_* \mathcal{O}_X(\lfloor j F_\Delta \rfloor)$$

for all  $j \geq 0$ . Moreover,  $D_\Delta$  is a linear function of  $\Delta$  in each  $L_k$ . It is easy to see that  $(X, \Delta - F_\Delta) \rightarrow Y$  is an lc-trivial fibration for every  $\Delta \in L$ . Because this division is finite and rational, we only need to prove finiteness of the ample models for each  $L_k$ . Let  $\{\Delta_i, 1 \leq i \leq m\}$  be the vertexes of  $L_k$  and let  $D_i, F_i$  be short for  $D_{\Delta_i}$  and  $F_{\Delta_i}$ .

By Theorem 2.9, for every  $\mathbb{Q}$ -divisor  $\Delta_i, 1 \leq i \leq m$ , there exist  $\mathbb{Q}$ -divisors  $B_i$  and  $J_i$  on  $Y$ , such that  $(Y, B_Y)$  is klt and

$$D_i \sim_{\mathbb{Q},U} K_Y + B_i + J_i.$$

Also we can find a birational morphism  $f : Y' \rightarrow Y$ , such that

$$K_{Y'} + B'_i + J'_i \sim_{\mathbb{Q}} f^*(K_Y + B_i + J_i).$$

where  $J'_i$  is a  $U$ -nef  $\mathbb{Q}$ -divisor for every  $1 \leq i \leq m$ ,  $(Y', B'_i)$  is subklt and  $f_*B'_i = B_i$ . Because  $D_i$  is big, there are an ample  $\mathbb{Q}$ -divisor  $A'$  and a big  $\mathbb{Q}$ -divisors  $E_i$  on  $Y'$ , such that  $f^*D_i \sim_{\mathbb{Q}} f^*A' + E_i$ . Let  $\epsilon > 0$  be a small enough rational number such that  $(Y', B'_i + C'_i + \epsilon f^*A'), 1 \leq i \leq m$  is subklt, where  $C'_i \in |J'_i + \epsilon E_i|_{\mathbb{Q}}$  is a general member. Let  $C_i := f_*(B'_i + C'_i)$  and  $A \in |\epsilon A'|_{\mathbb{Q}}$  be a general member, then we have  $(Y, A + C_i), 1 \leq i \leq m$  is klt and

$$(1 + \epsilon)(K_Y + B_i + J_i) \sim_{\mathbb{Q}} K_Y + A + C_i.$$

Let  $W \subset \text{WDiv}_{\mathbb{Q}}(Y)$  be the finite dimensional subspace spanned by the  $\{C_i, 1 \leq i \leq m\}$ . For a point  $(a_1, \dots, a_m) \in \mathcal{D}$ , we have

$$(1 + \epsilon)(K_X + \sum_{i=1}^m a_i \Delta_i) \sim_{\mathbb{Q},U} h^*(K_Y + A + \sum_{i=1}^m a_i C_i) + R'_{(a_1, \dots, a_m)}$$

where  $R'_{(a_1, \dots, a_m)}$  is effective and  $\mathcal{O}_Y \cong h_*\mathcal{O}_X(\lfloor jR'_{(a_1, \dots, a_m)} \rfloor)$  for all  $j \geq 0$ . Therefore a projective variety  $Y_c$  is the ample model of  $(X, \sum_{i=1}^m a_i \Delta_i)$  if and only if it is the canonical model of  $(Y, A + \sum_{i=1}^m a_i C_i)$ . Then we have a  $\mathbb{Q}$ -linear map  $C_* : L_k \rightarrow \mathcal{L}_A(W)$ , and for every  $\mathbb{R}$ -divisor  $\Delta \in L_k$ ,  $(X, \Delta)$  and  $(Y, C_{\Delta})$  have the same ample model over  $U$ . Therefore the claim is now immediate from [2, Corollary 1.1.5]. □

**Remark 3.6.** Because the map  $\Delta \rightarrow D_{\Delta} \rightarrow C_{\Delta}$  is  $\mathbb{Q}$ -linear, by Theorem 3.5, it is easy to see that if  $L$  is a rational polytope,  $\mathcal{A}_{\pi, \phi}(V) \cap L$  is also a rational polytope.

### 3.2. Finiteness of good minimal models

**Lemma 3.7.** *Let  $\pi : X \rightarrow U$  be a projective morphism of normal varieties,  $V$  be a finite dimensional affine subspace of  $\text{WDiv}_{\mathbb{R}}(X)$  which is defined over the rationals. Fix a nonnegative integer  $0 \leq k \leq n$ , let  $L \subset V$  be a closed*

convex rational polytope of  $\mathbb{R}$ -divisors, such that for any  $\mathbb{Q}$ -divisor  $D \in L$ ,  $\kappa(X/U, D) = k \geq 0$ .

If there is an  $\mathbb{R}$ -divisor  $D_0 \in \text{int}(L)$  satisfying  $\nu(X/U, D_0) = \kappa_\iota(X/U, D_0)$ . Then for any  $\mathbb{R}$ -divisor  $D \in L$ , we have  $\nu(X/U, D) = \kappa_\iota(X/U, D)$ .

*Proof.* Let  $D_i, 1 \leq i \leq m$  be the vertexes of  $L$ , by assumption,  $D_i$  are  $\mathbb{Q}$ -divisors and  $\kappa(X/U, D_i) = k$ . Choose  $E_i$  such that  $D_i \sim_{\mathbb{Q},U} E_i \geq 0$ , then for every point  $(a_1, a_2, \dots, a_m) \in \mathcal{D}$ , we have  $\sum_{i=1}^m a_i D_i \sim_{\mathbb{R},U} \sum_{i=1}^m a_i E_i \geq 0$ . By Proposition 2.4, we may replace  $D_i$  by  $E_i$ , then we may assume  $D \geq 0$  for every  $D \in L$  and replace  $\kappa_\iota(X, D)$  by  $\kappa(X, D)$ .

If for some  $D_0 \in \text{int}(L)$ , we have  $\nu(X/U, D) = \kappa(X/U, D)$ . Then for every  $D \in L$ , we have  $\text{Supp}(D) \subset \text{Supp}(D_0)$ . Thus the claim comes easily from the following inequality.

$$\kappa(X/U, D) \leq \nu(X/U, D) \leq \nu(X/U, D_0) = \kappa(X/U, D_0) = k = \kappa(X/U, D).$$

□

**Theorem 3.8.** *Let  $X$  be a projective variety, then there are at most countably many birational contractions  $\phi_i : X \dashrightarrow X_i, i \in \mathbb{N}$ , such that if  $X'$  is a projective normal  $\mathbb{Q}$ -factorial variety and  $\phi : X \dashrightarrow X'$  is a birational contraction, then there is an isomorphism  $\tau : X_i \rightarrow X'$  such that  $\phi = \tau \circ \phi_i$ .*

*Proof.* After replacing  $X$  by a resolution, we may assume that  $X$  is smooth.

If  $\phi : X \dashrightarrow X'$  is a birational contraction, let  $p : W \rightarrow X$  and  $q : W \rightarrow X'$  resolve the indeterminacy of  $f$ . Let  $A$  be a general ample divisor on  $X'$ , define  $D := p_*q^*A$ . By negativity lemma,  $p^*D = q^*A + E$  for some  $p$ -exceptional divisor  $E \geq 0$ , which is also  $q$ -exceptional. Therefore

$$R(X, D) \cong R(W, p^*D) \cong R(X', A).$$

Because  $A$  is ample on  $X'$ , we have that  $X' = \text{Proj}R(X', A) \cong \text{Proj}R(X, D)$ .

On the other hand, if  $D'$  is another divisor on  $X$  such that  $D' \equiv D$ , because  $X'$  is  $\mathbb{Q}$ -factorial,  $q_*p^*D' \equiv q_*p^*D = A$ , which means that  $q_*p^*D'$  is an ample divisor on  $X'$ , denote it by  $A'$ . Since  $p^*D' - q^*A' \equiv p^*D - q^*A$  and they are both  $q$ -exceptional, by the negativity lemma, we have  $p^*D' - q^*A' = p^*D - q^*A = E \geq 0$ , hence  $X' = \text{Proj}R(X', A') = \text{Proj}R(X, D')$ . Therefore each birational contraction is determined by the numerical class of a big  $\mathbb{Q}$ -divisor. Since  $N^1(X)$  is finite dimensional, the claim follows. □

**Theorem 3.9.** *Let  $X$  be a projective normal variety over a normal variety  $U, V$  be an affine finite dimensional subspace of  $\text{WDIV}_{\mathbb{R}}(X)$  which is defined*

over the rationals, suppose  $L$  is a rational polytope of  $\mathcal{L}(V)$  such that for any  $\mathbb{Q}$ -divisor  $\Delta \in L$ ,  $(X, \Delta)$  has a good minimal model over  $U$  and has the same ample model  $Z$  over  $U$ . Then there is a birational contraction  $f : X \dashrightarrow X'$ , such that for any  $\mathbb{R}$ -divisor  $\Delta \in L$ ,  $f$  is a good minimal model of  $(X, \Delta)$  over  $U$ .

*Proof.* Because for any  $\mathbb{Q}$ -divisor  $\Delta \in L$ ,  $(X, \Delta)$  have the same ample model  $Z$  over  $U$  and  $(X, \Delta)$  has a good minimal model, it follows that  $\kappa(X/U, K_X + \Delta)$  are the same. Then by Lemma 2.5 and Lemma 3.7, for any  $\mathbb{R}$ -divisor  $\Delta$ , we have  $\kappa_\nu(X/U, K_X + \Delta) = \nu(X/U, K_X + \Delta)$ , which implies that for any  $\mathbb{R}$ -divisor  $\Delta \in L$ ,  $K_X + \Delta$  has a good minimal model over  $U$ .

Since a good minimal model is  $\mathbb{Q}$ -factorial, by Theorem 3.8, we can divide  $L$  into countably many subset  $\cup_{i \geq 0} L_i$ , such that for any  $\mathbb{R}$ -divisor  $\Delta \in L_i$ ,  $f_i$  is a good minimal model of  $(X, \Delta)$  over  $U$ . Because  $f : X \dashrightarrow X'$  is a good minimal model for both  $(X, \Delta_1)$  and  $(X, \Delta_2)$  implies that  $f$  is also a good minimal model for  $(X, \lambda\Delta_1 + (1 - \lambda)\Delta_2)$ ,  $\forall \lambda \in [0, 1]$ , then each  $L_i$  is convex.

By the Pigeon-hole Principle, we may assume  $L_1$  spans  $V$ , which means that there are finitely many  $\mathbb{Q}$ -divisors  $\{\Delta_j \in L_1, 1 \leq j \leq m\}$  spanning  $V$  and  $f_1$  is a good minimal model for  $(X, \Delta_j)$ ,  $1 \leq j \leq m$ . So  $K_{X_1} + f_{1*}\Delta_j, 1 \leq j \leq m$  are semiample over  $U$ . Because  $(X, \Delta_j)$  and  $(X_1, f_{1*}\Delta_j)$  have the same ample model  $Z$  for every  $1 \leq j \leq m$ , by [2, Lemma 3.6.5],  $K_{X_1} + f_{1*}\Delta_j, 1 \leq j \leq m$  define a morphism  $h_1 : X_1 \rightarrow Z$ , and there are some ample  $\mathbb{Q}$ -divisors  $D_i, 1 \leq j \leq m$  on  $Z$ , such that

$$K_{X_1} + f_{1*}\Delta_j \sim_{\mathbb{Q},U} h_1^*D_j, \quad 1 \leq j \leq m.$$

Because  $\{\Delta_j, 1 \leq j \leq m\}$  span  $V$ , then for any  $\mathbb{Q}$ -divisor  $\Delta \in L$ , there is a  $\mathbb{Q}$ -divisor  $D$  on  $Z$  such that  $K_{X_1} + f_{1*}\Delta \sim_{\mathbb{Q},U} h_1^*D$ .

For a  $\mathbb{Q}$ -divisor  $\Delta \in L$ , let  $(X', \Delta')$  be a good minimal model of  $(X, \Delta)$  and  $h' : X' \rightarrow Z$  be the morphism from the good minimal model to the ample model. let  $\Delta^1$  be the image of  $\Delta$  on  $X_1$ . Choose a common resolution  $p : W \rightarrow X_1$  and  $q : W \rightarrow X'$  of  $X_1$  and  $X'$ . Because  $\Delta'$  and  $\Delta^1$  are the images of  $\Delta$  on  $X'$  and  $X_1$ , we have an equation

$$(3) \quad p^*(K_{X_1} + \Delta^1) + E = q^*(K_{X'} + \Delta') + F$$

where  $E$  is  $p$ -exceptional and  $F$  is  $q$ -exceptional. By assumption, there are divisors  $C'$  and  $C^1$  on  $Z$ , such that  $K_{X_1} + \Delta^1 \sim_{\mathbb{Q}} h_1^*C^1$  and  $K_{X'} + \Delta' \sim_{\mathbb{Q}}$

$h'^*C'$ . Let  $f := p \circ h_1 = q \circ h'$ , then (3) is equal to

$$f^*(C^1 - C') = F - E$$

Since the irreducible components of  $\text{Supp}(F - E)$  are either exceptional for  $p$  or exceptional for  $q$ ,  $\text{Supp}(F - E)$  does not contain the whole fiber of any prime divisor on  $Z$ , therefore  $C^1 = C'$ , which means  $(X', \Delta')$  is crepant birational with  $(X_1, \Delta^1)$ . By Lemma 2.6  $(X_1, \Delta^1)$  is a good minimal model for  $(X, \Delta)$ . Therefore, for every  $\mathbb{Q}$ -divisor  $\Delta \in L$ ,  $f_1$  is a good minimal model for  $(X, \Delta)$ . Because  $L$  is a rational polytope, any  $\mathbb{R}$ -divisor  $\Delta \in L$  is a convex combination of  $\mathbb{Q}$ -divisors in  $L$ , therefore, for any  $\mathbb{R}$ -divisor  $\Delta \in L$ ,  $f_1$  is a good minimal model for  $(X, \Delta)$ .  $\square$

The following theorem is the relative version of theorem 1.2.

**Theorem 3.10.** *Let  $X$  be a projective normal variety of dimension  $n$  over a normal variety  $U$ . Let  $V$  be a finite dimensional affine subspace of the vector space  $\text{WDiv}_{\mathbb{R}}(X)$  which is defined over  $\mathbb{Q}$ . Fix a nonnegative integer  $0 \leq k \leq n$ . Suppose  $L \subset \mathcal{L}(V)$  is a closed rational polytope, such that For any  $\Delta \in L$ ,  $(X, \Delta)$  is klt and  $\kappa(X, K_X + \Delta) = k$ .*

*If there is an  $\mathbb{R}$ -divisor  $\Delta_0 \in \text{int}(L)$  such that  $K_X + \Delta_0$  has a good minimal model over  $U$ . Then for any  $\Delta \in L$ ,  $K_X + \Delta$  has a good minimal model over  $U$ , and there are finitely many birational contractions  $\phi_j : X \dashrightarrow X_j$ ,  $1 \leq i \leq m$ , such that for any  $\mathbb{R}$ -divisor  $\Delta \in L$ , if  $\phi : X \dashrightarrow Y$  is a good minimal model of  $K_X + \Delta$  over  $U$ , then there is an index  $1 \leq j \leq l$  such that  $(Y, \phi_*\Delta)$  is crepant birational with  $(X_j, \phi_{j*}\Delta)$ .*

*Proof.* If for some  $\mathbb{R}$ -divisor  $\Delta_0 \in \text{int}(L)$ ,  $K_X + \Delta_0$  has a good minimal model over  $U$ , then by Lemma 3.7 and Lemma 2.5, for any  $\mathbb{R}$ -divisor  $\Delta \in U$ ,  $K_X + \Delta$  has a good minimal model over  $U$ . Then the claims comes easily from Theorem 3.5 and Theorem 3.9.  $\square$

## 4. Applications

### 4.1. Approximation of pair with $\mathbb{R}$ -boundary

*Proof of Corollary 1.3.* If  $\Delta$  is a  $\mathbb{Q}$ -divisor, then the result is straight forward. So we assume that  $\Delta$  is not a  $\mathbb{Q}$ -divisor.

After taking a  $\mathbb{Q}$ -factorialization of  $X$ , we may assume that  $X$  is  $\mathbb{Q}$ -factorial. First we show that there is an effective  $\mathbb{Q}$ -divisor  $B$ , such that  $\Delta - B \geq 0$  and  $\kappa(X, K_X + B) \geq 0$ .



Because  $\kappa(X, K_X + \Delta) = k \geq 0$ , there exists a positive integer  $m$  such that

$$h^0(X, \mathcal{O}_X(\lfloor mK_X + m\Delta \rfloor)) > 0.$$

Since  $X$  is  $\mathbb{Q}$ -factorial, we can choose  $m$  sufficiently divisible such that  $mK_X$  is Cartier, therefore,  $h^0(X, \mathcal{O}_X(mK_X + \lfloor m\Delta \rfloor)) > 0$ . So we can choose  $B := \frac{1}{m}\lfloor m\Delta \rfloor$ .

Now we can write  $\Delta$  as an  $\mathbb{R}$ -linear combination of  $\mathbb{Q}$ -divisor

$$\Delta = B + \sum_{j=1}^m \alpha_j E_j.$$

Let  $V$  be the space spanned by  $E_j, 1 \leq j \leq m$ . It is easy to see that  $\Delta \subset \text{int}(\mathcal{L}_B(V))$ .

Because  $\kappa(X, K_X + B) \geq 0$ , by Proposition 2.4, for any  $\mathbb{R}$ -divisor  $D \in \text{int}(\mathcal{L}_B(V))$ , we have  $\kappa_\nu(X, K_X + D) = k$ . Choose a rational polytope  $L \subset V$  containing  $\Delta$ , by Theorem 1.1, we can assume that for every  $\mathbb{Q}$ -divisor  $D \in L$ ,  $K_X + D$  has the same ample model.

By basic convex geometry, we can find  $D_i \in L, 1 \leq i \leq l$ , such that  $\|\Delta - D_i\| \leq \epsilon$  and  $\Delta$  is a convex linear combination of  $D_i$ . Let  $Z$  be the ample model of  $K_X + D_1$ , then  $Z \cong \text{Proj } R(X, D_i)$  for any  $D_i, 1 \leq i \leq l$ . □

### 4.2. Nonvanishing

*Proof of Corollary 1.6.* Because  $H$  is effective,  $\kappa(X, K_X + \Delta + tH)$  is a non-decreasing function of  $t$ . Also by Proposition 2.4, for  $0 < t_1 < t_2 < t_3$ , we have

$$\kappa(X, K_X + \Delta + t_2H) \geq \max\{\kappa(X, K_X + \Delta + t_1H), \kappa(X, K_X + \Delta + t_3H)\}.$$

Thus there is an integer  $0 \leq k < n$ , such that for  $t > 0$ ,  $\kappa(X, K_X + \Delta + tH) = k$ .

If  $k = 0$ , then

$$\begin{aligned} \kappa(X, K_X + \Delta + \epsilon H) &= 0 \quad \text{and} \\ h^0(X, \mathcal{O}_X(m(K_X + \Delta + \epsilon H))) &\leq 1 \end{aligned}$$

for  $\epsilon$  small enough. Choose  $\epsilon_0$  small enough, there exists an integer  $m_0$  and a rational function  $f_0$  on  $X$ , such that,

$$\text{div}(f_0) + m_0(K_X + \Delta + \epsilon_0 H) \geq 0,$$

Similarly, for any rational number  $0 < \epsilon < \epsilon_0$ , we can find  $f_\epsilon$  and  $m_\epsilon$ , such that

$$\operatorname{div}(f_\epsilon) + m_\epsilon(K_X + \Delta + \epsilon H) \geq 0,$$

Since  $H \geq 0$ , it is easy to see that  $\operatorname{div}(f_\epsilon^{m_0}) + m_0 m_\epsilon(K_X + \Delta + \epsilon_0 H) \geq 0$  and  $\operatorname{div}(f_0^{m_\epsilon}) + m_0 m_\epsilon(K_X + \Delta + \epsilon_0 H) \geq 0$ .

Since  $h^0(X, \mathcal{O}_X(m_0 m_\epsilon(K_X + \Delta + \epsilon H))) \leq 1$ , we have

$$\operatorname{div}(f_\epsilon^{m_0}) + m_0 m_\epsilon(K_X + \Delta + \epsilon_0 H) = \operatorname{div}(f_0^{m_\epsilon}) + m_0 m_\epsilon(K_X + \Delta + \epsilon_0 H).$$

This implies that  $\frac{1}{m_0} \operatorname{div}(f_0) = \frac{1}{m_\epsilon} \operatorname{div}(f_\epsilon)$ . Therefore taking the limit for  $\epsilon \rightarrow 0$ , we have  $\frac{1}{m_0} \operatorname{div}(f_0) + (K_X + \Delta) \geq 0$ , which implies  $\kappa(X, K_X + \Delta) \geq 0$ .

Next we consider when  $k \geq 1$ . By assumption  $(X, \Delta)$  is klt, so  $(X, \Delta + tH)$  is still klt for  $0 < t \ll 1$ . Let  $(X', \Delta'), \mu : X' \rightarrow X$  and  $h : X' \rightarrow Y$  be the pair and morphisms given in Theorem 3.4, such that

$$\mu_* \mathcal{O}_{X'}(m(K_{X'} + \Delta' + tH')) \cong \mathcal{O}_X(m(K_X + \Delta + tH)), \quad \forall m \in \mathbb{N},$$

therefore for  $0 < t \ll 1$ ,  $\kappa(X, K_X + \Delta + tH) \geq 0$  if and only if  $\kappa(X', K_{X'} + \Delta' + tH') \geq 0$ .  $\kappa(X, K_X + \Delta) \geq 0$  is equal to  $\kappa(X', K_{X'} + \Delta') \geq 0$ . So we may replace  $X$  by  $X'$  (respectively  $\Delta$  by  $\Delta'$ ,  $H$  by  $H'$ ) and assume that there is an equidimensional algebraic fibration  $h : X \rightarrow Y$ .

Let  $F$  denotes the generic fiber of  $h$ . By properties of the Iitaka fibration, we have that for all  $0 < t \ll 1$ ,

$$\kappa(F, K_F + \Delta_F + tH_F) = 0.$$

This means on the generic fiber  $F$ ,  $K_F + \Delta_F$  is the limit of effective divisors, therefore it is pseudo-effective. Since we assume Conjecture 1.5 holds in dimension  $\leq n - 1$ , then  $\kappa(F, K_F + \Delta_F) \geq 0$  and it is easy to see that

$$\kappa(F, K_F + \Delta_F) = 0.$$

Let  $m$  be sufficiently divisible, such that  $m(K_X + \Delta)$  is Cartier, since  $h$  is equidimensional and  $\kappa(F, K_F + \Delta_F) = 0$ ,  $h_* \mathcal{O}_X(m(K_X + \Delta))$  is a reflexive sheaf of rank 1. Also because  $Y$  is smooth,  $h_* \mathcal{O}_X(m(K_X + \Delta))$  is an invertible sheaf. So there is a Cartier divisor  $D'$  on  $Y$ , such that

$$(4) \quad h_* \mathcal{O}_X(m(K_X + \Delta)) = \mathcal{O}_Y(D').$$

Let  $A'$  be a sufficiently ample divisor on  $Y$ , such that  $D' + A'$  is ample on  $Y$ . Therefore  $h_* \mathcal{O}_X(m(K_X + \Delta) + h^* A') = \mathcal{O}_Y(D' + A')$  is ample and

$m(K_X + \Delta) + h^*A'$  is effective. Let  $A := \frac{1}{m}A'$ , by Remark 3.2, for  $0 \leq t \ll 1$ , we can find  $D'_t$  and  $F_t$  such that

$$K_X + \Delta + h^*A + tH \sim_{\mathbb{Q}} h^*D'_t + F_t$$

where  $D'_t$  is a linear function of  $t$  and  $\mathcal{O}_Y \cong h_*\mathcal{O}_X([iF])$  for all  $i \geq 0$ . Let  $D_t := D'_t - A$ , which is also a linear function of  $t$ , and we have

$$K_X + \Delta + tH \sim_{\mathbb{Q}} h^*D_t + F_t.$$

Since for  $t > 0$ ,  $\kappa(X, K_X + \Delta + tH) = \dim(Y)$ , then  $D_t$  is big for every  $t > 0$ . Therefore  $D_0$  is pseudo-effective. By the canonical bundle formula, perhaps replacing  $h : X \rightarrow Y$  by a higher model, we can find  $\mathbb{Q}$ -divisors  $B$  and  $J$  on  $Y$  such that

$$D_0 \sim_{\mathbb{Q}} K_Y + B + J.$$

where  $(Y, B)$  is klt pair and  $J$  is nef.

If Conjecture 1.4 holds in dimension  $\leq n - 1$ ,  $J$  is semiample, choose a general member  $C \in |J + B|_{\mathbb{Q}}$ , then  $(Y, C)$  is klt, and  $K_Y + C$  is pseudo-effective, by Conjecture 1.5 in dimension  $\leq n - 1$ ,  $\kappa(X, K_Y + C) \geq 0$ . Therefore  $\kappa(X, K_X + \Delta) \geq 0$ . □

### 4.3. MMP with scaling

**Definition 4.1.** (MMP with scaling) Let  $(X_1, \Delta_1)$  and  $(X_1, \Delta_1 + H_1)$  be two klt pairs such that  $K_{X_1} + \Delta_1 + H_1$  is nef,  $\Delta_1 \geq 0$ ,  $H_1$  is  $\mathbb{Q}$ -Cartier and pseudo-effective. Suppose that either  $K_{X_1} + \Delta_1$  is nef or there is an extremal ray  $R_1$  such that  $(K_{X_1} + \Delta_1).R_1 < 0$  and  $(K_{X_1} + \Delta_1 + \lambda_1 H_1).R_1 = 0$  where

$$\lambda_1 := \inf\{t \geq 0 \mid K_{X_1} + \Delta_1 + tH_1 \text{ is nef}\}$$

Now, if  $K_{X_1} + \Delta_1$  is nef or if  $R_1$  defines a Mori fibre structure, we stop. Otherwise assume that  $R_1$  gives a divisorial contraction or a log flip  $X_1 \dashrightarrow X_2$ . We can now consider  $(X_2, \Delta_2 + \lambda_1 H_2)$  where  $\Delta_2 + \lambda_1 H_2$  is the birational transform of  $\Delta_1 + \lambda_1 H_1$  and continue. That is, suppose that either  $K_{X_2} + \Delta_2$  is nef or there is an extremal ray  $R_2$  such that  $(K_{X_2} + \Delta_2).R_2 < 0$  and  $(K_{X_2} + \Delta_2 + \lambda_2 H_2).R_2 = 0$  where

$$\lambda_2 := \inf\{t \geq 0 \mid K_{X_2} + \Delta_2 + tH_2 \text{ is nef}\}$$

By continuing this process, we obtain a sequence of numbers  $\lambda_i$  and a special kind of MMP which is called the MMP on  $K_{X_1} + \Delta_1$  with scaling of  $H_1$ . Note that by definition  $\lambda_i \geq \lambda_{i+1}$  for every  $i$ .

*Proof of Theorem 1.7.* Because  $K_X + \Delta + H$  has a good minimal model,  $\kappa(X, K_X + \Delta + H) \geq 0$ , therefore  $\kappa_i(X, K_X + \Delta + H) = \kappa(X, K_X + \Delta + H)$ , and by Theorem 2.5,

$$\nu(X, K_X + \Delta + H) = \kappa_i(X, K_X + \Delta + H) = \kappa(X, K_X + \Delta + H) = k$$

for some nonnegative integer  $k$ . Since  $H$  is pseudo-effective, by Proposition 2.4.(4),  $\nu(X, K_X + \Delta + tH) \leq k, \forall t \in [0, 1]$ . By assumption,  $\kappa(X, K_X + \Delta) \geq 0$ , therefore we have

$$\begin{aligned} k &\geq \nu(X, K_X + \Delta + tH) \geq \kappa(X, K_X + \Delta + tH) \\ &\geq \max\{\kappa(X, K_X + \Delta), \kappa(X, K_X + \Delta + H)\} \geq k, \end{aligned}$$

for every  $t \in (0, 1]$ . Then  $(X, K_X + \Delta + tH)$  has a good minimal model for every  $t \in (0, 1]$ .

Suppose  $\lambda > 0$ . By Theorem 1.1, there exists  $\epsilon > 0$ , such that for every  $t \in [\lambda, \lambda + \epsilon]$ ,  $K_X + \Delta + tH$  has the same ample model  $Z$ . Consider the interval  $I := [\lambda, \lambda + \epsilon]$ . By definition of MMP with scaling, we have infinitely many birational contractions  $\phi_i$ , such that  $\phi_i$  is a minimal model for  $K_X + \Delta + tH, t \in [\lambda_i, \lambda_{i+1}]$ , and  $K_{X_i} + \phi_{i*}\Delta + t\phi_{i*}H$  is not nef when  $t < \lambda_i$ .

On the other hand, by Theorem 1.2, there are finitely many birational contractions  $f_j : X \dashrightarrow Y_j, 1 \leq j \leq m$ , and we can divide  $I$  into finitely many closed interval  $I = \cup_{1 \leq j \leq m} [t_j, t_{j+1}]$ , such that for  $t \in (t_j, t_{j+1})$ ,  $f_j$  is a good minimal model for  $K_X + \Delta + tH$ . It is easy to see that there exist  $i, j$ , such that  $t_j < \lambda_i < \lambda_{i+1} < t_{j+1}$ .

Consider two rational number  $r_1, r_2 \in (\lambda_i, \lambda_{i+1})$ . By assumption,  $\phi_i$  and  $f_j$  are respectively good minimal models of  $K_X + \Delta + r_1H$  and  $K_X + \Delta + r_2H$ . Let  $h : X_i \rightarrow Z$  and  $g : Y_j \rightarrow Z$  be the morphism from good minimal models to the ample model, then there are two divisors  $D_1, D_2$  on  $Z$ , such that  $K_{X_i} + \phi_{i*}\Delta + r_k\phi_{i*}H \sim_{\mathbb{Q}} h^*D_k$  and  $K_{Y_j} + f_{j*}\Delta + r_k f_{j*}H \sim_{\mathbb{Q}} g^*D_k$  for  $k = 1, 2$ . Therefore by linearity, for all  $t \in [0, 1]$ ,  $(X_i, \phi_{i*}\Delta + t\phi_{i*}H)$  is crepant birational with  $(Y_j, f_{j*}\Delta + t f_{j*}H)$ , which means  $\phi_i$  is also a minimal model for  $K_X + \Delta + tH, t \in [t_j, t_{j+1}]$ , this contradicts with the definition of MMP with scaling. □

### Acknowledgements

After completing this paper, we learned from Zhan Li that he had proved some closely related results in [7]. I would like to thank my advisor, Professor Christopher Hacon, for many useful suggestions, discussions, and his generosity. I also thank Professor Kenta Hashizume and Jingjun Han for helpful comments and references.

### References

- [1] D. Abramovich and K. Karu, *Weak semistable reduction in characteristic 0*, *Inventiones mathematicae* **139** (2000), no. 2, 241–273.
- [2] C. Birkar, P. Cascini, C. D. Hacon, and J. McKernan, *Existence of minimal models for varieties of log general type*, *Journal of the American Mathematical Society* **23** (2010), no. 2, 405–468.
- [3] Y. Gongyo and B. Lehmann, *Reduction maps and minimal model theory*, *Compositio Mathematica* **149** (2013), no. 2, 295–308.
- [4] C. D. Hacon and C. Xu, *Existence of log canonical closures*, *Inventiones mathematicae* **192** (2012), no. 1, 161–195.
- [5] K. Hashizume and Z.-Y. Hu, *On minimal model theory for log abundant lc pairs*, *Journal für die reine und angewandte Mathematik (Crelles Journal)* **2020** (2020), no. 767, 109–159.
- [6] J. Kollár, *Kodaira’s canonical bundle formula and adjunction*, in *Flips for 3-folds and 4-folds*, 134–162, Oxford University Press (2007).
- [7] Z. Li, *On finiteness of log canonical models* (2020).
- [8] N. Nakayama, *Zariski-decomposition and Abundance*, The Mathematical Society of Japan (2004).

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF UTAH  
SALT LAKE CITY, UT 84112, USA  
*E-mail address*: jiao@math.utah.edu

RECEIVED JULY 24, 2020

ACCEPTED FEBRUARY 12, 2021

