

Counting twisted Higgs bundles

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We prove an explicit formula, conjectured earlier by the first author, counting semistable twisted Higgs bundles over a smooth projective curve.

1. Introduction

Let X be a smooth projective curve of genus g defined over a finite field \mathbb{F}_q . Let L be a line bundle of degree ℓ over X and let $\mathcal{M}_L(r, d)$ be the moduli space of semistable L -twisted Higgs bundles over X . It parametrizes pairs (E, ϕ) , where E is a vector bundle of rank r and degree d over X and $\phi : E \rightarrow E \otimes L$ is a homomorphism. A formula for the computation of the number of points of $\mathcal{M}_L(r, d)$ for coprime r, d was conjectured in [18] and is proved in this note.

The above conjecture was obtained as a solution of a recursive formula, called an ADHM recursion, conjectured by Chuang, Diaconescu, and Pan [3]. The ADHM recursion was itself based on a conjectural wall-crossing formula for the refined Donaldson-Thomas invariants on a noncompact 3CY variety $Y = L \oplus (\omega_X \otimes L^{-1})$, where ω_X is the canonical bundle of X , as well as a conjectural formula for the asymptotic ADHM invariants. The latter invariants can be interpreted as Pandharipande-Thomas invariants of Y [21]. The formula counting them was derived in [3] by string theoretic methods, hence remains conjectural from the mathematical point of view.

On the other hand, the formula for $\mathcal{M}_L(r, d)$ conjectured in [18] can be considered as a generalization of the conjecture by Hausel and Rodriguez-Villegas [11] in the case of usual Higgs bundles, where the twisting line bundle L is equal to ω_X . A breakthrough for the counting of usual Higgs bundles was made by Schiffmann [23] who proved an explicit, albeit rather complicated formula for these invariants, quite different from the conjecture of [11]. An equivalence between these formulas was proved recently by purely combinatorial methods in a series of papers by Mellit [14–16].

Results on the invariants of moduli spaces of Higgs bundles for small rank and degree were obtained in [3, 7, 9–12, 22]. The conjecture of Hausel and

Rodriguez-Villegas was proved for the y -genus in [6]. An alternative general formula for twisted Higgs bundles on \mathbb{P}^1 – in terms of quiver representations – was obtained in [19]. Other interesting results related to counting of Higgs bundles can be found in [1, 2, 4, 5].

In this paper we will apply Mellit’s methods in order to prove a formula for general L -twisted Higgs bundles. This task will be rather straightforward as Schiffmann’s computation was generalized earlier for twisted Higgs bundles in [20] (see §3). More precisely, let $\mathfrak{M}_L^{\text{ss}}(r, d)$ be the moduli stack of semistable L -twisted Higgs bundles over X . Given a finite type algebraic stack \mathcal{X} over \mathbb{F}_q , we define its volume (see §2.4 for more details on volumes)

$$(1) \quad [\mathcal{X}] = (\#\mathcal{X}(\mathbb{F}_{q^n}))_{n \geq 1}, \quad \#\mathcal{X}(\mathbb{F}_{q^n}) = \sum_{x \in \mathcal{X}(\mathbb{F}_{q^n})/\sim} \frac{1}{\#\text{Aut}(x)}.$$

Define (integral) Donaldson-Thomas (DT) invariants $\Omega_{r,d}$ using the plethystic logarithm (see §2.2)

$$(2) \quad \sum_{d/r=\tau} \Omega_{r,d} T^r z^d = (q-1) \text{Log} \left(\sum_{d/r=\tau} (-q^{\frac{1}{2}})^{-\ell r^2} [\mathfrak{M}_L^{\text{ss}}(r, d)] T^r z^d \right), \quad \tau \in \mathbb{Q}.$$

Note that if r and d are coprime, then every $E \in \mathfrak{M}_L^{\text{ss}}(r, d)$ is stable and $\text{End}(E) = \mathbb{F}_q$ (see Remark 3.1). Therefore

$$(3) \quad \frac{[\mathcal{M}_L(r, d)]}{q-1} = [\mathfrak{M}_L^{\text{ss}}(r, d)] = (-q^{\frac{1}{2}})^{\ell r^2} \frac{\Omega_{r,d}}{q-1},$$

hence we can recover $[\mathcal{M}_L(r, d)]$ from the DT invariant $\Omega_{r,d}$. In the case of non-coprime r and d we can recover only the volumes $[\mathfrak{M}_L^{\text{ss}}(r, d)]$ from the DT invariants. Consider the zeta function of the curve X

$$Z_X(t) = \exp \left(\sum_{n \geq 1} \frac{\#X(\mathbb{F}_{q^n})}{n} t^n \right) = \frac{\prod_{i=1}^g (1 - \alpha_i t)(1 - \alpha_i^{-1} qt)}{(1-t)(1-qt)},$$

where α_i are the Weil numbers of X (see §2.4). The following result was conjectured in [18] (cf. §4.3). We formulate it in the case $\text{deg } L > 2g - 2$ (see Remark 4.5 for the case $L = \omega_X$).

Theorem 1.1. *Assume that $p = \ell - (2g - 2) > 0$. Given a partition λ and a box $s \in \lambda$, let $a(s)$ and $l(s)$ denote its arm and leg lengths respectively*

(see §2). Define

$$(4) \quad \hat{\Omega}^\circ(T, q, z) = \sum_{\lambda} T^{|\lambda|} \prod_{s \in \lambda} (-q^{a(s)} z^{l(s)})^p \frac{\prod_{i=1}^g (q^{a(s)} - \alpha_i^{-1} z^{l(s)+1})(q^{a(s)+1} - \alpha_i z^{l(s)})}{(q^{a(s)} - z^{l(s)+1})(q^{a(s)+1} - z^{l(s)})},$$

$$(5) \quad \sum_{r \geq 1} \Omega_r^\circ(q, z) T^r = (q - 1)(1 - z) \text{Log } \hat{\Omega}^\circ(T, q, z).$$

Then $\Omega_r^\circ(q, z) \in \mathbb{Z}[q, z, \alpha_1^{\pm 1}, \dots, \alpha_g^{\pm 1}]$ and $\Omega_{r,d} = q^{pr/2} \Omega_r^\circ(q, 1)$ for all $d \in \mathbb{Z}$. In particular, if r, d are coprime, then

$$(6) \quad [\mathcal{M}_L(r, d)] = (-1)^{pr} q^{(g-1)r^2 + p \binom{r+1}{2}} \Omega_r^\circ(q, 1).$$

Note that, by the Weil conjectures, the formula for the Poincaré polynomials of the moduli spaces $\mathcal{M}_L(r, d)$ is obtained from the previous result by considering $\alpha_i = q^{\frac{1}{2}}$. It is unclear how to compute DT invariants when the twisting line bundle has degree $0 < \ell < 2g - 2$.

2. Preliminaries

2.1. Partitions

A partition is a sequence of integers $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots)$ such that $\lambda_n = 0$ for $n \gg 0$. We define its length $l(\lambda) = \#\{i \mid \lambda_i \neq 0\}$ and its weight $|\lambda| = \sum_i \lambda_i$. Define its Young diagram (also denoted by λ)

$$(7) \quad d(\lambda) = \{(i, j) \in \mathbb{Z}^2 \mid i \geq 1, 1 \leq j \leq \lambda_i\}.$$

An element $s = (i, j) \in \lambda$ is called a box of the Young diagram located at the i -th row and j -th column. Define the conjugate partition λ' with λ'_j equal the number of boxes in the j -th column of λ . Given a box $s = (i, j) \in \lambda$, define its arm and leg lengths respectively

$$(8) \quad a(s) = \lambda_i - j, \quad l(s) = \lambda'_j - i.$$

Define the hook length $h(s) = a(s) + l(s) + 1$.

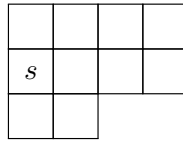


Figure 1: Young diagram for $\lambda = (4, 4, 2)$. Here $\lambda' = (3, 3, 2, 2)$, $s = (2, 1)$, $a(s) = 3$, $l(s) = 1$, $h(s) = 5$.

Define

$$(9) \quad n(\lambda) = \sum_{s \in \lambda} l(s) = \sum_{i \geq 1} \binom{\lambda'_i}{2} = \sum_{i \geq 1} (i - 1)\lambda_i,$$

$$(10) \quad \langle \lambda, \lambda \rangle = \sum_{i \geq 1} (\lambda'_i)^2 = 2n(\lambda) + |\lambda|.$$

Define

$$(11) \quad N_\lambda(u, q, t) = \prod_{s \in \lambda} (q^{a(s)} - ut^{l(s)+1})(q^{a(s)+1} - u^{-1}t^{l(s)}).$$

One can show that

$$(12) \quad N_\lambda(u, q, t) = N_{\lambda'}(u, t, q).$$

2.2. λ -rings and symmetric functions

For simplicity we will introduce only λ -rings without \mathbb{Z} -torsion. To make things even simpler we can assume that our rings are algebras over \mathbb{Q} . Then the axioms of a λ -ring can be formulated just in terms of Adams operations.

We define the (graded) ring of symmetric polynomials

$$\Lambda_n = \mathbb{Z}[x_1, \dots, x_n]^{S_n},$$

where $\deg x_i = 1$. We define the (graded) ring of symmetric functions $\Lambda = \varprojlim \Lambda_n$, where the limit is taken in the category of graded rings. For any commutative ring A , we define $\Lambda_A = \Lambda \otimes_{\mathbb{Z}} A$. As in [13], we define generators

of Λ (complete symmetric and elementary symmetric functions)

$$h_n = \sum_{i_1 \leq \dots \leq i_n} x_{i_1} \dots x_{i_n}, \quad e_n = \sum_{i_1 < \dots < i_n} x_{i_1} \dots x_{i_n},$$

and generators of $\Lambda_{\mathbb{Q}}$ (power sums)

$$p_n = \sum_i x_i^n.$$

The elements h_n, e_n, p_n have degree n . We also define $h_0 = e_0 = p_0 = 1$ for convenience. For any partition λ of length $\leq n$, define monomial symmetric polynomials $m_\lambda = \sum x^\alpha \in \Lambda_n$, where the sum runs over all distinct permutations $\alpha = (\alpha_1, \dots, \alpha_n)$ of $(\lambda_1, \dots, \lambda_n)$. They induce monomial symmetric functions $m_\lambda \in \Lambda$ which form a \mathbb{Z} -basis of Λ .

We define a λ -ring R to be a commutative ring (without \mathbb{Z} -torsion) equipped with a map

$$\Lambda \times R \rightarrow R, \quad (f, a) \mapsto f[a],$$

called a plethysm, such that, with $\psi_n = p_n[-] : R \rightarrow R$, called Adams operations, we have

- (1) The map $\Lambda \rightarrow R, f \mapsto f[a]$, is a ring homomorphism, for all $a \in R$.
- (2) $\psi_1 : R \rightarrow R$ is the identity map.
- (3) The map $\psi_n : R \rightarrow R$ is a ring homomorphism, for all $n \geq 1$.
- (4) $\psi_m \psi_n = \psi_{mn}$, for all $m, n \geq 1$.

The first axiom implies that it is enough to specify just Adams operations $\psi_n = p_n[-]$ or σ -operations $\sigma_n = h_n[-]$ or λ -operations $\lambda_n = e_n[-]$. It also implies that $1[a] = 1$, for all $a \in R$.

We equip algebras of the form $\mathbb{Q}[x_1, \dots, x_k], \mathbb{Q}(x_1, \dots, x_k), \mathbb{Q}[[x_1, \dots, x_k]]$ with the λ -ring structure given by

$$(13) \quad \psi_n(f) = p_n[f] = f(x_1^n, \dots, x_k^n).$$

Similarly, we equip the ring Λ of symmetric functions with the λ -ring structure given by

$$(14) \quad \psi_n(f) = p_n[f] = f(x_1^n, x_2^n, \dots), \quad f \in \Lambda.$$

Note that $p_m[p_n] = p_{mn}$. If R is a λ -ring, then we have

$$(15) \quad f[g[a]] = (f[g])[a], \quad f, g \in \Lambda, a \in R.$$

Given two λ -rings R and R' , we equip the ring $R \otimes_{\mathbb{Z}} R'$ with the λ -ring structure given by

$$(16) \quad \psi_n(a \otimes b) = \psi_n(a) \otimes \psi_n(b), \quad a \in R, b \in R'.$$

The ring Λ can be considered as a free λ -ring with one generator in the following sense. Consider the category Ring_λ of λ -rings (with morphisms that respect plethystic operations). The forgetful functor $F : \text{Ring}_\lambda \rightarrow \text{Set}$ has a left adjoint

$$\text{Sym} : \text{Set} \rightarrow \text{Ring}_\lambda.$$

Given a finite set $\{X_1, \dots, X_n\}$, we denote $\text{Sym} \{X_1, \dots, X_n\}$ by $\text{Sym}[X_1, \dots, X_n]$. Then, for a one-point set $\{X\}$, there is a unique isomorphism of λ -rings

$$\text{Sym}[X] \xrightarrow{\sim} \Lambda$$

that maps X to p_1 . We will usually identify Λ and $\text{Sym}[X]$ using this isomorphism.

Let us define a filtered λ -ring R to be a λ -ring equipped with a filtration

$$R = F^0 R \supset F^1 R \supset \dots$$

such that $F^i R \cdot F^j R \subset F^{i+j} R$ and $\psi_n(F^i R) \subset F^{ni} R$. It is called complete if the natural homomorphism $R \rightarrow \varprojlim R/F^i R$ is an isomorphism. For example, the ring Λ is graded, hence we have a decomposition $\Lambda = \bigoplus_{k \geq 0} \Lambda^k$ into graded components. We equip Λ with the filtration $F^k \Lambda = \bigoplus_{i \geq k} \Lambda^i$ and define the completion

$$(17) \quad \hat{\Lambda} = \varprojlim \Lambda/F^k \Lambda \simeq \mathbb{Z}[[h_1, h_2, \dots]].$$

This ring can be considered as a free complete λ -ring with one generator. One can see that if R is a complete λ -ring, then the plethystic pairing extends

to

$$\hat{\Lambda} \times F^1R \rightarrow R.$$

In particular, the element

$$(18) \quad \text{Exp}[X] = \sum_{n \geq 0} h_n[X] = \exp \left(\sum_{n \geq 1} \frac{p_n[X]}{n} \right) = \prod_{i \geq 1} \frac{1}{1 - x_i} \in \hat{\Lambda},$$

called a plethystic exponential, induces a map $\text{Exp} : F^1R \rightarrow 1 + F^1R$ which satisfies

$$(19) \quad \text{Exp}[a + b] = \text{Exp}[a] \text{Exp}[b].$$

This map has an inverse, called a plethystic logarithm,

$$(20) \quad \text{Log} : 1 + F^1R \rightarrow F^1R, \quad \text{Log}[1 + a] = \sum_{n \geq 1} \frac{\mu(n)}{n} p_n[\log(1 + a)].$$

2.3. Modified Macdonald polynomials

For an introduction to modified Macdonald polynomials see [8] or [15]. Let \mathcal{P}_n denote the set of partitions λ with $|\lambda| = n$. Define the natural partial order on \mathcal{P}_n by

$$\lambda \leq \mu \iff \sum_{i=1}^k \lambda_i \leq \sum_{i=1}^k \mu_i \quad \forall k \geq 1.$$

One can show that $\lambda \leq \mu \iff \mu' \leq \lambda'$ [13, 1.1.11]. Let $\Lambda^{\leq \lambda} \subset \Lambda$ be the subspace spanned by monomial symmetric functions $m_\mu \in \Lambda$ with $\mu \leq \lambda$.

Let us define

$$(21) \quad F = \mathbb{Q}(q, t), \quad \Lambda_F = \Lambda \otimes_{\mathbb{Z}} F.$$

We equip F and Λ with the λ -ring structures using (13) and (14), and we equip Λ_F with the λ -ring structure using (16). For any symmetric function $f \in \Lambda_F$, we will sometimes denote $f[X]$ by $f[X; q, t]$ to indicate dependence

on q, t . Let $P_\lambda[X; q, t] \in \Lambda_F$ be Macdonald polynomials [13, §6]. Define modified Macdonald polynomials $\tilde{H}_\lambda[X; q, t] \in \Lambda_F$ [8, I.8–I.11]

$$(22) \quad \begin{aligned} \tilde{H}_\lambda[X; q, t] &= H_\lambda[X; q, t^{-1}] \cdot t^{n(\lambda)}, \\ H_\lambda[X] &= P_\lambda \left[\frac{X}{1-t} \right] \cdot \prod_{s \in \lambda} (1 - q^{a(s)} t^{l(s)+1}). \end{aligned}$$

Alternatively, one can uniquely determine $\tilde{H}_\lambda[X; q, t] \in \Lambda_F$ by the properties

- (1) $\tilde{H}_\lambda[(1-t)X] \in \Lambda_F^{\leq \lambda}$.
- (2) Cauchy identity:

$$\sum_\lambda \frac{\tilde{H}_\lambda[X] \tilde{H}_\lambda[Y]}{\prod_{s \in \lambda} (q^{a(s)} - t^{l(s)+1})(q^{a(s)+1} - t^{l(s)})} = \text{Exp} \left[\frac{XY}{(q-1)(1-t)} \right].$$

We have by [8, Cor. 2.1] (see also [13, 6.6.17])

$$(23) \quad \tilde{H}_\lambda[1-u; q, t] = \prod_{s \in \lambda} (1 - q^{a'(s)} t^{l'(s)} u),$$

where $a'(s) = j - 1, l'(s) = i - 1$ for $s = (i, j) \in \lambda$. This implies $\tilde{H}_\lambda[1; q, t] = 1$. The symmetric function \tilde{H}_λ has degree $|\lambda|$, hence, applying it to $z \in F[z]$, we obtain

$$(24) \quad \tilde{H}_\lambda[z; q, t] = z^{|\lambda|}.$$

Finally, we have by [8, Cor.2.2]

$$(25) \quad \tilde{H}_\lambda[X; q, t] = \tilde{H}_{\lambda'}[X; t, q].$$

2.4. Volume ring

Following [17], we will introduce in this section a λ -ring which is an analogue of the Grothendieck ring of algebraic varieties or the ring of motives. We define it to be the ring $\mathcal{V} = \prod_{n \geq 1} \mathbb{Q}$ with Adams operations

$$(26) \quad \psi_m(a) = (a_{mn})_{n \geq 1}, \quad a = (a_n)_{n \geq 1} \in \mathcal{V},$$

and call it the volume ring or the ring of counting sequences [17].

Given an algebraic variety X over a finite field \mathbb{F}_q , we define its volume

$$(27) \quad [X] = (\#X(\mathbb{F}_{q^n}))_{n \geq 1} \in \mathcal{V}.$$

More generally, given a finite type algebraic stack \mathcal{X} over \mathbb{F}_q , we define its volume

$$(28) \quad [\mathcal{X}] = (\#\mathcal{X}(\mathbb{F}_{q^n}))_{n \geq 1} \in \mathcal{V},$$

where, for the finite groupoid $\mathcal{G} = \mathcal{X}(\mathbb{F}_{q^n})$, we define

$$(29) \quad \#\mathcal{G} = \sum_{x \in \mathcal{G}/\sim} \frac{1}{\#\text{Aut}(x)}.$$

Let us fix a projective genus g curve X over the field \mathbb{F}_q and consider its zeta function

$$(30) \quad Z_X(t) = \exp \left(\sum_{n \geq 1} \frac{\#X(\mathbb{F}_{q^n})}{n} t^n \right) = \frac{\prod_{i=1}^g (1 - \alpha_i t)(1 - \alpha_i^{-1} qt)}{(1-t)(1-qt)},$$

$$(31) \quad \#X(\mathbb{F}_{q^n}) = 1 + q^n - \sum_{i=1}^g \alpha_i^n - q^n \sum_{i=1}^g \alpha_i^{-n} \quad \forall n \geq 1.$$

Note that the zeta function $Z_X(t)$ (and the elements $\alpha_i \in \mathbb{C}$) is uniquely determined by the volume $[X]$ and, conversely, the volume $[X]$ is uniquely determined by the zeta function.

Let us consider the algebra

$$(32) \quad R_g = \mathbb{Q}[\mathbf{q}^{\pm 1}, \alpha_1^{\pm 1}, \dots, \alpha_g^{\pm 1}, (\mathbf{q}^n - 1)^{-1} : n \geq 1],$$

equipped with the usual λ -ring structure

$$\psi_n(f) = f(\mathbf{q}^n, \alpha_1^n, \dots, \alpha_g^n) \quad \forall f \in R_g.$$

We consider the λ -ring homomorphism

$$(33) \quad \sigma : R_g \rightarrow \mathcal{V}_{\mathbb{C}} = \prod_{n \geq 1} \mathbb{C}, \quad \mathbf{q} \mapsto (q^n)_{n \geq 1}, \quad \alpha_i \mapsto (\alpha_i^n)_{n \geq 1}.$$

It restricts to

$$(34) \quad \sigma : R_g^{S_g \times S_2^g} \rightarrow \mathcal{V},$$

where S_g permutes variables α_i and the i -th copy of S_2 permutes α_i and $q\alpha_i^{-1}$.

Note that $\sigma(q) = [\mathbb{A}^1]$, the volume of the affine line. Applying (31), we obtain

$$(35) \quad \sigma \left(1 + q - \sum_{i=1}^g \alpha_i - q \sum_{i=1}^g \alpha_i^{-1} \right) = [X].$$

In this paper we will express volumes of stacks as images under σ of some elements in R_g . For simplicity, we will write $[\mathcal{X}] = f$, whenever $[\mathcal{X}] \in \mathcal{V}$ and $f \in R_g$ satisfy $[\mathcal{X}] = \sigma(f)$. Also, we will write q and α_i instead of q and α_i respectively, hoping it will not lead to any confusion.

3. Positive Higgs bundles

In this section we will review the formula from [20] counting positive Higgs bundles. Then we will simplify it using an approach from [16]. Let X be a smooth projective curve of genus g over a field \mathbb{k} and let L be a line bundle of degree ℓ over X . Given a coherent sheaf $E \in \text{Coh } X$, we define its slope $\mu(E) = \text{deg } E / \text{rk } E$ and we call E semistable if $\mu(F) \leq \mu(E)$ for all $F \subset E$.

Remark 3.1. We call E stable if $\mu(F) < \mu(E)$ for all proper $F \subset E$. In this case $K = \text{End}(E)$ is a finite-dimensional division algebra over \mathbb{k} by Schur’s lemma. In particular, $K = \mathbb{k}$ if \mathbb{k} is algebraically closed. If $\text{rk } E$ and $\text{deg } E$ are coprime and E is semistable, then E is automatically stable. Let us show that if rank and degree are coprime and the field \mathbb{k} is finite, then $K = \mathbb{k}$. First of all, K is a finite (Galois) field extension of \mathbb{k} by Wedderburn’s little theorem. We can decompose $E_K = E \otimes_{\mathbb{k}} K$ over $X_K = X \times_{\text{Spec } \mathbb{k}} \text{Spec } K$ as a direct sum $\bigoplus_{\sigma \in \text{Gal}(K/\mathbb{k})} F^\sigma$, where F^σ have the same rank and degree [17]. If $[K : \mathbb{k}] > 1$, this would imply that $\text{rk } E$ and $\text{deg } E$ are not coprime, a contradiction.

Every coherent sheaf $E \in \text{Coh } X$ has a unique filtration, called a Harder-Narasimhan filtration,

$$0 = E_0 \subset E_1 \subset \dots \subset E_n = E$$

such that E_i/E_{i-1} are semistable and $\mu(E_1/E_0) > \dots > \mu(E_n/E_{n-1})$. We will say that E is positive if $\mu(E_n/E_{n-1}) \geq 0$. Equivalently, for any semistable sheaf F with $\mu(F) < 0$, we have $\text{Hom}(E, F) = 0$.

Recall that an L -twisted Higgs sheaf is a pair (E, ϕ) , where E is a coherent sheaf over X and $\phi : E \rightarrow E \otimes L$ is a homomorphism. We will say that (E, ϕ) is positive if E is positive. Let $\text{Higgs}_L(X)$ be the category of L -twisted Higgs sheaves and $\text{Higgs}_L^+(X)$ be the category of positive L -twisted Higgs sheaves. We will say that $(E, \phi) \in \text{Higgs}_L(X)$ is semistable if $\mu(F) \leq \mu(E)$ for every $(F, \phi') \subset (E, \phi)$.

Let $\mathfrak{M}_L(r, d)$ denote the stack of all Higgs bundles having rank r and degree d , $\mathfrak{M}_L^{\text{ss}}(r, d) \subset \mathfrak{M}_L(r, d)$ denote the stack of semistable Higgs bundles and $\mathfrak{M}_L^+(r, d) \subset \mathfrak{M}_L(r, d)$ denote the stack of positive Higgs bundles (not necessarily semistable). Assuming that \mathbb{k} is a finite field \mathbb{F}_q , we define (exponential) DT invariants

$$(36) \quad \hat{\Omega}_{r,d} = (-q^{\frac{1}{2}})^{-\ell r^2} [\mathfrak{M}_L^{\text{ss}}(r, d)]$$

and define (integral) DT invariants by the formula

$$(37) \quad \sum_{d/r=\tau} \Omega_{r,d} T^r z^d = (q - 1) \text{Log} \left(\sum_{d/r=\tau} \hat{\Omega}_{r,d} T^r z^d \right), \quad \tau \in \mathbb{Q},$$

Ideally, one would like to define DT invariants by taking the plethystic logarithm of the series that counts volumes of the stacks $\mathfrak{M}_L(r, d)$ (of all Higgs bundles) of arbitrary slope, instead of the above formula, where the stacks $\mathfrak{M}_L^{\text{ss}}(r, d)$ of semistable Higgs bundles of a fixed slope are considered. The problem with this approach is that the stacks $\mathfrak{M}_L(r, d)$ have infinite volume in general. To resolve this issue, it was suggested in [20] to use the stacks $\mathfrak{M}_L^+(r, d)$ of positive Higgs bundles as an approximation of the stacks $\mathfrak{M}_L(r, d)$. Let us consider the series

$$(38) \quad \hat{\Omega}^+(T, q, z) = \sum_{r,d} (-q^{\frac{1}{2}})^{-\ell r^2} [\mathfrak{M}_L^+(r, d)] T^r z^d$$

and define positive (integral) DT invariants by the formula

$$(39) \quad \sum_{r,d} \Omega_{r,d}^+ T^r z^d = (q - 1) \text{Log} \hat{\Omega}^+(T, q, z).$$

The following result was proved in [20]:

Theorem 3.2. *For every $r \geq 1$, we have*

$$(1) \quad \hat{\Omega}_{r,d+r} = \hat{\Omega}_{r,d}.$$

- (2) $\Omega_{r,d+r} = \Omega_{r,d}$.
- (3) $\Omega_{r,d} = \Omega_{r,d}^+$ for $d \gg 0$.

The last result implies that it is enough to find the positive DT invariants $\Omega_{r,d}^+$ in order to determine the usual DT invariants $\Omega_{r,d}$. The following explicit formula for the series $\hat{\Omega}^+(T, q, z)$ was proved in [20].

Theorem 3.3. *Assuming that $p = \ell - (2g - 2) > 0$, we have*

$$\hat{\Omega}^+(T, q, z) = \sum_{\lambda} (-q^{\frac{1}{2}})^{\ell(\lambda,\lambda)} z^{pn(\lambda')} J_{\lambda}(q, z) H_{\lambda}(q, z) T^{|\lambda|},$$

where the sum runs over all partitions λ and $J_{\lambda}(q, z), H_{\lambda}(q, z)$ are certain expressions (independent of ℓ) defined in [20].

The following simplification of the above expression was obtained in [16, Prop. 3.1].

Proposition 3.4. *Given a partition λ of length n , let us define*

$$(40) \quad f(z_1, \dots, z_n; q, \bar{\alpha}) = \prod_{i=1}^n \prod_{k=1}^g \frac{1 - \alpha_k^{-1}}{1 - \alpha_k^{-1} z_i} \\ \times \sum_{\sigma \in S_n} \sigma \left(\prod_{i>j} \left(\frac{1}{1 - z_i/z_j} \prod_{k=1}^g \frac{1 - \alpha_k^{-1} z_i/z_j}{1 - q\alpha_k^{-1} z_i/z_j} \right) \prod_{i>j+1} (1 - qz_i/z_j) \prod_{i \geq 2} (1 - z_i) \right),$$

$$(41) \quad f_{\lambda}(q, z) = f(z_1, \dots, z_n; q, \bar{\alpha}), \quad z_i = q^{i-n} z^{\lambda_i}, \quad i = 1, \dots, n,$$

where $\bar{\alpha} = (\alpha_1, \dots, \alpha_g)$. Then (see (11) for the definition of N_{λ})

$$(42) \quad q^{(g-1)\langle \lambda, \lambda \rangle} J_{\lambda}(q, z) H_{\lambda}(q, z) = \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, z, q)}{N_{\lambda}(1, z, q)} f_{\lambda}(q, z).$$

The last two results imply

Corollary 3.5. *Assuming that $p = \ell - (2g - 2) > 0$, we have*

$$(43) \quad \hat{\Omega}^+(q^{-p/2} T, q, z) \\ = \sum_{\lambda} \left((-1)^{|\lambda|} q^{n(\lambda')} z^{n(\lambda)} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, z)}{N_{\lambda}(1, q, z)} f_{\lambda}(q, z) \cdot T^{|\lambda|}.$$

Proof. Using the fact that $\langle \lambda, \lambda \rangle = 2n(\lambda) + |\lambda|$ (see (10)), we obtain

$$\hat{\Omega}^+(T, q, z) = \sum_{\lambda} \left((-1)^{|\lambda|} q^{n(\lambda)} z^{n(\lambda')} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, z, q)}{N_{\lambda}(1, z, q)} f_{\lambda}(q, z) \cdot (q^{p/2} T)^{|\lambda|}.$$

Now we sum up over conjugate partitions and apply (12). □

Lemma 3.6. *We have*

$$f \in \mathbb{Q}[z_1^{\pm 1}, \dots, z_n^{\pm 1}; q^{\pm 1}][[\alpha_1^{-1}, \dots, \alpha_g^{-1}]].$$

Proof. The factors $(1 - z_i/z_j)$ disappear from the denominator of f when we sum over S_n , so looking at the remaining factors we see that

$$f(z_1, \dots, z_n) \cdot \prod_{k=1}^g \left(\prod_{i=1}^n (1 - \alpha_k^{-1} z_i) \prod_{i \neq j} (1 - q \alpha_k^{-1} z_i/z_j) \right)$$

is a Laurent polynomial. The result follows on observing that every factor in the brackets is invertible in $\mathbb{Q}[z_1^{\pm 1}, \dots, z_n^{\pm 1}; q^{\pm 1}][[\alpha_1^{-1}, \dots, \alpha_g^{-1}]]$. □

Proposition 3.7 (see [16, §4.2]). *We have*

$$f(1, z_1, \dots, z_n) = f(qz_1, \dots, qz_n).$$

4. Main result

4.1. Admissibility

Let R be a λ -ring flat over $\mathbb{Q}(q)[t^{\pm 1}]$ and let $R^* = R \otimes_{\mathbb{Q}(q)[t^{\pm 1}]} \mathbb{Q}(q, t)$. We will say that $F \in R^*$ is admissible if $(1 - t) \text{Log } F$ is contained in R (usually R will be clear from the context). In view of Proposition 3.7, we introduce the following concept.

Definition 4.1. Let us consider the rings

$$\bar{\Lambda}_n = R[z_1^{\pm 1}, \dots, z_n^{\pm 1}]^{S_n}, \quad n \geq 0,$$

and the ring homomorphisms

$$\pi_n : \bar{\Lambda}_{n+1} \rightarrow \bar{\Lambda}_n, \quad (\pi_n f)(z_1, \dots, z_n) = f(1, q^{-1}z_1, \dots, q^{-1}z_n).$$

We define a q -twisted symmetric function $f = (f_n)_{n \geq 0}$ to be an element of $\bar{\Lambda} = \varprojlim \bar{\Lambda}_n$.

Given a q -twisted symmetric function f , we define, for any partition λ (cf. (41)),

$$(44) \quad f_\lambda(q, t) = f_n(z_1, \dots, z_n), \quad z_i = q^{i-n}t^{\lambda_i}, \quad n \geq l(\lambda).$$

Note that this expression is independent of the choice of $n \geq l(\lambda)$.

Remark 4.2. The following result is a reformulation of [16, Lemma 5.1]. Here we exchange the roles of q, t and use conjugate partitions. We also add an invertible factor $(q - 1)$.

Theorem 4.3. *Let $f(u) = \sum_{i \geq 0} f^{(i)}u^i \in \bar{\Lambda}[[u]]$ be a power series with $f^{(0)} = 1$ and let*

$$\begin{aligned} \hat{\Omega}[X; u] &= \sum_{\lambda} c_{\lambda} \tilde{H}_{\lambda}[X; q, t] f_{\lambda'}(u, q, t), \\ \Omega[X; u] &= (q - 1)(1 - t) \text{Log } \hat{\Omega}[X; u], \end{aligned}$$

where $c_{\lambda} \in R^*$ and $c_{\emptyset} = 1$. If $\hat{\Omega}[X; 0]$ is admissible, then $\Omega[X; u] - \Omega[X; 0]$ has coefficients in $(t - 1)R$. In particular, $\Omega[X; u]$ is independent of u at $t = 1$.

4.2. Proof of Theorem 1.1

In this section we will use the variable t in place of z as it is customary in the theory of orthogonal symmetric polynomials.

Theorem 4.4 (cf. Theorem 1.1). *Assume that $p = \ell - (2g - 2) > 0$. Define (see (11) for the definition of N_{λ})*

$$(45) \quad \hat{\Omega}^{\circ}(T, q, t) = \sum_{\lambda} \left((-1)^{|\lambda|} q^{n(\lambda')} t^{n(\lambda)} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)} T^{|\lambda|},$$

$$(46) \quad \Omega^{\circ}(T, q, t) = \sum_{r \geq 1} \Omega_r^{\circ}(q, t) T^r = (q - 1)(1 - t) \text{Log } \hat{\Omega}^{\circ}(T, q, t).$$

Then $\Omega_r^{\circ}(q, t) \in \mathbb{Z}[q, t, \alpha_1^{\pm 1}, \dots, \alpha_g^{\pm 1}]$ and

$$\Omega_{r,d} = q^{pr/2} \Omega_r^{\circ}(q, 1) \quad \forall d \in \mathbb{Z}.$$

Proof. According to Theorem 3.2 it is enough to show that $\Omega_{r,d}^+ = q^{pr/2}\Omega_r^\circ(q, 1)$ for $d \gg 0$, where $\Omega_{r,d}^+$ are determined by (39) and Corollary 3.5:

$$(47) \quad \hat{\Omega}^+(q^{-p/2}T, q, t) = \sum_{\lambda} \left((-1)^{|\lambda|} q^{n(\lambda')} t^{n(\lambda)} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)} f_{\lambda'}(q, t) \cdot T^{|\lambda|},$$

$$(48) \quad \Omega^+(T, q, t) = \sum_r \Omega_r^+(q, t) T^r = \sum_{r,d} \Omega_{r,d}^+ T^r t^d = (q - 1) \text{Log } \hat{\Omega}^+(T, q, t).$$

We will compare the series $\hat{\Omega}^+(q^{-p/2}T, q, t)$ and the series $\hat{\Omega}^\circ(T, q, t)$ using Theorem 4.3. Consider the ring of Laurent series

$$(49) \quad R = \mathbb{Q}(q)[t^{\pm 1}][(\alpha_1^{-1}, \dots, \alpha_g^{-1})]$$

and the series $\tilde{f}(u) = \sum_{i \geq 0} \tilde{f}^{(i)} u^i$ which is a deformation of f (40) defined by

$$\tilde{f}^{(i)} = (\tilde{f}_n^{(i)})_{n \geq 0}, \quad \tilde{f}_n(z_1, \dots, z_n; u) = \sum_{i \geq 0} \tilde{f}_n^{(i)} u^i = f(z_1, \dots, z_n; q, u^{-1}\bar{\alpha}),$$

where every α_i is substituted by $u^{-1}\alpha_i$. It follows from Lemma 3.6 that

$$\tilde{f}_n \in \mathbb{Q}[q^{\pm 1}, \alpha_1^{\pm 1}, \dots, \alpha_g^{\pm 1}][z_1^{\pm 1}, \dots, z_n^{\pm 1}]^{S_n}[[u]],$$

hence by Proposition 3.7 the coefficients $\tilde{f}^{(i)}$ are q -twisted symmetric functions over R . It follows from [16, Theorem 5.2] that $\tilde{f}_n|_{u=0} = 1$, hence $\tilde{f}(0) = 1$.

As before, we define

$$\tilde{f}_{\lambda}(u, q, t) = \tilde{f}_n(z_1, \dots, z_n; u), \quad z_i = q^{i-n} t^{\lambda_i}, \quad n \geq l(\lambda),$$

and consider the series of symmetric functions

$$(50) \quad \hat{\Omega}[X; u, q, t] = \sum_{\lambda} \left((-1)^{|\lambda|} q^{n(\lambda')} t^{n(\lambda)} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)} \tilde{H}_{\lambda}[X; q, t] \tilde{f}_{\lambda'}(u, q, t),$$

$$(51) \quad \Omega[X; u, q, t] = (q - 1)(1 - t) \text{Log } \hat{\Omega}[X; u, q, t].$$

Then (45) and (47) translate to

$$\begin{aligned} \hat{\Omega}[T; 0, q, t] &= \hat{\Omega}^\circ(T, q, t), & \Omega[T; 0, q, t] &= \Omega^\circ(T, q, t), \\ \hat{\Omega}[T; 1, q, t] &= \hat{\Omega}^+(q^{-p/2}T, q, t), & \Omega[T; 1, q, t] &= (1 - t)\Omega^+(q^{-p/2}T, q, t). \end{aligned}$$

In order to apply Theorem 4.3 we need to show that

$$\hat{\Omega}[X; 0, q, t] = \sum_{\lambda} \left((-1)^{|\lambda|} q^{n(\lambda')} t^{n(\lambda)} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)} \tilde{H}_{\lambda}[X; q, t]$$

is admissible. The series

$$\sum_{\lambda} \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)} \tilde{H}_{\lambda}[X; q, t]$$

is admissible according to [14]. The operator ∇ defined by

$$\tilde{H}_{\lambda} \mapsto (-1)^{|\lambda|} q^{n(\lambda')} t^{n(\lambda)} \tilde{H}_{\lambda}$$

preserves admissibility by [14, Cor. 6.3]. Therefore the series $\hat{\Omega}[X; 0, q, t]$ is also admissible (one actually obtains from [14] that the coefficients of $\Omega[X; 0, q, t]$ are in $\mathbb{Z}[q, t, \alpha_1^{\pm 1}, \dots, \alpha_g^{\pm 1}]$, hence the same is true for $\Omega^\circ(T, q, t)$).

We conclude from Theorem 4.3 that

$$(52) \quad \Omega[T; u, q, t] - \Omega[T; 0, q, t] \in (1 - t)R[[T, u]].$$

By Lemma 3.6 we can consider $\hat{\Omega}[T; u, q, t]$ (50) as a series with polynomial coefficients in u

$$\hat{\Omega}[T; u, q, t] \in \mathbb{Q}(q, t)[u][(\alpha_1^{-1}, \dots, \alpha_g^{-1})][[T]].$$

The same then applies to $\Omega[T; u, q, t]$ and we can set $u = 1$ in (52). We obtain

$$(1 - t)\Omega^+(q^{-p/2}T, q, t) - \Omega^\circ(T, q, t) \in (1 - t)R[[T]].$$

This implies that $(1 - t)q^{-pr/2}\Omega_r^+(q, t) - \Omega_r^\circ(q, t) = (1 - t)h$ for some $h \in R$. Therefore

$$q^{-pr/2} \sum_{d \geq 0} \Omega_{r,d}^+ t^d = \frac{\Omega_r^\circ(q, t)}{1 - t} + h.$$

Comparing the coefficients of the monomials in $\alpha_1, \dots, \alpha_g$ and using the fact that $\Omega_{r,d+r}^+ = \Omega_{r,d}^+$ for $d \gg 0$, we conclude that $q^{-pr/2}\Omega_{r,d}^+ = \Omega_r^\circ(q, 1)$ for $d \gg 0$. \square

Remark 4.5. Let us also formulate the result in the case $L = \omega_X$ (the canonical bundle) for completeness [16]. In this case we have $\ell = 2g - 2$ and $p = \ell - (2g - 2) = 0$. Define as before

$$(53) \quad \hat{\Omega}^\circ(T, q, t) = \sum_{\lambda} \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)} T^{|\lambda|}$$

$$(54) \quad \Omega^\circ(T, q, t) = \sum_{r \geq 1} \Omega_r^\circ(q, t) T^r = (q - 1)(1 - t) \text{Log } \hat{\Omega}^\circ(T, q, t).$$

Using results of [20] and the same proof as before, we obtain the formula for integral Donaldson-Thomas invariants $\Omega_{r,d} = q\Omega_r^\circ(q, 1)$ (note the additional factor q). These invariants are related to the invariants $A_{r,d}$ counting absolutely indecomposable vector bundles of rank r and degree d over X : $\Omega_{r,d} = qA_{r,d}$ [20]. This implies that $A_{r,d} = \Omega_r^\circ(q, 1)$, as was proved by Mellit in [16].

4.3. Alternative formulation

The following result was conjectured in [18, Conj. 3].

Theorem 4.6. *Assume that $p = \ell - (2g - 2) > 0$. Consider the series*

$$\mathcal{H}(T, q, t) = \sum_{\lambda} T^{|\lambda|} \prod_{s \in \lambda} (-t^{a(s)-l(s)} q^{a(s)})^p t^{(1-g)(2l(s)+1)} Z_X(t^{h(s)} q^{a(s)}),$$

$$\mathbf{H}^\circ(T, q, t) = \sum_{r \geq 1} \mathbf{H}_r^\circ(q, t) T^r = (1 - t)(1 - qt) \text{Log } \mathcal{H}(T, q, t).$$

Then $\mathbf{H}_r^\circ(q, t) \in \mathbb{Z}[q, t^{\pm 1}, \alpha_1^{\pm 1}, \dots, \alpha_g^{\pm 1}]$ and $\Omega_{r,d} = q^{pr/2} \mathbf{H}_r^\circ(q, 1)$.

Proof. Using the substitution $t \mapsto t^{-1}$, we obtain

$$\begin{aligned} \mathcal{H}(T, q, t^{-1}) &= \sum_{\lambda} T^{|\lambda|} \prod_{s \in \lambda} (-t^{-l-a} q^a)^p t^{(g-1)(2l+1)} Z_X(t^{-h} q^a) \\ &= \sum_{\lambda} T^{|\lambda|} \prod_{s \in \lambda} (-t^{l-a} q^a)^p \frac{\prod_{i=1}^g (t^{l+1} - \alpha_i t^{-a} q^a) (t^l - \alpha_i^{-1} t^{-a-1} q^{a+1})}{(t^{l+1} - t^{-a} q^a) (t^l - t^{-a-1} q^{a+1})}, \end{aligned}$$

while

$$t\mathbf{H}^\circ(T, q, t^{-1}) = (1 - t)(t^{-1}q - 1) \operatorname{Log} \mathcal{H}(T, q, t^{-1}).$$

Using the substitution $q \mapsto qt$, we obtain

$$\begin{aligned} \mathcal{H}(T, qt, t^{-1}) &= \sum_{\lambda} T^{|\lambda|} \prod_{s \in \lambda} (-t^l q^a)^p \frac{\prod_{i=1}^g (t^{l+1} - \alpha_i q^a)(t^l - \alpha_i^{-1} q^{a+1})}{(t^{l+1} - q^a)(t^l - q^{a+1})} \\ &= \sum_{\lambda} T^{|\lambda|} \left((-1)^{|\lambda|} q^{n(\lambda')} t^{n(\lambda)} \right)^p \frac{\prod_{i=1}^g N_{\lambda}(\alpha_i^{-1}, q, t)}{N_{\lambda}(1, q, t)}. \end{aligned}$$

Now the result follows from Theorem 4.4. □

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