# Span of restriction of Hilbert theta functions

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**Abstract:** In this paper, we study the diagonal restrictions of certain Hilbert theta series for a totally real field F, and prove that they span the corresponding space of elliptic modular forms when the F is quadratic or cubic. Furthermore, we give evidence of this phenomenon when F is quartic, quintic and sextic.

#### 1. Introduction

Theta functions are classical examples of holomorphic modular forms. Given a positive definite, unimodular  $\mathbb{Z}$ -lattice L of rank 8m with  $m \in \mathbb{N}$ , the associated theta function

(1) 
$$\theta_L(\tau) := \sum_{\lambda \in L} q^{Q(\lambda)}, \ q := \mathbf{e}(\tau) := e^{2\pi i \tau},$$

is in  $M_{4m}$ , the space of elliptic modular forms of weight 4m on  $\mathrm{SL}_2(\mathbb{Z})$ . For example, the theta functions associated to the  $E_8$  lattice and Leech lattice  $\Lambda_{24}$  are explicitly given as

(2) 
$$\theta_{E_8}(\tau) = E_4(\tau), \ \theta_{\Lambda_{24}}(\tau) = E_4(\tau)^3 - 720\Delta(\tau),$$

where  $E_{2k}(\tau)$  is the Eisenstein series of weight 2k and  $\Delta(\tau)$  is the Ramanujan  $\Delta$ -function.

For  $N \in \mathbb{N}$ , we denote

(3) 
$$\mathcal{M}_{\mathbb{Q}}^{(N)} := \bigoplus_{k \in \mathbb{N}} M_{Nk}$$

the finitely generated graded algebra of elliptic modular forms with weights divisible by N, and would like to consider the subalgebra  $\mathcal{M}_{\mathbb{Q}}^{\theta} \subset \mathcal{M}_{\mathbb{Q}}^{(4)}$  generated by theta functions of unimodular lattices. Using the relation

(4) 
$$\theta_{L_1 \oplus L_2}(\tau) = \theta_{L_1}(\tau)\theta_{L_2}(\tau).$$

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for any two unimodular lattices  $L_1, L_2$ , we see that  $\mathcal{M}_{\mathbb{Q}}^{\theta}$  is simply the span of such theta functions. Equation (2) and the fact  $\mathcal{M}_{\mathbb{Q}}^{(4)} = \mathbb{C}[E_4, \Delta]$  imply that

$$\mathcal{M}_{\mathbb{Q}}^{\theta} = \mathcal{M}_{\mathbb{Q}}^{(4)}.$$

The construction of theta functions also extends to the case of Hilbert modular forms. Let F be a totally real field of degree d with ring of integers  $\mathcal{O}_F$ , and denote  $\alpha_j \in \mathbb{R}$  the real embeddings of  $\alpha \in F$  for  $1 \leq j \leq d$ . For  $N \in \mathbb{N}$ , denote  $\mathcal{M}_F^{(N)}$  the algebra of holomorphic Hilbert modular forms of parallel weight Nk for  $k \in \mathbb{N}$ . Given a totally positive definite,  $\mathbb{Z}$ -unimodular  $\mathcal{O}_F$ -lattice L of rank 8m (see Definition 1), the associated theta function

(6) 
$$\theta_L(\tau) := \sum_{\lambda \in L} \prod_{j=1}^d q_j^{Q(\lambda)_j}, \ \tau = (\tau_1, \dots, \tau_d) \in \mathbb{H}^d, \ q_j := \mathbf{e}(\tau_j),$$

is a Hilbert modular form of parallel weight 4m on  $SL_2(\mathcal{O}_F)$ . It is well-known that such lattice exists precisely when

(7) 
$$m \in \frac{1}{d_2} \mathbb{N}, \ d_2 := \gcd(2, d)$$

(see Prop. 2.1). As a result, the relationship between  $\mathcal{M}_F^{(4/d_2)}$  and the subalgebra  $\mathcal{M}_F^{\theta}$  generated by such  $\theta_L$  is not clear.

On the other hand, we have the following diagonal restriction map

$$\mathcal{M}_F^{(N)} \to \mathcal{M}_{\mathbb{Q}}^{(Nd)}$$

$$f \mapsto f^{\Delta}(\tau) := f(\tau^{\Delta}),$$

where  $\tau^{\Delta} = (\tau, \dots, \tau) \in \mathbb{H}^d$ . In this note, we will investigate the question about the image of  $\mathcal{M}_F^{\theta}$  under this map, which is denoted by  $(\mathcal{M}_F^{\theta})^{\Delta}$  and contained in  $\mathcal{M}_{\mathbb{O}}^{(4d/d_2)}$ . The main result is as follows.

**Theorem 1.1.** For a totally real field F of degree d = 2, 3, we have

(8) 
$$(\mathcal{M}_F^{\theta})^{\Delta} = \mathcal{M}_{\mathbb{Q}}^{(4d/d_2)}.$$

Based on this, it is then natural to make the following conjecture.

Conjecture 1. Equation (8) holds for any totally real field F of degree d.

To prove Theorem 1.1, we apply an instance of the Siegel-Weil formula to see that the Hecke Eisenstein series  $E_{F,k}$  defined in (13) is contained in  $\mathcal{M}_F^\theta$  for all  $k \in (4/d_2)\mathbb{N}$ . Then we calculate the Petersson inner product between the diagonal restriction of  $E_{F,k}$  and an elliptic cusp form. For d=2, this inner product is related to Fourier coefficients of half-integral weight modular forms by a result of Kohnen-Zagier [7]. For  $d \geq 3$ , we give an expression for this inner product in terms of a sum over the double coset  $\Gamma_{F,\infty} \backslash \Gamma_F / \Gamma_{\mathbb{Q}}$  (see Prop. 3.1). When d=3, we related this double coset to orders in a cubic field F (see Section 4). Using these results, we show that when d=2,3,  $\mathcal{M}_{\mathbb{Q}}^{(4d/d_2)}$  can be generated by  $E_{F,k}^{\Delta}$  and  $\theta_L^{\Delta}$  for a  $\mathbb{Z}$ -unimodular  $\mathcal{O}_F$ -lattice L.

The same approach can be used to check conjecture 1 numerically when  $d \in \{4, 5, 6, 8, 10\}$ . We list some results for d = 4, 5, 6 and F has small discriminants in the last section (see Theorem 6.1).

#### 2. Preliminary

Let F be a totally real field of degree d with ring of integers  $\mathcal{O}_F$  and different  $\mathfrak{d}_F$ . Denote  $\mathrm{Cl}(F)$  the (wide) class group of F. Let (V,Q) be an F-quadratic space of dimension n. We say that V is totally positive if  $V \otimes_{\iota(F)} \mathbb{R}$  is totally positive for every real embedding  $\iota : F \hookrightarrow \mathbb{R}$ . In that case,  $\mathrm{SO}_V(\mathbb{R})$  is compact and the double quotient  $\mathrm{SO}_V(F)\backslash\mathrm{SO}_V(\hat{F})/K$  is a finite set for any open compact subgroup  $K \subset \mathrm{SO}_V(\hat{F})$ . Here  $\mathbb{A}_F$  and  $\hat{F}$  are the adele and finite adele of F.

A finitely generated  $\mathcal{O}_F$ -module  $L \subset V$  is called a  $(\mathcal{O}_F$ -)lattice if  $L \otimes_{\mathcal{O}_F} F = V$ . We denote  $\hat{L} := L \otimes \hat{\mathbb{Z}} \subset \hat{V} = V \otimes \hat{\mathbb{Q}}$ . If  $Q(L) \subset \mathfrak{d}_F^{-1}$ , we say that L is  $\mathbb{Z}$ -even integral and call the lattice

(9) 
$$L' := \{ y \in V : (y, L) \subset \mathfrak{d}_F^{-1} \}$$

its  $\mathbb{Z}$ -dual. Viewed as a  $\mathbb{Z}$ -lattice with respect to  $Q_{\mathbb{Q}}(x) := \operatorname{tr}_{F/\mathbb{Q}}Q(x)$ , such L is even integral with dual L'.

**Definition 1.** An  $\mathcal{O}_F$ -lattice L is said to be  $\mathbb{Z}$ -unimodular if L' = L.

As a convention, the trivial lattice in the trivial F-vector space is totally positive and  $\mathbb{Z}$ -unimodular. Consider the monoid

 $\mathcal{U}_F^+ := \{(L, Q) : L \text{ is an even } \mathbb{Z}\text{-unimodular } \mathcal{O}_F\text{-lattice and totally positive}\}$ 

with respect to  $\oplus$ , and denote  $\mathcal{U}_F^{+,n} \subset \mathcal{U}_F^+$  the subset of lattices of rank n. We first have the following result.

**Proposition 2.1.** The set  $\mathcal{U}_F^{+,n}$  is non-empty precisely when  $(8/d_2) \mid n$ .

*Proof.* Satz 1 in [1] implies that there exists definite, unimodular  $\mathcal{O}_F$ -lattices in the sense loc. cit. if and only if  $(8/d_2) \mid n$ . Furthermore since n is even, all of the  $2^d$  possible definite signatures will appear in the set of definite, unimodular  $\mathcal{O}_F$ -lattices of rank n. One can then use the fact that the class  $\mathfrak{d}_F$  in the class group is a square to translate this result to the existence  $\mathbb{Z}$ -unimodular lattices. (see the proof of Prop. 2.5 in [10] for details).

Remark 2.2. For  $L \in \mathcal{U}_F^{+,n}$  and  $h \in SO_V(\hat{\mathbb{Q}})$  with  $V = L \otimes_{\mathcal{O}_F} F$ , the lattice

$$(11) h \cdot L := (h \cdot \hat{L}) \cap V \subset V$$

is also in  $\mathcal{U}_F^{+,n}$ .

For each  $L \in \mathcal{U}_F^{+,n}$ , let  $\theta_L(\tau)$  be the associated theta function defined in (6). It is a Hilbert modular form of parallel weight n/2 for  $\mathrm{SL}_2(\mathcal{O}_F)$ . Now, the Siegel-Weil formula [14, 17] gives us the following result.

**Proposition 2.3.** Let F be a totally real field of degree d. Then

(12) 
$$\int_{SO_{V}(F)\backslash SO_{V}(\mathbb{A}_{F})/SO_{V}(\mathbb{R})} \theta_{h \cdot L}(\tau) dh = \kappa E_{F, n/2}(\tau),$$

for some positive constant  $\kappa$ , where  $E_{F,k}$  is the Hecke Eisenstein series of parallel weight k defined by

$$E_{F,k}(\tau) := 1 + \zeta_F(k)^{-1} \sum_{\mathcal{A} = [\mathfrak{a}] \in \text{Cl}(F)} \text{Nm}(\mathfrak{a})^k \sum_{(c,d) \in \mathfrak{a}^2 / \mathcal{O}_F^{\times}, \ c \neq 0} \prod_{j=1}^d (c_j \tau_j + d_j)^{-k}$$

In particular,  $E_{F,k} \in \mathcal{M}_F^{\theta}$  for all  $k \in (4/d_2)\mathbb{N}$ .

Remark 2.4. The Hecke Eisenstein series have the well-known Fourier expansion (see [15, 19])

(14) 
$$E_{F,k}(\tau) = 1 + \frac{2^d}{\zeta_F(1-k)} \sum_{t \in \mathfrak{d}_F^{-1}, t \gg 0} \sigma_{k-1}(t\mathfrak{d}_F) \prod_{j=1}^d q_j^{t_j \tau_j}$$

with  $\sigma_r(\mathfrak{a}) := \sum_{\mathfrak{b}|\mathfrak{a}, \ \mathfrak{b} \subset \mathcal{O}_F} \operatorname{Nm}(\mathfrak{b})^r$  for any integral ideal  $\mathfrak{a}$  and  $r \in \mathbb{N}$ .

*Proof.* By the Siegel-Weil formula, the left hand side of (12) equals to the Eisenstein series

$$E_L(\tau) = v^{-n/4} \sum_{\gamma \in B(F) \backslash \mathrm{SL}_2(F)} \Phi_L(\gamma g_\tau, n/2 - 1),$$

where  $B \subset \operatorname{SL}_2$  is the standard Borel subgroup, and  $\Phi_L$  is the Siegel-Weil section associated to the lattice L (see e.g. [8, section I.3]). For  $t \in F^{\times}$ , the t-th Fourier coefficient of  $E_L$  is given by

$$\prod_{\mathfrak{p}<\infty} W_{t,\mathfrak{p}}(1,n/2-1,\Phi_{L,\mathfrak{p}})$$

up to constant independent of t. Here  $W_{t,\mathfrak{p}}(g,s,\phi)$  is the local Whittaker function (see e.g. [18]). Since L is  $\mathbb{Z}$ -unimodular, the local lattice  $L\otimes \mathcal{O}_{F,\mathfrak{p}}$  in  $V\otimes F_{\mathfrak{p}}$  is self-dual for every finite place  $\mathfrak{p}$ . Standard calculations (see e.g. [9]) then gives us

$$W_{t,\mathfrak{p}}(1,s,\Phi_{L,\mathfrak{p}}) = \sum_{m=0}^{\operatorname{ord}_{\mathfrak{p}}(t\mathfrak{d}_{F_{\mathfrak{p}}})} \operatorname{Nm}(\mathfrak{p})^{s}$$

when  $t \in \mathfrak{d}_{F_{\mathfrak{p}}}^{-1}$ , and zero otherwise. So up to a constant, the Eisenstein series  $E_L$  and  $E_{F,n/2}$  have the same non-constant term Fourier coefficients, hence agree. Now the left hand side of (12) is just a sum of  $\theta_{L_j}$  over certain  $L_j \in \mathcal{U}_F^{+,n}$  by Remark 2.2. Combining this with Prop. 2.1 finishes the proof.

We can rewrite the Hecke-Eisenstein series  $E_{F,k}$  as

$$E_{F,k}(\tau) := 1 + \sum_{\substack{\mathcal{A} = [\mathfrak{a}] \in \mathrm{Cl}(F) \\ (c,d) \in \mathfrak{a}^2/\mathcal{O}_F^{\times} \\ c \neq 0 \\ \mathcal{O}_{Fc} + \mathcal{O}_{F}d = \mathfrak{a}}} \left(\frac{\mathrm{Nm}(\mathfrak{a})}{\mathrm{Nm}(c)}\right)^k \prod_{j=1}^d (\tau_j + d_j/c_j)^{-k}$$

For any  $\beta \in F$ , there is unique  $\mathcal{A} = [\mathfrak{a}]$  and  $(c,d) \in \mathfrak{a}^2/\mathcal{O}_F^{\times}$  with  $c \neq 0$  such that  $\mathfrak{a} = \mathcal{O}_F c + \mathcal{O}_F d$  and  $\beta = d/c$ . Therefore, we denote

(15) 
$$A_{\beta} := \frac{\operatorname{Nm}(c)}{\operatorname{Nm}(\mathfrak{a})} \in \mathbb{Z} - \{0\}.$$

It is easy to check this definition does not depend on the choice of the representative  $\mathfrak{a}$ , and

$$(16) A_{\beta+a,k} = A_{\beta,k}$$

for all  $a \in \mathbb{Z}$ . Then we have

(17) 
$$E_{F,k}(\tau) = 1 + \sum_{\beta \in F} A_{\beta}^{-k} \prod_{j=1}^{d} (\tau_j + \beta_j)^{-k}.$$

#### 3. Petersson inner product calculations

In this section, let  $F/\mathbb{Q}$  be totally real with degree  $d \geq 3$ . We will give an expression for the Petersson inner product between the diagonal restriction of the Hecke Eisenstein series  $E_{F,k}$  and an elliptic cusp form f of weight dk.

For  $\alpha \in M_{m,n}(F)$  and  $1 \leq j \leq d$ , we write  $\alpha_j \in M_{m,n}(\mathbb{R})$  with  $1 \leq j \leq d$  for the real embeddings of  $\alpha$ . We identify  $\mathbb{P}^1(F) \cong B(F) \backslash \mathrm{SL}_2(F)$  via

(18) 
$$\beta \mapsto \begin{cases} \binom{n + k}{1 \beta} & \beta \in F, \\ \binom{n + k}{1 \beta} & \beta = \infty. \end{cases}$$

Let  $S_0 \cup \{\infty\} \subset \mathbb{P}^1(F)$  be a set of representatives of the double coset space

$$B(F)\backslash \mathrm{SL}_2(F)/\mathrm{SL}_2(\mathbb{Z})$$
.

Then  $S_0 \subset F - \mathbb{Q}$  and we can use (17) to express the diagonal restriction of  $E_{F,k}$  as

(19) 
$$E_{F,k}^{\Delta}(\tau) = E_{dk} + \sum_{\beta \in S_0} E_{F,k,\beta}(\tau),$$

where

$$E_{F,k,\beta}(\tau) := \sum_{\gamma \in SL_2(\mathbb{Z})} A_{-\gamma^{-1} \cdot (-\beta_j)}^{-k} \prod_{j=1}^d (\tau - \gamma^{-1} \cdot (-\beta_j))^{-k}$$

with  $\tau \in \mathbb{H}$ . Note that  $E_{dk}$  is just the elliptic Eisenstein series of weight dk.

Let  $f(\tau) = \sum_{n\geq 1} c_n q^n \in S_{dk}$  be a cusp form. We are interested in estimating its inner product with  $E_{F,k}^{\Delta}$ . By the usual unfolding process, we obtain

$$\langle E_{F,k}^{\Delta}, f \rangle = \sum_{\beta \in S_0} \int_{\Gamma_{\infty} \backslash \mathbb{H}} E_{F,k,\beta}^{\infty}(\tau) \overline{f(\tau)} v^{dk} \frac{du dv}{v^2}$$
$$= \sum_{\beta \in S_0} \int_0^{\infty} \sum_{n \ge 1} \overline{c_n} a_{F,k,\beta}(n,v) e^{-2\pi nv} v^{dk-1} \frac{dv}{v},$$

where  $\Gamma_{\infty} := B(\mathbb{Q}) \cap \mathrm{SL}_2(\mathbb{Z})$  and

(20) 
$$E_{F,k,\beta}^{\infty}(\tau) := \sum_{\gamma \in \Gamma_{\infty}} A_{-\gamma^{-1}\cdot(-\beta)}^{-k} \prod_{j=1}^{d} (\tau - \gamma^{-1}\cdot(-\beta_{j}))^{-k}$$
$$= 2A_{\beta}^{-k} \prod_{j=1}^{d} (\tau + \beta_{j} + b)^{-k} = \sum_{n \in \mathbb{Z}} a_{F,k,\beta}(n,v) \mathbf{e}(nu).$$

for  $\beta = d/c \in S_0$ . Here we have  $r_{-\gamma \cdot (-\beta)} = r_{\beta}$  for all  $\gamma \in \Gamma_{\infty}$  by (16). It is easy to see that

(21)

$$a_{F,k,\beta}(n,v) = 2A_{\beta}^{-k} \int_{\mathbb{R}} \prod_{j=1}^{d} (u+iv+\beta_j)^{-k} \mathbf{e}(-nu) du$$
$$= 4\pi i (-A_{\beta})^{-k} \sum_{z \in Z(\beta)} \operatorname{Res}_{x=z} \left( \mathbf{e}(nx) \prod_{j=1}^{d} (x-(\beta_j+iv))^{-k} \right),$$

where  $Z(\beta) := \{\beta_j + iv : 1 \le j \le d\} \subset \mathbb{H}$  since

(22) 
$$\sum_{z \in Z(\beta)} \operatorname{Res}_{x=z} \left( \mathbf{e}(nx) \prod_{j=1}^{d} (x - z_j)^{-k} \right) = \frac{1}{2\pi i} \int_{\mathbb{R}} \mathbf{e}(nx) \prod_{j=1}^{d} (x - z_j)^{-k} dx.$$

Suppose  $\beta_i$ 's are all distinct. Then

$$\sum_{z \in Z(\beta)} \operatorname{Res}_{x=z} \left( \mathbf{e}(nx) \prod_{j=1}^{d} (x - (\beta_j + iv))^{-k} \right)$$

$$= \frac{1}{\Gamma(k)} \sum_{j=1}^{d} \left( \frac{d}{dx} \right)^{k-1} \left( \frac{\mathbf{e}(nx)}{\prod_{j'=1, \ j' \neq j}^{d} (x - (\beta_{j'} + iv))^{k}} \right) |_{x=\beta_{j'} + iv}$$

$$= \frac{\mathbf{e}(niv)}{\Gamma(k)} \sum_{j=1}^{d} \sum_{\ell=0}^{k-1} \frac{\mathbf{e}(n\beta_j) e^{-2\pi nv}}{(2\pi in)^{k-1-\ell}} {k-1 \choose \ell} \left( \frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}} \right) (\beta_j - \beta_1, \dots, \beta_j - \beta_d),$$

where  $P_{m,k,\ell}, Q_{m,r} \in \mathbb{Q}[x_1, \dots, x_m]$  are symmetric polynomials of degrees  $(m-1)\ell$  and mr defined by

(23) 
$$P_{m,k,\ell}(x_1,\ldots,x_m) := (x_1\ldots x_m)^{k+\ell} (\partial_{x_1} + \cdots + \partial_{x_m})^{\ell} (x_1\ldots x_m)^{-k},$$
$$Q_{m,r}(x_1,\ldots,x_m) := (x_1\ldots x_m)^r.$$

Note that

(24) 
$$\frac{P_{m,k,\ell}}{Q_{m,k+\ell}}(x_1,\ldots,x_m) = (-1)^{\ell}\ell! \sum_{r=(r_j)\in\mathbb{N}^m, \sum_j r_j=\ell} \binom{k}{r} \prod_{j=1}^m x_j^{-k-r_j},$$

where  $\binom{k}{r}$  :=  $\frac{k^{(r_1)}...k^{(r_m)}}{r_1!...r_m!}$  for  $r=(r_1,\ldots,r_m)\in\mathbb{N}^m$  with  $k^{(n)}:=k(k+1)\ldots(k+n-1)$ . Substituting this into the unfolding gives us the following result.

**Proposition 3.1.** Suppose F is a totally real field of degree  $d \geq 3$  and there is no intermediate field between F and  $\mathbb{Q}$ . For any  $k \in 2\mathbb{N}$  and  $f(\tau) = \sum_{n\geq 1} c(n)q^n \in S_{dk}$ , we have

$$\langle E_{F,k}^{\Delta}, f \rangle = \frac{i\Gamma(dk-1)}{(4\pi)^{dk-2}\Gamma(k)} \sum_{\ell=0}^{k-1} (2\pi i)^{k-1-\ell} \sum_{\beta \in S_0} A_{\beta}^{-k}$$

$$\times \sum_{j=1}^{d} \left(\frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}}\right) (\beta_j - \beta_1, \dots, \beta_j - \beta_{j-1}, \beta_j - \beta_{j+1}, \dots, \beta_j - \beta_d)$$

$$\times \sum_{n\geq 1} \frac{\mathbf{e}(n\beta_j)\overline{c_n}}{n^{(d-1)k+\ell}},$$

where the polynomials  $P_{m,k,\ell}$  and  $Q_{m,r}$  are defined in (23).

Remark 3.2. The condition that there is no intermediate field between F and  $\mathbb{Q}$  implies that  $\beta_i = \beta_j$  if and only if i = j for all  $\beta \in F - \mathbb{Q}$ . A similar but more complicated formula for the inner product can be derived without this condition.

**Example 3.3.** Let d = 3 and k = 2. Then

$$\frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}}(x,y) = \begin{cases} 1/(xy)^2, & \ell = 0, \\ -2(x+y)/(xy)^3, & \ell = 1. \end{cases}$$

Set  $\gamma_1 := \beta_2 - \beta_3, \gamma_2 := \beta_3 - \beta_1, \gamma_3 := \beta_1 - \beta_2$ , we have

$$\sum_{\ell=0}^{k-1} (2\pi i n)^{k-1-\ell} \sum_{j=1}^{d} \frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}} (\beta_j - \beta_1, \dots, \beta_j - \beta_d) \mathbf{e}(n\beta_j)$$

$$= \left( \frac{2\pi i n}{(\gamma_2 \gamma_3)^2} + \frac{2(\gamma_3 - \gamma_2)}{(\gamma_2 \gamma_3)^3} \right) \mathbf{e}(n\beta_1) + \left( \frac{2\pi i n}{(\gamma_1 \gamma_3)^2} + \frac{2(\gamma_1 - \gamma_3)}{(\gamma_1 \gamma_3)^3} \right) \mathbf{e}(n\beta_2)$$

$$+\left(\frac{2\pi in}{(\gamma_1\gamma_2)^2}+\frac{2(\gamma_2-\gamma_1)}{(\gamma_1\gamma_2)^3}\right)\mathbf{e}(n\beta_3).$$

For d=3 and  $k-1 \ge \ell \ge 0$ , we can write explicitly

$$\begin{split} & \sum_{j=1}^{d} \frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}} (\beta_j - \beta_1, \dots, \beta_j - \beta_d) \mathbf{e}(n\beta_j) \\ & = \frac{P_{2,k,\ell}}{Q_{2,k+\ell}} (\gamma_3, -\gamma_2) \mathbf{e}(n\beta_1) + \frac{P_{2,k,\ell}}{Q_{2,k+\ell}} (-\gamma_3, \gamma_1) \mathbf{e}(n\beta_2) \\ & + \frac{P_{2,k,\ell}}{Q_{2,k+\ell}} (-\gamma_2, -\gamma_1) \mathbf{e}(n\beta_3). \end{split}$$

Using the inequalities  $k^{(a)}k^{(b)} \le k^{(a+b)}$ ,  $(x_1 + x_2 + x_3)^2 \le 3(x_1^2 + x_2^2 + x_3^2)$ ,

(26) 
$$\sum_{\sigma \in S_3} x_{\sigma(1)}^a x_{\sigma(2)}^b x_{\sigma(3)}^c \le \frac{a!b!c!}{(a+b+c)!} (x_1 + x_2 + x_3)^{a+b+c}, \ x_i, a, b, c \ge 0$$

and Equation (24), we obtain the bound

$$\left| \sum_{j=1}^{d} \frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}} (\beta_j - \beta_1, \dots, \beta_j - \beta_d) \mathbf{e}(n\beta_j) \right| \\
\leq \left| \frac{P_{2,k,\ell}}{Q_{2,k+\ell}} (\gamma_3, -\gamma_2) \right| + \left| \frac{P_{2,k,\ell}}{Q_{2,k+\ell}} (-\gamma_3, \gamma_1) \right| + \left| \frac{P_{2,k,\ell}}{Q_{2,k+\ell}} (-\gamma_2, -\gamma_1) \right| \\
\leq \frac{\ell!}{|\gamma_1 \gamma_2 \gamma_3|^{k+\ell}} \sum_{a+b=\ell} \frac{k^{(a)} k^{(b)}}{a!b!} \left( |\gamma_1^b \gamma_2^a \gamma_3^{k+\ell}| + |\gamma_2^b \gamma_3^a \gamma_1^{k+\ell}| + |\gamma_3^b \gamma_1^a \gamma_2^{k+\ell}| \right) \\
\leq \ell! \frac{(|\gamma_1| + |\gamma_2| + |\gamma_3|)^{k+2\ell}}{|\gamma_1 \gamma_2 \gamma_3|^{k+\ell}} \frac{(k+\ell)!}{(k+2\ell)!} \frac{\ell+1}{2} k^{(\ell)} \\
\leq \frac{\binom{k-1+\ell}{\ell}}{\binom{k+2\ell}{\ell}} (\ell+1)! \frac{3^{k/2+\ell}}{2} \frac{(\gamma_1^2 + \gamma_2^2 + \gamma_3^2)^{k/2+\ell}}{|\gamma_1 \gamma_2 \gamma_3|^{k+\ell}}.$$

### 4. Double coset and binary cubic forms

When d=3, we can identify the double coset  $B(F)\backslash SL_2(F)/SL_2(\mathbb{Z}) - \{\infty\}$  with orders in  $\mathcal{O}_F$  in the following way. Let  $f(X,Y) = AX^3 + BX^2Y + CXY^2 + DY^3$  and

$$Q_F := \{ f(X, Y) \in \mathbb{Z}[X, Y] : f(\beta, 1) = 0 \text{ for some } \beta \in F \setminus \mathbb{Q} \}$$

be the set of integral binary cubic forms with a root in  $F - \mathbb{Q}$ . A form is primitive if its coefficients have no common factor. There is a natural action of  $\mathrm{SL}_2(\mathbb{Z})$  on  $\mathcal{Q}_F$  that preserves the discriminant

(27) 
$$\Delta(f) := A^{6}((\beta_{1} - \beta_{2})(\beta_{1} - \beta_{3})(\beta_{2} - \beta_{3}))^{2}$$

$$= 18ABCD + B^{2}C^{2} - 4AC^{3} - 4B^{3}D - 27A^{2}D^{2}.$$

and the subset of primitive forms. The quantity

(28) 
$$P(f) := B^2 - 3AC > 0$$

is the leading coefficient of the Hessian of f, which is a positive definite quadratic form and a coinvariant of f. For every  $f \in \mathcal{Q}_F$ , Prop. 2 in [4] gives us  $f' \sim_{\operatorname{SL}_2(\mathbb{Z})} f$  satisfying

(29) 
$$P(f') \le \sqrt{\Delta(f')} = \sqrt{\Delta(f)}.$$

Given  $\beta \in F - \mathbb{Q}$ , we can associate to it a primitive element  $f_{\beta} \in \mathcal{Q}_F$  defined by

(30)

$$f_{\beta}(X,Y) := A_{\beta} \prod_{j=1}^{3} (X - \beta_{j}Y) = A_{\beta}X^{3} + B_{\beta}X^{2}Y + C_{\beta}XY^{2} + D_{\beta}Y^{3} \in \mathcal{Q}_{F}.$$

Note that  $f_{\beta}(\beta, 1) = 0$  and the right action of  $SL_2(\mathbb{Z})$  on  $B(F)\backslash SL_2(F)$  corresponds to its natural action on  $\mathcal{Q}_F$ .

To any binary cubic form f with non-zero discriminant and  $f(\beta, 1) = 0$  we can associate the free  $\mathbb{Z}$ -module of rank 3

(31) 
$$\mathcal{O}_f := \mathbb{Z} + \mathbb{Z}A\beta + \mathbb{Z}(A\beta^2 + B\beta + C) \subset \mathbb{Q}(\beta),$$

which is also a commutative ring. A classical result of Delone and Faddeev tells us that this gives a bijection between  $GL_2(\mathbb{Z})$ -classes of binary cubic forms with non-zero discriminants and isomorphism classes of commutative rings that are free  $\mathbb{Z}$ -modules of rank 3 [6]. If we restrict  $\beta$  to be in a fixed field F, then  $\mathcal{O}_f$  is an order in  $\mathcal{O}_F$ , and  $\mathcal{O}_{f_1}, \mathcal{O}_{f_2} \subset \mathcal{O}_F$  are the same if and only if  $f_1, f_2 \in \mathcal{Q}_F$  are  $GL_2(\mathbb{Z})$ -equivalent (see e.g. [12, Lemma 3.1]). Furthermore, we have

(32) 
$$\Delta(f) = \Delta(\mathcal{O}_f) = D_F[\mathcal{O}_F : \mathcal{O}_f]^2$$

with  $\Delta(\cdot)$  the discriminant. For  $s = [\beta] \in \mathbb{P}^1(F)/\mathrm{SL}_2(\mathbb{Z}) - \{\infty\}$ , we then denote

(33) 
$$\mathcal{O}_s := \mathcal{O}_{f_{\beta}}, \Delta(s) := \Delta(\mathcal{O}_s).$$

The discussions above lead to the following result.

Proposition 4.1. The map

$$\mathbb{P}^{1}(F)/\mathrm{SL}_{2}(\mathbb{Z}) - \{\infty\} \to \{\mathcal{O} : \mathcal{O} \subset \mathcal{O}_{F} \text{ is an order}\}/\cong$$

$$s \mapsto \mathcal{O}_{s}$$

is well-defined and  $(2|\operatorname{Aut}(\mathcal{O}_F)|)$ -to-1.

Remark 4.2. The quantity  $|\operatorname{Aut}(\mathcal{O}_F)|$  is either 3 or 1 depending on  $F/\mathbb{Q}$  is Galois or not.

Finally, the following Dirichlet series

(34) 
$$\eta_F(s) := \sum_{\mathcal{O} \subset \mathcal{O}_F \text{ order}} [\mathcal{O}_F : \mathcal{O}]^{-s} = \sum_{\mathcal{O} \subset \mathcal{O}_F \text{ order}} \frac{D_F^{s/2}}{\Delta(\mathcal{O})^{s/2}}.$$

can be factorized in the following way by a result of Datskovsky and Wright [5] (see [12, Lemma 3.2])

(35) 
$$\eta_F(s) = \frac{\zeta_F(s)}{\zeta_F(2s)} \zeta(2s) \zeta(3s-1).$$

#### 5. Proof of Theorem 1.1

We are now ready to prove Theorem 1.1. The cases of d = 2, 3 are proved separately.

Proof of Theorem 1.1 for d=2. For k=2,4, the space  $M_{2k}$  is 1-dimensional and spanned by the Eisenstein series  $E_{2k}$ . Since  $\theta_L^{\Delta}$  is non-trivial for any  $L \in \mathcal{U}_F^+$ , the claim follows in these two base cases as  $M_{F,k}^{\theta}$  is non-trivial by Prop. 2.1 (see also [13] for an explicit construction). More generally, we know that  $\mathcal{M}_{\mathbb{Q}}^{(4)} = \mathbb{Q}[E_4, \Delta]$ . Therefore, it suffices to show that  $\Delta \in S_{12}$  is in  $(M_{F,6}^{\theta})^{\Delta}$ . As  $M_{12}$  is 2-dimensional and

(36) 
$$E_4^3 = E_{12} + \frac{432000}{691} \Delta \in (M_{F,6}^{\theta})^{\Delta},$$

we just need to produce a form  $f \in (M_{F,6}^{\theta})^{\Delta}$  linearly independent from  $E_4^3$ . For this purpose, we apply Prop. 2.3 with k=6 to get

$$f(\tau) := (E_{F,6}^{\Delta})(\tau) = 1 + \frac{4}{\zeta_F(-5)} \sum_{m \ge 1} q^m \sum_{\nu \in \mathfrak{d}_F^{-1}, \ \nu \gg 0, \ \operatorname{tr}(\nu) = m} \sigma_5((\nu) \mathfrak{d}_F).$$

By Theorem 6 in [7], we know that

(37) 
$$f = E_{12} - \frac{12}{691} \frac{c(D)}{\zeta_F(-5)} \Delta,$$

where c(D) is the D-th Fourier coefficient of the half-integral weight form

$$g(\tau) = \sum_{D \in \mathbb{N}} c(D)q^D := \frac{1}{8\pi i} (2E_4(4\tau)\theta'(\tau) - E_4'(4\tau)\theta(\tau))$$

spanning the Kohnen plus space  $S_{13/2}^+$ . Now using the estimate  $L(k,\chi_D) > 2 - \zeta(k)$  for  $k \geq 2$  (see e.g. Equation (3) in [2]) we know that  $\zeta_F(1-k) = D^{k-1/2} \frac{4\Gamma(k)^2}{(-4\pi)^k} \zeta_F(k)$  satisfies

$$|\zeta_F(-5)| > 0.01 \cdot D^{11/2}$$
.

On the other hand, the Hecke bound for c(D) yields

$$|c(D)| \le c \cdot D^{13/4}, \ c := e^{2\pi} \max_{\tau \in \mathbb{H}} |g(\tau)| v^{13/4} < 10$$

Comparing with (36), it is clear that f and  $E_4^3$  are linearly independent for all fundamental discriminant D > 0. This finishes the proof of Theorem 1.1 for d = 2.

Using the calculation in Section 3 and the correspondence in Section 4, we can prove the following lemma.

**Lemma 5.1.** For  $d=3, k \geq 3$  and  $f(\tau) = \sum_{n\geq 1} c_f(n)q^n \in S_{3k}$ , let  $c_f > 0$  be a constant such that

$$|c_f(n)| \le c_f \cdot n^{3k/2}$$

for all  $n \geq 1$ . Then we have the bound

(38) 
$$|\langle E_{F,k}^{\Delta}, f \rangle| \le C_k c_f D_F^{-k/4}$$

for all cubic field F, with  $C_k := 6c_k \frac{\zeta(k/2)^3}{\zeta(k)^2} \zeta(3k/2-1)$  and the constant  $c_k$  given in (39).

*Proof.* Let  $a_k := \frac{\Gamma(3k-1)}{\Gamma(k)} (4\pi)^{2-3k}$ . For  $\beta \in S_0 \subset F$ , recall that  $f_\beta$  is the binary cubic form associated to it in (30), which has coefficients  $A_\beta, B_\beta, C_\beta, D_\beta$ . Using (25), the estimate in Example 3.3 and (29), we obtain the bound

$$\begin{split} |\langle E_{F,k}^{\Delta}, f \rangle| &\leq a_k \sum_{\ell=0}^{k-1} (2\pi)^{k-1-\ell} \sum_{n\geq 1} \frac{|c_f(n)|}{n^{2k+\ell}} \\ &\times \sum_{\beta \in S_0} A_{\beta}^{-k} \left| \sum_{j'=1}^{d} \frac{P_{d-1,k,\ell}}{Q_{d-1,k+\ell}} (\beta_{j'} - \beta_1, \dots, \beta_{j'} - \beta_d) \mathbf{e}(n\beta_{j'}) \right| \\ &\leq c_f \cdot a_k \sum_{\ell=0}^{k-1} (2\pi)^{k-1-\ell} \zeta(k/2+\ell) \frac{\binom{k-1+\ell}{\ell}}{\binom{k+2\ell}{\ell}} (\ell+1)! \frac{3^{k/2+\ell}}{2} \\ &\times \sum_{\beta \in S_0} A_{\beta}^{-k} \frac{((\beta_1 - \beta_2)^2 + (\beta_2 - \beta_3)^2 + (\beta_3 - \beta_1)^2)^{k/2+\ell}}{((\beta_1 - \beta_2)^2 (\beta_2 - \beta_3)^2 (\beta_3 - \beta_1)^2)^{(k+\ell)/2}} \\ &\leq 2^{-1} c_f \cdot a_k \sum_{\ell=0}^{k-1} (2\pi)^{k-1-\ell} \zeta(k/2+\ell) \frac{\binom{k-1+\ell}{\ell}}{\binom{k+2\ell}{\ell}} (\ell+1)! 6^{k/2+\ell} \\ &\times \sum_{\beta \in S_0} \frac{P(f_{\beta})^{k/2+\ell}}{\Delta(f_{\beta})^{(k+\ell)/2}} \\ &\leq c_f \cdot c_k \sum_{\beta \in S_0} \Delta(f_{\beta})^{-k/4} \leq c_f \cdot c_k \cdot 2|\mathrm{Aut}(\mathcal{O}_F)| \cdot D_F^{-k/4} \eta_F \left(\frac{k}{2}\right) \; . \end{split}$$

Here the constant  $c_k$  is defined by

(39) 
$$c_k := \frac{\Gamma(3k-1)}{2\Gamma(k)} (4\pi)^{2-3k} \sum_{\ell=0}^{k-1} (2\pi)^{k-1-\ell} \zeta(k/2+\ell) \frac{\binom{k-1+\ell}{\ell}}{\binom{k+2\ell}{\ell}} (\ell+1)! 6^{k/2+\ell}.$$

For the last steps, we used Prop. 4.1. Combining this with (35) and applying  $\zeta_F(s) \leq \zeta(s)^3$  for s > 1, we have

$$|\langle E_{F,k}^{\Delta}, f \rangle| \le c_f c_k 2 |\operatorname{Aut}(\mathcal{O}_F)| \frac{\zeta_F(\frac{k}{2})}{\zeta_F(k)} \zeta(k) \zeta(\frac{3k}{2} - 1) D_F^{-k/4}$$

$$\le 6c_f c_k \frac{\zeta(\frac{k}{2})^3}{\zeta(k)^2} \zeta(\frac{3k}{2} - 1) D_F^{-k/4}$$

for  $k \geq 3$ . This finishes the proof.

Remark 5.2. For k = 4, the bound above gives  $C_4 < 5.79$ . We can obtain a better bound by estimating the second to the last line in Example 3.3 case

by case for each  $\ell = 0, 1, 2, 3$ , instead of using (26). The improved bound is

$$|\langle E_{F,4}^{\Delta}, f \rangle| \le 0.067 c_f D_F^{-1}$$

for all totally real cubic field F.

Now we are ready to prove Theorem 1.1 in the cubic case.

Proof of Theorem 1.1 for d=3. Since  $\mathcal{M}_{\mathbb{Q}}^{(12)}=\mathbb{C}[E_{12},\Delta]$ , we only have to check that  $(\mathcal{M}_F^{\theta})^{\Delta}\cap\mathcal{M}_{\mathbb{Q}}^{(12)}$  is 2 dimensional. For any  $L\in\mathcal{U}_F^+$ , the diagonal restriction  $\theta_L^{\Delta}$  is the theta function for a unimodular lattice P over  $\mathbb{Z}$ . So we know that  $\theta_P\in(\mathcal{M}_F^{\theta})^{\Delta}$  for some Niemeier lattice P. To see that it is linearly independent from  $E_{F,4}^{\Delta}=1+c(1)q+O(q^2)$ , it suffices to show that c(1) is not integral. We have checked this numerically for any cubic F with  $D_F<70000$ .

More generally, we have

$$\theta_P = E_{12} + (N_2(P) - 65520/691)\Delta,$$

with  $N_2(P)$  is the number of norm 2 vectors in P. From Table V in [3], we obtain a list of  $N_2(P)$  and

$$|\langle \theta_P, \Delta \rangle| = |N_2(P) - 65520/691|\langle \Delta, \Delta \rangle > 1.22 \times 10^{-6}$$

for any Niemeier lattice P. On the other hand by taking  $c_{\Delta} = 1$ , the upper bound found in Lemma 5.1 and improved in Remark 5.2 gives us

$$|\langle E_{F,4}^{\Delta}, \Delta \rangle| < \frac{0.067}{D_F}.$$

So  $E_{F,4}^{\Delta}$  and  $\theta_P$  are linearly independent for  $D_F \geq 60000$ . This finishes the proof.

### 6. Numerical evidence for Conjecture 1

In this section, we approach numerically Conjecture 1 in the case F is a totally real field of degree  $d \in \{4, 5, 6\}$ . For these choices of d the space  $\mathcal{M}_{\mathbb{Q}}^{(4d/d_2)}$  can be in principle generated by the restriction of Eisenstein series and of (at most) one theta function  $\theta_L$  of rank  $8/d_2$ . Conjecture 1 reduces then to the verification of the linear independence of  $\theta_L^{\Delta}$  and  $E_{F,4/d_2}^{\Delta}$  for d=5,6, and of monomials in  $\theta_L^{\Delta}$ ,  $E_{F,4d/d_2}^{\Delta}$  and  $E_{F,k}^{\Delta}$  in general for suitable weights k. This approach gives data supporting Conjecture 1 in the case d=4,5, and in the case d=6 except for two fields F. Our result, for which evidence is given in this final section, is the following.

**Theorem 6.1.** Conjecture 1 holds for

- 1. d = 4 and  $D_F \le 10^5$ ;
- 2. d = 5 and  $D_F \le 2 \times 10^6$ ;
- 3. d = 6 and  $D_F \le 5 \times 10^6$  except for the fields of discriminant 453789 and 1397493.

#### 6.1. A note on the computations

For  $l, k \in \mathbb{Z}_{>0}$ , let  $\sigma_{k-1}$  be as in Remark 2.4 and define

$$s_l^F(k) := \sum_{\substack{\nu \in \mathfrak{d}_F^{-1} \\ \nu \gg 0 \\ \operatorname{tr}(\nu) = l}} \sigma_{k-1}((\nu)\mathfrak{d}_F).$$

Then the diagonal restriction of  $E_{F,k}$  has the following q-expansion at  $\infty$  by (14)

(40) 
$$E_{F,k}^{\Delta}(\tau) = 1 + \frac{2^d}{\zeta_K(1-k)} \sum_{l=0}^{\infty} s_l^F(k).$$

We computed the first few coefficients of the above expansion with PARI/GP [16]. As (40) shows, this reduces to the determination of the functions  $s_l^F(k)$  for small values of l (up to l=5 in the case d=5) and different values of k. The main difficulty is to find the totally positive  $\nu \in \mathfrak{d}_F^{-1}$  of fixed trace l. Let  $(\nu_1, \ldots, \nu_d)$  be an integral basis for  $\mathfrak{d}_F^{-1}$ . Then any  $\nu \in \mathfrak{d}_F^{-1}$  is of the form  $\nu = v_1\nu_1 + \cdots + v_d\nu_d$  for  $(v_1, \ldots, v_d) \in \mathbb{Z}^d$  and conversely every vector in  $\mathbb{Z}^d$  gives an element  $\nu \in \mathfrak{d}_F^{-1}$ . If  $Q(x_1, \ldots, x_d)$  denotes the quadratic form  $x_1^2 + \ldots x_d^2$ , we have, for a totally positive  $\nu \in \mathfrak{d}_F^{-1}$ , that  $Q(\sigma_1(\nu), \ldots, \sigma_d(\nu)) < \operatorname{tr}(\nu)^2$ . This implies that if  $A = (\sigma_i(\nu_j))_{i,j}$  denotes the matrix of the real embeddings of the basis of  $\mathfrak{d}_F^{-1}$ , we can search the totally positive  $\nu \in \mathfrak{d}_F^{-1}$  of fixed trace l among of vectors  $v = (v_1, \ldots, v_d) \in \mathbb{Z}^d$  satisfying

$$v^T(A^TA)v = Q(\nu) < l^2.$$

This gives a finite (but large as l and  $D_F$  grow) set of vectors on which we can perform the final search. Once the suitable  $\nu \in \mathfrak{d}_F^{-1}$  have been determined, it is straightforward to compute  $\sigma_k((\nu)\mathfrak{d}_F)$  for every value of k by using the basic PARI functions.

Remark 6.2. It is possible to investigate also the cases d=8,10 with the method outlined at the beginning of this section. For the case d=8 we need to compute five coefficients of the q-expansion (40), while for d=10 we need to compute six coefficients. This, together with the size of the discriminants of these fields ( $D_F \geq 282300416$  for d=8 and  $D_F \geq 443952558373$  for d=10), makes it hard to collect significant data in these cases.

#### 6.2. Tables

**d=4** Let F be a totally real field with  $[F:\mathbb{Q}]=4$ . In this case, the proof of Conjecture 1 reduces to the statement that  $\mathcal{M}^{(8)}_{\mathbb{Q}}$  is spanned by restrictions of Hilbert Eisenstein series on  $\Gamma_F$ . It is easy to see that  $\{E_4^2, \Delta E_4, \Delta^2\}$  is a generating set for  $\mathcal{M}^{(8)}_{\mathbb{Q}}$ . By a dimension argument,  $E_{F,2}^{\Delta}=E_4^2$ . It follows that  $\Delta E_4$  and  $\Delta^2$  can be obtained by restriction of Eisenstein series on  $\Gamma_F$  respectively if the sets  $\{E_{F,4}^{\Delta}, (E_{F,2}^{\Delta})^2\}$ , and  $\{(E_{F,2}^{\Delta})^3, E_{F,2}^{\Delta} E_{F,4}^{\Delta}, E_{F,6}^{\Delta}\}$  are both linearly independent.

In order to study this problem, we compute the restriction of  $E_{F,k}$  for k = 4, 6. As bases for  $M_{16}$  and  $M_{24}$ , we choose  $\{E_4^4, E_4\Delta\}$  and  $\{E_4^6, E_4^3\Delta, \Delta^2\}$  respectively. We have

(41) 
$$E_{F4}^{\Delta} = E_4^4 + bE_4\Delta$$
,  $E_{F6}^{\Delta} = E_4^6 - c_1E_4^3\Delta + c_2\Delta^2$ ,

for some coefficients  $b, c_1, c_2 \in \mathbb{Q}$  that depend on F. To prove Conjecture 1, it suffices to check that b and  $c_2$  are both non-zero. We computed the coefficients  $b, c_1, c_2$  for the first 30 totally real quartic fields F. The results are reported in Table 1. For these fields it is enough to specify the discriminant  $D_F$  to uniquely identify the field F (check the number field database [11]). This remark applies also for the fields we consider in the cases d = 5, 6.

It turns out that the numerical values of  $b, c_1$ , and  $c_2$  are very close to 955, 1439, and -129930 respectively. These numbers are related to the Eisenstein series of weight 16 and 24 since

$$E_{16} = E_4^4 + b(E_{16})E_4\Delta, \quad E_{24} = E_4^5 + c_1(E_{24})E_4^2\Delta + c_2(E_{24})\Delta^2,$$

with

$$b(E_{16}) = -\frac{3456000}{3617} \sim 955$$
,  $c_1(E_{24}) = \frac{340364160000}{236364091} \sim 1439$ ,  $c_2(E_{24}) = -\frac{30710845440000}{236364091} \sim 129930$ .

In other words, it seems that the diagonal restriction of  $E_{F,4}$  and  $E_{F,6}$  are close to  $E_{16}$  and  $E_{24}$  respectively. In analogy with the proof of Theorem 1.1 in the

Table 1: d=4

$D_F$	$E^{\Delta}_{F,4}$		$E_{F,6}^{\Delta}$		
	-b	$ b - b(E_{16}) $	$ c_1 - c_1(E_{24}) $	$ c_2 - c_2(E_{24}) $	
725	$\frac{518400}{541}$	2.7375349	0.00050313732	25.886498	
1125	$\frac{1209600}{1261}$	3.7507260	0.00054525118	81.739221	
1600	$\frac{16588800}{17347}$	0.80418080	0.00021600333	72.207992	
1957	$\frac{3379968}{3541}$	0.96439255	0.00038594892	17.453573	
2000	$\frac{3628800}{3793}$	1.2217550	0.00025214822	55.822134	
2048	$\frac{83358720}{87439}$	2.1522766	0.00086000436	17.157301	
2225	$\frac{4406400}{4601}$	2.2168733	0.00044417599	65.944997	
2304	$\frac{6996480}{7337}$	1.8993132	0.00078107824	34.635539	
2525	$\frac{40953600}{42787}$	1.6625629	0.00038679430	60.388956	
2624	$\frac{31242240}{32681}$	0.48766988	0.00016760431	11.280096	
2777	$\frac{30326400}{31739}$	0.0052682944	$2.49791 \times 10^{-5}$	3.1916173	
3600	$\frac{3940800}{4117}$	1.7138725	0.00032163274	63.391164	
3981	$\frac{22598400}{23651}$	0.0065088042	$1.13683 \times 10^{-5}$	16.924484	
4205	$\frac{81112320}{84937}$	0.51758364	$7.99169 \times 10^{-5}$	20.235531	
4225	$\frac{31168800}{32567}$	1.5789962	0.00036468807	64.303710	
4352	$\frac{14613696}{15301}$	0.40686765	0.00042977636	2.3880196	
4400	$\frac{287193600}{300017}$	1.7697819	0.00034047188	63.576584	
4525	$\frac{315705600}{329717}$	2.0167958	0.00041971303	62.764065	
4752	$\frac{94772160}{99107}$	0.77303737	0.00019694052	7.4011277	
4913	$\frac{358572096}{375437}$	0.40870317	$4.15983 \times 10^{-5}$	2.8025931	
5125	$\frac{24364800}{25453}$	1.7587165	0.000037508012	63.196773	
5225	$\frac{262310400}{273971}$	1.9505876	0.00039428701	63.490510	
5725	$\frac{716947200}{748883}$	1.8674479	0.00042362838	63.447454	
5744	$\frac{727626240}{761737}$	0.26820601	$7.42018 \times 10^{-5}$	5.6160966	
6125	$\frac{454636800}{474913}$	1.8174699	0.00042240976	63.162128	
6224	$\frac{204809472}{214357}$	0.028287048	$2.32205 \times 10^{-5}$	5.7256495	
6809	$\frac{87570720}{91723}$	0.75775312	0.00019944285	7.0978686	
7053	$\frac{1504154880}{1573751}$	0.28894424	0.00013645348	2.0848135	
7056	$\frac{191034720}{200123}$	0.90144417	0.00037862134	11.709300	
7168	$\frac{670104576}{701855}$	0.72584168	0.00033000104	3.6107465	

case d=3, Conjecture 1 holds for  $D_F\gg 0$  if the Petersson products of  $E_{F,4}^{\Delta}$  and  $E_{F,6}^{\Delta}$  with all cusp forms of weight 16 and 24 respectively can be bounded by small quantities as  $D_F\to\infty$ . If F ranges over the totally real quartic fields with no non-trivial subfields, the decay of the Petersson products as  $D_F\to\infty$  can be observed from the data. We expect similar strategy for the proof of Theorem 1.1 when d=3 to work in this case. When F ranges instead over extensions of the form  $\mathbb{Q}\subset K\subset F$ , where K is a fixed real quadratic field, the data suggest that

$$\langle E_{F,k}^{\Delta}, f \rangle \to \langle E_{K,2k}^{\Delta}, f \rangle$$
 as  $\operatorname{disc}(F) \to \infty$ .

The proof of Conjecture 1 may be obtained then in two steps: first proving that  $E_{F,k}$  restrict to the Hilbert Eisenstein series  $E_{K,2k}$  on  $\Gamma_K$  as  $F \to \infty$ , and then using Theorem 1.1 for the real quadratic field K.

**d=5** Let F be a totally real field of degree 5. The space  $\mathcal{M}_{\mathbb{Q}}^{(20)}$  is generated by the set  $\{E_{20}, E_8\Delta, E_4\Delta^3, \Delta^5\}$ . In order to get this space by restriction of Hilbert theta series (Conjecture 1), we only need to consider a Hilbert theta function  $\theta_L$  for  $L \in \mathcal{U}_F^{+,8}$  and the Eisenstein series  $E_{F,4}, E_{F,8}$ , and  $E_{F,12}$ . Fixing basis for  $M_{20}, M_{40}$ , and  $M_{60}$ , we find the expressions (42)

$$\begin{split} E_{F,4}^{\Delta} &= E_4^5 + bE_4^2 \Delta \,, \\ E_{F,8}^{\Delta} &= E_4^{10} + c_1 E_4^7 \Delta \, + c_2 E_4^4 \Delta^2 \, + \, c_3 E_4 \Delta^3 \,, \\ E_{F,12}^{\Delta} &= E_4^{15} + \, d_1 E_4^{12} \Delta \, + \, d_2 E_4^9 \Delta^2 \, + \, d_3 E_4^6 \Delta^3 \, + \, d_4 E_4^3 \Delta^4 \, + \, d_5 \Delta^5 \,, \end{split}$$

for  $b, c_i, d_i \in \mathbb{Q}$  that depends on F. Since  $\theta_L^{\Delta} = 1 + \sum_{n \geq 1} a_n q^n$  with  $a_n \in \mathbb{Z}$ , in order to prove linear independence of  $\theta_L^{\Delta}$  and  $E_{F,4}^{\Delta}$ , it suffices to show that  $b \notin \mathbb{Z}$ . If this holds true, we only need that  $c_3 \neq 0$  and  $d_5 \neq 0$  to prove Conjecture 1. The results of the computation of  $b, c_3$ , and  $d_5$ , for the first 30 totally real quintic fields F (ordered by discriminant) can be found in Table (2). Similarly to the case d=4, the numerical values of  $b, c_i, d_i$  are close to the coefficients appearing in the expression of the Eisenstein series  $E_{20}, E_{40}$ , and  $E_{60}$  with respect to the bases specified above:

$$E_{20} = E_4^5 + b(E_{20})E_4^2\Delta, \quad E_{40} = E_4^{10} + \sum_{i=1}^3 c_i(E_{40})E_4^{10-3i}\Delta^i,$$
  
$$E_{60} = E_4^{15} + \sum_{i=1}^5 d_i(E_{60})E_4^{15-3i}\Delta^i,$$

Table 2: d=5

$D_F$	$E_{F.4}^{\Delta}$		$E_{F,8}^{\Delta}$	$E_{F,12}^{\Delta}$
	-b	$ b-b(E_{20}) $	$ c_3 - c_3(E_{40}) $	$ d_5 - d_5(E_{60}) $
14641	$\frac{1017360000}{847811}$	0.060027104	20.049846	602.44929
24217	$\frac{539084160}{449263}$	0.0056153314	3.0959986	626.69793
36497	$\frac{228998016}{190847}$	0.020731861	3.7691249	625.79357
38569	$\frac{1372671360}{1144027}$	0.065169297	7.1399961	53.593811
65657	$\frac{17909631360}{14926259}$	0.050318395	1.4956754	21.447253
70601	$\frac{22786945920}{18989939}$	0.023943997	6.3509437	57.580627
81509	$\frac{1255163040}{1046047}$	0.013653680	0.14871660	33.681371
81589	$\frac{157427145}{131198}$	0.0040921029	3.7633773	5.5844793
89417	$\frac{3299933520}{2750093}$	0.010842438	0.88686411	7.1415794
101833	$\frac{27422375040}{22853437}$	0.00095029875	2.8922290	147.56474
106069	$\frac{8416776960}{7014301}$	0.020817098	1.2397693	6.2320866
117688	$\frac{72647616960}{60544963}$	0.029096490	0.024328539	11.486101
122821	$\frac{2646596160}{2205599}$	0.019992669	3.3965204	46.863235
124817	$\frac{169474446720}{141236923}$	0.0057786083	1.2672930	6.7827247
126032	$\frac{186909793920}{155769041}$	0.0082151643	0.36230593	11.688370
135076	$\frac{39368816640}{32809823}$	0.014954319	0.35027520	21.034030
138136	$\frac{42439256640}{35368523}$	0.0084035031	0.15151378	21.202474
138917	$\frac{30923687520}{25771127}$	0.010994082	3.3294478	176.27092
144209	$\frac{35105335200}{29256611}$	0.013203831	1.2246131	5.0019384
147109	$\frac{79422612480}{66189911}$	0.0041847312	1.4237043	8.3262747
149169	$\frac{316249522560}{263551583}$	0.028634117	1.8194260	15.033097
153424	$\frac{24509153664}{20425187}$	0.023174277	3.4016473	25.402792
157457	$\frac{76544072064}{63790577}$	0.0031668548	1.0412335	7.2747406
160801	$\frac{411236196480}{342716341}$	0.0072814568	0.29819082	10.519558
161121	$\frac{6653973120}{5545309}$	0.0038819428	0.12885785	18.108623
170701	$\frac{125695281600}{104754347}$	0.019242412	2.2046583	104.80927
173513	$\frac{530059904640}{441734773}$	0.026193754	0.10356861	42.247614
176281	$\frac{187387136640}{156166489}$	0.0054077065	1.9881737	26.798380
176684	$\frac{60248727936}{50210921}$	0.011580917	1.0387400	12.861239
179024	$\frac{638510843520}{532132229}$	0.014289468	0.34114599	1.2188617

the relevant values being

$$b(E_{20}) = \frac{209520000}{174611} \sim 1199 \,,$$

$$c_3(E_{40}) = \frac{2701454242875369062400000000}{261082718496449122051} \sim 103471200 \,,$$

$$d_5(E_{60}) = \frac{142315225390473939360215781817402093731840000000000000}{1215233140483755572040304994079820246041491} \sim 1171094011917 \,.$$

In Table 2 we do not write the numerical values of  $c_3$ ,  $d_5$ , but of their difference with the coefficients  $c_3(E_{40})$  and  $d_5(E_{60})$  respectively. Analogously to the case d=4, it seems that the diagonal restriction of  $E_{F,4}$ ,  $E_{F,8}$ , and  $E_{F,12}$  are close to the Eisenstein series  $E_{20}$ ,  $E_{40}$ , and  $E_{60}$  respectively. In particular, since  $\langle E_{20}, E_4^2 \Delta \rangle = 0$ , this implies that the Petersson product

$$\langle E_{F,4}^{\Delta}, E_4^2 \Delta \rangle = |b - b(E_{20})| \langle E_4 \Delta^2, E_4^2 \Delta \rangle$$

is small for any field F and may decay as  $D_F \to \infty$ . Similar considerations apply to the cases  $E_{F,8}^{\Delta}$  and  $E_{F,12}^{\Delta}$ .

**d=6** We have that  $\mathcal{M}^{12}_{\mathbb{Q}} = \mathbb{C}[E_4^3, \Delta]$ . We have only to check that

$$E_{F,2}^{\Delta} = E_4^3 + b \cdot \Delta$$

is not the restriction of a Hilbert theta function  $\theta_L$ . We know this is the case if b is not an integer, as explained in the proof of Theorem 1.1 in the case d=3. However, looking at the values of b computed for the first 30 totally real sextic fields F in Table (3), this is not always the case. Since  $\theta_L^{\Delta} = 1 + N_2(L)q + \cdots$ , we have to compare, for integral values of b, the number 720 + b with the possible values of  $N_2(L)$  listed in table V of [3] to check whether they differ or not. This happens in all cases but two: the field of discriminant 453789 has  $720 + b = 0 = N_2(\Lambda_{24})$ , the field of discriminant 1397493 has  $720 + b = 72 = N_2(A_2^{12})$ . For these fields our argument can not confirm the validity of Conjecture 1. We checked fields up to  $D_F = 5 \times 10^6$  (144 fields) and found no other such instances.

As in the cases d=4,5, in table (3) we also compare the value of b with  $b(E_{12})=-\frac{432000}{691}$  (see (36)).

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Table 3: d = 6

$D_F$	$E_{F,2}^{\Delta}$		$D_F$	$E_{F,2}^{\Delta}$	
	-b	$ b - b(E_{12}) $		-b	$ b - b(E_{12}) $
300125	$\frac{21600}{37}$	41.397113	1134389	684	58.819103
371293	$\frac{11808}{19}$	3.7072130	1202933	608	17.180897
434581	$\frac{8352}{13}$	17.280641	1229312	$\frac{27264}{43}$	8.8656144
453789	720	94.819103	1241125	$\frac{28800}{47}$	12.414940
485125	$\frac{7200}{11}$	29.364557	1259712	$\frac{17280}{31}$	67.761542
592661	672	46.819103	1279733	$\frac{11736}{17}$	65.172044
703493	$\frac{2048}{3}$	57.485769	1292517	$\frac{16416}{29}$	59.111932
722000	$\frac{4800}{7}$	60.533388	1312625	$\frac{9000}{13}$	67.126795
810448	$\frac{3456}{5}$	66.019103	1387029	696	70.819103
820125	$\frac{43200}{73}$	33.400075	1397493	648	22.819103
905177	$\frac{3348}{5}$	44.419103	1416125	$\frac{12000}{19}$	6.3980501
966125	675	49.819103	1528713	$\frac{12096}{19}$	11.450682
980125	675	49.819103	1541581	$\frac{8352}{13}$	17.280641
1075648	$\frac{8352}{13}$	17.280641	1683101	$\frac{65088}{103}$	6.7414328
1081856	$\frac{3072}{5}$	10.780897	1767625	$\frac{25200}{41}$	10.546751

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