

# Deformations of Fano manifolds with weighted solitons

AKITO FUTAKI

**Abstract:** We consider weighted solitons on Fano manifolds which include Kähler-Ricci solitons, Mabuchi solitons and base metrics inducing Calabi-Yau cone metrics outside the zero sections of the canonical line bundles (Sasaki-Einstein metrics on the associated  $U(1)$ -bundles). In this paper, we give a condition for a weighted soliton on a Fano manifold  $M_0$  to extend to weighted solitons on small deformations  $M_t$  of the Fano manifold  $M_0$ . More precisely, we show that all the members  $M_t$  of the Kuranishi family of a Fano manifold  $M_0$  with a weighted soliton have weighted solitons if and only if the dimensions of  $T$ -equivariant automorphism groups of  $M_t$  are equal to that of  $M_0$ , and also if and only if the  $T$ -equivariant automorphism groups of  $M_t$  are all isomorphic to that of  $M_0$ , where the weight functions are defined on the moment polytope of the Hamiltonian  $T$ -action. This generalizes a result of Cao-Sun-Yau-Zhang for Kähler-Einstein metrics.

**Keywords:** Deformations of complex structures, Kähler manifolds.

## 1. Introduction

Let  $M$  be a Fano manifold, i.e. a compact complex manifold with positive first Chern class, of complex dimension  $m$ . We regard  $2\pi c_1(M)$  as a Kähler class. The Kähler form  $\omega$  is expressed as

$$\omega = \sqrt{-1} g_{i\bar{j}} dz^i \wedge d\bar{z}^{\bar{j}}$$

and the Kähler metric  $g_{i\bar{j}}$  is often identified with the Kähler form  $\omega$ . Let  $T$  be a real compact torus in the automorphism group  $\text{Aut}(M)$ , and assume that  $\omega$  is  $T$ -invariant. Since  $M$  is Fano and simply connected the  $T$ -action is Hamiltonian with respect to  $\omega$ . Since the  $T$ -action naturally lifts to the anti-canonical line bundle  $K_M^{-1}$  we have a canonically normalized moment map  $\mu_\omega : M \rightarrow \mathfrak{t}^*$  where  $\mathfrak{t}$  is the Lie algebra of  $T$  and  $\mathfrak{t}^*$  its dual space, cf. Appendix in [19]. Let  $\Delta := \mu_\omega(M)$  be the moment polytope. Then  $\Delta$

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Received May 15, 2023.

is independent of  $\omega \in 2\pi c_1(M)$ . Let  $v$  be a positive smooth function on  $\Delta$ . Regarding  $\mu$  as coordinates on  $\Delta$  using the action angle coordinates, we may sometimes write  $v(\mu)$  instead of  $v$ . The pull-back  $\mu_\omega^*v$  is a smooth function on  $M$ , and for this we write  $v(\mu_\omega) = \mu_\omega^*v = v \circ \mu_\omega$ .

We say that a Kähler metric  $\omega$  in  $2\pi c_1(M)$  a *weighted  $v$ -soliton* or simply  *$v$ -soliton* if

$$\text{Ric}(\omega) - \omega = \sqrt{-1}\partial\bar{\partial} \log v(\mu_\omega)$$

where  $\text{Ric}(\omega) = -i\partial\bar{\partial} \log \omega^m$  is the Ricci form. We also call  $\omega$  simply a *weighted soliton* when it is  $v$ -soliton for some  $v$ , or when  $v$  is obvious from the context. Examples of weighted solitons are a Kähler-Ricci soliton when  $v(\mu) = e^{\langle \mu, \xi \rangle}$  for some  $\xi \in \mathfrak{t}$ , a Mabuchi solitons when  $v(\mu) = \langle \mu, \xi \rangle + a$  for some positive constant  $a$ , and a basic metric which induces Calabi-Yau cone metrics outside the zero sections of the canonical line bundle and hence a Sasaki-Einstein metrics on the  $U(1)$ -bundle of  $K_M^{-1}$  when  $v(\mu) = (\langle \mu, \xi \rangle + a)^{-m-2}$ , see [23, 30, 1, 2, 22, 34].

In this paper we consider the Kuranishi family  $\varpi : \mathfrak{M} \rightarrow B$  of deformations of a Fano manifold  $M$  which is a complex analytic family of Fano manifolds where  $B$  is an open set in  $\mathbf{C}^n$  containing the origin 0 and we write  $M_t := \varpi^{-1}(t)$  and require  $M_0 = M$ , cf. [25, 26, 27, 38, 41, 18]. Note that there is no obstruction for Fano manifolds since

$$H^2(M_0, \Theta) \cong H^{m-2}(M_0, \Omega^1(K_{M_0})) = 0$$

by Serre duality and Kodaira-Nakano vanishing. For a given Kähler form  $\omega \in 2\pi c_1(M)$  let  $f \in C^\infty(M)$  satisfy

$$\text{Ric}(\omega) - \omega = \sqrt{-1}\partial\bar{\partial}f.$$

The Kuranishi family we consider in this paper is described by a family of vector valued 1-forms parametrized by  $t \in B$

$$\varphi(t) = \sum_{i=1}^k t^i \varphi_i + \sum_{|I| \geq 2} t^I \varphi_I \in A^{0,1}(T'M)$$

such that

$$(1) \quad \begin{cases} \bar{\partial}\varphi(t) = \frac{1}{2}[\varphi(t), \varphi(t)]; \\ \bar{\partial}_f^* \varphi(t) = 0; \\ \varphi_1, \dots, \varphi_k \\ \text{form a basis of the space of all } T'M\text{-valued } \Delta_f\text{-harmonic } (0, 1)\text{-forms} \end{cases}$$

where  $\Delta_f = \bar{\partial}_f^* \bar{\partial} + \bar{\partial} \bar{\partial}_f^*$  is the weighted Hodge Laplacian with  $\bar{\partial}_f^*$  the formal adjoint of  $\bar{\partial}$  with respect to the weighted  $L^2$ -inner product  $\int_M (\cdot, \cdot) e^f \omega^m$ . See [18] for more detail about this Kuranishi family. We showed in [18] that the Kähler form  $\omega$  on  $M_0 = M$  remains to be a Kähler form on  $M_t$ . The main result of this paper is stated as follows.

**Theorem 1.1.** *Suppose that  $M_0$  has a weighted  $v$ -soliton. Consider the Kuranishi family (1) with  $f = \log v(\mu_\omega)$ . Then, shrinking  $B$  if necessary, the following statements are equivalent.*

- (1)  $M_t$  has a weighted  $v$ -soliton for all  $t \in B$ .
- (2)  $T$  is included in  $\text{Aut}(M_t)$ , and for the centralizer  $\text{Aut}^T(M_t)$  of  $T$  in  $\text{Aut}(M_t)$ ,  $\dim \text{Aut}^T(M_t) = \dim \text{Aut}^T(M_0)$  for all  $t \in B$ .
- (3)  $T$  is included in  $\text{Aut}(M_t)$ , and the identity component  $\text{Aut}_0^T(M_t)$  of  $\text{Aut}^T(M_t)$  is isomorphic to  $\text{Aut}_0^T(M_0)$  for all  $t \in B$ .

Although there are extensive studies on the existence of Kähler-Einstein metrics on Fano manifolds, e.g. [4], currently, there are not many existence results on weighted solitons on Fano manifolds. Because of this lack of examples of weighted solitons, it is not easy to find non-trivial applications of Theorem 1.1.

As for the deformations of complex structures of polarized manifolds with weighted cscK metrics, there is a result by Hallam [20] which states that a small deformation of a polarized manifold with a weighted cscK metric has a weighted cscK metric if and only if it is weighted K-polystable with respect to smooth  $T$ -equivariant test configurations. The “only if” part follows from a result of Apostolov-Jubert-Lahdili [2]. The result of Hallam extends the results of Brönnle [7] and Szekelyhidi [40] for cscK metrics.

The outline of the proof of Theorem 1.1 is as follows. The proof of Theorem 1.1 above is largely parallel to that of Theorem 1.1 of Cao-Sun-Yau-Zhang [9]. Just as the notion of Kähler-Einstein metrics are generalized to constant scalar curvature Kähler (cscK for short) metrics and further to extremal Kähler metrics [8], the notion of weighted solitons are generalized to weighted cscK metrics and further to weighted extremal metrics [30, 23, 24]. In Section 2, we extend a result of Rollin-Simanca-Tipler [39] for extremal Kähler metrics to show that a weighted extremal metric on  $M_0$  can be extended to weighted extremal metrics on small deformations  $M_t$  if the maximal torus in the reduced automorphism group acts on  $B$  trivially. In Section 3 we review how weighted solitons are regarded as weighted cscK metrics. In Section 4 we finish the proof of Theorem 1.1. We first review results in [18] about the Kähler forms and their Ricci potentials for the Kuranishi family.

Next, we show a lemma which implies that the action of the maximal torus on  $B$  is trivial so that we can apply the result obtained in Section 2. We then show using the formula of the Ricci potential obtained in [18] that the weighted extremal metrics obtained in Section 2 are in fact weighted cscK metrics which are in this case weighted solitons, proving (2) implies (1). That (1) implies (3) is proved using the K-polystability characterization obtained by the works of Han-Li [22], Li [34], Blum-Liu-Xu-Zhuang [6] and closely following the arguments of [9]. That (3) implies (2) is trivial.

### 2. Weighted scalar curvature

In this section we review the weighted scalar curvature, which is also called the  $(v, w)$ -scalar curvature, introduced by Lahdili [30], see also Inoue [23, 24] for a similar idea.

Let  $M$  be a compact Kähler manifold and  $\Omega$  its Kähler class. Recall that the Lie algebra of  $\text{Aut}(M)$  is the Lie algebra  $\mathfrak{h}(M)$  of all holomorphic vector fields. We denote by  $\text{Aut}_r(M) \subset \text{Aut}(M)$  the reduced automorphism group, i.e. the Lie algebra  $\mathfrak{h}_r(M)$  of  $\text{Aut}_r(M)$  consists of holomorphic vector fields with non-empty zeros. They are obtained in the form  $\text{grad}' u$ , i.e. the  $(1, 0)$ -part of the gradient vector field, of some complex valued smooth functions  $u$ , see e.g. [32].

Let  $T$  be a compact real torus in  $\text{Aut}_r(M)$ . As in the Introduction  $\text{Aut}_r^T(M)$  denotes the centralizer of  $T$  in  $\text{Aut}_r(M)$ , i.e. the subgroup consisting of  $T$ -equivariant automorphisms. In the Fano case  $\text{Aut}_r^T(M) = \text{Aut}^T(M)$ . Let  $\omega \in \Omega$  be a  $T$ -invariant Kähler form. Then  $T$  acts on  $(M, \omega)$  in the Hamiltonian way. Let  $\mu_\omega : M \rightarrow \mathfrak{t}^*$  be the moment map where  $\mathfrak{t}$  is the Lie algebra of  $T$  and  $\mathfrak{t}^*$  its dual space. Then  $\Delta := \mu_\omega(M)$  is a compact convex polytope. This is independent of  $\omega \in \Omega$  up to translation, but the ambiguity of translation is fixed by giving a normalization of  $\mu_\omega$  which specifies the average by the integration. Let  $v$  be a positive smooth function on  $\Delta$ .

As in Section 1, we also write  $v = v(\mu)$  as a function on  $\Delta$  by considering  $\mu$  to constitute the action-angle coordinates, and also write  $v(\mu_\omega) := \mu_\omega^* v$  as a positive smooth function on  $M$ . We define  $v$ -scalar curvature  $S_v(\omega)$  of a  $T$ -invariant Kähler form  $\omega$  by

$$S_v(\omega) := v(\mu_\omega)S(\omega) + 2\Delta_\omega v(\mu_\omega) + \langle g_\omega, \mu_\omega^* \text{Hess}(v) \rangle$$

where  $S(\omega)$  denotes the Kähler geometers' scalar curvature

$$S(\omega) = -g^{i\bar{j}} \frac{\partial^2}{\partial z^i \partial \bar{z}^{\bar{j}}} \log \det(g_{i\bar{l}})$$

of  $\omega$ ,  $\Delta_\omega = \bar{\partial}^* \bar{\partial}$  the Hodge  $\bar{\partial}$ -Laplacian on functions, and

$$\langle g_\omega, \mu_\omega^* Hess(v) \rangle = g^{i\bar{j}} v_{\alpha\beta} \mu_i^\alpha \mu_{\bar{j}}^\beta$$

is the trace of the pull-back by  $\mu_\omega$  of the Hessian  $Hess(v)$  of  $v$  on  $\mathfrak{t}^*$  in which we express the moment map  $\mu_\omega : M \rightarrow \mathfrak{t}^*$  as  $\mu_\omega(p) = (\mu^1(p), \dots, \mu^\ell(p))$  with  $d\mu^\alpha = i(X^\alpha)\omega$  for a basis  $X^1, \dots, X^\ell$  of  $\mathfrak{t}$ . Thus, our  $S_v$  is half of that in [30].

Let  $w$  be another positive smooth function on  $\Delta$ . We define  $(v, w)$ -scalar curvature  $S_{v,w}$  by

$$S_{v,w} = \frac{S_v}{w(\mu_\omega)}.$$

The notion of  $S_{v,w}$ -scalar curvature was originally introduced as a generalization of conformally Kähler, Einstein-Maxwell metrics after extensive studies such as [31, 3, 16, 17, 28, 29]. Later it turned out that the  $(v, w)$ -cscK metrics include much more unexpected examples as mentioned in Section 1 (hence if  $g$  is a  $(v, w)$ -extremal metric then  $\text{grad}' S_{v,w} \subset \tilde{\mathfrak{t}}$ ). But we do not assume this for the moment; see also Section 3.

We call  $g$  a *weighted extremal metric* or  $(v, w)$ -*extremal metric* if

$$\text{grad}' S_{v,w} = g^{i\bar{j}} \frac{\partial S_{v,w}}{\partial z^{\bar{j}}} \frac{\partial}{\partial z^i}$$

is a holomorphic vector field.

**Remark 2.1.** In Section 3.2 of [30], Lahdili also defined  $(v, w)$ -extremal Kähler metrics. But his definition is slightly different from ours in that the extremal vector field belongs to  $\mathfrak{t}$  in his case but does not in our case.

Define  $L_v \varphi$  for complex valued smooth functions  $\varphi$  by

$$L_v \varphi = \nabla^i \nabla^{\bar{j}} (v(\mu_\omega) \nabla_i \nabla_{\bar{j}} \varphi),$$

and call  $L_v$  the  $v$ -twisted Lichnerowicz operator. Obviously,  $L_v$  is self-adjoint elliptic operator;

$$\int_M (L_v \varphi) \bar{\psi} \omega^m = \int_M \varphi \overline{L_v \psi} \omega^m$$

where  $m = \dim M$ .  $L := L_1$  is the standard Lichnerowicz operator. The kernel of  $L_v$  consists of complex valued smooth functions  $u$  such that  $\text{grad}' u$  is a holomorphic vector fields, and thus  $\text{Ker } L_v = \mathfrak{h}_r(M) = \text{Lie}(\text{Aut}_r(M))$ . We also define  $L_{v,w}$  by

$$L_{v,w} = \frac{1}{w(\mu_\omega)} L_v.$$

Consider the one parameter family of metrics  $g_{t\bar{j}} = g_{i\bar{j}} + t\varphi_{i\bar{j}}$ . By straightforward computations one can show

$$(2) \quad \left. \frac{d}{dt} \right|_{t=0} S_v(g_t) = -L_v\varphi + S_v^i \varphi_i,$$

$$(3) \quad \left. \frac{d}{dt} \right|_{t=0} S_{v,w}(g_t) = -L_{v,w}\varphi + S_{v,w}^i \varphi_i.$$

It is also straightforward using (3) to show the following Proposition 2.2.

**Proposition 2.2.** *A critical point of the weighted Calabi functional*

$$g \mapsto \int_M S_{v,w}^2(g) w(\mu_\omega) \omega^m$$

is a weighted extremal metric.

As in [13] we can define the following invariants.

**Proposition 2.3.** *Let  $h_X \in \text{Ker } L_v$  be the real Killing potential of  $X \in \mathfrak{t}$ , i.e.  $i \text{grad}' h_X = X'$ . Then  $\text{Fut}_v$  and  $\text{Fut}_{v,w}$  defined by*

$$(4) \quad \text{Fut}_v(X) = \int_M (S_v - c_v) h_X \omega^m,$$

and

$$(5) \quad \text{Fut}_{v,w}(X) = \int_M (S_{v,w} - c_{v,w}) h_X w(\mu_\omega) \omega^m$$

are independent of choice of  $\omega \in \Omega$  where  $c_{v,w} = \int_M S_v \omega^m / \int_M w(\mu_\omega) \omega^m$  and  $c_v = c_{v,1}$  which are independent of  $\omega \in \Omega$ .

*Proof.* If  $h_X(\omega)$  is the real Killing potential as in the statement of the proposition for  $\omega \in \Omega$  then, under the normalization  $\int_M h_X \omega^m = 0$ , we have  $h_X(\omega_t) = h_X + th_X^i \varphi_i$  where  $\omega_t$  is the Kähler form of  $g_t$  which was defined two lines above the equation (2). Hence

$$\begin{aligned} & \left. \frac{d}{dt} \right|_{t=0} \int_M S_v(\omega_t) h_X(\omega_t) \omega_t^m \\ &= \int_M \left( (-L_v\varphi + S_v^i \varphi_i) h_X + S_v h_X^i \varphi_i + S_v h_X \Delta\varphi \right) \omega^m \\ &= - \int_M \varphi L_v h_X \omega^m = 0, \end{aligned}$$

and

$$\frac{d}{dt} \Big|_{t=0} \int_M h_X(\omega_t) \omega_t^m = 0.$$

Thus  $\text{Fut}_v$  is independent of  $\omega \in \Omega$ . Note however that the expression of (4) does not depend on the normalization of  $h_X$ . By a similar computation one can show that  $\text{Fut}_{v,w}$  is independent of  $\omega \in \Omega$ .  $\square$

**Remark 2.4.** *In [30] Lahdili shows for smooth test configurations, the slope of the weighted Mabuchi functional is the weighted Donaldson-Futaki invariant. Applying this to the case of product test configurations also yields Proposition 2.2.*

**Remark 2.5.** *If  $g$  is a  $(v, w)$ -extremal metric with non-constant  $S_{v,w}$  then*

$$\text{Fut}_{v,w}(J \text{grad } S_{v,w}) = \int_M (S_{v,w} - c_{v,w})^2 w(\mu_\omega) \omega^m > 0.$$

**Remark 2.6.** *A decomposition theorem similar to that proved by Calabi [8] for extremal Kähler metrics holds for weighted extremal Kähler metrics, see Theorem B.1 in [30], also [17, 28]. A consequence of this is that, if  $g$  is a weighted extremal metric, then the centralizer of  $\text{grad}' S_{v,w}$  in  $\mathfrak{h}_r^T(M)$  is the complexification of the real Lie algebra of all  $T$ -equivariant Killing vector fields with non-empty zeros. In particular, if  $g$  has constant  $(v, w)$ -scalar curvature, then the identity component of  $\text{Aut}_r^T(M)$  is the complexification of the identity component of  $\text{Isom}_r^T(M)$  consisting of isometries with non-empty fixed point set.*

Let  $(M, g)$  be a compact Kähler manifold of complex dimension  $m$ . Let  $\tilde{T}$  be the maximal torus in  $\text{Aut}_r(M)$  including  $T$ . Let  $L_k^2(M)$  be the  $k$ -th Sobolev space with respect to the metric  $g$  with weight  $w(\mu_\omega)$ . Here the weight  $w(\mu_\omega)$  means that  $L^2$ -inner product is given by

$$(\phi, \psi) = \int_M \phi \psi w(\mu_\omega) \omega^m.$$

We take  $k$  sufficiently large so that  $L_k^2(M)$ -functions form an algebra. Let  $L_{k,\tilde{T}}^2(M)$  be the subspace of  $L_k^2(M)$  consisting of  $\tilde{T}$ -invariant functions.

Let  $\mathcal{H}_g$  be the space of Killing potentials corresponding to  $\tilde{\mathfrak{k}}$ . Then the functions in  $\mathcal{H}_g$  are purely imaginary. As in Proposition 2.3 we call a function

in  $i\mathcal{H}_g$  a real Killing potential. Let  $W_{k,g}$  be the orthogonal complement of  $i\mathcal{H}_g$  in  $L^2_{k,\tilde{T}}$ :

$$L^2_{k,\tilde{T}} = i\mathcal{H}_g \oplus W_{k,g}$$

with  $L^2$ -orthogonal projections

$$\pi_g^H : L^2_{k,\tilde{T}} \rightarrow i\mathcal{H}_g, \quad \pi_g^W : L^2_{k,\tilde{T}} \rightarrow W_{k,g}.$$

Then  $\pi_g^H(S_{v,w}(g))$  is a smooth function independent of the choice of  $k$ , and the gradient vector field  $\text{grad}' \pi_g^H(S_{v,w}(g))$  is independent of the choice of  $g$  in the same Kähler class, see Theorem 3.3.3 in [14], also [15], and also Theorem 1.5 in [35] for conformally Kähler Einstein-Maxwell metrics. The proof in the weighted  $(v, w)$ -case is identical to [35]. This vector field is called the *extremal Kähler vector field*. If  $g$  is a weighted extremal metric then

$$\pi_g^H(S_{v,w}(g)) = S_{v,w}(g).$$

Hence  $g$  is a weighted extremal metric if and only if

$$\pi_g^W(S_{v,w}(g)) = 0.$$

**Definition 2.7.** We call  $S_{v,w}^{\text{red}}(g) := \pi_g^W(S_{v,w}(g))$  the reduced  $(v, w)$ -scalar curvature, or simply reduced scalar curvature of  $g$ . Thus,  $g$  is a weighted  $(v, w)$ -extremal metric if and only if  $S_{v,w}^{\text{red}}(g) = 0$ .

We can then modify (3) as

$$(6) \quad \left. \frac{d}{dt} \right|_{t=0} S_{v,w}^{\text{red}}(g_t) = -L_{v,w}\varphi + S_{v,w}^{\text{red}i} \varphi_i.$$

Let  $\varpi : \mathcal{M} \rightarrow B$  a complex analytic family of complex deformations with  $M_0 = M$  where  $B$  is an open set in  $\mathbf{C}^k$  containing 0 and we put  $M_t := \varpi^{-1}(t)$ . We assume  $(M_0, g_0) = (M, g)$  is a compact  $(v, w)$ -extremal Kähler manifold. By the rigidity theorem of Kodaira-Spencer,  $M_t$  is Kähler for all small  $t$ , see e.g. [38]. Note that the dimension of the Dolbeault cohomology is only upper semi-continuous on compact complex manifolds. But on a compact Kähler manifold  $M$  with continuously varying integral complex structure  $J_t$ , we have the Hodge decomposition

$$\oplus_{p+q=r} H^{p,q}(M, J_t) = H^r(M, \mathbf{C}).$$

Since the dimension of  $H^r(M, \mathbf{C})$  is a topological invariant and independent of  $t$  then the upper semi-continuity of the dimension of each component of the left hand side implies that the dimension of  $H^{p,q}(M, J_t)$  is independent of  $t$ .

Let  $\Omega_t$  be a smooth family of Kähler classes of  $M_t$ , i.e.  $\Omega_t$  gives a smooth section of the vector bundle  $\{H^2(M_t)\}_{t \in B}$ . Suppose that  $\tilde{T}$  acts holomorphically on  $\mathcal{M} \rightarrow B$  and trivially on  $B$ . Thus  $\tilde{T}$  acts on  $M_t$  holomorphically for each  $t \in B$ . Taking the average over the  $\tilde{T}$ -action we have a smooth family  $g_t$  of  $\tilde{T}$ -invariant Kähler metrics such that the associated Kähler forms  $\omega_t$  represent  $\Omega_t$ .

We denote by  $L^2_{k, \tilde{T}}(M)$  the space of  $\tilde{T}$ -invariant real valued  $L^2_k$ -functions with respect to  $g = g_0$  with weight  $w(\mu_\omega)$ . We shall write the  $L^2$ -inner product with weight  $w(\mu_\omega)$  by  $L^2(w)$ . We put

$$H_t(M) = H^{1,1}(M_t, g_t) \cap H^2(M, \mathbf{R}).$$

For  $\phi \in L^2_{k+4, \tilde{T}}(M)$  and  $\alpha \in H_t(M)$  we put

$$\omega_{t, \alpha, \phi} = \omega_t + \alpha + i\partial\bar{\partial}\phi$$

which is a  $\tilde{T}$ -invariant real closed  $(1, 1)$ -form on  $(M, J_t)$  and

$$[\omega_{t, \alpha, \phi}] = \Omega_t + [\alpha].$$

Shrinking  $B$  if necessary  $H(M) = \cup_{t \in B} H_t(M)$  forms a trivial vector bundle over  $B$ . Let  $h : B \times H_0(M) \rightarrow H(M)$ ,  $(t, \alpha) \mapsto h_t(\alpha)$ , be an isomorphism of vector bundles. Note that the Sobolev spaces  $L^2_{k, \tilde{T}}(M)$  is independent of  $g_t$  for all small  $t \in B$ . Thus we consider  $L^2_{k, \tilde{T}}$  as possessing varying norm corresponding to  $g_t$ . Let

$$L^2_{k, \tilde{T}}(M) = i\mathcal{H}_{t, \alpha, \phi} \oplus W_{k, t, \alpha, \phi}$$

be the splitting of  $L^2_{k, \tilde{T}}(M)$  into the space  $i\mathcal{H}_{t, \alpha, \phi}$  of real Killing potentials and its orthogonal complement  $W_{k, t, \alpha, \phi}$  with respect to  $\omega_{t, \alpha, \phi}$ . Let  $P : L^2_{k, \tilde{T}}(M) \rightarrow W_{k, 0}$  be the projection with respect to  $g_0$ . Thus  $P = \pi_{g_0}^W$  in the previous notation. Consider the map  $\Phi : U \rightarrow B \times W_{k, 0}$  defined on a small open neighborhood  $U$  of  $(0, 0, 0)$  in  $B \times H_0(M) \times W_{k+4, 0}$  to  $B \times W_{k, 0}$  by

$$\Phi(t, \alpha, \phi) = (t, P(S_{v, w}^{\text{red}}(g_t, h_t(\alpha), \phi))).$$

Here  $g_{t,\beta,\phi}$  is the Kähler metric corresponding to the Kähler form  $\omega_{t,\beta,\phi}$ , and  $S_{v,w}^{\text{red}}(g_{t,\beta,\phi})$  is the reduced  $(v, w)$ -scalar curvature, cf. Definition 2.7.

**Proposition 2.8** (cf. [39]). *Let  $g$  be a  $(v, w)$ -extremal metric where  $v$  and  $w$  are defined on the image of the moment map  $\mu_\omega : M \rightarrow \mathfrak{t}^*$ . Let  $\tilde{T}$  be a maximal torus in  $\text{Isom}(M, g)$  containing  $T$ . Suppose that  $\tilde{T}$  acts holomorphically on  $M \rightarrow B$  and trivially on  $B$ . Then, by shrinking  $B$  to a sufficiently small neighborhood of the origin if necessary, for arbitrary small perturbations  $\Omega_t$  of the Kähler class  $\Omega = \Omega_0$ , there are weighted extremal metrics  $g_t$  in  $\Omega_t$ .*

*Proof.* We consider the map  $\Phi$  above with  $g_{0,0,0}$  a  $(v, w)$ -extremal metric, and thus  $S_{v,w}^{\text{red}}(g_{0,0,0}) = 0$ . Using (6) one can show

$$d\Phi_{(0,0,0)}(1, \dot{\alpha}, \dot{\phi}) = \begin{pmatrix} 1 & 0 \\ * & -L_{v,w}\dot{\phi} + P(dS_{v,w}^{\text{red}}(\dot{\alpha})) \end{pmatrix}.$$

If  $\psi \in W_{k,0}$  is in the cokernel of  $d\Phi_{(0,0,0)}$  then

$$L_{v,w}\psi = 0 \quad \text{and} \quad (P(dS_{v,w}^{\text{red}}(\dot{\alpha})), \psi)_{L^2(w)} = 0.$$

But  $L_{v,w}\psi = 0$  implies that  $\psi$  is a  $\tilde{T}$ -invariant real Killing potential. Since  $\tilde{T}$  is a maximal torus we have  $\psi \in i\mathcal{H}_0$  and the second condition above is automatically satisfied. Thus  $\psi \in i\mathcal{H}_0 \cap W_{k,0} = \{0\}$ . By the implicit function theorem the proposition follows.  $\square$

Instead of the maximal torus  $\tilde{T}$ , one could use a smaller torus  $T'$  such that  $T \subset T' \subset \tilde{T}$ , and argue as in [39]. Then a non-degeneracy condition considered in [32] is required as in Theorem 1 in [39]. In fact, if we use a smaller torus  $T'$  such that  $T \subset T' \subset \tilde{T}$ , then we need to take  $\mathcal{H}_g$  to be the space of Killing potentials corresponding to  $\mathfrak{t}'$ , the Lie algebra of  $T'$ . Then  $L_{v,w}\psi = 0$  implies that  $\psi$  is a Killing potential but it does not imply that it belongs to  $\mathcal{H}_g$ , so  $\psi$  needs not be zero. Hence, in order to be able to use the implicit function theorem we need the following condition: “If  $(P(dS_{v,w}^{\text{red}}(\dot{\alpha})), \psi)_{L^2(w)} = 0$  for any  $\dot{\alpha} \in H_0(M)$  then  $\psi = 0$ .” This is the non-degeneracy condition in [39] and [32] where, in the case of [32],  $T = T' = \{1\}$  and  $P$  is the identity. See also Lemma 6 in [39].

### 3. Weighted solitons on Fano manifolds

In this section we consider weighted solitons on Fano manifolds which form a subclass of weighted cscK metrics. Let  $M$  be a Fano manifold, and  $\omega \in 2\pi c_1(M)$  be a Kähler form.

**Definition 3.1.** *Let  $v$  be a positive smooth function on the image of the moment map of a Hamiltonian  $T$ -action. We say that  $\omega$  is a weighted  $v$ -soliton (or simply weighted soliton, also  $v$ -soliton) if*

$$\text{Ric}(\omega) - \omega = i\partial\bar{\partial} \log v(\mu_\omega).$$

Examples of  $v$ -solitons are

- (i) Kähler-Ricci soliton for  $v(\mu) = \exp(\langle \mu, \xi \rangle)$  for  $\xi \in \mathfrak{t}$  where the linkage with  $S_{v,w}$ -cscK metrics was first found by Inoue [23, 24],
- (ii) Mabuchi soliton for  $v(\mu) = \langle \mu, \xi \rangle + a$  a positive affine-linear function [37], and
- (iii) base metric which induce Calabi-Yau cone metrics outside the zero sections of the canonical line bundles (Sasaki-Einstein metrics on the associated  $U(1)$ -bundles) for  $v(\mu) = (\ell(\xi))^{-(m+2)}$  where  $\ell(\xi) = \langle \mu, \xi \rangle + a$  is a positive affine-linear function (see Proposition 2 in [2]).

A  $T$ -invariant Kähler form  $\omega \in 2\pi c_1(M)$  is a  $v$ -soliton if and only if  $\omega$  is  $S_{v,w} = 1$  metric with

$$(7) \quad w(\mu) = (m + \langle d \log v, \mu \rangle)v(\mu).$$

This can be seen from the formula

$$(8) \quad S_v - w(\mu_\omega) = v(\mu_\omega)\Delta_v(\log v(\mu_\omega) - f)$$

where  $f \in C^\infty(M)$  is the Ricci potential of  $\omega$ , i.e.  $S - m = \Delta f$ , and  $\Delta_v = v^{-1} \circ \bar{\partial}^* \circ v \circ \bar{\partial}$  in which  $v$  and  $v^{-1}$  denote the multiplications by  $v(\mu_\omega)$  and  $v(\mu_\omega)^{-1}$ . By (8) we have

$$\int_M (S_v - w(\mu_\omega))\omega^m = 0,$$

and thus  $c_{v,w} = 1$  and

$$\text{Fut}_{v,w}(X) = \int_M (S_v - w(\mu_\omega)) h_X \omega^m.$$

Using (8) this can be rewritten as

$$(9) \quad \text{Fut}_{v,w}(X) = \int_M (JX)(\log v(\mu_\omega) - f) v(\mu_\omega) \omega^m.$$

A characterization of the existence of weighted solitons by Ding-polystability and K-polystability was described by Li [34], Theorem 1.17 and Theorem 1.21. The story to this result may be summarized as follows. After the resolution of Yau-Tian-Donaldson conjecture by [10, 42, 12, 11] where the Gromov-Hausdorff convergence was used, a variational proof without using Gromov-Hausdorff convergence was given in [5] under the condition of uniform K-stability. Further in [33], the existence was shown under the condition of  $G$ -uniform stability. The work of [36] shows that when  $G$  contains the maximal torus  $G$ -uniform stability is equivalent to K-polystability. Generalizing the result of [33] for Kähler-Einstein metrics, Han-Li [22] proved the existence of weighted solitons under the condition of  $G$ -uniform stability for weighted case. In [6] and [34], the equivalence of  $G$ -uniform stability when  $G$  contains the maximal torus and K-polystability for weighted case was shown.

### 4. Geometry of Kuranishi family

Let  $\varpi : \mathfrak{M} \rightarrow B$  be the Kuranishi family of a Fano manifold  $M$  satisfying (1) as described in Section 1. Then the  $v$ -soliton  $\omega$  on  $M_0 = M$  remains to be Kähler forms on  $M_t$ ,  $t \in B$ , by Theorem 1.4 in [18]. Further, it was shown in Theorem 1.5 in [18] that the Ricci form  $\text{Ric}(M_t, \omega)$  of  $(M_t, \omega)$  is given by

$$(10) \quad \text{Ric}(M_t, \omega) = \omega + \partial_t \bar{\partial}_t (f_0 + \log \det(I - \varphi(t) \overline{\varphi(t)})).$$

But since we assume  $(M_0, \omega_0)$  with  $\omega_0 = \omega$  is a  $v$ -soliton we have

$$(11) \quad f_0 = \log v(\mu_{\omega_0}).$$

Recall that  $\varphi(t)$  in (1) can be considered as

$$(12) \quad \begin{aligned} \varphi(t) &\in A^{0,1}(T' M_0) \cong \Gamma(\text{Hom}(T'^* M_0, T''^* M_0)) = \Gamma(T' M_0 \otimes T''^* M_0), \\ \varphi(t) &= \varphi^i_{\bar{j}}(t) \frac{\partial}{\partial z^i} \otimes dz^{\bar{j}}. \end{aligned}$$

Here  $z^i = z_0^i$  are local holomorphic coordinates of  $M_0$ , and we keep this notation below. Then,  $T'^* M_t$  is spanned by

$$(13) \quad e^i := dz^i + \varphi^i_{\bar{j}}(t) dz^{\bar{j}}, \quad i = 1, \dots, m,$$

or equivalently,  $T'' M_t$  is spanned by

$$(14) \quad T_{\bar{j}} := \frac{\partial}{\partial z^{\bar{j}}} - \varphi^i_{\bar{j}}(t) \frac{\partial}{\partial z^i}, \quad j = 1, \dots, m.$$

**Lemma 4.1.** *Suppose that (2) of Theorem 1.1 is satisfied. Then the identity component  $\text{Aut}_0^T(M)$  of  $\text{Aut}^T(M)$  acts on  $H^1(M_0, T'M_0) \cong T'_0B$  trivially, and hence on  $B$  trivially.*

*Proof.* We closely follow the arguments in [9, p. 823]. But some missing computations in [9] are supplemented, which are (15)–(19) below, for the reader’s convenience. Since the Kuranishi family is a complex analytic family (Proposition 2.6, 2.7 in Chapter 4 of [38], or Theorem 6.5, [25]), by the assumption there are  $T$ -invariant holomorphic vector fields  $v_1(t), \dots, v_\ell(t)$  which form a basis of  $H^0(M_t, T'M_t)^T$  and holomorphic in  $t$ . We regard these are vector fields on  $M_0 \cong M$  since all  $M_t$  are diffeomorphic to  $M_0$ . Since  $(I - \varphi\bar{\varphi})^{-1} - \bar{\varphi}(I - \varphi\bar{\varphi})^{-1}$  is invertible for small  $t$  we may put

$$\tilde{v}_p := ((I - \varphi\bar{\varphi})^{-1} - \bar{\varphi}(I - \varphi\bar{\varphi})^{-1})^{-1}v_p.$$

Let  $z^1, \dots, z^m$  and  $w^1, \dots, w^m$  be local holomorphic coordinates for  $M_0$  and  $M_t$  respectively defined on a common open set  $U$  of  $M$ . Note that (4.4) and (4.5) in [18] imply

$$(15) \quad ((I - \varphi\bar{\varphi})^{-1})^i_j = \frac{\partial z^i}{\partial w^\alpha} \frac{\partial w^\alpha}{\partial z^j}$$

and

$$(16) \quad -\bar{\varphi}^i_{\bar{j}}((I - \varphi\bar{\varphi})^{-1})^j_\ell = \frac{\partial z^{\bar{i}}}{\partial w^\alpha} \frac{\partial w^\alpha}{\partial z^{\bar{j}}}.$$

Then we can see using (15) and (16) that

$$(17) \quad v_p = \tilde{v}_p^j \frac{\partial w^\alpha}{\partial z^j} \frac{\partial}{\partial w^\alpha}.$$

Since  $v$  is holomorphic on  $M_t$ , we have  $T_{\bar{j}}v^\alpha = 0$ , that is,

$$(18) \quad \left( \frac{\partial}{\partial z^{\bar{j}}} - \varphi^i_{\bar{j}}(t) \frac{\partial}{\partial z^i} \right) \left( \frac{\partial w^\alpha}{\partial z^k} \tilde{v}_p^k \right) = 0.$$

On the other hand

$$(19) \quad \left( T_{\bar{j}} \left( \frac{\partial w^\alpha}{\partial z^k} \right) \right) \tilde{v}_p^k = (\tilde{v}_p \varphi)^i_{\bar{j}} \frac{\partial w^\alpha}{\partial z^i}.$$

From (18) and (19) we get

$$(20) \quad \bar{\partial}_0 \tilde{v}_p = -[\tilde{v}_p, \varphi].$$

Since  $\varphi(0) = 0$  we obtain

$$(21) \quad \bar{\partial}_0 \left( \frac{\partial}{\partial t_k} \Big|_{t=0} \tilde{v}_p(t) \right) = -[\tilde{v}_p, \varphi_k].$$

This implies the infinitesimal generators of  $\text{Aut}_0^T(M)$  acts on  $H^1(M_0, T'M_0)$  trivially. This completes the proof.  $\square$

*Proof of Theorem 1.1.* We first prove that (2) implies (1). Let  $G := \text{Isom}_0^T(M_0, \omega)$  be the identity component of the  $T$ -equivariant isometries of  $(M_0, \omega)$  so that  $G$  preserves both  $\omega$  and  $J_0$ . Then since  $\omega = \omega_0$  is a weighted  $v$ -soliton it is a  $(v, w)$ -cscK metric with  $w(\mu) = (m + \langle d \log v, \mu \rangle)v(\mu)$  and  $G^{\mathbf{C}} = \text{Aut}_0^T(M)$ . By Lemma 4.1,  $G$  acts on  $H^1(M_0, T'M_0)$  trivially, which implies that  $G$  preserves  $\varphi(t)$  since  $\varphi(t)$  is uniquely determined by  $\sum_{i=1}^k t^i \varphi_i$  in Kuranishi's equation (1). Hence  $G$  also preserves  $J_t$ , and thus  $G \subset \text{Isom}_0^T(M_t, \omega)$ . But since

$$\dim G^{\mathbf{C}} = \dim \text{Aut}^T(M) = \dim \text{Aut}^T(M_t) \geq \dim_{\mathbf{R}} \text{Isom}_0^T(M_t, \omega)$$

we have  $G = \text{Isom}_0^T(M_t, \omega)$ . This implies that the Hamiltonian vector fields for  $(M_0, \omega)$  remain to be Hamiltonian vector fields of  $(M_t, \omega)$ , and the moment map  $\mu_{\omega_t}$  is unchanged as  $t$  varies. Thus

$$(22) \quad v(\mu_{\omega_t}) = v(\mu_{\omega})$$

for all  $t \in B$ .

Let  $\tilde{T}$  be the maximal torus in  $G$  containing  $T$ . Then since  $\tilde{T} \subset \text{Aut}_0^T(M)$  Lemma 4.1 implies that  $\tilde{T}$  acts on  $B$  trivially. By Proposition 2.8, shrinking  $B$  if necessary,  $M_t$  admits a  $(v, w)$ -extremal metric for any  $t \in B$ . We wish to show this  $(v, w)$ -extremal metric is a  $(v, w)$ -cscK metric so that it is a  $v$ -soliton. To see this, by Remark 2.5, it is sufficient to show the invariant  $\text{Fut}_{v,w}(t)$  in (9) for  $M_t$  vanishes. By (10) and (11), we need to take  $f$  in (9), to be

$$f_t := \log v(\mu_{\omega_0}) + \log \det(I - \varphi(t)\overline{\varphi(t)}).$$

Hence using (22) we have

$$(23) \quad \text{Fut}_{v,w}(t)(X) = - \int_M (JX)(\log \det(I - \varphi(t)\overline{\varphi(t)})) v(\mu_{\omega}) \omega^m.$$

But since any automorphism of  $M_t$  preserves  $\varphi(t)$  the derivative by  $JX$  on the right hand side of (23) vanishes. Thus  $\text{Fut}_{v,w}(t)$  vanishes, and by Remark 2.5

the extremal  $(v, w)$ -extremal metric must be a  $v$ -soliton. This proves that (2) implies (1).

Next we prove that (1) implies (3). We first show the action of  $G := \text{Isom}_0^T(M_0, \omega)$  and  $G^{\mathbf{C}}$  on  $B$  is trivial.

For this purpose we show that if this is not the case then there is a non-product  $T^{\mathbf{C}}$ -equivariant test configuration  $\{(M_t, K_{M_t}^{-k})\}$  using arguments similar to [9, pp. 822–823]. Because of the construction of the Kuranishi family, the nontrivial action of  $G^{\mathbf{C}}$  on  $B$  induces a one parameter subgroup  $\lambda : \mathbf{C}^* \rightarrow G^{\mathbf{C}}$  whose  $T^{\mathbf{C}}$ -equivariant action on  $T'_0 B \cong H^1(M_0, T'M_0)$  is nontrivial. We can choose a basis  $e_1, \dots, e_\ell$  of  $H^1(M_0, T'M_0)$  such that  $\lambda(s)e_i = s^{\kappa_i}e_i$  with  $\kappa_i \in \mathbf{Z}$ . Since this action is nontrivial some  $\kappa_i$  is non-zero, and we choose and fix one of such  $i$ 's, and we may assume  $\kappa_i > 0$  by replacing  $\lambda$  by  $\lambda^{-1}$ . Consider the one-dimensional subfamily  $\{M_t \mid t = (0, \dots, 0, t_i, 0, \dots, 0), |t_i| < \epsilon\}$  of  $\mathcal{M} \rightarrow B$  for small  $\epsilon > 0$ . Then we have an action of  $\{s \mid |s| < 1\}$  corresponding to the  $\lambda$ -action expressed by  $M_t \rightarrow M_{st}$ . All  $M_t$  with  $t \neq 0$  are biholomorphic because of the action of  $\{s \mid 0 < |s| < 1\}$ . Then the Kodaira-Spencer map  $T'B_t \rightarrow H^1(M_t, T'M_t)$  is only surjective (see e.g. Theorem 2.1, (3) in [9]) but not isomorphic for  $t \neq 0$ , while  $T'B_0 \rightarrow H^1(M_0, T'M_0)$  is isomorphic. It follows that, for  $t \neq 0$ ,  $M_t$  is not biholomorphic to  $M_0$ . Hence after a suitable base change we obtain a non-product  $T^{\mathbf{C}}$ -equivariant test configuration  $\{(M_t, K_{M_t}^{-k})\}$ .

But this is impossible since  $M_t$  has a  $v$ -soliton and K-polystable with respect to  $T^{\mathbf{C}}$ -equivariant test configurations, the central fiber  $M_0$  also has a  $v$ -soliton and the Donaldson-Futaki invariant is zero (see Theorem 1.17 and 1.21 in [34], or [2], or Theorem 1.0.7 in [20], or [21]). Thus the action of  $G$  on  $B$  is trivial.

Then as we argued at the beginning of this proof,  $G$  preserves both  $\omega$  and  $\varphi(t)$ , and thus we have an inclusion  $G \subset \text{Isom}_0(M_t, \omega)$ . In particular  $T \subset \text{Isom}_0(M_t, \omega) \subset \text{Aut}_0(M_t)$  and  $G \subset \text{Isom}_0^T(M_t, \omega)$ ,  $G^{\mathbf{C}} = \text{Aut}_0^T(M_0) \subset \text{Aut}_0^T(M_t)$ . But since  $\dim H^0(M_t, T'M_t)^T$  is upper semi-continuous we obtain  $G^{\mathbf{C}} = \text{Aut}^T(M_t)$  for all  $t \in B$ . This proves that (1) implies (3). That (3) implies (2) is trivial. This completes the proof of Theorem 1.1  $\square$

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Akito Futaki  
Yau Mathematical Sciences Center  
Tsinghua University  
Haidian District  
Beijing 100084  
China  
E-mail: [futaki@tsinghua.edu.cn](mailto:futaki@tsinghua.edu.cn)