

Introduction to a deformed Hermitian Yang-Mills flow

Jixiang Fu, Shing-Tung Yau, and Dekai Zhang

ABSTRACT. The deformed Hermitian Yang-Mills equation is an important fully nonlinear geometric PDE. In this survey, we sketch first some developments on the deformed Hermitian Yang-Mills equation, and then introduce a deformed Hermitian Yang-Mills flow. We present some results in our paper [9] on this flow, including the longtime existence, the convergence under the subsolution condition, and as an application, the convergence on a Kähler surface case under the semisubsolution condition.

1. Introduction

The deformed Hermitian Yang-Mills (dHYM) equation which was founded by Mariño-Minasian-Moore-Strominger [20] and Leung-Yau-Zaslow [17] arises from mirror symmetry in string theory. The dHYM equation is a fully nonlinear elliptic PDE and the existence problem of this equation has been extensively studied in these recent years. One can see Collins-Xie-Yau [5] for the detailed mathematical and physical introduction of the dHYM equation.

Let (M, ω) be a compact Kähler manifold of complex dimension n and χ a closed real $(1, 1)$ -form on M . Jacob-Yau [16] first studied the existence and uniqueness of solutions of the dHYM equation on (M, ω, χ) , which has the following form:

$$(1.1) \quad \operatorname{Re}(\chi_u + \sqrt{-1}\omega)^n = \cot \theta_0 \operatorname{Im}(\chi_u + \sqrt{-1}\omega)^n,$$

where $\chi_u = \chi + \sqrt{-1}\partial\bar{\partial}u$ for a real smooth function u on M and θ_0 is the argument of the complex number $\int_M (\chi + \sqrt{-1}\omega)^n$.

The dHYM equation is called supercritical if $\theta_0 \in (0, \pi)$ and hypercritical if $\theta_0 \in (0, \frac{\pi}{2})$.

Let $\lambda = (\lambda_1, \dots, \lambda_n)$ be the eigenvalues of χ_u with respect to ω . If necessary we denote λ by $\lambda(\chi_u)$ and λ_i by $\lambda_i(\chi_u)$ for each $1 \leq i \leq n$. Let

$\lambda_i = \cot \theta_i$. Then

$$\begin{aligned} (\chi_u + \sqrt{-1}\omega)^n &= \prod_{i=1}^n (\lambda_i + \sqrt{-1}) \omega^n \\ &= \frac{\exp(\sqrt{-1} \sum_{i=1}^n \theta_i)}{\prod_{i=1}^n \sin \theta_i} \omega^n \\ &= \frac{\cos(\sum_{i=1}^n \theta_i)}{\prod_{i=1}^n \sin \theta_i} \omega^n + \sqrt{-1} \frac{\sin(\sum_{i=1}^n \theta_i)}{\prod_{i=1}^n \sin \theta_i} \omega^n \end{aligned}$$

So the dHYM equation becomes

$$\cos\left(\sum_{i=1}^n \theta_i\right) = \cot \theta_0 \sin\left(\sum_{i=1}^n \theta_i\right),$$

or

$$(1.2) \quad \theta(\chi_u) = \theta_0,$$

if we define

$$\theta(\chi_u) := \sum_{i=1}^n \theta_i = \sum_{i=1}^n \operatorname{arccot} \lambda_i.$$

1.1. Some related studies on the dHYM equation.

1.1.1. *The elliptic case.* Jacob-Yau [16] solved the equation for $n = 2$ by writing the dHYM equation as a complex Monge-Ampère equation which was solved by Yau [29]. When $n \geq 3$, Collins-Jacob-Yau [4] solved the dHYM equation for the supercritical case by assuming the following two conditions hold:

(i) There exists a subsolution \underline{u} , which means $\chi_{\underline{u}}$ satisfies the inequality

$$(1.3) \quad A_0(\underline{u}) := \max_M \max_{1 \leq j \leq n} \sum_{i \neq j} \operatorname{arccot} \lambda_i(\chi_{\underline{u}}) < \theta_0;$$

(ii) $\chi_{\underline{u}}$ also satisfies the inequality

$$(1.4) \quad B_0(\underline{u}) := \max_M \theta(\chi_{\underline{u}}) < \pi.$$

To be precise, Collins, Jacob and Yau proved the following

THEOREM 1.1 (Collins-Jacob-Yau [4]). *Let (M, ω) be a compact Kähler manifold of dimension n and χ a closed real $(1, 1)$ form on M with $\theta_0 \in (0, \pi)$. Suppose there exists a subsolution \underline{u} of dHYM equation (1.2) in the sense of (1.3) and \underline{u} also satisfies inequality (1.4). Then there exists a unique smooth solution of dHYM equation (1.2).*

Without condition (1.4), the dHYM equation in the supercritical case was solved by Pingali [22] when $n = 3$ and by Lin [19] when $n = 4$. In these two cases, the dHYM equation was written as a mixed Monge-Ampère type equation and an appropriate continuity method was used. The 4 dimensional case solved by Lin 2022 was very complicated.

For the non-Kähler case, Lin [18] generalized Collins-Jacob-Yau’s result to the Hermitian case (M, ω) with $\partial\bar{\partial}\omega = \partial\bar{\partial}\omega^2 = 0$. Huang-Zhang-Zhang [14] considered the solution on a compact almost Hermitian manifold for the hypercritical case and the supercritical case was solved by Huang-Zhang [13].

1.1.2. *The parabolic case.* For the parabolic flow method, there are also several results.

Jacob-Yau [16] and Collins-Jacob-Yau [4] proved the existence and convergence of the line bundle mean curvature flow (LBMCF)

$$(1.5) \quad \begin{cases} u_t = \theta_0 - \theta(\chi_u) \\ u(0) = u_0 \end{cases}$$

for the hypercritical case. Here they assumed the following:

- (i) There exists a subsolution \underline{u} ;
- (ii) u_0 satisfies: $\theta(\chi_{u_0}) \in (0, \frac{\pi}{2})$.

Han-Jin [11] considered the stability result of the above flow.

Takahashi [26] proved the existence and convergence of the tangent Lagrangian phase flow:

$$(1.6) \quad \begin{cases} u_t = \tan(\theta_0 - \theta(\chi_u)) \\ u(0) = u_0 \end{cases}$$

for the hypercritical case. Here he assumed the following:

- (i) There exists a subsolution \underline{u} ;
- (ii) u_0 satisfies: $\theta(\chi_{u_0}) - \theta_0 \in (-\frac{\pi}{2}, \frac{\pi}{2})$.

1.1.3. *Other related works.* There are two problems raised by Collins-Jacob-Yau [4]. One is whether condition (1.4) is superfluous. The other is to find a sufficient and necessary geometric condition on the existence of a solution to the dHYM equation.

Jacob and Shen [15] solved the dHYM equation on the blowup of \mathbb{P}^n under an algebraic stability condition. There are some important progresses made by Chen [1] on the J -equation and the dHYM equation. Based on the work of Chen [1], Song [23] proved Nakai-Moishezon criterions for the J -equation. Recently, motivated by Chen [1] and Song [23], Chu-Lee-Takahashi [3] established the following

THEOREM 1.2 (Chu-Lee-Takahashi [3]). *The dHYM equation (1.2) on a compact Kähler manifold (M, ω) with complex dimension n is solvable for the supercritical case if and only if there exists a Kähler metric γ on M such that for any $1 \leq k \leq n$,*

$$\int_M (\operatorname{Re}(\chi + \sqrt{-1}\omega)^k - \cot \theta_0 \operatorname{Im}(\chi + \sqrt{-1}\omega)^k) \wedge \gamma^{n-k} \geq 0$$

and for any proper m -dimensional subvariety Y of M and $1 \leq k \leq m$,

$$\int_Y (\operatorname{Re}(\chi + \sqrt{-1}\omega)^k - \cot \theta_0 \operatorname{Im}(\chi + \sqrt{-1}\omega)^k) \wedge \gamma^{m-k} > 0.$$

1.2. A new dHYM flow and our results. Motivated by the concavity of $\cot \theta(\chi_u)$ by Chen [1], we consider the following dHYM flow:

$$(1.7) \quad \begin{cases} u_t = \cot \theta(\chi_u) - \cot \theta_0, \\ u(x, 0) = u_0(x). \end{cases}$$

1.2.1. *The longtime existence and the convergence.* We first prove the longtime existence.

THEOREM 1.3. *Let (M, ω) be a compact Kähler manifold and χ be a closed real $(1, 1)$ form with $\theta_0 \in (0, \pi)$. If u_0 satisfies: $\theta(\chi_{u_0}) < \pi$, then dHYM flow (1.7) has a unique smooth longtime solution u .*

Then we consider the convergence of the longtime solution of flow (1.7) when there exists a subsolution of the dHYM equation and the subsolution satisfies (1.4).

THEOREM 1.4. *Let (M, ω) be a compact Kähler manifold of dimension n and χ be a closed real $(1, 1)$ form with $\theta_0 \in (0, \pi)$. Assume dHYM equation (1.2) has a subsolution \underline{u} in the sense of $A_0(\underline{u}) < \theta_0$ which also satisfies $B_0(\underline{u}) < \pi$. Then the longtime solution $u(x, t)$ of dHYM flow (1.7) converges to a smooth solution u^∞ to the dHYM equation:*

$$\theta(\chi_{u^\infty}) = \theta_0.$$

Hence we reprove the Collins-Jacob-Yau's existence theorem [4]. Our proof looks like simpler than the one by Collins-Jacob-Yau.

The advantage of this new flow is that the imaginary part of the Calabi-Yau functional is constant along the flow. However, we are still subject to the condition $\theta(\chi_{u_0}) < \pi$.

Chu-Lee [2] used the twisted version of the above dHYM flow to study the equivalence of the coerciveness, properness of the J-functional and the existence of the solution of the hypercritical dHYM equation.

1.2.2. *The Kähler surface case under the semi-subsolution condition.* A smooth function \underline{u} is called a semi-subsolution of the dHYM equation if

$$(1.8) \quad A_0(\underline{u}) \leq \theta_0.$$

In the 2-dimensional case, this condition is equivalent to

$$(1.9) \quad \chi_{\underline{u}} \geq \cot \theta_0 \omega.$$

Assume there exists a semi-subsolution \underline{u} of the dHYM equation. For simplicity, we assume $\underline{u} = 0$.

For any $B_1 \in (0, \pi)$, we define the set

$$(1.10) \quad \mathcal{H}_{B_1} = \{v \in C^\infty(M, \mathbb{R}) : \theta(\chi_v) \in (0, B_1)\}.$$

If $\theta_0 \in (0, \frac{\pi}{2})$, we have $0 \in \mathcal{H}_{B_1}$ for any $B_1 \in (2\theta_0, \pi)$. If $\theta_0 \in [\frac{\pi}{2}, \pi)$, we can show that the semi-subsolution condition implies the non-empty of \mathcal{H}_{B_1} for any $B_1 \in (\theta_0, \pi)$ (see Lemma 4.1).

We take the initial function $u_0 \in \mathcal{H}_{B_1}$ with $B_1 \in (2\theta_0, \pi)$ if $\theta_0 \in (0, \frac{\pi}{2})$ or $B_1 \in (\theta_0, \pi)$ if $\theta_0 \in [\frac{\pi}{2}, \pi)$. Then we have the following result.

THEOREM 1.5. *Let (M, ω) be a compact Kähler surface and χ be a closed real $(1, 1)$ form. Assume $\theta_0 \in (0, \pi)$ and $\chi \geq \cot \theta_0 \omega$. There exist a finite number of curves E_i of negative self-intersection on M such that the solution $u(x, t)$ of dHYM flow (1.7) converges to a bounded function u^∞ in $C_{loc}^\infty(M \setminus \cup_i E_i)$ satisfying the following*

- (i) $\chi + \sqrt{-1} \partial \bar{\partial} u^\infty - \cot B_1 \omega$ is a Kähler current which is smooth on $M \setminus \cup_i E_i$;
 - (ii) u^∞ satisfies the dHYM equation on $M \setminus \cup_i E_i$
- (1.11) $\operatorname{Re}(\chi_{u^\infty} + \sqrt{-1} \omega)^n = \cot \theta_0 \operatorname{Im}(\chi_{u^\infty} + \sqrt{-1} \omega)^n$;
- (iii) $\chi_{u(x,t)}$ converges to χ_{u^∞} and u^∞ satisfies (1.11) on M in the sense of currents.

Fang-Lai-Song-Weinkove [8] considered such type problem for the J -flow on a Kähler surface. By assuming $\theta_0 \in (0, \frac{\pi}{2})$ and $B_1 \leq \frac{\pi}{2} + \theta_0$, Takahashi [27] proved the similar result for LBMCF (1.5).

2. Preliminaries

2.1. The linearized operator. Note that

$$(2.1) \quad \cot \theta(\chi_u) = \frac{\operatorname{Re}(\chi_u + \sqrt{-1} \omega)^n}{\operatorname{Im}(\chi_u + \sqrt{-1} \omega)^n}.$$

LEMMA 2.1. *The linearized operator \mathcal{P} of the dHYM flow has the form:*

$$\mathcal{P}(v) = v_t - F^{i\bar{j}} v_{i\bar{j}},$$

where

$$F^{i\bar{j}} = \csc^2 \theta(\chi_u) (w g^{-1} w + g)^{i\bar{j}},$$

where $g = (g_{i\bar{j}})_{n \times n}$, $w = (w_{i\bar{j}})_{n \times n}$ for $w_{i\bar{j}} = \chi_{i\bar{j}} + u_{i\bar{j}}$, and $D^{i\bar{j}} := (D^{-1})_{i\bar{j}}$ for an invertible Hermitian symmetric matrix D .

2.2. The concavity. Define the function

$$(2.2) \quad \theta(\lambda) := \sum_{i=1}^n \operatorname{arccot} \lambda_i \quad \text{for } \lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{R}^n$$

and define the set

$$\Gamma_\tau := \{\lambda \in \mathbb{R}^n \mid \theta(\lambda) < \tau\} \subset \mathbb{R}^n \quad \text{for } \tau \in (0, \pi).$$

We have the following two useful lemmas.

LEMMA 2.2 (Yuan [30], Wang-Yuan [28]). *If $\theta(\lambda) \leq \tau \in (0, \pi)$ for $\lambda = (\lambda_1, \dots, \lambda_n)$ with $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$, then the following inequalities hold.*

- (i) $\lambda_{n-1} \geq \cot \frac{\tau}{2} (> 0)$;
- (ii) $\lambda_{n-1} \geq |\lambda_n|$;
- (iii) $\lambda_1 + (n-1)\lambda_n \geq 0$.

Moreover, Γ_τ is convex for any $\tau < \pi$.

LEMMA 2.3 (Chen [1]). *For any $\tau \in (0, \pi)$, the function $\cot \theta(\lambda)$ on Γ_τ is concave.*

2.3. The parabolic subsolution. Motivated by B. Guan's definition [10] of a subsolution of fully nonlinear equations, Székelyhidi [25] gave a weaker version of a subsolution and Collins-Jacob-Yau [4] used it to the dHYM equation which is equivalent to (1.3).

On the other hand, Phong-Tô [21] modified Székelyhidi's definition to the parabolic case. We use their definition to the dHYM flow.

DEFINITION 2.4. A smooth function $\underline{u}(x, t)$ on $M \times [0, T)$ is called a subsolution of the dHYM flow if there exists a constant $\delta > 0$ such that for any $(x, t) \in M \times [0, T)$, the subset of \mathbb{R}^{n+1}

$$S_\delta(x, t) := \{(\mu, \tau) \in \mathbb{R}^n \times \mathbb{R} \mid \mu_i > -\delta \text{ for each } i, \tau > -\delta, \text{ and} \\ \cot \theta(\lambda(\chi_{\underline{u}(x, t)} + \mu) - \underline{u}_t(x, t) + \tau) = \cot \theta_0\}$$

is uniformly bounded.

We have the following observation.

LEMMA 2.5. *If \underline{u} is a subsolution of the dHYM equation with $B_0(\underline{u}) < \pi$, then the function $\underline{u}(x, t) = \underline{u}(x)$ on $M \times [0, \infty)$ is also a subsolution of the dHYM flow.*

2.4. The Calabi-Yau functional. Recall the definition of the Calabi-Yau functional by Collins-Yau [6]: for any $v \in C^2(M, \mathbb{R})$,

$$\text{CY}_{\mathbb{C}}(v) := \frac{1}{n+1} \sum_{i=0}^n \int_M v(\chi_v + \sqrt{-1}\omega)^i \wedge (\chi + \sqrt{-1}\omega)^{n-i}.$$

Let $v(s) \in C^{2,1}(M \times [0, T], \mathbb{R})$ be a variation of the function v , i.e., $v(0) = v$. By integration by parts, it holds

$$(2.3) \quad \frac{d}{ds} \text{CY}_{\mathbb{C}}(v(s)) = \int_M \frac{\partial v(s)}{\partial s} (\chi_{v(s)} + \sqrt{-1}\omega)^n.$$

The \mathcal{J} -functional is defined by Collins-Yau [6] as follows

$$\mathcal{J}(v) := \text{Im}(e^{-\sqrt{-1}\theta_0} \text{CY}_{\mathbb{C}}(v)).$$

The \mathcal{J} -functional is very important since it is the Kempf-Ness functional for Collins-Yau's infinite dimensional GIT problem by Collins-Yau [6].

LEMMA 2.6. *Let $u(x, t)$ be a solution of the dHYM flow. Then*

$$\begin{aligned} \text{Im}(\text{CY}_{\mathbb{C}}(u(\cdot, t))) &= \text{Im}(\text{CY}_{\mathbb{C}}(u_0)), \\ \frac{d}{dt} \text{Re}(\text{CY}_{\mathbb{C}}(u(\cdot, t))) &= \int_M \left(\frac{\partial u(t)}{\partial t} \right)^2 \text{Im}(\chi_u + \sqrt{-1}\omega)^n, \\ \frac{d}{dt} \mathcal{J}(u(\cdot, t)) &\leq 0. \end{aligned}$$

3. The longtime existence and convergence

3.1. The longtime existence under the condition: $B_0(u_0) < \pi$. We assume that u is the solution of dHYM flow (1.7) in $M \times [0, T)$, where T is the maximal existence time. Assuming $B_0(u_0) < \pi$, We will prove $T = \infty$.

3.1.1. *The u_t -estimate.* The maximum principle implies directly

LEMMA 3.1. *For any $(x, t) \in M \times [0, T)$, we have*

$$(3.1) \quad \min_M u_t|_{t=0} \leq u_t(x, t) \leq \max_M u_t|_{t=0};$$

in particular,

$$(3.2) \quad 0 < \min_M \theta(\chi_{u_0(x)}) \leq \theta(\chi_{u(x,t)}) \leq B_0(u_0) < \pi.$$

As a consequence of the above lemma, we have the following

LEMMA 3.2. *Let $\lambda_n(x, t)$ be the minimum eigenvalue of χ_u with respect to the metric ω at (x, t) . Then*

$$\max_{M \times [0, T)} |\lambda_n| \leq C_0 \quad \text{for } C_0 := |\cot B_0| + \left| \cot \left(\frac{\min_M \theta(\chi_{u_0})}{n} \right) \right|.$$

3.1.2. *The longtime existence.* By the u_t -estimate, we have

$$\sup_{M \times [0, T)} |u| \leq CT + \sup_M |u_0|.$$

By the concavity of $\cot \theta(\lambda)$, we can prove the second order estimate and the higher order estimate. Then we get the longtime existence.

3.1.3. *The estimate of the real part of the Calabi-Yau functional.* Along the dHYM flow, we can prove that the real part of the Calabi-Yau functional can be controlled by $|u|_{L^\infty}$ without the subsolution condition.

PROPOSITION 3.3. *Let $u(x, t)$ be a solution of dHYM flow (1.7) with the initial data satisfying (1.4). Then there exists a uniform constant C such that*

$$(3.3) \quad \text{Re} (\text{CY}_C(u)) \leq C|u|_{L^\infty}.$$

3.2. The convergence under the subsolution condition and the condition (1.4). To prove the convergence we need the existence of the subsolution and also the additional condition on the subsolution. The key point is to prove the uniform C^2 -estimate which are independent of the time.

3.2.1. *The C^0 estimate.* A Harnack type inequality along the dHYM flow can be proved.

LEMMA 3.4. *Let u be the solution of the dHYM flow on $M \times [0, \infty)$. Then for any $T_0 > 0$ we have the following Harnack type inequality:*

$$\sup_{M \times [0, T_0]} u(x, t) \leq C \left(- \inf_{M \times [0, T_0]} (u(x, t) - u_0(x)) + 1 \right).$$

Now we prove the C^0 estimate similar as Phong-Tô [21].

PROPOSITION 3.5. *Along the dHYM flow, there exists a uniform constant M_0 independent of T such that*

$$|u|_{C^0(M \times [0, \infty))} \leq C.$$

3.2.2. *The gradient estimate.* The gradient estimate follows from the argument in the elliptic case by Collins-Yau [6].

PROPOSITION 3.6. *Let u be the solution of dHYM flow (1.7). There exists a uniform constant C such that*

$$\max_{M \times [0, \infty)} |\nabla u|_{\omega} \leq C.$$

3.2.3. *The second order estimate.* In the elliptic case, Collins-Jacob-Yau [4] used an auxiliary function containing the gradient term which modifies the one by Hou-Ma-Wu [12]. Our auxiliary function does not contain the gradient term.

PROPOSITION 3.7. *There exists a uniform constant C such that*

$$\sup_{M \times [0, \infty)} |\partial \bar{\partial} u|_{\omega} \leq C.$$

3.2.4. *The convergence.* We have got the uniform a priori estimates up to the second order. By the concavity of $\theta(\chi_u(x, t))$, we obtain the uniform $C^{2, \alpha}$ estimates and then the higher order estimates hold. Then the proof of the convergence is the similar as that by Phong-Tô [21]. Firstly, we can prove $u(x, t)$ converges exponentially to a function u^∞ . Then by the uniform C^k estimates of $u(x, t)$ for all $k \in \mathbb{N}$, $u(x, t)$ converges to u^∞ in C^∞ and u^∞ satisfies

$$\theta(\chi_{u^\infty}) := \sum_{i=1}^n \operatorname{arccot} \lambda_i(\chi_{u^\infty}) = \theta_0.$$

4. An application to the Kähler surface case under the semi-subsolution condition

If $\theta_0 \in [\frac{\pi}{2}, \pi)$, we can show the nonempty of the set \mathcal{H}_{B_1} for any $B_1 \in (\theta_0, \pi)$.

LEMMA 4.1. *Let (M, ω) be a compact Kähler surface. Assume $\chi \geq \cot \theta_0 \omega$ and $\theta_0 \in [\frac{\pi}{2}, \pi)$. Then for any $B_1 \in (\theta_0, \pi)$, there exists a smooth function u such that $u \in \mathcal{H}_{B_1}$.*

To study the dHYM flow on the Kähler surface under the semi-subsolution condition, we first need a result of Song-Weinkove [24].

LEMMA 4.2. *Let M be a Kähler surface with a Kähler class $\beta \in H^{1,1}(M, \mathbb{R})$. If $\alpha \in H^{1,1}(M, \mathbb{R})$ satisfies $\alpha^2 > 0$ and $\alpha \cdot \beta > 0$, then either α is Kähler*

or there exists a positive integer m , curves of negative self-intersection E_i , $1 \leq i \leq m$ and positive numbers a_i , $1 \leq i \leq m$ such that

$$\alpha - \sum_{i=1}^m a_i [E_i]$$

is a Kähler class.

Let $\tilde{\chi} = \chi - \cot \theta_0 \omega$, we can check the following

$$\begin{aligned} [\tilde{\chi}]^2 &= (1 + \cot^2 \theta_0) [\omega]^2 > 0, \\ [\tilde{\chi}] \cdot [\omega] &> 0. \end{aligned}$$

By applying the above lemma, there exists a finite number (say $m \geq 1$) curves of negative self-intersection E_i and $a_i > 0$, $1 \leq i \leq m$ such that $[\tilde{\chi}] - \sum_{i=1}^m a_i [E_i]$ is a Kähler class.

Let h_i be the hermitian metric on $[E_i]$ and s_i be a holomorphic section of $[E_i]$ which vanishes along E_i to order 1. Define

$$S := \sum_{i=1}^m a_i \log |s_i|_{h_i}^2,$$

then

$$(4.1) \quad \tilde{\chi} + \sqrt{-1} \partial \bar{\partial} S > 0.$$

4.1. The C^0 estimate. To prove the C^0 estimate of the solution along the flow, similar as Fang-Lai-Song-Weinkove [8] and Takahashi [27], we need the following lemma which was proved by Eyssidieux-Guedj-Zeriahi [7] and Zhang [31].

LEMMA 4.3. *Let (M, ω) be a compact Kähler surface, $\tilde{\chi} := \chi - \cot \theta_0 \omega \geq 0$ and $\theta_0 \in (0, \pi)$. There exists a uniquely (by adding a constant) bounded $\tilde{\chi}$ -PSH function v on M satisfying*

$$(4.2) \quad (\tilde{\chi} + \sqrt{-1} \partial \bar{\partial} v)^2 = \csc^2 \theta_0 \omega^2$$

in the sense of currents. Moreover, $v \in C_{loc}^\infty(M \setminus \cup_i E_i)$.

The u_t estimate has been proved in Section 3 and thus along the flow $\theta(\chi_u) \in (\min_M \theta(\chi_{u_0}), B_1)$.

PROPOSITION 4.4. *There exists uniform constant M_0 such that*

$$(4.3) \quad \sup_{M \times [0, \infty)} |u| \leq M_0.$$

The upper bound of u follows from applying maximum principal to the following auxiliary function.

$$w_\varepsilon(x, t) := u - (1 + \varepsilon)v + \varepsilon S - C_0 \varepsilon t,$$

Similarly the lower bound of u can be proved by

$$\tilde{w}_\varepsilon := u - (1 - \varepsilon)v - \varepsilon S + C_0 \varepsilon t.$$

Recall along the dHYM flow, $\text{Re}(\text{CY}_{\mathbb{C}}(u)) \leq C|u|_{L^\infty}$. By the C^0 estimate, we have the following.

COROLLARY 4.5. *Along the dHYM flow, there exists a uniform constant C such that*

$$(4.4) \quad \text{Re}(\text{CY}_{\mathbb{C}}(u)) \leq C.$$

This estimate is used to prove $\lim_{t \rightarrow \infty} u_t = 0$ on $M \setminus \cup_i E_i$.

PROPOSITION 4.6. *For any compact set $K \subset M \setminus \cup_i E_i$, u_t uniformly converges to 0 in K as t tends to ∞ .*

4.2. The gradient estimate and the second order estimate. To prove the gradient and second order estimate, we prove a useful inequality.

LEMMA 4.7. *There exists uniform constants $K_0 > 0$ and $c_0 > 0$ such that if $|\lambda(\chi_u)| > K_0$, then*

$$u_t - F^{i\bar{j}}(u_{i\bar{j}} - S_{i\bar{j}}) \geq c_0.$$

Based on Lemma 4.7 and the C^0 estimate, we can prove the following gradient estimate.

PROPOSITION 4.8. *There exist uniform constants C and D such that for any $(x, t) \in M \setminus \cup_i E_i \times [0, \infty)$*

$$(4.5) \quad |\nabla u|_{\omega}(x, t) \leq M_1 \prod_i |s_i|_{h_i}^{-D_1 a_i}(x).$$

Based on Lemma 4.7 and the C^1 estimate, we can derive the second order estimate.

PROPOSITION 4.9. *There exist uniform constants C and D such that for any $(x, t) \in M \setminus \cup_i E_i \times [0, \infty)$*

$$(4.6) \quad |\partial\bar{\partial}u|_{\omega}(x, t) \leq C \prod_i |s_i|_{h_i}^{-2D a_i}(x, t).$$

PROPOSITION 4.10. *For any compact set $K \subset M \setminus \cup_i E_i$ and positive integer k , there exists a uniform constant $C_{k,K}$ such that*

$$(4.7) \quad |u|_{C^k(K)} \leq C_{k,K}.$$

Then we can get the convergence of the flow locally in $M \setminus \cup_i E_i$.

Similarly as Fang-Lai-Song-Weinkove [8] and Takahashi [27], Theorem 1.5 follows.

4.3. The lower bound of \mathcal{J} -functional in \mathcal{H}_{B_1} . As an application of our dHYM flow, we have the lower bound of the \mathcal{J} -functional in the following set.

$$\mathcal{H}_{B_1} = \{w \in C^\infty(M, \mathbb{R}) : \theta(\chi_w) \in (0, B_1)\}.$$

COROLLARY 4.11. *Let (M, ω) be a compact Kähler surface and χ be a closed real $(1, 1)$ form. Assume that $\theta_0 \in (0, \pi)$ and $\chi \geq \cot \theta_0 \omega$, the \mathcal{J} -functional is bounded from below in \mathcal{H}_{B_1} for any $B_1 \in (\theta_0, \pi)$.*

If $\theta_0 \in (0, \frac{\pi}{2})$, Takahashi [27] proved the lower bound of the \mathcal{J} -functional in $\mathcal{H}_{\theta_0 + \frac{\pi}{2}}$.

Acknowledgements. Zhang would like to thank Prof. Xinan Ma for constant help and encouragement. Fu is supported by NSFC grant No. 12141104. Zhang is supported by NSFC grant No. 11901102.

References

- [1] Gao Chen. The J-equation and the supercritical deformed Hermitian-Yang-Mills equation. *Invent. Math.*, 225(2):529–602, 2021. MR 4285141
- [2] Jianchun Chu and Man-Chun Lee. Hypercritical deformed Hermitian-Yang-Mills equation. *arXiv:2107.13192*, 2021. MR 4621881
- [3] Jianchun Chu, Man-Chun Lee, and Ryosuke Takahashi. A Nakai-Moishezon type criterion for supercritical deformed Hermitian-Yang-Mills equation. *arxiv: 2105.10725*, 2021. MR 4621881
- [4] Tristan C. Collins, Adam Jacob, and Shing-Tung Yau. $(1, 1)$ forms with specified Lagrangian phase: a priori estimates and algebraic obstructions. *Camb. J. Math.*, 8(2):407–452, 2020. MR 4091029
- [5] Tristan C. Collins, Dan Xie, and Shing-Tung Yau. The deformed Hermitian-Yang-Mills equation in geometry and physics. In *Geometry and physics. Vol. I*, pages 69–90. Oxford Univ. Press, Oxford, 2018. MR 3932257
- [6] Tristan C. Collins and Shing-Tung Yau. Moment maps, nonlinear PDE and stability in mirror symmetry, I: geodesics. *Ann. PDE*, 7(1):Paper No. 11, 73, 2021. MR 4242135
- [7] Philippe Eyssidieux, Vincent Guedj, and Ahmed Zeriahi. Singular Kähler-Einstein metrics. *J. Amer. Math. Soc.*, 22(3):607–639, 2009. MR 2505296
- [8] Hao Fang, Mijia Lai, Jian Song, and Ben Weinkove. The J -flow on Kähler surfaces: a boundary case. *Anal. PDE*, 7(1):215–226, 2014. MR 3219504
- [9] Jixiang Fu, Shing-Tung Yau, and Dekai Zhang. A deformed Hermitian Yang-Mills flow. *arXiv:2105.13576*, 2021.
- [10] Bo Guan. Second-order estimates and regularity for fully nonlinear elliptic equations on Riemannian manifolds. *Duke Math. J.*, 163(8):1491–1524, 2014. MR 3284698
- [11] Xiaoli Han and Xishen Jin. Stability of line bundle mean curvature flow. *arXiv:2001.07406*, 2020. MR 4630779
- [12] Zuoliang Hou, Xi-Nan Ma, and Damin Wu. A second order estimate for complex Hessian equations on a compact Kähler manifold. *Math. Res. Lett.*, 17(3):547–561, 2010. MR 2653687
- [13] Liding Huang and Jiaogen Zhang. Fully nonlinear elliptic equations with gradient terms on compact almost hermitian manifolds. *arXiv:2112.02919*, 2021. MR 4530185
- [14] Liding Huang, Jiaogen Zhang, and Xi Zhang. The deformed Hermitian-Yang-Mills equation on almost Hermitian manifolds. *Sci. China Math.*, 65(1):127–152, 2022. MR 4361971
- [15] Adam Jacob and Norman Sheu. The deformed Hermitian-Yang-Mills equation on the blowup of \mathbb{P}^n . *arXiv:2009.00651*, 2020. MR 4583445
- [16] Adam Jacob and Shing-Tung Yau. A special Lagrangian type equation for holomorphic line bundles. *Math. Ann.*, 369(1-2):869–898, 2017. MR 3694663
- [17] Naichung Conan Leung, Shing-Tung Yau, and Eric Zaslow. From special Lagrangian to Hermitian-Yang-Mills via Fourier-Mukai transform. *Adv. Theor. Math. Phys.*, 4(6):1319–1341, 2000. MR 1894858

- [18] Chao-Ming Lin. Deformed Hermitian-Yang-Mills Equation on Compact Hermitian Manifolds. *arXiv:2012.00487*, 2020. MR 4648991
- [19] Chao-Ming Lin. The Deformed Hermitian–Yang–Mills Equation, the Positivstellensatz, and the Solvability. *arXiv: 2201.01438.*, 2022. MR 4648991
- [20] Marcos Mariño, Ruben Minasian, Gregory Moore, and Andrew Strominger. Nonlinear instantons from supersymmetric p -branes. *J. High Energy Phys.*, (1):Paper 5, 32, 2000. MR 1743311
- [21] Duong H. Phong and Dat T. Tô. Fully non-linear parabolic equations on compact Hermitian manifolds. *Ann. Sci. Éc. Norm. Supér. (4)*, 54(3):793–829, 2021. MR 4311100
- [22] Vamsi Pritham Pingali. The deformed Hermitian Yang-Mills equation on three-folds. *arXiv: 1910.01870.*, 2019. MR 4478294
- [23] Jian Song. Nakai-Moishezon criteria for complex Hessian equations. *arXiv:2012.07956*, 2021.
- [24] Jian Song and Ben Weinkove. On the convergence and singularities of the J -flow with applications to the Mabuchi energy. *Comm. Pure Appl. Math.*, 61(2):210–229, 2008. MR 2368374
- [25] Gábor Székelyhidi. Fully non-linear elliptic equations on compact Hermitian manifolds. *J. Differential Geom.*, 109(2):337–378, 2018. MR 3807322
- [26] Ryosuke Takahashi. Tan-concavity property for Lagrangian phase operators and applications to the tangent Lagrangian phase flow. *Internat. J. Math.*, 31(14):2050116, 26, 2020. MR 4203709
- [27] Ryosuke Takahashi. Collapsing of the line bundle mean curvature flow on Kähler surfaces. *Calc. Var. Partial Differential Equations*, 60(1):Paper No. 27, 18, 2021. MR 4201650
- [28] Dake Wang and Yu Yuan. Hessian estimates for special Lagrangian equations with critical and supercritical phases in general dimensions. *Amer. J. Math.*, 136(2):481–499, 2014. MR 3188067
- [29] Shing-Tung Yau. On the Ricci curvature of a compact Kähler manifold and the complex Monge-Ampère equation. I. *Comm. Pure Appl. Math.*, 31(3):339–411, 1978. MR 0480350
- [30] Yu Yuan. Global solutions to special Lagrangian equations. *Proc. Amer. Math. Soc.*, 134(5):1355–1358, 2006. MR 2199179
- [31] Zhou Zhang. On degenerate Monge-Ampère equations over closed Kähler manifolds. *Int. Math. Res. Not.*, pages Art. ID 63640, 18, 2006. MR 2233716

SHANGHAI CENTER FOR MATHEMATICAL SCIENCES, JIANGWAN CAMPUS, FUDAN UNIVERSITY, SHANGHAI, 200438, CHINA

Email address: majxfu@fudan.edu.cn

YAU MATHEMATICAL SCIENCES CENTER, TSINGHUA UNIVERSITY, BEIJING, 100084, CHINA

Email address: syau@tsinghua.edu.cn

DEPARTMENT OF MATHEMATICS, SHANGHAI UNIVERSITY, SHANGHAI, 200444, CHINA

Email address: dkzhang@shu.edu.cn